

Proof-Rules for Certifying Memory Bounds

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1 Normal form values and heap

```
theory SafeHeap
imports Main
```

begin

types

Location = *nat*
Constructor = *string*
FunName = *string*

— Normal form values

datatype *Val* = *Loc Location* | *IntT int* | *BoolT bool*

— Destructions of datatype val

consts *the-IntT* :: *Val* $\Rightarrow$ *int*

primrec

the-IntT (*IntT i*) = *i*

consts *the-BoolT* :: *Val* $\Rightarrow$ *bool*

primrec

the-BoolT (*BoolT b*) = *b*

— check if is constant bool

constdefs *isBool* :: *Val* $\Rightarrow$ *bool*

isBool v $\equiv$ (*case v of* (*BoolT* -) $\Rightarrow$ *True*
| - $\Rightarrow$ *False*)

A heap is a partial mapping from locations to cells. But, as it is split into regions, the mapping tells also the region where the cell lives. The second component is the highest live region *k*. A consistent heap (*h, k*) has cells only in regions $0 \dots k$.

types

Cell = *Constructor* $\times$ *Val list*
Region = *nat*
HeapMap = *Location* $\rightarrow$ (*Region* $\times$ *Cell*)
Heap = *HeapMap* $\times$ *nat*

consts

restrictToRegion :: *Heap* $\Rightarrow$ *Region* $\Rightarrow$ *Heap* (**infix** $\downarrow$ 110)

primrec

$(h, k) \downarrow k0 = (\text{let } A = \{ p \mid p \in \text{dom } h \ \& \ \text{fst } (\text{the } (h \ p)) \leq k0 \}$
 $\text{in } (h \upharpoonright^{\iota} A, k0))$

definition

rangeHeap :: *HeapMap* $\Rightarrow$ *Val set* **where**
rangeHeap h = {*v*. $\exists p \ j \ C \ vn. \ h \ p = \text{Some } (j, C, vn) \wedge v \in \text{set } vn$ }

definition

fresh :: *Location* $\Rightarrow$ *HeapMap* $\Rightarrow$ *bool* **where**
fresh p h = ($p \notin \text{dom } h \wedge (\text{Loc } p) \notin \text{rangeHeap } h$)

definition $domLoc :: HeapMap \Rightarrow Val\ set$ **where**
 $domLoc\ h = \{l. \exists p. p \in dom\ h \wedge l = Loc\ p\}$

declare $rangeHeap-def$ $[simp\ del]$
declare $fresh-def$ $[simp\ del]$
declare $domLoc-def$ $[simp\ del]$

constdefs
 $getFresh :: HeapMap \Rightarrow Location$
 $getFresh\ h \equiv SOME\ b. fresh\ b\ h$

constdefs
 $self :: string$ — this identifies the topmost region referenced in a function body
 $self \equiv "self"$

The constructor table tells, for each constructor, the number of arguments and a description of each one. The second nat gives the alternative $0..n - 1$ corresponding to this constructor in every **case** of its type

datatype $ArgType = IntArg \mid BoolArg \mid NonRecursive \mid Recursive$

types
 $ConstructorTableType = (Constructor \times (nat \times nat \times ArgType\ list))\ list$
 $ConstructorTableFun = Constructor \rightarrow (nat \times nat \times ArgType\ list)$

This is the constructor table of the Safe expressions semantics. It is assumed to be a constant which somebody else will provide. It is used in the semantic function 'copy'

consts
 $ConstructorTable :: ConstructorTableFun$

constdefs $getConstructorCell :: Cell \Rightarrow Constructor$
 $getConstructorCell\ c \equiv fst\ c$

constdefs $getValuesCell :: Cell \Rightarrow Val\ list$
 $getValuesCell\ c \equiv snd\ c$

constdefs $getCell :: Heap \Rightarrow Location \Rightarrow Cell$
 $getCell\ h\ l \equiv snd\ (the\ ((fst\ h)\ l))$

constdefs $getRegion :: Heap \Rightarrow Location \Rightarrow Region$
 $getRegion\ h\ l \equiv fst\ (the\ ((fst\ h)\ l))$

constdefs $domHeap :: Heap \Rightarrow Location\ set$

$domHeap\ h \equiv dom\ (fst\ h)$

constdefs $isNonBasicValue :: ArgType \Rightarrow bool$
 $isNonBasicValue\ a == (a = NonRecursive) \vee (a = Recursive)$

constdefs $isRecursive :: ArgType \Rightarrow bool$
 $isRecursive\ a == (a = Recursive)$

consts
 $theLocation :: Val \Rightarrow Location$
primrec $theLocation\ (Loc\ l) = l$

constdefs
 $getArgType\ C \equiv snd\ (snd\ (the\ (ConstructorTable\ C)))$

constdefs $getRecursiveValuesCell :: Cell \Rightarrow Location\ set$
 $getRecursiveValuesCell\ c == set\ (map\ (theLocation\ o\ snd)$
 $\quad (filter\ (isRecursive\ o\ fst)\ (zip\ (getArgType\ (getConstructorCell$
 $\quad c))\ (getValuesCell\ c))))$

constdefs $recDescendants :: Location \Rightarrow Heap \Rightarrow Location\ set$
 $recDescendants\ l\ h \equiv case\ ((fst\ h)\ l)\ of\ Some\ c \Rightarrow getRecursiveValuesCell\ (snd\ c)$
 $\quad \quad \quad | \ None \Rightarrow \{\}$

constdefs $getNonBasicValuesCell :: Cell \Rightarrow Location\ set$
 $getNonBasicValuesCell\ c == set\ (map\ (theLocation\ o\ snd)$
 $\quad (filter\ (isNonBasicValue\ o\ fst)\ (zip\ (getArgType$
 $\quad (getConstructorCell\ c))\ (getValuesCell\ c))))$

constdefs $descendants :: Location \Rightarrow Heap \Rightarrow Location\ set$
 $descendants\ l\ h \equiv case\ ((fst\ h)\ l)\ of\ Some\ c \Rightarrow getNonBasicValuesCell\ (snd\ c)$
 $\quad \quad \quad | \ None \Rightarrow \{\}$

constdefs $isConstant :: Val \Rightarrow bool$
 $isConstant\ v \equiv (case\ v\ of\ (IntT\ -) \Rightarrow True$
 $\quad \quad \quad | \ (BoolT\ -) \Rightarrow True$
 $\quad \quad \quad | \ - \Rightarrow False)$
end

2 Useful functions and theorems from the Haskell Library or Prelude

theory *HaskellLib*
imports *Main*

begin

Function *mapAccumL* is a powerful combination of *map* and *foldl*. Functions *unzip3* and *unzip* are respectively the inverse of *zip3* and *zip*.

consts

```
mapAccumL :: ('a => 'b => 'a × 'c) => 'a => 'b list => 'a × 'c list
zipWith   :: ('a => 'b => 'c) => 'a list => 'b list => 'c list
unzip3    :: ('a × 'b × 'c) list => 'a list × 'b list × 'c list
unzip     :: ('a × 'b) list => 'a list × 'b list
```

primrec

```
mapAccumL f s [] = (s,[])
mapAccumL f s (x#xs) = (let (s',y) = f s x;
                           (s'',ys) = mapAccumL f s' xs
                        in (s'',y#ys))
```

Some lemmas about *mapAccumL*

lemma *mapAccumL-non-empty*:

```
[(s'',ys) = mapAccumL f s xs;
 xs = x#xx]
  => (∃ s' y ys'.
    (s',y) = f s x
    ∧ ys = y # ys')
```

apply *clarify*

apply (*unfold mapAccumL.simps*)

apply (*rule-tac x=fst (f s x) in exI*)

apply (*rule-tac x=snd (f s x) in exI*)

apply (*rule-tac x=snd (mapAccumL f (fst (f s x)) xx) in exI*)

apply (*rule conjI*)

apply *simp*

apply (*case-tac f s x,simp*)

by (*case-tac mapAccumL f a xx,simp*)

lemma *mapAccumL-non-empty2*:

```
[(s'',ys) = mapAccumL f s xs;
 xs = x#xx]
  => (∃ s' y ys'.
    (s',y) = f s x
    ∧ (s'',ys') = mapAccumL f s' xx
    ∧ ys = y # ys')
```

apply *clarify*

apply (*unfold mapAccumL.simps*)

apply (*rule-tac x=fst (f s x) in exI*)

apply (*rule-tac x=snd (f s x) in exI*)

apply (*rule-tac x=snd (mapAccumL f (fst (f s x)) xx) in exI*)

apply (*rule conjI*)

apply *simp*

apply (*rule conjI*)

apply (*case-tac f s x*) **apply** (*simp*)

```

apply (case-tac mapAccumL f a xx)
apply (simp)
apply (case-tac f s x) apply (simp)
apply (case-tac mapAccumL f a xx)
apply simp
done

```

```

axioms mapAccumL-non-empty3:
  
$$\begin{aligned} & \llbracket (s'',ys) = \text{mapAccumL } f \ s \ xs; \\ & \quad 0 < \text{length } xs \\ & \rrbracket \implies (\exists \ s' \ y \ ys'. \\ & \quad (s',y) = f \ s \ (xs!0) \\ & \quad \wedge (s'',ys') = \text{mapAccumL } f \ s' \ (tl \ xs)) \end{aligned}$$


```

```

axioms mapAccumL-two-elements:
  
$$\begin{aligned} & \llbracket (s3,ys) = \text{mapAccumL } f \ s \ xs; \\ & \quad xs = x1 \# x2 \# xx \\ & \rrbracket \implies (\exists \ s1 \ s2 \ y1 \ y2 \ ys3. \\ & \quad (s1,y1) = f \ s \ x1 \\ & \quad \wedge (s2,y2) = f \ s1 \ x2 \\ & \quad \wedge (s3,ys3) = \text{mapAccumL } f \ s2 \ xx \\ & \quad \wedge ys = y1 \# y2 \# ys3) \end{aligned}$$


```

```

axioms mapAccumL-split:
  
$$\begin{aligned} & \llbracket (s2,ys) = \text{mapAccumL } f \ s \ xs; \\ & \quad xs1 \ @ \ xs2 = xs \\ & \rrbracket \implies (\exists \ s1 \ ys1 \ ys2 \ . \\ & \quad (s1,ys1) = \text{mapAccumL } f \ s \ xs1 \\ & \quad \wedge (s2,ys2) = \text{mapAccumL } f \ s1 \ xs2 \\ & \quad \wedge ys = ys1 \ @ \ ys2) \end{aligned}$$


```

```

axioms mapAccumL-one-more:
  
$$\begin{aligned} & \llbracket (s1,ys) = \text{mapAccumL } f \ s \ xs; \\ & \quad (s2,y) = f \ s1 \ x \\ & \rrbracket \implies (s2,ys@[y]) = \text{mapAccumL } f \ s \ (xs@[x]) \end{aligned}$$


```

Some integer arithmetic lemmas

```

lemma sum-nat:
  
$$\llbracket (x1::nat)=x2;(y1::nat)=y2 \rrbracket \implies x1+y1=x2+y2$$

apply arith
done

```

```

axioms sum-subtract:
  
$$(x::nat)-y+(z-x)=z-y$$


```

```

axioms additions1:
  
$$\llbracket i < m; \text{Suc } m + n \leq l \rrbracket \implies$$


$$m - i < \text{nat } (\text{int } l - 1) - n + 1 - (\text{nat } (\text{int } l - 1) - \text{Suc } m - n + 1)$$

axioms additions2:

```

$\llbracket i < m; \text{Suc } m + n \leq l \rrbracket \implies$
 $\text{nat } (\text{int } l - 1) - m + (m - \text{Suc } i) = \text{nat } (\text{int } l - 1) - \text{Suc } m + (m - i)$
axioms *additions3*:
 $\llbracket i < m; \text{Suc } m + n \leq l \rrbracket \implies$
 $\text{nat } (\text{int } l - 1) - \text{Suc } (m + n) + (m - i) = \text{nat } (\text{int } l - 1) - (m + n) + (m - \text{Suc } i)$
axioms *additions4*:
 $\llbracket \text{Suc } m + n \leq l \rrbracket \implies$
 $\text{nat } (\text{int } l - 1) - m = \text{Suc } (\text{nat } (\text{int } l - 1) - \text{Suc } m)$
axioms *additions5*:
 $\llbracket \text{Suc } m + n \leq l \rrbracket \implies$
 $\text{Suc } (\text{nat } (\text{int } l - 1) - \text{Suc } (m + n)) = \text{nat } (\text{int } l - 1 - \text{int } n - \text{int } m)$
axioms *additions6*:
 $\llbracket \text{Suc } m + n \leq l \rrbracket \implies$
 $n + (\text{nat } (\text{int } l - 1) - \text{Suc } (m + n)) < \text{nat } (\text{int } l - 1)$

Some lemmas about lists

lemma *list-non-empty*:
 $0 < \text{length } xs \implies (\exists y \text{ } ys . xs = y \# ys)$
apply *auto*
apply (*insert neq-Nil-conv* [of *xs*])
by *simp*

axioms *drop-nth*:
 $n < \text{length } xs \implies (\exists y \text{ } ys . \text{drop } n \text{ } xs = y \# ys \wedge xs!n = y)$

axioms *drop-nth3*:
 $n < \text{length } xs \implies \text{drop } n \text{ } xs = (xs!n) \# \text{drop } (\text{Suc } n) \text{ } xs$

axioms *drop-take-Suc*:
 $xs = (\text{take } n \text{ } xs) @ (z \# zs) \implies \text{drop } (\text{Suc } n) \text{ } xs = zs$

axioms *drop-nth2*:
 $\llbracket n < \text{length } xs; \text{drop } n \text{ } xs = ys \rrbracket$
 $\implies ys = xs!n \# \text{tl } xs$

axioms *drop-append2*:
 $\llbracket \text{drop } n \text{ } xs = zs1 @ ys1 @ ys2 @ zs2 @ \text{rest};$
 $\text{drop } (m - n) (zs1 @ ys1 @ ys2 @ zs2) = ys1 @ \text{rest}'$
 $\rrbracket \implies$
 $\text{drop } (m + \text{length } ys1 - n) (zs1 @ ys1 @ ys2 @ zs2) = ys2 @ zs2$

axioms *drop-append3*:
 $\llbracket \text{drop } n \text{ } xs = xs1 @ \text{rest};$
 $\text{drop } (m - n) \text{ } xs1 = ys1 @ ys2$
 $\rrbracket \implies$
 $\text{drop } m \text{ } xs = ys1 @ ys2 @ \text{rest}$

lemma *nth-via-drop-append*: $\text{drop } n \text{ } xs = (y \# ys) @ zs \implies xs!n = y$
apply (*induct xs arbitrary: n, simp*)
by (*simp add: drop-Cons nth-Cons split: nat.splits*)

lemma *drop-Suc-append*:
 $\text{drop } n \text{ } xs = (y \# ys) @ zs \implies \text{drop } (\text{Suc } n) \text{ } xs = ys @ zs$
apply (*induct xs arbitrary: n, simp*)
apply (*simp add: drop-Cons*)
by (*simp split: nat.splits*)

lemma *nth-via-drop-append-2*: $\text{drop } n \text{ } xs = ((y \# ys) @ ws @ zs) @ ms \implies xs!n = y$
apply (*induct xs arbitrary: n, simp*)
by (*simp add: drop-Cons nth-Cons split: nat.splits*)

lemma *drop-Suc-append-2*:
 $\text{drop } n \text{ } xs = ((y \# ys) @ ws @ zs) @ ms \implies \text{drop } (\text{Suc } n) \text{ } xs = ys @ ws @ zs @ ms$
apply (*induct xs arbitrary: n, simp*)
apply (*simp add: drop-Cons*)
by (*simp split: nat.splits*)

axioms *drop-append-length*:
 $\text{drop } n \text{ } xs = [] @ ys @ zs @ ms \implies \text{drop } (n + \text{length } ys) \text{ } xs = zs @ ms$

axioms *take-length*:
 $n \leq \text{length } xs \implies n = \text{length } (\text{take } n \text{ } xs)$

axioms *take-append2*:
 $n < \text{length } xs \implies x \# \text{take } n \text{ } xs = \text{take } n \text{ } (x \# xs) @ [(x \# xs)!n]$

axioms *take-append3*:
 $\text{Suc } n \leq \text{length } xs \implies \text{take } (\text{Suc } n) \text{ } xs = \text{take } n \text{ } xs @ [xs!n]$

axioms *concat1*:
 $xs @ y \# ys = (xs @ [y]) @ ys$

axioms *concat2*:
 $xs1 = xs2 \implies xs1 @ ys = xs2 @ ys$

axioms *upt-length*:
 $n \leq m \implies \text{length } [n..<m] = m - n$

Some lemmas about finite maps

axioms *map-of-distinct*:
 $[\text{distinct } (\text{map fst } xys);$
 $l < \text{length } xys;$
 $(x, y) = xys ! l$
 $] \implies \text{map-of } xys \text{ } x = \text{Some } y$

$$\begin{aligned} \text{map-of } xys \ x &= \text{Some } y \\ \implies (\exists \ l . \ l < \text{length } xys \wedge (x,y) = xys \ ! \ l) \end{aligned}$$
$$i < m-n \implies (A([n..<m] \models xs)) (n+i) = \text{Some } (xs ! i)$$

primrec

$$\begin{aligned} unzip3 \ \square &= (\square, \square, \square) \\ unzip3 \ (tup \# tups) &= (let \ (xs, ys, zs) = unzip3 \ tups; \\ &\quad (x, y, z) = tup \\ &\quad in \ (x \# xs, y \# ys, z \# zs)) \end{aligned}$$
$$\text{unzip3 } xs = (ys1, ys2, ys3) \implies \text{length } ys1 = \text{length } ys2$$
$$\begin{aligned} unzip \ \square &= (\square, \square) \\ unzip \ (tup \# tups) &= (let \ (xs, ys) = unzip \ tups; \\ &\quad (x, y) = tup \\ &\quad in \ (x \# xs, y \# ys)) \end{aligned}$$
$$\begin{array}{l} \text{zipWith } f \ (x \# xs) \ yy = (\text{case } yy \text{ of} \\ \quad \square \Rightarrow \square \\ \quad | y \# ys \Rightarrow f \ x \ y \ \# \ \text{zipWith } f \ xs \ ys) \\ \text{zipWith } f \ \square \quad yy = \square \end{array}$$
$$\text{length } (\text{zipWith } f \text{ } xs \text{ } ys) = \min (\text{length } xs) (\text{length } ys)$$

datatype ('a,'b) *Either* = *Left* 'a | *Right* 'b

constdefs

```
leString :: string => string => bool
leString s1 s2 == True
```

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```

    ins :: string => string list => string list
primrec
    ins s [] = [s]
    ins s (s' # ss) = (if leString s s' then s # s' # ss
                        else s' # ins s ss)

fun sort :: string list => string list
where
    sort ss = foldr ins ss []

fun subList :: 'a list => 'a list => bool
where
    subList xs ys = ( $\exists$  hs ts. ys = hs @ xs @ ts)

end

```

3 Normalized Safe Expressions

```

theory SafeExpr imports ../SafeImp/SafeHeap ../SafeImp/HaskellLib
begin

```

This is a somewhat simplified copy of the abstract syntax used by the Safe compiler. The idea is that the Haskell code generated by Isabelle for the definition of the *trProg* function, translating from CoreSafe to SafeImp, can be directly used as a phase of the compiler. The simplifications are in expression LetE and in the definition of 'a Der, in order to avoid unnecessary mutual recursion between types. First, we define the key elements of CoreSafe abstract syntax.

```

constdefs
    intType :: string
    intType == "Int"
    boolType :: string
    boolType == "Bool"

datatype ExpTipo = VarT string
                | ConstrT string ExpTipo list bool string list
                | Rec

datatype AltDato = ConstrA string (ExpTipo list) string

types    DecData = string  $\times$  string list  $\times$  string list  $\times$  AltDato list

datatype Lit = LitN int | LitB bool

datatype 'a Patron = ConstP Lit
                | VarP string 'a
                | ConstrP string ('a Patron) list 'a

```

Now we define the CoreSafe expressions.

types

ProgVar = *string*

RegVar = *string*

datatype *'a Exp* = *ConstE Lit 'a*
 | *ConstrE string ('a Exp) list RegVar 'a*
 | *VarE ProgVar 'a*
 | *CopyE ProgVar RegVar 'a* (*- @ - - 90*)
 | *ReuseE ProgVar 'a*
 | *AppE FunName ('a Exp) list RegVar list 'a*
 | *LetE string ('a Exp) ('a Exp) 'a*
 (*Let - = - In - - 95*)

 | *CaseE ('a Exp) ('a Patron × 'a Exp) list 'a*
 (*Case - Of - - 95*)
 | *CaseDE ('a Exp) ('a Patron × 'a Exp) list 'a*
 (*CaseD - Of - - 95*)

Now, the rest of the abstract syntax.

datatype *'a Der* = *Simple ('a Exp) int list*

types

'a Izq = *string × ('a Patron × bool) list × string list*

'a Def = *ExpTipo list × 'a Izq × 'a Der*

'a Prog = *DecData list × ('a Def) list × 'a Exp*

3.1 Free Variables

fun *pat2var* :: *'a Patron* => *string*

where

pat2var (*VarP x* -) = *x*

fun *extractP* :: *'a Patron* => (*string × string list*)

where

extractP (*ConstrP C ps* -) = (
 let xs = map pat2var ps
 in (C,xs))

| *extractP* - = (*[],[]*)

fun *extractVar* :: *'a Patron* => *string list*

where

extractVar (*ConstrP C ps a*) = *map pat2var ps*

| *extractVar* (*ConstP l*) = *[]*

| *extractVar* (*VarP v a*) = [*v*]

consts *varProgPat* :: *'a Patron* => *string set*

$varProgPats :: 'a Patron list \Rightarrow string set$

primrec

$varProgPat (ConstP l) = \{\}$
 $varProgPat (VarP x a) = \{x\}$
 $varProgPat (ConstrP C pats a) = varProgPats pats$

$varProgPats [] = \{\}$
 $varProgPats (pat \# pats) = varProgPat pat \cup varProgPats pats$

consts $varProg :: 'a Exp \Rightarrow ProgVar set$

$varProgs :: 'a Exp list \Rightarrow ProgVar set$
 $varProgs' :: 'a Exp list \Rightarrow ProgVar set$
 $varProgAlts :: ('a Patron \times 'a Exp) list \Rightarrow string set$
 $varProgAlts' :: ('a Patron \times 'a Exp) list \Rightarrow string set$
 $varProgTup :: 'a Patron \times 'a Exp \Rightarrow string set$
 $varProgTup' :: 'a Patron \times 'a Exp \Rightarrow string set$

primrec

$varProg (ConstE Lit a) = \{\}$
 $varProg (ConstrE C exps r a) = varProgs exps$
 $varProg (VarE x a) = \{x\}$
 $varProg (CopyE x r a) = \{x\}$
 $varProg (ReuseE x a) = \{x\}$
 $varProg (AppE fn exps rs a) = varProgs' exps$
 $varProg (LetE x1 e1 e2 a) = varProg e1 \cup varProg e2 \cup \{x1\}$
 $varProg (CaseE exp alts a) = varProg exp \cup varProgAlts alts$
 $varProg (CaseDE exp alts a) = varProg exp \cup varProgAlts' alts$

$varProgs [] = \{\}$
 $varProgs (exp \# exps) = varProg exp \cup varProgs exps$

$varProgs' [] = \{\}$
 $varProgs' (exp \# exps) = varProg exp \cup varProgs' exps$

$varProgAlts [] = \{\}$
 $varProgAlts (alt \# alts) = varProgTup alt \cup varProgAlts alts$

$varProgAlts' [] = \{\}$
 $varProgAlts' (alt \# alts) = varProgTup' alt \cup varProgAlts' alts$

$varProgTup (pat, e) = varProgPat pat \cup varProg e$

$varProgTup' (pat, e) = varProgPat pat \cup varProg e$

consts $fv :: 'a Exp \Rightarrow string set$

$fvs :: 'a Exp list \Rightarrow string set$
 $fvs' :: 'a Exp list \Rightarrow string set$

$fvAlts :: ('a Patron \times 'a Exp) list \Rightarrow string set$
 $fvAlts' :: ('a Patron \times 'a Exp) list \Rightarrow string set$
 $fvTup :: 'a Patron \times 'a Exp \Rightarrow string set$
 $fvTup' :: 'a Patron \times 'a Exp \Rightarrow string set$

primrec

$fv (ConstE Lit a) = \{\}$
 $fv (ConstrE C exps rv a) = fvs exps$
 $fv (VarE x a) = \{x\}$
 $fv (CopyE x rv a) = \{x\}$
 $fv (ReuseE x a) = \{x\}$
 $fv (AppE fn exps rvs a) = fvs' exps$
 $fv (LetE x1 e1 e2 a) = fv e1 \cup fv e2 - \{x1\}$
 $fv (CaseE exp paterxps a) = fvAlts paterxps \cup fv exp$
 $fv (CaseDE exp paterxps a) = fvAlts' paterxps \cup fv exp$

$fvs [] = \{\}$
 $fvs (exp \# exps) = fv exp \cup fvs exps$

$fvs' [] = \{\}$
 $fvs' (exp \# exps) = fv exp \cup fvs' exps$

$fvAlts [] = \{\}$
 $fvAlts (alt \# alts) = fvTup alt \cup fvAlts alts$

$fvAlts' [] = \{\}$
 $fvAlts' (alt \# alts) = fvTup' alt \cup fvAlts' alts$

$fvTup (pat, e) = fv e - set (snd (extractP pat))$

$fvTup' (pat, e) = fv e - set (snd (extractP pat))$

consts $fvReg :: 'a Exp \Rightarrow string set$
 $fvsReg :: 'a Exp list \Rightarrow string set$
 $fvsReg' :: 'a Exp list \Rightarrow string set$
 $fvAltsReg :: ('a Patron \times 'a Exp) list \Rightarrow string set$
 $fvAltsReg' :: ('a Patron \times 'a Exp) list \Rightarrow string set$
 $fvTupReg :: 'a Patron \times 'a Exp \Rightarrow string set$
 $fvTupReg' :: 'a Patron \times 'a Exp \Rightarrow string set$

primrec

$fvReg (ConstE Lit a) = \{\}$
 $fvReg (ConstrE C exps r a) = \{r\}$
 $fvReg (VarE x a) = \{\}$
 $fvReg (CopyE x r a) = \{r\}$
 $fvReg (ReuseE x a) = \{\}$
 $fvReg (AppE fn exps rvs a) = set rvs$
 $fvReg (LetE x1 e1 e2 a) = fvReg e1 \cup fvReg e2$

$fvReg \ (CaseE \ \exp \ paterps \ a) = fvAltsReg \ paterps$
 $fvReg \ (CaseDE \ \exp \ paterps \ a) = fvAltsReg' \ paterps$

$fvAltsReg \ [] = \{\}$
 $fvAltsReg \ (alt \# alts) = fvTupReg \ alt \cup fvAltsReg \ alts$

$fvAltsReg' \ [] = \{\}$
 $fvAltsReg' \ (alt \# alts) = fvTupReg' \ alt \cup fvAltsReg' \ alts$

$fvTupReg \ (pat, e) = fvReg \ e$

$fvTupReg' \ (pat, e) = fvReg \ e$

consts $boundVar \quad :: 'a \ Exp \Rightarrow string \ set$
 $boundVars \quad :: 'a \ Exp \ list \Rightarrow string \ set$
 $boundVars' \quad :: 'a \ Exp \ list \Rightarrow string \ set$
 $boundVarAlts \quad :: ('a \ Patron \times 'a \ Exp) \ list \Rightarrow string \ set$
 $boundVarAlts' \quad :: ('a \ Patron \times 'a \ Exp) \ list \Rightarrow string \ set$
 $boundVarTup \quad :: 'a \ Patron \times 'a \ Exp \Rightarrow string \ set$
 $boundVarTup' \quad :: 'a \ Patron \times 'a \ Exp \Rightarrow string \ set$

primrec

$boundVar \ (ConstE \ Lit \ a) = \{\}$
 $boundVar \ (ConstrE \ C \ exps \ rv \ a) = boundVars \ exps$
 $boundVar \ (VarE \ x \ a) = \{\}$
 $boundVar \ (CopyE \ x \ rv \ a) = \{\}$
 $boundVar \ (ReuseE \ x \ a) = \{\}$
 $boundVar \ (AppE \ fn \ exps \ rvs \ a) = \{\}$
 $boundVar \ (LetE \ x1 \ e1 \ e2 \ a) = \{x1\}$
 $boundVar \ (CaseE \ \exp \ paterps \ a) = boundVarAlts \ paterps$
 $boundVar \ (CaseDE \ \exp \ paterps \ a) = boundVarAlts' \ paterps$

$boundVars \ [] = \{\}$
 $boundVars \ (exp \# exps) = boundVar \ exp \cup boundVars \ exps$

$boundVarAlts \ [] = \{\}$
 $boundVarAlts \ (alt \# alts) = boundVarTup \ alt \cup boundVarAlts \ alts$

$boundVarAlts' \ [] = \{\}$
 $boundVarAlts' \ (alt \# alts) = boundVarTup' \ alt \cup boundVarAlts' \ alts$

$boundVarTup \ (pat, e) = set \ (extractVar \ pat)$

$boundVarTup' \ (pat, e) = set \ (extractVar \ pat)$

A runtime environment consists of two partial mappings: one from program variables to normal form values, and one from region variables to actual

regions.

types

$Environment = (ProgVar \rightarrow Val) \times (RegVar \rightarrow Region)$

The runtime system provides a 'copy' function which generates a new data structure from a given location by copying those cells pointed to by recursive argument positions of data constructors. The Σ environment provides the textual definitions of previously defined Safe functions. Some auxiliary functions: 'extend' extends an environment with a collection of bindings from variables to values; 'fresh' is a predicate telling whether a variable is fresh with respect to a heap; 'atom2val', given an environment and an atom (a program variable or a literal expression) returns its corresponding value; 'atom2var', given an expression return its corresponding variable; 'atom', is a predicate telling whether an expression is an atom.

constdefs $recursiveArgs :: Constructor \Rightarrow bool\ list$

$recursiveArgs\ C \equiv (let$
 $\quad (-, -, args) = the\ (ConstructorTable\ C)$
 $\quad in\ map\ (\%a.\ a = Recursive)\ args)$

function $copy' :: [Region, HeapMap, (Val \times bool)] \Rightarrow (HeapMap \times Val)$

where

$copy'\ j\ h\ (v, False) = (h, v)$
 $| copy'\ j\ h\ (Val.Loc\ p, True) = (let$
 $\quad (k, C, ps) = the\ (h\ p);$
 $\quad bs = recursiveArgs\ C;$
 $\quad pbs = zip\ ps\ bs;$
 $\quad (h', ps') = mapAccumL\ (copy'\ j)\ h\ pbs;$
 $\quad p' = getFresh\ h';$
 $\quad in\ (h'(p' \mapsto (j, C, ps')), Val.Loc\ p'))$
 $| copy'\ j\ h\ (IntT\ i, True) = (h, IntT\ i)$
 $| copy'\ j\ h\ (BoolT\ b, True) = (h, BoolT\ b)$

by *pat-completeness auto*

function $copy :: [Heap, Location, Region] \Rightarrow (Heap \times Location)$

where

$copy\ (h, k)\ p\ j = (let$
 $\quad (h', p') = copy'\ j\ h\ (Val.Loc\ p, True)$
 $\quad in\ case\ p'\ of\ (Val.Loc\ q) \Rightarrow ((h', k), q))$

by *pat-completeness auto*

termination by $(relation\ \{\})\ simp$

types $FunDefEnv = string \rightarrow ProgVar\ list \times RegVar\ list \times unit\ Exp$

consts

$\Sigma f :: FunDefEnv$

constdefs *bodyAPP* :: *FunDefEnv* $\Rightarrow$ *string* $\Rightarrow$ *unit Exp*
bodyAPP Σ *f* == (case Σ *f* of *Some* (*xs,rs,ef*) $\Rightarrow$ *ef*)

constdefs *varsAPP* :: *FunDefEnv* $\Rightarrow$ *string* $\Rightarrow$ *string list*
varsAPP Σ *f* == (case Σ *f* of *Some* (*xs,rs,ef*) $\Rightarrow$ *xs*)

constdefs *regionsAPP* :: *FunDefEnv* $\Rightarrow$ *string* $\Rightarrow$ *string list*
regionsAPP Σ *f* == (case Σ *f* of *Some* (*xs,rs,ef*) $\Rightarrow$ *rs*)

definition

extend :: [*string* $\rightarrow$ *Val*, *ProgVar list*, *Val list*] $\Rightarrow$ (*ProgVar* $\rightarrow$ *Val*) **where**
extend *E xs vs* = *E* ++ map-of (*zip xs vs*)

definition

def-extend :: [*string* $\rightarrow$ *Val*, *ProgVar list*, *Val list*] $\Rightarrow$ *bool* **where**
def-extend *E xs vs* = (*set xs* $\cap$ *dom E* = {} $\wedge$ *length xs* = *length vs* $\wedge$ *distinct xs* $\wedge$ ($\forall x \in \text{set } xs. x \neq \text{self}$))

fun *atom2val* :: (*ProgVar* $\rightarrow$ *Val*) $\Rightarrow$ 'a *Exp* $\Rightarrow$ *Val*
where

atom2val *E* (*ConstE* (*LitN* *i*) *a*) = *IntT i*
| *atom2val* *E* (*ConstE* (*LitB* *b*) *a*) = *BoolT b*
| *atom2val* *E* (*VarE* *x a*) = *the (E x)*

fun *atom2var* :: 'a *Exp* $\Rightarrow$ *string*
where

atom2var (*VarE* *x a*) = *x*

fun *atom* :: 'a *Exp* $\Rightarrow$ *bool*
where

atom *e* = (case *e* of
(*VarE* *x a*) $\Rightarrow$ *True*
| - $\Rightarrow$ *False*)

Lemmas for extend function

lemma *extend-monotone*: $x \notin \text{set } xs \implies E x = \text{extend } E xs vs x$
apply (*induct xs vs rule:list-induct2'*)
apply (*simp add: extend-def*)
apply (*simp add: extend-def*)
apply (*simp add: extend-def*)
apply (*subgoal-tac* $x \notin \text{set } xs, \text{simp}$)
apply (*subgoal-tac* *extend E (xa # xs) (y # ys) = (extend E xs ys)(xa $\mapsto$ y)*)
apply *simp*
apply (*simp add: extend-def*)

by *simp*

lemma *list-induct3*:

$\llbracket P \rrbracket 0;$
 $\llbracket x \# xs. P (x \# xs) 0;$
 $\llbracket i. P \rrbracket (Suc\ i);$
 $\llbracket x \# xs\ i. P\ xs\ i \implies P (x \# xs) (Suc\ i) \rrbracket$
 $\implies P\ xs\ i$
 by (induct xs arbitrary: i) (case-tac x, auto)+

lemma *extend-monotone-i* [rule-format]:

$i < length\ alts \longrightarrow$
 $length\ alts > 0 \longrightarrow$
 $x \notin set\ (snd\ (extractP\ (fst\ (alts\ !\ i)))) \longrightarrow$
 $E\ x = extend\ E\ (snd\ (extractP\ (fst\ (alts\ !\ i))))\ vs\ x$
 apply (induct alts i rule: list-induct3, simp-all)
 apply (rule impI)
 apply (erule extend-monotone)
 apply (rule impI, simp)
 by (case-tac xs=[], simp-all)

lemma *extend-prop1*:

$\llbracket z \in dom\ (extend\ E\ xs\ vs); z \notin set\ xs; length\ xs = length\ vs \rrbracket \implies z \in dom\ E$
 apply (simp add: extend-def)
 apply (erule disjE)
 apply (simp add: dom-def)
 by simp

end

4 Primitive operators for SVM anf JVM

theory *BinOP*

imports *Main*

begin

Primitive operators

datatype *PrimOp* = *Add* | *Substract* | *Times* | *Divide* | *LessThan* | *LessEqual*
 | *Equal* | *GreaterThan* | *GreaterEqual* | *NotEqual*

end

5 State of the SVM

theory *SVMState*

```

imports SafeHeap ../JVMSAFE/BinOP
begin

```

5.1 Sizes Table

This gives statically inferred information about the maximum number of heap cells, of heap regions, and of stack words needed by the compiled program.

```

types ncell = nat
       sizeRegions = nat
       sizeStackS = nat

```

```

types SizesTable = ncell  $\times$  sizeRegions  $\times$  sizeStackS

```

5.2 Stack

```

types
  CodeLabel = nat
  Continuation = Region  $\times$  CodeLabel

```

```

datatype StackObject = Val Val | Reg Region | Cont Continuation

```

The SVM stack may contain normal form values, region arguments for functions or constructors, and continuations. A continuation (k_0, p) contains a jump p to a code sequence and an adjustment k_0 for the heap watermark k_0 of the SVM state.

```

types
  Stack = StackObject list
  StackOffset = nat

```

5.3 Code Store and SafeImp program

— Items are the components of environments and closures

```

datatype Item = ItemConst Val
              | ItemVar StackOffset
              | ItemRegSelf

```

— The SVM instruction repertory

```

datatype SafeInstr = DECREGION
                  | POPCONT
                  | PUSHCONT CodeLabel
                  | COPY
                  | REUSE
                  | CALL CodeLabel
                  | PRIMOP PrimOp
                  | MATCH StackOffset (CodeLabel list)

```

```

| MATCHD StackOffset (CodeLabel list)
| MATCHN StackOffset nat nat (CodeLabel list)
| BUILDENV (Item list)
| BUILDCLS Constructor (Item list) Item
| SLIDE nat nat

```

```

fun pushcont :: SafeInstr => bool
where
  pushcont (PUSHCONT p) = True
| pushcont -           = False

```

```

fun popcont :: SafeInstr => bool
where
  popcont POPCONT = True
| popcont -       = False

```

A Safe program, when translated into SafeImp, produces four components (1) a map from labels to pairs consisting of a code sequence and a function name. It is given as a list in order to be able to ‘traverse’ the map; (2) a map from function names to pairs consisting of a label and a list of labels. The first points to the starting sequence of the function and the second collects, for each function body, the code labels corresponding to continuations. The map is also given as a list; (3) the code label of the main expression; and (4) a constructor table collecting the properties of all the constructors.

```

types
  CodeSequence = SafeInstr list
  SVMCode      = (CodeLabel × CodeSequence × FunName) list
  ContinuationMap = (FunName × CodeLabel × CodeLabel list) list
  CodeStore      = SVMCode × ContinuationMap
  SafeImpProg    = CodeStore × CodeLabel × ConstructorTableType × SizesTable

```

5.4 Runtime State

```

types
  PC = CodeLabel × nat
  SVMState = Heap × Region × PC × Stack

```

```

consts
  incrPC :: PC => PC

```

```

primrec
  incrPC (l,i) = (l,i+1)

```

This is the correspondence between primitive operators in CoreSafe and SafeImp.

```

constdefs

```

```

primops :: string → PrimOp

primops ≡ map-of [( "+", Add),
                  ("-", Subtract),
                  ("*", Times),
                  ("% ", Divide),
                  ("<", LessThan),
                  ("<=", LessEqual),
                  ("==", Equal),
                  (">", GreaterThan),
                  (">=", GreaterEqual)
                ]

— Define primitive operations
consts
  execOp :: [PrimOp, Val, Val] => Val

primrec
  execOp Equal b1 b2 = BoolT (the-IntT(b1) = the-IntT(b2))
  execOp NotEqual b1 b2 = BoolT (the-IntT(b1) ≠ the-IntT(b2))
  execOp GreaterEqual b1 b2 = BoolT (the-IntT(b1) ≥ the-IntT(b2))
  execOp GreaterThan b1 b2 = BoolT (the-IntT(b1) > the-IntT(b2))
  execOp LessThan b1 b2 = BoolT (the-IntT(b1) < the-IntT(b2))
  execOp LessEqual b1 b2 = BoolT (the-IntT(b1) ≤ the-IntT(b2))

  execOp Add b1 b2 = IntT (the-IntT(b1) + the-IntT(b2))
  execOp Subtract b1 b2 = IntT (the-IntT(b1) - the-IntT(b2))
  execOp Times b1 b2 = IntT (the-IntT(b1) * the-IntT(b2))
  execOp Divide b1 b2 = IntT (the-IntT(b1) div the-IntT(b2))

end

```

6 Definitions of Upper Bounds and Least Upper Bounds

```

theory Lubs
imports Main
begin

```

Thanks to suggestions by James Margetson

```

definition
  settle :: ['a set, 'a::ord] => bool (infixl *≤ 70) where
    S *≤ x = (ALL y: S. y ≤ x)

```

```

definition
  setge :: ['a::ord, 'a set] => bool (infixl ≤* 70) where

```

$x \leq^* S = (ALL\ y: S. x \leq y)$

definition

$leastP \quad :: ['a \Rightarrow bool, 'a::ord] \Rightarrow bool$ **where**
 $leastP\ P\ x = (P\ x \ \&\ x \leq^* Collect\ P)$

definition

$isUb \quad :: ['a\ set, 'a\ set, 'a::ord] \Rightarrow bool$ **where**
 $isUb\ R\ S\ x = (S\ * \leq\ x \ \&\ x: R)$

definition

$isLub \quad :: ['a\ set, 'a\ set, 'a::ord] \Rightarrow bool$ **where**
 $isLub\ R\ S\ x = leastP\ (isUb\ R\ S)\ x$

definition

$ubs \quad :: ['a\ set, 'a::ord\ set] \Rightarrow 'a\ set$ **where**
 $ubs\ R\ S = Collect\ (isUb\ R\ S)$

6.1 Rules for the Relations $* \leq$ and $\leq^*$

lemma *settleI*: $ALL\ y: S. y \leq x \Rightarrow S * \leq x$
by (*simp add: settle-def*)

lemma *settleD*: $[| S * \leq x; y: S |] \Rightarrow y \leq x$
by (*simp add: settle-def*)

lemma *setgeI*: $ALL\ y: S. x \leq y \Rightarrow x \leq^* S$
by (*simp add: setge-def*)

lemma *setgeD*: $[| x \leq^* S; y: S |] \Rightarrow x \leq y$
by (*simp add: setge-def*)

6.2 Rules about the Operators *leastP*, *ub* and *lub*

lemma *leastPD1*: $leastP\ P\ x \Rightarrow P\ x$
by (*simp add: leastP-def*)

lemma *leastPD2*: $leastP\ P\ x \Rightarrow x \leq^* Collect\ P$
by (*simp add: leastP-def*)

lemma *leastPD3*: $[| leastP\ P\ x; y: Collect\ P |] \Rightarrow x \leq y$
by (*blast dest!: leastPD2 setgeD*)

lemma *isLubD1*: $isLub\ R\ S\ x \Rightarrow S * \leq x$
by (*simp add: isLub-def isUb-def leastP-def*)

lemma *isLubD1a*: $isLub\ R\ S\ x \Rightarrow x: R$
by (*simp add: isLub-def isUb-def leastP-def*)

lemma *isLub-isUb*: $isLub\ R\ S\ x \Rightarrow isUb\ R\ S\ x$

```

apply (simp add: isUb-def)
apply (blast dest: isLubD1 isLubD1a)
done

lemma isLubD2:  $[[ \text{isLub } R \ S \ x; y : S ] \implies y \leq x$ 
by (blast dest!: isLubD1 settleD)

lemma isLubD3:  $\text{isLub } R \ S \ x \implies \text{leastP}(\text{isUb } R \ S) \ x$ 
by (simp add: isLub-def)

lemma isLubI1:  $\text{leastP}(\text{isUb } R \ S) \ x \implies \text{isLub } R \ S \ x$ 
by (simp add: isLub-def)

lemma isLubI2:  $[[ \text{isUb } R \ S \ x; x \leq * \text{Collect } (\text{isUb } R \ S) ] \implies \text{isLub } R \ S \ x$ 
by (simp add: isLub-def leastP-def)

lemma isUbD:  $[[ \text{isUb } R \ S \ x; y : S ] \implies y \leq x$ 
by (simp add: isUb-def settle-def)

lemma isUbD2:  $\text{isUb } R \ S \ x \implies S \ * \leq x$ 
by (simp add: isUb-def)

lemma isUbD2a:  $\text{isUb } R \ S \ x \implies x : R$ 
by (simp add: isUb-def)

lemma isUbI:  $[[ S \ * \leq x; x : R ] \implies \text{isUb } R \ S \ x$ 
by (simp add: isUb-def)

lemma isLub-le-isUb:  $[[ \text{isLub } R \ S \ x; \text{isUb } R \ S \ y ] \implies x \leq y$ 
apply (simp add: isLub-def)
apply (blast intro!: leastPD3)
done

lemma isLub-ubs:  $\text{isLub } R \ S \ x \implies x \leq * \text{ubs } R \ S$ 
apply (simp add: ubs-def isLub-def)
apply (erule leastPD2)
done

end

```

7 The Greatest Common Divisor

```

theory GCD
imports Main
begin

```

See [?].

7.1 Specification of GCD on nats

definition

$is_gcd :: nat \Rightarrow nat \Rightarrow nat \Rightarrow bool$ **where** — gcd as a relation
 $is_gcd\ p\ m\ n \iff p\ dvd\ m \wedge p\ dvd\ n \wedge$
 $(\forall d. d\ dvd\ m \longrightarrow d\ dvd\ n \longrightarrow d\ dvd\ p)$

Uniqueness

lemma is_gcd_unique : $is_gcd\ m\ a\ b \implies is_gcd\ n\ a\ b \implies m = n$
by ($simp\ add$: is_gcd_def) ($blast\ intro$: dvd_anti_sym)

Connection to divides relation

lemma is_gcd_dvd : $is_gcd\ m\ a\ b \implies k\ dvd\ a \implies k\ dvd\ b \implies k\ dvd\ m$
by ($auto\ simp\ add$: is_gcd_def)

Commutativity

lemma $is_gcd_commute$: $is_gcd\ k\ m\ n = is_gcd\ k\ n\ m$
by ($auto\ simp\ add$: is_gcd_def)

7.2 GCD on nat by Euclid's algorithm

fun

$gcd :: nat \times nat \Rightarrow nat$

where

$gcd\ (m, n) = (if\ n = 0\ then\ m\ else\ gcd\ (n, m\ mod\ n))$

lemma gcd_induct :

fixes $m\ n :: nat$

assumes $\bigwedge m. P\ m\ 0$

and $\bigwedge m\ n. 0 < n \implies P\ n\ (m\ mod\ n) \implies P\ m\ n$

shows $P\ m\ n$

apply ($rule\ gcd_induct\ [of\ split\ P\ (m, n),\ unfolded\ Product_Type.split]$)

apply ($case_tac\ n = 0$)

apply $simp_all$

using $assms$ **apply** $simp_all$

done

lemma gcd_0 [$simp$]: $gcd\ (m, 0) = m$
by $simp$

lemma gcd_0_left [$simp$]: $gcd\ (0, m) = m$
by $simp$

lemma gcd_non_0 : $n > 0 \implies gcd\ (m, n) = gcd\ (n, m\ mod\ n)$
by $simp$

lemma gcd_1 [$simp$]: $gcd\ (m, Suc\ 0) = 1$
by $simp$

declare *gcd.simps* [*simp del*]

gcd (*m*, *n*) divides *m* and *n*. The conjunctions don't seem provable separately.

lemma *gcd-dvd1* [*iff*]: *gcd* (*m*, *n*) *dvd* *m*
and *gcd-dvd2* [*iff*]: *gcd* (*m*, *n*) *dvd* *n*
apply (*induct* *m n* *rule*: *gcd-induct*)
apply (*simp-all* *add*: *gcd-non-0*)
apply (*blast* *dest*: *dvd-mod-imp-dvd*)
done

Maximality: for all *m*, *n*, *k* naturals, if *k* divides *m* and *k* divides *n* then *k* divides *gcd* (*m*, *n*).

lemma *gcd-greatest*: *k dvd m* $\implies$ *k dvd n* $\implies$ *k dvd gcd* (*m*, *n*)
by (*induct* *m n* *rule*: *gcd-induct*) (*simp-all* *add*: *gcd-non-0 dvd-mod*)

Function *gcd* yields the Greatest Common Divisor.

lemma *is-gcd*: *is-gcd* (*gcd* (*m*, *n*)) *m n*
by (*simp* *add*: *is-gcd-def gcd-greatest*)

7.3 Derived laws for GCD

lemma *gcd-greatest-iff* [*iff*]: *k dvd gcd* (*m*, *n*) $\longleftrightarrow$ *k dvd m* $\wedge$ *k dvd n*
by (*blast* *intro!*: *gcd-greatest intro*: *dvd-trans*)

lemma *gcd-zero*: *gcd* (*m*, *n*) = 0 $\longleftrightarrow$ *m* = 0 $\wedge$ *n* = 0
by (*simp* *only*: *dvd-0-left-iff* [*symmetric*] *gcd-greatest-iff*)

lemma *gcd-commute*: *gcd* (*m*, *n*) = *gcd* (*n*, *m*)
apply (*rule* *is-gcd-unique*)
apply (*rule* *is-gcd*)
apply (*subst* *is-gcd-commute*)
apply (*simp* *add*: *is-gcd*)
done

lemma *gcd-assoc*: *gcd* (*gcd* (*k*, *m*), *n*) = *gcd* (*k*, *gcd* (*m*, *n*))
apply (*rule* *is-gcd-unique*)
apply (*rule* *is-gcd*)
apply (*simp* *add*: *is-gcd-def*)
apply (*blast* *intro*: *dvd-trans*)
done

lemma *gcd-1-left* [*simp*]: *gcd* (*Suc* 0, *m*) = 1
by (*simp* *add*: *gcd-commute*)

Multiplication laws

lemma *gcd-mult-distrib2*: *k* * *gcd* (*m*, *n*) = *gcd* (*k* * *m*, *k* * *n*)

```

— [?, page 27]
apply (induct m n rule: gcd-induct)
apply simp
apply (case-tac k = 0)
apply (simp-all add: mod-geq gcd-non-0 mod-mult-distrib2)
done

lemma gcd-mult [simp]: gcd (k, k * n) = k
apply (rule gcd-mult-distrib2 [of k 1 n, simplified, symmetric])
done

lemma gcd-self [simp]: gcd (k, k) = k
apply (rule gcd-mult [of k 1, simplified])
done

lemma relprime-dvd-mult: gcd (k, n) = 1 ==> k dvd m * n ==> k dvd m
apply (insert gcd-mult-distrib2 [of m k n])
apply simp
apply (erule-tac t = m in ssubst)
apply simp
done

lemma relprime-dvd-mult-iff: gcd (k, n) = 1 ==> (k dvd m * n) = (k dvd m)
apply (blast intro: relprime-dvd-mult dvd-trans)
done

lemma gcd-mult-cancel: gcd (k, n) = 1 ==> gcd (k * m, n) = gcd (m, n)
apply (rule dvd-anti-sym)
apply (rule gcd-greatest)
apply (rule-tac n = k in relprime-dvd-mult)
apply (simp add: gcd-assoc)
apply (simp add: gcd-commute)
apply (simp-all add: mult-commute)
apply (blast intro: dvd-trans)
done

Addition laws

lemma gcd-add1 [simp]: gcd (m + n, n) = gcd (m, n)
apply (case-tac n = 0)
apply (simp-all add: gcd-non-0)
done

lemma gcd-add2 [simp]: gcd (m, m + n) = gcd (m, n)
proof —
have gcd (m, m + n) = gcd (m + n, m) by (rule gcd-commute)
also have ... = gcd (n + m, m) by (simp add: add-commute)
also have ... = gcd (n, m) by simp
also have ... = gcd (m, n) by (rule gcd-commute)
finally show ?thesis .

```

qed

```
lemma gcd-add2' [simp]: gcd (m, n + m) = gcd (m, n)
  apply (subst add-commute)
  apply (rule gcd-add2)
  done
```

```
lemma gcd-add-mult: gcd (m, k * m + n) = gcd (m, n)
  by (induct k) (simp-all add: add-assoc)
```

```
lemma gcd-dvd-prod: gcd (m, n) dvd m * n
  using mult-dvd-mono [of 1] by auto
```

Division by gcd yields relatively primes.

```
lemma div-gcd-relprime:
  assumes nz: a ≠ 0 ∨ b ≠ 0
  shows gcd (a div gcd(a,b), b div gcd(a,b)) = 1
proof -
  let ?g = gcd (a, b)
  let ?a' = a div ?g
  let ?b' = b div ?g
  let ?g' = gcd (?a', ?b')
  have dvdg: ?g dvd a ?g dvd b by simp-all
  have dvdg': ?g' dvd ?a' ?g' dvd ?b' by simp-all
  from dvdg dvdg' obtain ka kb ka' kb' where
    kab: a = ?g * ka b = ?g * kb ?a' = ?g' * ka' ?b' = ?g' * kb'
  unfolding dvd-def by blast
  then have ?g * ?a' = (?g * ?g') * ka' ?g * ?b' = (?g * ?g') * kb' by simp-all
  then have dvdgg': ?g * ?g' dvd a ?g * ?g' dvd b
  by (auto simp add: dvd-mult-div-cancel [OF dvdg(1)]
    dvd-mult-div-cancel [OF dvdg(2)] dvd-def)
  have ?g ≠ 0 using nz by (simp add: gcd-zero)
  then have gp: ?g > 0 by simp
  from gcd-greatest [OF dvdgg'] have ?g * ?g' dvd ?g .
  with dvd-mult-cancel1 [OF gp] show ?g' = 1 by simp
qed
```

7.4 LCM defined by GCD

definition

$lcm :: nat \times nat \Rightarrow nat$

where

$lcm = (\lambda(m, n). m * n \text{ div } gcd (m, n))$

lemma lcm-def:

$lcm (m, n) = m * n \text{ div } gcd (m, n)$
unfolding lcm-def by simp

lemma prod-gcd-lcm:

```

 $m * n = \text{gcd } (m, n) * \text{lcm } (m, n)$ 
unfolding lcm-def by (simp add: dvd-mult-div-cancel [OF gcd-dvd-prod])

lemma lcm-0 [simp]:  $\text{lcm } (m, 0) = 0$ 
unfolding lcm-def by simp

lemma lcm-1 [simp]:  $\text{lcm } (m, 1) = m$ 
unfolding lcm-def by simp

lemma lcm-0-left [simp]:  $\text{lcm } (0, n) = 0$ 
unfolding lcm-def by simp

lemma lcm-1-left [simp]:  $\text{lcm } (1, m) = m$ 
unfolding lcm-def by simp

lemma dvd-pos:
  fixes  $n\ m :: \text{nat}$ 
  assumes  $n > 0$  and  $m\ \text{dvd}\ n$ 
  shows  $m > 0$ 
using assms by (cases m) auto

lemma lcm-least:
  assumes  $m\ \text{dvd}\ k$  and  $n\ \text{dvd}\ k$ 
  shows  $\text{lcm } (m, n)\ \text{dvd}\ k$ 
proof (cases k)
  case 0 then show ?thesis by auto
next
  case (Suc -) then have pos-k:  $k > 0$  by auto
  from assms dvd-pos [OF this] have pos-mn:  $m > 0\ n > 0$  by auto
  with gcd-zero [of m n] have pos-gcd:  $\text{gcd } (m, n) > 0$  by simp
  from assms obtain  $p$  where k-m:  $k = m * p$  using dvd-def by blast
  from assms obtain  $q$  where k-n:  $k = n * q$  using dvd-def by blast
  from pos-k k-m have pos-p:  $p > 0$  by auto
  from pos-k k-n have pos-q:  $q > 0$  by auto
  have  $k * k * \text{gcd } (q, p) = k * \text{gcd } (k * q, k * p)$ 
    by (simp add: mult-ac gcd-mult-distrib2)
  also have  $\dots = k * \text{gcd } (m * p * q, n * q * p)$ 
    by (simp add: k-m [symmetric] k-n [symmetric])
  also have  $\dots = k * p * q * \text{gcd } (m, n)$ 
    by (simp add: mult-ac gcd-mult-distrib2)
  finally have  $(m * p) * (n * q) * \text{gcd } (q, p) = k * p * q * \text{gcd } (m, n)$ 
    by (simp only: k-m [symmetric] k-n [symmetric])
  then have  $p * q * m * n * \text{gcd } (q, p) = p * q * k * \text{gcd } (m, n)$ 
    by (simp add: mult-ac)
  with pos-p pos-q have  $m * n * \text{gcd } (q, p) = k * \text{gcd } (m, n)$ 
    by simp
  with prod-gcd-lcm [of m n]
  have  $\text{lcm } (m, n) * \text{gcd } (q, p) * \text{gcd } (m, n) = k * \text{gcd } (m, n)$ 
    by (simp add: mult-ac)

```

```

    with pos-gcd have lcm (m, n) * gcd (q, p) = k by simp
    then show ?thesis using dvd-def by auto
qed

```

```

lemma lcm-dvd1 [iff]:
  m dvd lcm (m, n)
proof (cases m)
  case 0 then show ?thesis by simp
next
  case (Suc -)
  then have mpos: m > 0 by simp
  show ?thesis
  proof (cases n)
    case 0 then show ?thesis by simp
  next
    case (Suc -)
    then have npos: n > 0 by simp
    have gcd (m, n) dvd n by simp
    then obtain k where n = gcd (m, n) * k using dvd-def by auto
    then have m * n div gcd (m, n) = m * (gcd (m, n) * k) div gcd (m, n) by
      (simp add: mult-ac)
    also have ... = m * k using mpos npos gcd-zero by simp
    finally show ?thesis by (simp add: lcm-def)
  qed
qed

```

```

lemma lcm-dvd2 [iff]:
  n dvd lcm (m, n)
proof (cases n)
  case 0 then show ?thesis by simp
next
  case (Suc -)
  then have npos: n > 0 by simp
  show ?thesis
  proof (cases m)
    case 0 then show ?thesis by simp
  next
    case (Suc -)
    then have mpos: m > 0 by simp
    have gcd (m, n) dvd m by simp
    then obtain k where m = gcd (m, n) * k using dvd-def by auto
    then have m * n div gcd (m, n) = (gcd (m, n) * k) * n div gcd (m, n) by
      (simp add: mult-ac)
    also have ... = n * k using mpos npos gcd-zero by simp
    finally show ?thesis by (simp add: lcm-def)
  qed
qed

```

7.5 GCD and LCM on integers

definition

$igcd :: int \Rightarrow int \Rightarrow int$ **where**
 $igcd\ i\ j = int\ (gcd\ (nat\ (abs\ i),\ nat\ (abs\ j)))$

lemma *igcd-dvd1* [simp]: $igcd\ i\ j\ dvd\ i$
by (simp add: igcd-def int-dvd-iff)

lemma *igcd-dvd2* [simp]: $igcd\ i\ j\ dvd\ j$
by (simp add: igcd-def int-dvd-iff)

lemma *igcd-pos*: $igcd\ i\ j \geq 0$
by (simp add: igcd-def)

lemma *igcd0* [simp]: $(igcd\ i\ j = 0) = (i = 0 \wedge j = 0)$
by (simp add: igcd-def gcd-zero) arith

lemma *igcd-commute*: $igcd\ i\ j = igcd\ j\ i$
unfolding igcd-def **by** (simp add: gcd-commute)

lemma *igcd-neg1* [simp]: $igcd\ (-\ i)\ j = igcd\ i\ j$
unfolding igcd-def **by** simp

lemma *igcd-neg2* [simp]: $igcd\ i\ (-\ j) = igcd\ i\ j$
unfolding igcd-def **by** simp

lemma *zrelprime-dvd-mult*: $igcd\ i\ j = 1 \implies i\ dvd\ k * j \implies i\ dvd\ k$
unfolding igcd-def

proof –

assume $int\ (gcd\ (nat\ |i|,\ nat\ |j|)) = 1$ $i\ dvd\ k * j$
then have $g: gcd\ (nat\ |i|,\ nat\ |j|) = 1$ **by** simp
from $\langle i\ dvd\ k * j \rangle$ **obtain** h **where** $h: k*j = i*h$ **unfolding** dvd-def **by** blast
have $th: nat\ |i|\ dvd\ nat\ |k| * nat\ |j|$
unfolding dvd-def

by (rule-tac $x = nat\ |h|$ **in** exI , simp add: $h\ nat-abs-mult-distrib\ [symmetric]$)
from *relprime-dvd-mult* [OF $g\ th$] **obtain** h' **where** $h': nat\ |k| = nat\ |i| * h'$
unfolding dvd-def **by** blast

from h' **have** $int\ (nat\ |k|) = int\ (nat\ |i| * h')$ **by** simp
then have $|k| = |i| * int\ h'$ **by** (simp add: int-mult)

then show ?thesis

apply (subst *zdvd-abs1* [symmetric])
apply (subst *zdvd-abs2* [symmetric])
apply (unfold dvd-def)
apply (rule-tac $x = int\ h'$ **in** exI , simp)
done

qed

lemma *int-nat-abs*: $int\ (nat\ (abs\ x)) = abs\ x$ **by** arith

lemma *igcd-greatest*:
 assumes $k \text{ dvd } m$ and $k \text{ dvd } n$
 shows $k \text{ dvd igcd } m \ n$
proof –
 let $?k' = \text{nat } |k|$
 let $?m' = \text{nat } |m|$
 let $?n' = \text{nat } |n|$
 from $\langle k \text{ dvd } m \rangle$ and $\langle k \text{ dvd } n \rangle$ have $\text{dvd}' : ?k' \text{ dvd } ?m' \ ?k' \text{ dvd } ?n'$
 unfolding *zdvd-int* by (*simp-all only: int-nat-abs zdvd-abs1 zdvd-abs2*)
 from *gcd-greatest* [*OF dvd'*] have $\text{int } (\text{nat } |k|) \text{ dvd igcd } m \ n$
 unfolding *igcd-def* by (*simp only: zdvd-int*)
 then have $|k| \text{ dvd igcd } m \ n$ by (*simp only: int-nat-abs*)
 then show $k \text{ dvd igcd } m \ n$ by (*simp add: zdvd-abs1*)
qed

lemma *div-igcd-relprime*:
 assumes $\text{nz} : a \neq 0 \vee b \neq 0$
 shows $\text{igcd } (a \text{ div } (\text{igcd } a \ b)) \ (b \text{ div } (\text{igcd } a \ b)) = 1$
proof –
 from nz have $\text{nz}' : \text{nat } |a| \neq 0 \vee \text{nat } |b| \neq 0$ by *arith*
 let $?g = \text{igcd } a \ b$
 let $?a' = a \text{ div } ?g$
 let $?b' = b \text{ div } ?g$
 let $?g' = \text{igcd } ?a' \ ?b'$
 have $\text{dvdg} : ?g \text{ dvd } a \ ?g \text{ dvd } b$ by (*simp-all add: igcd-dvd1 igcd-dvd2*)
 have $\text{dvdg}' : ?g' \text{ dvd } ?a' \ ?g' \text{ dvd } ?b'$ by (*simp-all add: igcd-dvd1 igcd-dvd2*)
 from $\text{dvdg} \ \text{dvdg}'$ obtain $ka \ kb \ ka' \ kb'$ where
 $kab : a = ?g * ka \ b = ?g * kb \ ?a' = ?g' * ka' \ ?b' = ?g' * kb'$
 unfolding *dvd-def* by *blast*
 then have $?g * ?a' = (?g * ?g') * ka' \ ?g * ?b' = (?g * ?g') * kb'$ by *simp-all*
 then have $\text{dvdgg}' : ?g * ?g' \text{ dvd } a \ ?g * ?g' \text{ dvd } b$
 by (*auto simp add: zdvd-mult-div-cancel [OF dvdg(1)]*)
 $\text{zdvd-mult-div-cancel [OF dvdg(2)] dvd-def}$
 have $?g \neq 0$ using nz by *simp*
 then have $gp : ?g \neq 0$ using *igcd-pos* [*where i=a and j=b*] by *arith*
 from *igcd-greatest* [*OF dvdgg'*] have $?g * ?g' \text{ dvd } ?g$.
 with *zdvd-mult-cancel1* [*OF gp*] have $|?g'| = 1$ by *simp*
 with *igcd-pos* show $?g' = 1$ by *simp*
qed

definition $\text{ilcm} = (\lambda i \ j. \text{int } (\text{lcm}(\text{nat}(\text{abs } i), \text{nat}(\text{abs } j))))$

lemma *dvd-ilcm-self1* [*simp*]: $i \text{ dvd ilcm } i \ j$
 by (*simp add: ilcm-def dvd-int-iff*)

lemma *dvd-ilcm-self2* [*simp*]: $j \text{ dvd ilcm } i \ j$
 by (*simp add: ilcm-def dvd-int-iff*)

```

lemma dvd-imp-dvd-ilcm1:
  assumes  $k \text{ dvd } i$  shows  $k \text{ dvd } (\text{ilcm } i \ j)$ 
proof -
  have  $\text{nat}(\text{abs } k) \text{ dvd } \text{nat}(\text{abs } i)$  using  $\langle k \text{ dvd } i \rangle$ 
  by(simp add:int-dvd-iff[symmetric] dvd-int-iff[symmetric] zdvd-abs1)
  thus ?thesis by(simp add:ilcm-def dvd-int-iff)(blast intro: dvd-trans)
qed

```

```

lemma dvd-imp-dvd-ilcm2:
  assumes  $k \text{ dvd } j$  shows  $k \text{ dvd } (\text{ilcm } i \ j)$ 
proof -
  have  $\text{nat}(\text{abs } k) \text{ dvd } \text{nat}(\text{abs } j)$  using  $\langle k \text{ dvd } j \rangle$ 
  by(simp add:int-dvd-iff[symmetric] dvd-int-iff[symmetric] zdvd-abs1)
  thus ?thesis by(simp add:ilcm-def dvd-int-iff)(blast intro: dvd-trans)
qed

```

```

lemma zdvd-self-abs1:  $(d::\text{int}) \text{ dvd } (\text{abs } d)$ 
by (case-tac  $d < 0$ , simp-all)

```

```

lemma zdvd-self-abs2:  $(\text{abs } (d::\text{int})) \text{ dvd } d$ 
by (case-tac  $d < 0$ , simp-all)

```

```

lemma lcm-pos:
  assumes  $mpos: m > 0$ 
  and  $npos: n > 0$ 
  shows  $\text{lcm } (m,n) > 0$ 
proof(rule ccontr, simp add: lcm-def gcd-zero)
  assume  $h:m*n \text{ div } \text{gcd}(m,n) = 0$ 
  from  $mpos \ npos$  have  $\text{gcd } (m,n) \neq 0$  using gcd-zero by simp
  hence  $\text{gcdp: gcd}(m,n) > 0$  by simp
  with  $h$ 
  have  $m*n < \text{gcd}(m,n)$ 
  by (cases  $m * n < \text{gcd } (m, n)$ ) (auto simp add: div-if[OF gcdp, where  $m=m*n$ ])
  moreover
  have  $\text{gcd}(m,n) \text{ dvd } m$  by simp
  with  $mpos \text{ dvd-imp-le}$  have  $t1:\text{gcd}(m,n) \leq m$  by simp
  with  $npos$  have  $t1:\text{gcd}(m,n)*n \leq m*n$  by simp
  have  $\text{gcd}(m,n) \leq \text{gcd}(m,n)*n$  using  $npos$  by simp
  with  $t1$  have  $\text{gcd}(m,n) \leq m*n$  by arith
  ultimately show False by simp
qed

```

```

lemma ilcm-pos:
  assumes  $anz: a \neq 0$ 
  and  $bnz: b \neq 0$ 
  shows  $0 < \text{ilcm } a \ b$ 

```

```

proof –
  let ?na = nat (abs a)
  let ?nb = nat (abs b)
  have nap: ?na > 0 using anz by simp
  have nbp: ?nb > 0 using bnz by simp
  have 0 < lcm (?na, ?nb) by (rule lcm-pos[OF nap nbp])
  thus ?thesis by (simp add: ilcm-def)
qed

end

```

8 Abstract rational numbers

```

theory Abstract-Rat
imports GCD
begin

```

```

types Num = int × int

```

abbreviation

```

  Num0-syn :: Num (0N)
where 0N ≡ (0, 0)

```

abbreviation

```

  Numi-syn :: int ⇒ Num (-N)
where iN ≡ (i, 1)

```

definition

```

  isnormNum :: Num ⇒ bool
where
  isnormNum = (λ(a,b). (if a = 0 then b = 0 else b > 0 ∧ igcd a b = 1))

```

definition

```

  normNum :: Num ⇒ Num
where
  normNum = (λ(a,b). (if a=0 ∨ b = 0 then (0,0) else
    (let g = igcd a b
     in if b > 0 then (a div g, b div g) else (– (a div g), – (b div g))))))

```

lemma normNum-isnormNum [simp]: isnormNum (normNum x)

proof –

```

  have ∃ a b. x = (a,b) by auto
  then obtain a b where x[simp]: x = (a,b) by blast
  {assume a=0 ∨ b = 0 hence ?thesis by (simp add: normNum-def isnormNum-def)}

```

moreover

```

  {assume anz: a ≠ 0 and bnz: b ≠ 0
   let ?g = igcd a b

```

```

let ?a' = a div ?g
let ?b' = b div ?g
let ?g' = igcd ?a' ?b'
from anz bnz have ?g ≠ 0 by simp with igcd-pos[of a b]
have gpos: ?g > 0 by arith
have gdvd: ?g dvd a ?g dvd b by (simp-all add: igcd-dvd1 igcd-dvd2)
from zdvd-mult-div-cancel[OF gdvd(1)] zdvd-mult-div-cancel[OF gdvd(2)]
anz bnz
have nz': ?a' ≠ 0 ?b' ≠ 0
  by - (rule notI, simp add: igcd-def) +
from anz bnz have stupid: a ≠ 0 ∨ b ≠ 0 by blast
from div-igcd-relprime[OF stupid] have gp1: ?g' = 1 .
from bnz have b < 0 ∨ b > 0 by arith
moreover
{assume b: b > 0
  from pos-imp-zdiv-nonneg-iff[OF gpos] b
  have ?b' ≥ 0 by simp
  with nz' have b': ?b' > 0 by simp
  from b b' anz bnz nz' gp1 have ?thesis
    by (simp add: isnormNum-def normNum-def Let-def split-def fst-conv
snd-conv)}
moreover {assume b: b < 0
  {assume b': ?b' ≥ 0
    from gpos have th: ?g ≥ 0 by arith
    from mult-nonneg-nonneg[OF th b'] zdvd-mult-div-cancel[OF gdvd(2)]
    have False using b by simp }
  hence b': ?b' < 0 by (presburger add: linorder-not-le[symmetric])
  from anz bnz nz' b b' gp1 have ?thesis
    by (simp add: isnormNum-def normNum-def Let-def split-def fst-conv
snd-conv)}
ultimately have ?thesis by blast
}
ultimately show ?thesis by blast
qed

```

Arithmetic over Num

definition

$Nadd :: Num \Rightarrow Num \Rightarrow Num$ (**infixl** $+_N$ 60)

where

$Nadd = (\lambda(a,b) (a',b'). \text{ if } a = 0 \vee b = 0 \text{ then } normNum(a',b') \\ \text{ else if } a'=0 \vee b' = 0 \text{ then } normNum(a,b) \\ \text{ else } normNum(a*b' + b*a', b*b'))$

definition

$Nmul :: Num \Rightarrow Num \Rightarrow Num$ (**infixl** $*_N$ 60)

where

$Nmul = (\lambda(a,b) (a',b'). \text{ let } g = igcd(a*a') (b*b') \\ \text{ in } (a*a' \text{ div } g, b*b' \text{ div } g))$

definition

$Nneg :: Num \Rightarrow Num (\sim_N)$

where

$Nneg \equiv (\lambda(a,b). (-a,b))$

definition

$Nsub :: Num \Rightarrow Num \Rightarrow Num (\mathbf{infixl} \ -_N \ 60)$

where

$Nsub = (\lambda a \ b. a +_N \sim_N \ b)$

definition

$Ninv :: Num \Rightarrow Num$

where

$Ninv \equiv \lambda(a,b). \text{ if } a < 0 \text{ then } (-b, |a|) \text{ else } (b,a)$

definition

$Ndiv :: Num \Rightarrow Num \Rightarrow Num (\mathbf{infixl} \ \div_N \ 60)$

where

$Ndiv \equiv \lambda a \ b. a *_N \ Ninv \ b$

lemma $Nneg\text{-}normN[simp]: isnormNum \ x \Longrightarrow isnormNum (\sim_N \ x)$

by ($simp \ add: isnormNum\text{-}def \ Nneg\text{-}def \ split\text{-}def$)

lemma $Nadd\text{-}normN[simp]: isnormNum \ (x +_N \ y)$

by ($simp \ add: Nadd\text{-}def \ split\text{-}def$)

lemma $Nsub\text{-}normN[simp]: \llbracket isnormNum \ y \rrbracket \Longrightarrow isnormNum \ (x -_N \ y)$

by ($simp \ add: Nsub\text{-}def \ split\text{-}def$)

lemma $Nmul\text{-}normN[simp]: \text{ assumes } xn:isnormNum \ x \text{ and } yn: isnormNum \ y$
shows $isnormNum \ (x *_N \ y)$

proof–

have $\exists a \ b. x = (a,b)$ **and** $\exists a' \ b'. y = (a',b')$ **by** *auto*

then obtain $a \ b \ a' \ b'$ **where** $ab: x = (a,b)$ **and** $ab': y = (a',b')$ **by** *blast*

{assume $a = 0$

hence *?thesis* **using** $xn \ ab \ ab'$

by ($simp \ add: igcd\text{-}def \ isnormNum\text{-}def \ Let\text{-}def \ Nmul\text{-}def \ split\text{-}def$)}

moreover

{assume $a' = 0$

hence *?thesis* **using** $yn \ ab \ ab'$

by ($simp \ add: igcd\text{-}def \ isnormNum\text{-}def \ Let\text{-}def \ Nmul\text{-}def \ split\text{-}def$)}

moreover

{assume $a: a \neq 0$ **and** $a': a' \neq 0$

hence $bp: b > 0 \ b' > 0$ **using** $xn \ yn \ ab \ ab'$ **by** ($simp\text{-}all \ add: isnormNum\text{-}def$)

from $mult\text{-}pos\text{-}pos[OF \ bp]$ **have** $x *_N \ y = normNum \ (a*a', b*b')$

using $ab \ ab' \ a \ a' \ bp$ **by** ($simp \ add: Nmul\text{-}def \ Let\text{-}def \ split\text{-}def \ normNum\text{-}def$)

hence *?thesis* **by** *simp*}

ultimately show *?thesis* **by** *blast*

qed

lemma $Ninv\text{-}normN[simp]: isnormNum \ x \Longrightarrow isnormNum \ (Ninv \ x)$

by ($simp \ add: Ninv\text{-}def \ isnormNum\text{-}def \ split\text{-}def$)

(cases fst $x = 0$, auto simp add: igcd-commute)

lemma *isnormNum-int[simp]*:

isnormNum 0_N *isnormNum* $(1::\text{int})_N$ $i \neq 0 \implies \text{isnormNum } i_N$

by (simp-all add: isnormNum-def igcd-def)

Relations over Num

definition

Nlt0 :: Num $\Rightarrow$ bool ($0 >_N$)

where

Nlt0 = ($\lambda(a,b). a < 0$)

definition

Nle0 :: Num $\Rightarrow$ bool ($0 \geq_N$)

where

Nle0 = ($\lambda(a,b). a \leq 0$)

definition

Nglt0 :: Num $\Rightarrow$ bool ($0 <_N$)

where

Nglt0 = ($\lambda(a,b). a > 0$)

definition

Nge0 :: Num $\Rightarrow$ bool ($0 \leq_N$)

where

Nge0 = ($\lambda(a,b). a \geq 0$)

definition

Nlt :: Num $\Rightarrow$ Num $\Rightarrow$ bool (**infix** $<_N$ 55)

where

Nlt = ($\lambda a b. 0 >_N (a -_N b)$)

definition

Nle :: Num $\Rightarrow$ Num $\Rightarrow$ bool (**infix** $\leq_N$ 55)

where

Nle = ($\lambda a b. 0 \geq_N (a -_N b)$)

definition

INum = ($\lambda(a,b). \text{of-int } a / \text{of-int } b$)

lemma *INum-int [simp]*: *INum* $i_N = ((\text{of-int } i) :: 'a::\text{field})$ *INum* $0_N = (0 :: 'a::\text{field})$

by (simp-all add: INum-def)

lemma *isnormNum-unique[simp]*:

assumes *na*: *isnormNum* x **and** *nb*: *isnormNum* y

shows ($(\text{INum } x :: 'a::\{\text{ring-char-0,field, division-by-zero}\}) = \text{INum } y = (x = y)$) (is ?lhs = ?rhs)

proof

have $\exists a b a' b'. x = (a,b) \wedge y = (a',b')$ **by** auto

```

then obtain  $a\ b\ a'\ b'$  where  $xy[simp]: x = (a,b)\ y=(a',b')$  by blast
assume  $H: ?lhs$ 
{assume  $a = 0 \vee b = 0 \vee a' = 0 \vee b' = 0$  hence  $?rhs$ 
  using  $na\ nb\ H$ 
  apply (simp add: INum-def split-def isnormNum-def)
  apply (cases  $a = 0$ , simp-all)
  apply (cases  $b = 0$ , simp-all)
  apply (cases  $a' = 0$ , simp-all)
  apply (cases  $a' = 0$ , simp-all add: of-int-eq-0-iff)
  done}
moreover
{ assume  $az: a \neq 0$  and  $bz: b \neq 0$  and  $a'z: a' \neq 0$  and  $b'z: b' \neq 0$ 
  from  $az\ bz\ a'z\ b'z\ na\ nb$  have  $pos: b > 0\ b' > 0$  by (simp-all add: isnormNum-def)
  from  $prems$  have  $eq: a * b' = a' * b$ 
  by (simp add: INum-def eq-divide-eq divide-eq-eq of-int-mult[symmetric] del:
of-int-mult)
  from  $prems$  have  $gcd1: igcd\ a\ b = 1\ igcd\ b\ a = 1\ igcd\ a'\ b' = 1\ igcd\ b'\ a' =$ 
1
  by (simp-all add: isnormNum-def add: igcd-commute)
  from  $eq$  have  $raw-dvd: a\ dvd\ a' * b\ b\ dvd\ b' * a\ a'\ dvd\ a * b'\ b'\ dvd\ b * a'$ 
  apply (unfold dvd-def)
  apply (rule-tac  $x=b'$  in  $exI$ , simp add: mult-ac)
  apply (rule-tac  $x=a'$  in  $exI$ , simp add: mult-ac)
  apply (rule-tac  $x=b$  in  $exI$ , simp add: mult-ac)
  apply (rule-tac  $x=a$  in  $exI$ , simp add: mult-ac)
  done
  from  $zdvd-dvd-eq[OF\ bz\ zrelprime-dvd-mult[OF\ gcd1(2)\ raw-dvd(2)]$ 
 $zrelprime-dvd-mult[OF\ gcd1(4)\ raw-dvd(4)]]$ 
  have  $eq1: b = b'$  using  $pos$  by simp-all
  with  $eq$  have  $a = a'$  using  $pos$  by simp
  with  $eq1$  have  $?rhs$  by simp}
ultimately show  $?rhs$  by blast
next
  assume  $?rhs$  thus  $?lhs$  by simp
qed

```

```

lemma isnormNum0[simp]: isnormNum  $x \implies (INum\ x = (0::'a::\{ring-char-0,$ 
 $field, division-by-zero\})) = (x = 0_N)$ 
  unfolding INum-int(2)[symmetric]
  by (rule isnormNum-unique, simp-all)

```

```

lemma of-int-div-aux:  $d \sim 0 \implies ((of-int\ x)::'a::\{field, ring-char-0\}) / (of-int\ d) =$ 
 $of-int\ (x\ div\ d) + (of-int\ (x\ mod\ d)) / ((of-int\ d)::'a)$ 
proof -
  assume  $d \sim 0$ 
  hence  $dz: of-int\ d \neq (0::'a)$  by (simp add: of-int-eq-0-iff)
  let  $?t = of-int\ (x\ div\ d) * ((of-int\ d)::'a) + of-int(x\ mod\ d)$ 

```

```

let ?f = λx. x / of-int d
have x = (x div d) * d + x mod d
  by auto
then have eq: of-int x = ?t
  by (simp only: of-int-mult[symmetric] of-int-add [symmetric])
then have of-int x / of-int d = ?t / of-int d
  using cong[OF refl[of ?f] eq] by simp
then show ?thesis by (simp add: add-divide-distrib ring-simps prems)
qed

```

```

lemma of-int-div: (d::int) ~ = 0 ==> d dvd n ==>
  (of-int(n div d)::'a::{field, ring-char-0}) = of-int n / of-int d
apply (frule of-int-div-aux [of d n, where ?'a = 'a])
apply simp
apply (simp add: zdvd-iff-zmod-eq-0)
done

```

```

lemma normNum[simp]: INum (normNum x) = (INum x :: 'a::{ring-char-0,field,
division-by-zero})
proof -
  have ∃ a b. x = (a,b) by auto
  then obtain a b where x[simp]: x = (a,b) by blast
  {assume a=0 ∨ b = 0 hence ?thesis
   by (simp add: INum-def normNum-def split-def Let-def)}
  moreover
  {assume a: a≠0 and b: b≠0
   let ?g = igcd a b
   from a b have g: ?g ≠ 0 by simp
   from of-int-div[OF g, where ?'a = 'a]
   have ?thesis by (auto simp add: INum-def normNum-def split-def Let-def)}
  ultimately show ?thesis by blast
qed

```

```

lemma INum-normNum-iff [code]: (INum x :: 'a::{field, division-by-zero, ring-char-0})
= INum y ⟷ normNum x = normNum y (is ?lhs = ?rhs)
proof -
  have normNum x = normNum y ⟷ (INum (normNum x) :: 'a) = INum
(normNum y)
  by (simp del: normNum)
  also have ... = ?lhs by simp
  finally show ?thesis by simp
qed

```

```

lemma Nadd[simp]: INum (x +N y) = INum x + (INum y :: 'a :: {ring-char-0,division-by-zero,field})
proof -
let ?z = 0:: 'a
  have ∃ a b. x = (a,b) ∃ a' b'. y = (a',b') by auto
  then obtain a b a' b' where x[simp]: x = (a,b)

```

and $y[simp]: y = (a', b')$ by *blast*
 {assume $a=0 \vee a'=0 \vee b=0 \vee b'=0$ hence *?thesis*
 apply (cases $a=0, simp-all$ add: *Nadd-def*)
 apply (cases $b=0, simp-all$ add: *INum-def*)
 apply (cases $a'=0, simp-all$)
 apply (cases $b'=0, simp-all$)
 done }
 moreover
 {assume $aa': a \neq 0 \ a' \neq 0$ and $bb': b \neq 0 \ b' \neq 0$
 {assume $z: a * b' + b * a' = 0$
 hence $of-int (a*b' + b*a') / (of-int b * of-int b') = ?z$ by *simp*
 hence $of-int b' * of-int a / (of-int b * of-int b') + of-int b * of-int a' / (of-int$
 $b * of-int b') = ?z$ by (*simp* add: *add-divide-distrib*)
 hence *th*: $of-int a / of-int b + of-int a' / of-int b' = ?z$ using $bb' aa'$ by
simp
 from $z aa' bb'$ have *?thesis*
 by (*simp* add: *th Nadd-def normNum-def INum-def split-def*)}
 moreover {assume $z: a * b' + b * a' \neq 0$
 let $?g = igcd (a * b' + b * a') (b*b')$
 have $gz: ?g \neq 0$ using z by *simp*
 have *?thesis* using $aa' bb' z gz$
 $of-int-div[where ?'a = 'a,$
 $OF gz igcd-dvd1[where i=a * b' + b * a' and j=b*b']]$
 $of-int-div[where ?'a = 'a,$
 $OF gz igcd-dvd2[where i=a * b' + b * a' and j=b*b']]$
 by (*simp* add: $x y$ *Nadd-def INum-def normNum-def Let-def add-divide-distrib*)}
 ultimately have *?thesis* using $aa' bb'$
 by (*simp* add: *Nadd-def INum-def normNum-def x y Let-def*) }
 ultimately show *?thesis* by *blast*
 qed

lemma *Nmul[simp]*: $INum (x *_N y) = INum x * (INum y :: 'a :: \{ring-char-0, division-by-zero, field\})$

proof—

let $?z = 0 :: 'a$
 have $\exists a b. x = (a, b) \ \exists a' b'. y = (a', b')$ by *auto*
 then obtain $a b a' b'$ where $x: x = (a, b)$ and $y: y = (a', b')$ by *blast*
 {assume $a=0 \vee a'=0 \vee b=0 \vee b'=0$ hence *?thesis*
 apply (cases $a=0, simp-all$ add: $x y$ *Nmul-def INum-def Let-def*)
 apply (cases $b=0, simp-all$)
 apply (cases $a'=0, simp-all$)
 done }
 moreover
 {assume $z: a \neq 0 \ a' \neq 0 \ b \neq 0 \ b' \neq 0$
 let $?g = igcd (a*a') (b*b')$
 have $gz: ?g \neq 0$ using z by *simp*
 from z of-int-div[where $?'a = 'a$, $OF gz igcd-dvd1[where i=a*a' and j=b*b']$
 $of-int-div[where ?'a = 'a$, $OF gz igcd-dvd2[where i=a*a' and j=b*b']$

have $?thesis$ **by** (*simp add: Nmul-def x y Let-def INum-def*)
ultimately show $?thesis$ **by** *blast*
qed

lemma *Nneg[simp]*: $INum (\sim_N x) = - (INum x :: 'a :: field)$
by (*simp add: Nneg-def split-def INum-def*)

lemma *Nsub[simp]*: **shows** $INum (x -_N y) = INum x - (INum y :: 'a :: \{ring-char-0, division-by-zero, field\})$
by (*simp add: Nsub-def split-def*)

lemma *Ninv[simp]*: $INum (Ninv x) = (1 :: 'a :: \{division-by-zero, field\}) / (INum x)$
by (*simp add: Ninv-def INum-def split-def*)

lemma *Ndiv[simp]*: $INum (x \div_N y) = INum x / (INum y :: 'a :: \{ring-char-0, division-by-zero, field\})$ **by** (*simp add: Ndiv-def*)

lemma *Nlt0-iff[simp]*: **assumes** $nx: isnormNum\ x$
shows $((INum\ x :: 'a :: \{ring-char-0, division-by-zero, ordered-field\}) < 0) = 0 >_N x$

proof–

have $\exists\ a\ b.\ x = (a, b)$ **by** *simp*
then obtain $a\ b$ **where** $x[simp]: x = (a, b)$ **by** *blast*
{assume $a = 0$ **hence** $?thesis$ **by** (*simp add: Nlt0-def INum-def*) **}**
moreover
{assume $a \neq 0$ **hence** $b: (of-int\ b :: 'a) > 0$ **using** nx **by** (*simp add: isnormNum-def*)
from *pos-divide-less-eq[OF b, where b=of-int a and a=0::'a]*
have $?thesis$ **by** (*simp add: Nlt0-def INum-def*)
ultimately show $?thesis$ **by** *blast*

qed

lemma *Nle0-iff[simp]*: **assumes** $nx: isnormNum\ x$
shows $((INum\ x :: 'a :: \{ring-char-0, division-by-zero, ordered-field\}) \leq 0) = 0 \geq_N x$

x

proof–

have $\exists\ a\ b.\ x = (a, b)$ **by** *simp*
then obtain $a\ b$ **where** $x[simp]: x = (a, b)$ **by** *blast*
{assume $a = 0$ **hence** $?thesis$ **by** (*simp add: Nle0-def INum-def*) **}**
moreover
{assume $a \neq 0$ **hence** $b: (of-int\ b :: 'a) > 0$ **using** nx **by** (*simp add: isnormNum-def*)
from *pos-divide-le-eq[OF b, where b=of-int a and a=0::'a]*
have $?thesis$ **by** (*simp add: Nle0-def INum-def*)
ultimately show $?thesis$ **by** *blast*

qed

lemma *Nglt0-iff[simp]*: **assumes** $nx: isnormNum\ x$ **shows** $((INum\ x :: 'a :: \{ring-char-0, division-by-zero, ordered-field\}) < 0) = 0 <_N x$

proof–

have $\exists\ a\ b.\ x = (a, b)$ **by** *simp*

then obtain $a \ b$ where $x[simp]:x = (a,b)$ by *blast*
 {assume $a = 0$ hence $?thesis$ by (simp add: *Ngt0-def INum-def*) }
 moreover
 {assume $a: a \neq 0$ hence $b: (of-int b::'a) > 0$ using nx by (simp add: *isnormNum-def*)
 from *pos-less-divide-eq*[*OF* b , where $b=of-int \ a$ and $a=0::'a$]
 have $?thesis$ by (simp add: *Ngt0-def INum-def*)}
 ultimately show $?thesis$ by *blast*
 qed
 lemma *Nge0-iff*[simp]: assumes $nx: isnormNum \ x$
 shows $((INum \ x :: 'a :: \{\text{ring-char-0, division-by-zero, ordered-field}\}) \geq 0) = 0 \leq_N$
 x
 proof –
 have $\exists \ a \ b. \ x = (a,b)$ by *simp*
 then obtain $a \ b$ where $x[simp]:x = (a,b)$ by *blast*
 {assume $a = 0$ hence $?thesis$ by (simp add: *Nge0-def INum-def*) }
 moreover
 {assume $a: a \neq 0$ hence $b: (of-int b::'a) > 0$ using nx by (simp add: *isnormNum-def*)
 from *pos-le-divide-eq*[*OF* b , where $b=of-int \ a$ and $a=0::'a$]
 have $?thesis$ by (simp add: *Nge0-def INum-def*)}
 ultimately show $?thesis$ by *blast*
 qed
 lemma *Nlt-iff*[simp]: assumes $nx: isnormNum \ x$ and $ny: isnormNum \ y$
 shows $((INum \ x :: 'a :: \{\text{ring-char-0, division-by-zero, ordered-field}\}) < INum \ y)$
 $= (x <_N \ y)$
 proof –
 let $?z = 0::'a$
 have $((INum \ x :: 'a) < INum \ y) = (INum \ (x -_N \ y) < ?z)$ using $nx \ ny$ by *simp*
 also have $\dots = (0 >_N \ (x -_N \ y))$ using *Nlt0-iff*[*OF* *Nsub-normN*[*OF* ny]] by *simp*
 finally show $?thesis$ by (simp add: *Nlt-def*)
 qed
 lemma *Nle-iff*[simp]: assumes $nx: isnormNum \ x$ and $ny: isnormNum \ y$
 shows $((INum \ x :: 'a :: \{\text{ring-char-0, division-by-zero, ordered-field}\}) \leq INum \ y)$
 $= (x \leq_N \ y)$
 proof –
 have $((INum \ x :: 'a) \leq INum \ y) = (INum \ (x -_N \ y) \leq (0::'a))$ using $nx \ ny$ by *simp*
 also have $\dots = (0 \geq_N \ (x -_N \ y))$ using *Nle0-iff*[*OF* *Nsub-normN*[*OF* ny]] by *simp*
 finally show $?thesis$ by (simp add: *Nle-def*)
 qed
 lemma *Nadd-commute*: $x +_N \ y = y +_N \ x$
 proof –
 have $n: isnormNum \ (x +_N \ y) \ isnormNum \ (y +_N \ x)$ by *simp-all*
 have $(INum \ (x +_N \ y)::'a :: \{\text{ring-char-0, division-by-zero, field}\}) = INum \ (y +_N \ x)$ by *simp*

with *isnormNum-unique*[*OF n*] **show** *?thesis* **by** *simp*
qed

lemma[*simp*]: $(0, b) +_N y = \text{normNum } y \ (a, 0) +_N y = \text{normNum } y$
 $x +_N (0, b) = \text{normNum } x \ x +_N (a, 0) = \text{normNum } x$
apply (*simp add: Nadd-def split-def, simp add: Nadd-def split-def*)
apply (*subst Nadd-commute, simp add: Nadd-def split-def*)
apply (*subst Nadd-commute, simp add: Nadd-def split-def*)
done

lemma *normNum-nilpotent-aux*[*simp*]: **assumes** *nx: isnormNum x*
shows $\text{normNum } x = x$
proof–
let *?a = normNum x*
have *n: isnormNum ?a* **by** *simp*
have *th: INum ?a = (INum x :: 'a :: {ring-char-0, division-by-zero, field})* **by** *simp*
with *isnormNum-unique*[*OF n nx*]
show *?thesis* **by** *simp*
qed

lemma *normNum-nilpotent*[*simp*]: $\text{normNum } (\text{normNum } x) = \text{normNum } x$
by *simp*

lemma *normNum0*[*simp*]: $\text{normNum } (0, b) = 0_N \ \text{normNum } (a, 0) = 0_N$
by (*simp-all add: normNum-def*)

lemma *normNum-Nadd*: $\text{normNum } (x +_N y) = x +_N y$ **by** *simp*

lemma *Nadd-normNum1*[*simp*]: $\text{normNum } x +_N y = x +_N y$

proof–
have *n: isnormNum (normNum x +_N y) isnormNum (x +_N y)* **by** *simp-all*
have *INum (normNum x +_N y) = INum x + (INum y :: 'a :: {ring-char-0, division-by-zero, field})* **by** *simp*
also have $\dots = \text{INum } (x +_N y)$ **by** *simp*
finally show *?thesis* **using** *isnormNum-unique*[*OF n*] **by** *simp*

qed

lemma *Nadd-normNum2*[*simp*]: $x +_N \text{normNum } y = x +_N y$

proof–
have *n: isnormNum (x +_N normNum y) isnormNum (x +_N y)* **by** *simp-all*
have *INum (x +_N normNum y) = INum x + (INum y :: 'a :: {ring-char-0, division-by-zero, field})* **by** *simp*
also have $\dots = \text{INum } (x +_N y)$ **by** *simp*
finally show *?thesis* **using** *isnormNum-unique*[*OF n*] **by** *simp*

qed

lemma *Nadd-assoc*: $x +_N y +_N z = x +_N (y +_N z)$

proof–
have *n: isnormNum (x +_N y +_N z) isnormNum (x +_N (y +_N z))* **by** *simp-all*
have *INum (x +_N y +_N z) = (INum (x +_N (y +_N z)) :: 'a :: {ring-char-0, division-by-zero, field})* **by** *simp*
with *isnormNum-unique*[*OF n*] **show** *?thesis* **by** *simp*
qed

lemma *Nmul-commute*: $isnormNum\ x \implies isnormNum\ y \implies x *_N y = y *_N x$
by (*simp add: Nmul-def split-def Let-def igcd-commute mult-commute*)

lemma *Nmul-assoc*: **assumes** $nx: isnormNum\ x$ **and** $ny: isnormNum\ y$ **and** $nz: isnormNum\ z$
shows $x *_N y *_N z = x *_N (y *_N z)$
proof–
from $nx\ ny\ nz$ **have** $n: isnormNum\ (x *_N y *_N z)\ isnormNum\ (x *_N (y *_N z))$
by *simp-all*
have $INum\ (x +_N y +_N z) = (INum\ (x +_N (y +_N z))) :: 'a :: \{ring-char-0, division-by-zero, field\}$ **by** *simp*
with *isnormNum-unique[OF n]* **show** *?thesis* **by** *simp*
qed

lemma *Nsub0*: **assumes** $x: isnormNum\ x$ **and** $y: isnormNum\ y$ **shows** $(x -_N y = 0_N) = (x = y)$
proof–
{fix $h :: 'a :: \{ring-char-0, division-by-zero, ordered-field\}$
from *isnormNum-unique[where ?'a = 'a, OF Nsub-normN[OF y], where y=0_N]*
have $(x -_N y = 0_N) = (INum\ (x -_N y) = (INum\ 0_N :: 'a))$ **by** *simp*
also have $\dots = (INum\ x = (INum\ y :: 'a))$ **by** *simp*
also have $\dots = (x = y)$ **using** $x\ y$ **by** *simp*
finally show *?thesis .}*
qed

lemma *Nmul0[simp]*: $c *_N 0_N = 0_N\ 0_N *_N c = 0_N$
by (*simp-all add: Nmul-def Let-def split-def*)

lemma *Nmul-eq0[simp]*: **assumes** $nx: isnormNum\ x$ **and** $ny: isnormNum\ y$
shows $(x *_N y = 0_N) = (x = 0_N \vee y = 0_N)$
proof–
{fix $h :: 'a :: \{ring-char-0, division-by-zero, ordered-field\}$
have $\exists\ a\ b\ a'\ b'.\ x = (a, b) \wedge y = (a', b')$ **by** *auto*
then obtain $a\ b\ a'\ b'$ **where** $xy[simp]: x = (a, b)\ y = (a', b')$ **by** *blast*
have $n0: isnormNum\ 0_N$ **by** *simp*
show *?thesis* **using** $nx\ ny$
apply (*simp only: isnormNum-unique[where ?'a = 'a, OF Nmul-normN[OF nx ny] n0, symmetric] Nmul[where ?'a = 'a]*)
apply (*simp add: INum-def split-def isnormNum-def fst-conv snd-conv*)
apply (*cases a=0, simp-all*)
apply (*cases a'=0, simp-all*)
done }
qed

lemma *Nneg-Nneg[simp]*: $\sim_N (\sim_N c) = c$
by (*simp add: Nneg-def split-def*)

```

lemma Nmul1 [simp]:
  isnormNum c  $\implies 1_N *_N c = c$ 
  isnormNum c  $\implies c *_N 1_N = c$ 
  apply (simp-all add: Nmul-def Let-def split-def isnormNum-def)
  by (cases fst c = 0, simp-all, cases c, simp-all) +

end

```

9 Rational numbers

```

theory Rational
imports Abstract-Rat
uses (rat-arith.ML)
begin

```

9.1 Rational numbers

9.1.1 Equivalence of fractions

```

definition
  fraction :: (int  $\times$  int) set where
    fraction = {x. snd x  $\neq$  0}

```

```

definition
  ratrel :: ((int  $\times$  int)  $\times$  (int  $\times$  int)) set where
    ratrel = {(x, y). snd x  $\neq$  0  $\wedge$  snd y  $\neq$  0  $\wedge$  fst x * snd y = fst y * snd x}

```

```

lemma fraction-iff [simp]: (x  $\in$  fraction) = (snd x  $\neq$  0)
by (simp add: fraction-def)

```

```

lemma ratrel-iff [simp]:
  ((x, y)  $\in$  ratrel) =
    (snd x  $\neq$  0  $\wedge$  snd y  $\neq$  0  $\wedge$  fst x * snd y = fst y * snd x)
by (simp add: ratrel-def)

```

```

lemma refl-ratrel: refl fraction ratrel
by (auto simp add: refl-def fraction-def ratrel-def)

```

```

lemma sym-ratrel: sym ratrel
by (simp add: ratrel-def sym-def)

```

```

lemma trans-ratrel-lemma:
  assumes 1: a * b' = a' * b
  assumes 2: a' * b'' = a'' * b'
  assumes 3: b'  $\neq$  (0::int)
  shows a * b'' = a'' * b
proof –
  have b' * (a * b'') = b'' * (a * b') by simp
  also note 1

```

also have $b'' * (a' * b) = b * (a' * b'')$ **by** *simp*
also note 2
also have $b * (a'' * b') = b' * (a'' * b)$ **by** *simp*
finally have $b' * (a * b'') = b' * (a'' * b)$.
with 3 **show** $a * b'' = a'' * b$ **by** *simp*
qed

lemma *trans-ratrel*: *trans ratrel*
by (*auto simp add: trans-def elim: trans-ratrel-lemma*)

lemma *equiv-ratrel*: *equiv fraction ratrel*
by (*rule equiv.intro [OF refl-ratrel sym-ratrel trans-ratrel]*)

lemmas *equiv-ratrel-iff [iff] = eq-equiv-class-iff [OF equiv-ratrel]*

lemma *equiv-ratrel-iff2*:
 $\llbracket \text{snd } x \neq 0; \text{snd } y \neq 0 \rrbracket$
 $\implies (\text{ratrel} \text{ `` } \{x\} = \text{ratrel} \text{ `` } \{y\}) = ((x,y) \in \text{ratrel})$
by (*rule eq-equiv-class-iff [OF equiv-ratrel], simp-all*)

9.1.2 The type of rational numbers

typedef (*Rat*) *rat* = *fraction // ratrel*
proof
have $(0,1) \in \text{fraction}$ **by** (*simp add: fraction-def*)
thus $\text{ratrel} \text{ `` } \{(0,1)\} \in \text{fraction // ratrel}$ **by** (*rule quotientI*)
qed

lemma *ratrel-in-Rat [simp]*: $\text{snd } x \neq 0 \implies \text{ratrel} \text{ `` } \{x\} \in \text{Rat}$
by (*simp add: Rat-def quotientI*)

declare *Abs-Rat-inject [simp]* *Abs-Rat-inverse [simp]*

definition

$\text{Fract} :: \text{int} \Rightarrow \text{int} \Rightarrow \text{rat}$ **where**
 $[\text{code func del}]: \text{Fract } a \ b = \text{Abs-Rat } (\text{ratrel} \text{ `` } \{(a,b)\})$

lemma *Fract-zero*:
 $\text{Fract } k \ 0 = \text{Fract } l \ 0$
by (*simp add: Fract-def ratrel-def*)

theorem *Rat-cases [case-names Fract, cases type: rat]*:
 $(!!a \ b. \ q = \text{Fract } a \ b \implies b \neq 0 \implies C) \implies C$
by (*cases q (clarsimp simp add: Fract-def Rat-def fraction-def quotient-def)*)

theorem *Rat-induct [case-names Fract, induct type: rat]*:
 $(!!a \ b. \ b \neq 0 \implies P (\text{Fract } a \ b)) \implies P \ q$
by (*cases q simp*)

9.1.3 Congruence lemmas

lemma *add-congruent2*:

$(\lambda x y. \text{ratrel}''\{(fst\ x * snd\ y + fst\ y * snd\ x, snd\ x * snd\ y)\})$
respects2 ratrel

apply (*rule equiv-ratrel [THEN congruent2-commuteI]*)

apply (*simp-all add: left-distrib*)

done

lemma *minus-congruent*:

$(\lambda x. \text{ratrel}''\{(-\ fst\ x, snd\ x)\})$ *respects ratrel*

by (*simp add: congruent-def*)

lemma *mult-congruent2*:

$(\lambda x y. \text{ratrel}''\{(fst\ x * fst\ y, snd\ x * snd\ y)\})$ *respects2 ratrel*

by (*rule equiv-ratrel [THEN congruent2-commuteI], simp-all*)

lemma *inverse-congruent*:

$(\lambda x. \text{ratrel}''\{\text{if } fst\ x = 0 \text{ then } (0,1) \text{ else } (snd\ x, fst\ x)\})$ *respects ratrel*

by (*auto simp add: congruent-def mult-commute*)

lemma *le-congruent2*:

$(\lambda x y. \{(fst\ x * snd\ y) * (snd\ x * snd\ y) \leq (fst\ y * snd\ x) * (snd\ x * snd\ y)\})$
respects2 ratrel

proof (*clarsimp simp add: congruent2-def*)

fix $a\ b\ a'\ b'\ c\ d\ c'\ d' :: int$

assume *neq*: $b \neq 0\ b' \neq 0\ d \neq 0\ d' \neq 0$

assume *eq1*: $a * b' = a' * b$

assume *eq2*: $c * d' = c' * d$

let *?le* = $\lambda a\ b\ c\ d. ((a * d) * (b * d) \leq (c * b) * (b * d))$

{

fix $a\ b\ c\ d\ x :: int$ **assume** *x*: $x \neq 0$

have *?le* $a\ b\ c\ d = ?le\ (a * x)\ (b * x)\ c\ d$

proof $-$

from *x* **have** $0 < x * x$ **by** (*auto simp add: zero-less-mult-iff*)

hence *?le* $a\ b\ c\ d =$

$((a * d) * (b * d) * (x * x) \leq (c * b) * (b * d) * (x * x))$

by (*simp add: mult-le-cancel-right*)

also have $\dots = ?le\ (a * x)\ (b * x)\ c\ d$

by (*simp add: mult-ac*)

finally show *?thesis* .

qed

} note *le-factor = this*

let *?D* = $b * d$ **and** *?D'* = $b' * d'$

from *neq* **have** *D*: $?D \neq 0$ **by** *simp*

from *neq* **have** *?D'* $\neq 0$ **by** *simp*

hence *?le* $a\ b\ c\ d = ?le\ (a * ?D')\ (b * ?D')\ c\ d$

by (*rule le-factor*)

also have ... = $((a * b') * ?D * ?D' * d * d' \leq (c * d') * ?D * ?D' * b * b')$
by (*simp add: mult-ac*)
also have ... = $((a' * b) * ?D * ?D' * d * d' \leq (c' * d) * ?D * ?D' * b * b')$
by (*simp only: eq1 eq2*)
also have ... = $?le (a' * ?D) (b' * ?D) c' d'$
by (*simp add: mult-ac*)
also from *D* **have** ... = $?le a' b' c' d'$
by (*rule le-factor [symmetric]*)
finally show $?le a b c d = ?le a' b' c' d'$.
qed

lemmas *UN-ratrel* = *UN-equiv-class* [*OF equiv-ratrel*]
lemmas *UN-ratrel2* = *UN-equiv-class2* [*OF equiv-ratrel equiv-ratrel*]

9.1.4 Standard operations on rational numbers

instance *rat* :: *zero*

Zero-rat-def: $0 == \text{Fract } 0 \ 1 \ ..$

lemmas [*code func del*] = *Zero-rat-def*

instance *rat* :: *one*

One-rat-def: $1 == \text{Fract } 1 \ 1 \ ..$

lemmas [*code func del*] = *One-rat-def*

instance *rat* :: *plus*

add-rat-def:

$q + r ==$

Abs-Rat $(\bigcup x \in \text{Rep-Rat } q. \bigcup y \in \text{Rep-Rat } r.$

ratrel“ $\{(fst\ x * snd\ y + fst\ y * snd\ x, snd\ x * snd\ y)\}$)” ..

lemmas [*code func del*] = *add-rat-def*

instance *rat* :: *minus*

minus-rat-def:

$- q == \text{Abs-Rat } (\bigcup x \in \text{Rep-Rat } q. \text{ratrel}“\{(-\ fst\ x, snd\ x)\})$

diff-rat-def: $q - r == q + - (r::rat) \ ..$

lemmas [*code func del*] = *minus-rat-def diff-rat-def*

instance *rat* :: *times*

mult-rat-def:

$q * r ==$

Abs-Rat $(\bigcup x \in \text{Rep-Rat } q. \bigcup y \in \text{Rep-Rat } r.$

ratrel“ $\{(fst\ x * fst\ y, snd\ x * snd\ y)\}$)” ..

lemmas [*code func del*] = *mult-rat-def*

instance *rat* :: *inverse*

inverse-rat-def:

inverse $q ==$

Abs-Rat $(\bigcup x \in \text{Rep-Rat } q.$

ratrel“ $\{if\ fst\ x = 0\ then\ (0,1)\ else\ (snd\ x, fst\ x)\}$)”

$\text{divide-rat-def: } q / r == q * \text{inverse } (r::\text{rat}) \dots$
lemmas [code func del] = inverse-rat-def divide-rat-def

instance rat :: ord
 le-rat-def:
 $q \leq r == \text{contents } (\bigcup x \in \text{Rep-Rat } q. \bigcup y \in \text{Rep-Rat } r. \{(\text{fst } x * \text{snd } y) * (\text{snd } x * \text{snd } y) \leq (\text{fst } y * \text{snd } x) * (\text{snd } x * \text{snd } y)\})$
 less-rat-def: $(z < (w::\text{rat})) == (z \leq w \ \& \ z \neq w) \dots$
lemmas [code func del] = le-rat-def less-rat-def

instance rat :: abs
 abs-rat-def: $|q| == \text{if } q < 0 \text{ then } -q \text{ else } (q::\text{rat}) \dots$

instance rat :: sgn
 sgn-rat-def: $\text{sgn}(q::\text{rat}) == (\text{if } q=0 \text{ then } 0 \text{ else if } 0 < q \text{ then } 1 \text{ else } -1) \dots$

instance rat :: power ..

primrec (rat)
 rat-power-0: $q \wedge 0 = 1$
 rat-power-Suc: $q \wedge (\text{Suc } n) = (q::\text{rat}) * (q \wedge n)$

theorem eq-rat: $b \neq 0 ==> d \neq 0 ==>$
 $(\text{Fract } a \ b = \text{Fract } c \ d) = (a * d = c * b)$
by (simp add: Fract-def)

theorem add-rat: $b \neq 0 ==> d \neq 0 ==>$
 $\text{Fract } a \ b + \text{Fract } c \ d = \text{Fract } (a * d + c * b) \ (b * d)$
by (simp add: Fract-def add-rat-def add-congruent2 UN-ratrel2)

theorem minus-rat: $b \neq 0 ==> -(\text{Fract } a \ b) = \text{Fract } (-a) \ b$
by (simp add: Fract-def minus-rat-def minus-congruent UN-ratrel)

theorem diff-rat: $b \neq 0 ==> d \neq 0 ==>$
 $\text{Fract } a \ b - \text{Fract } c \ d = \text{Fract } (a * d - c * b) \ (b * d)$
by (simp add: diff-rat-def add-rat minus-rat)

theorem mult-rat: $b \neq 0 ==> d \neq 0 ==>$
 $\text{Fract } a \ b * \text{Fract } c \ d = \text{Fract } (a * c) \ (b * d)$
by (simp add: Fract-def mult-rat-def mult-congruent2 UN-ratrel2)

theorem inverse-rat: $a \neq 0 ==> b \neq 0 ==>$
 $\text{inverse } (\text{Fract } a \ b) = \text{Fract } b \ a$
by (simp add: Fract-def inverse-rat-def inverse-congruent UN-ratrel)

theorem divide-rat: $c \neq 0 ==> b \neq 0 ==> d \neq 0 ==>$
 $\text{Fract } a \ b / \text{Fract } c \ d = \text{Fract } (a * d) \ (b * c)$
by (simp add: divide-rat-def inverse-rat mult-rat)

theorem *le-rat*: $b \neq 0 \implies d \neq 0 \implies$
 $(\text{Fract } a \ b \leq \text{Fract } c \ d) = ((a * d) * (b * d) \leq (c * b) * (b * d))$
by (*simp add: Fract-def le-rat-def le-congruent2 UN-ratrel2*)

theorem *less-rat*: $b \neq 0 \implies d \neq 0 \implies$
 $(\text{Fract } a \ b < \text{Fract } c \ d) = ((a * d) * (b * d) < (c * b) * (b * d))$
by (*simp add: less-rat-def le-rat eq-rat order-less-le*)

theorem *abs-rat*: $b \neq 0 \implies |\text{Fract } a \ b| = \text{Fract } |a| \ |b|$
by (*simp add: abs-rat-def minus-rat Zero-rat-def less-rat eq-rat*)
(auto simp add: mult-less-0-iff zero-less-mult-iff order-le-less split: abs-split)

9.1.5 The ordered field of rational numbers

instance *rat* :: *field*

proof

fix $q \ r \ s :: \text{rat}$
show $(q + r) + s = q + (r + s)$
by (*induct q, induct r, induct s*)
(simp add: add-rat add-ac mult-ac int-distrib)
show $q + r = r + q$
by (*induct q, induct r*) (*simp add: add-rat add-ac mult-ac*)
show $0 + q = q$
by (*induct q*) (*simp add: Zero-rat-def add-rat*)
show $(-q) + q = 0$
by (*induct q*) (*simp add: Zero-rat-def minus-rat add-rat eq-rat*)
show $q - r = q + (-r)$
by (*induct q, induct r*) (*simp add: add-rat minus-rat diff-rat*)
show $(q * r) * s = q * (r * s)$
by (*induct q, induct r, induct s*) (*simp add: mult-rat mult-ac*)
show $q * r = r * q$
by (*induct q, induct r*) (*simp add: mult-rat mult-ac*)
show $1 * q = q$
by (*induct q*) (*simp add: One-rat-def mult-rat*)
show $(q + r) * s = q * s + r * s$
by (*induct q, induct r, induct s*)
(simp add: add-rat mult-rat eq-rat int-distrib)
show $q \neq 0 \implies \text{inverse } q * q = 1$
by (*induct q*) (*simp add: inverse-rat mult-rat One-rat-def Zero-rat-def eq-rat*)
show $q / r = q * \text{inverse } r$
by (*simp add: divide-rat-def*)
show $0 \neq (1 :: \text{rat})$
by (*simp add: Zero-rat-def One-rat-def eq-rat*)

qed

instance *rat* :: *linorder*

proof

fix $q \ r \ s :: \text{rat}$

```

{
  assume  $q \leq r$  and  $r \leq s$ 
  show  $q \leq s$ 
  proof (insert prems, induct q, induct r, induct s)
    fix  $a\ b\ c\ d\ e\ f :: \text{int}$ 
    assume  $\text{neg}: b \neq 0\ d \neq 0\ f \neq 0$ 
    assume 1:  $\text{Fract } a\ b \leq \text{Fract } c\ d$  and 2:  $\text{Fract } c\ d \leq \text{Fract } e\ f$ 
    show  $\text{Fract } a\ b \leq \text{Fract } e\ f$ 
    proof -
      from  $\text{neg}$  obtain  $bb: 0 < b * b$  and  $dd: 0 < d * d$  and  $ff: 0 < f * f$ 
      by (auto simp add: zero-less-mult-iff linorder-neg-iff)
      have  $(a * d) * (b * d) * (f * f) \leq (c * b) * (b * d) * (f * f)$ 
      proof -
        from  $\text{neg } 1$  have  $(a * d) * (b * d) \leq (c * b) * (b * d)$ 
        by (simp add: le-rat)
        with  $ff$  show ?thesis by (simp add: mult-le-cancel-right)
      qed
      also have  $\dots = (c * f) * (d * f) * (b * b)$ 
      by (simp only: mult-ac)
      also have  $\dots \leq (e * d) * (d * f) * (b * b)$ 
      proof -
        from  $\text{neg } 2$  have  $(c * f) * (d * f) \leq (e * d) * (d * f)$ 
        by (simp add: le-rat)
        with  $bb$  show ?thesis by (simp add: mult-le-cancel-right)
      qed
      finally have  $(a * f) * (b * f) * (d * d) \leq e * b * (b * f) * (d * d)$ 
      by (simp only: mult-ac)
      with  $dd$  have  $(a * f) * (b * f) \leq (e * b) * (b * f)$ 
      by (simp add: mult-le-cancel-right)
      with  $\text{neg}$  show ?thesis by (simp add: le-rat)
    qed
  qed
next
  assume  $q \leq r$  and  $r \leq q$ 
  show  $q = r$ 
  proof (insert prems, induct q, induct r)
    fix  $a\ b\ c\ d :: \text{int}$ 
    assume  $\text{neg}: b \neq 0\ d \neq 0$ 
    assume 1:  $\text{Fract } a\ b \leq \text{Fract } c\ d$  and 2:  $\text{Fract } c\ d \leq \text{Fract } a\ b$ 
    show  $\text{Fract } a\ b = \text{Fract } c\ d$ 
    proof -
      from  $\text{neg } 1$  have  $(a * d) * (b * d) \leq (c * b) * (b * d)$ 
      by (simp add: le-rat)
      also have  $\dots \leq (a * d) * (b * d)$ 
      proof -
        from  $\text{neg } 2$  have  $(c * b) * (d * b) \leq (a * d) * (d * b)$ 
        by (simp add: le-rat)
        thus ?thesis by (simp only: mult-ac)
      qed
    qed
  qed

```

```

    finally have  $(a * d) * (b * d) = (c * b) * (b * d)$  .
    moreover from neq have  $b * d \neq 0$  by simp
    ultimately have  $a * d = c * b$  by simp
    with neq show ?thesis by (simp add: eq-rat)
  qed
qed
next
  show  $q \leq q$ 
  by (induct q) (simp add: le-rat)
  show  $(q < r) = (q \leq r \wedge q \neq r)$ 
  by (simp only: less-rat-def)
  show  $q \leq r \vee r \leq q$ 
  by (induct q, induct r)
    (simp add: le-rat mult-commute, rule linorder-linear)
}
qed

instance rat :: distrib-lattice
  inf r s  $\equiv$  min r s
  sup r s  $\equiv$  max r s
  by default (auto simp add: min-max.sup-inf-distrib1 inf-rat-def sup-rat-def)

instance rat :: ordered-field
proof
  fix q r s :: rat
  show  $q \leq r \implies s + q \leq s + r$ 
  proof (induct q, induct r, induct s)
    fix a b c d e f :: int
    assume neq:  $b \neq 0 \ d \neq 0 \ f \neq 0$ 
    assume le:  $\text{Fract } a \ b \leq \text{Fract } c \ d$ 
    show  $\text{Fract } e \ f + \text{Fract } a \ b \leq \text{Fract } e \ f + \text{Fract } c \ d$ 
    proof -
      let ?F =  $f * f$  from neq have  $F: 0 < ?F$ 
      by (auto simp add: zero-less-mult-iff)
      from neq le have  $(a * d) * (b * d) \leq (c * b) * (b * d)$ 
      by (simp add: le-rat)
      with F have  $(a * d) * (b * d) * ?F * ?F \leq (c * b) * (b * d) * ?F * ?F$ 
      by (simp add: mult-le-cancel-right)
      with neq show ?thesis by (simp add: add-rat le-rat mult-ac int-distrib)
    qed
  qed
qed
  show  $q < r \implies 0 < s \implies s * q < s * r$ 
  proof (induct q, induct r, induct s)
    fix a b c d e f :: int
    assume neq:  $b \neq 0 \ d \neq 0 \ f \neq 0$ 
    assume le:  $\text{Fract } a \ b < \text{Fract } c \ d$ 
    assume gt:  $0 < \text{Fract } e \ f$ 
    show  $\text{Fract } e \ f * \text{Fract } a \ b < \text{Fract } e \ f * \text{Fract } c \ d$ 
    proof -

```

```

    let ?E = e * f and ?F = f * f
  from neg gt have 0 < ?E
    by (auto simp add: Zero-rat-def less-rat le-rat order-less-le eq-rat)
  moreover from neg have 0 < ?F
    by (auto simp add: zero-less-mult-iff)
  moreover from neg le have (a * d) * (b * d) < (c * b) * (b * d)
    by (simp add: less-rat)
  ultimately have (a * d) * (b * d) * ?E * ?F < (c * b) * (b * d) * ?E * ?F
    by (simp add: mult-less-cancel-right)
  with neg show ?thesis
    by (simp add: less-rat mult-rat mult-ac)
qed
qed
show |q| = (if q < 0 then -q else q)
  by (simp only: abs-rat-def)
qed (auto simp: sgn-rat-def)

```

```

instance rat :: division-by-zero
proof
  show inverse 0 = (0::rat)
    by (simp add: Zero-rat-def Fract-def inverse-rat-def
        inverse-congruent UN-ratrel)
qed

```

```

instance rat :: recpower
proof
  fix q :: rat
  fix n :: nat
  show q ^ 0 = 1 by simp
  show q ^ (Suc n) = q * (q ^ n) by simp
qed

```

9.2 Various Other Results

lemma *minus-rat-cancel* [simp]: $b \neq 0 \implies \text{Fract } (-a) (-b) = \text{Fract } a b$
 by (simp add: eq-rat)

theorem *Rat-induct-pos* [case-names *Fract*, induct type: *rat*]:
 assumes *step*: $\forall a b. 0 < b \implies P (\text{Fract } a b)$
 shows $P q$
proof (cases *q*)
 have *step'*: $\forall a b. b < 0 \implies P (\text{Fract } a b)$
proof –
 fix *a*::int and *b*::int
 assume *b*: $b < 0$
 hence $0 < -b$ by simp
 hence $P (\text{Fract } (-a) (-b))$ by (rule *step*)
 thus $P (\text{Fract } a b)$ by (simp add: order-less-imp-not-eq [OF *b*])
qed

```

    case (Fract a b)
    thus P q by (force simp add: linorder-neq-iff step step')
qed

```

```

lemma zero-less-Fract-iff:
  0 < b ==> (0 < Fract a b) = (0 < a)
by (simp add: Zero-rat-def less-rat order-less-imp-not-eq2 zero-less-mult-iff)

```

```

lemma Fract-add-one: n ≠ 0 ==> Fract (m + n) n = Fract m n + 1
apply (insert add-rat [of concl: m n 1 1])
apply (simp add: One-rat-def [symmetric])
done

```

```

lemma of-nat-rat: of-nat k = Fract (of-nat k) 1
by (induct k) (simp-all add: Zero-rat-def One-rat-def add-rat)

```

```

lemma of-int-rat: of-int k = Fract k 1
by (cases k rule: int-diff-cases, simp add: of-nat-rat diff-rat)

```

```

lemma Fract-of-nat-eq: Fract (of-nat k) 1 = of-nat k
by (rule of-nat-rat [symmetric])

```

```

lemma Fract-of-int-eq: Fract k 1 = of-int k
by (rule of-int-rat [symmetric])

```

```

lemma Fract-of-int-quotient: Fract k l = (if l = 0 then Fract 1 0 else of-int k /
of-int l)
by (auto simp add: Fract-zero Fract-of-int-eq [symmetric] divide-rat)

```

9.3 Numerals and Arithmetic

```

instance rat :: number
  rat-number-of-def: (number-of w :: rat) ≡ of-int w ..

```

```

instance rat :: number-ring
  by default (simp add: rat-number-of-def)

```

```

use rat-arith.ML
declaration << K rat-arith-setup >>

```

9.4 Embedding from Rationals to other Fields

```

class field-char-0 = field + ring-char-0

```

```

instance ordered-field < field-char-0 ..

```

```

definition
  of-rat :: rat ⇒ 'a::field-char-0
where
  [code func del]: of-rat q = contents (⋃(a,b) ∈ Rep-Rat q. {of-int a / of-int b})

```

lemma *of-rat-congruent*:
 $(\lambda(a, b). \{ \text{of-int } a / \text{of-int } b :: 'a :: \text{field-char-0} \})$ respects *ratrel*
apply (*rule congruent.intro*)
apply (*clarsimp simp add: nonzero-divide-eq-eq nonzero-eq-divide-eq*)
apply (*simp only: of-int-mult [symmetric]*)
done

lemma *of-rat-rat*:
 $b \neq 0 \implies \text{of-rat } (\text{Fract } a \ b) = \text{of-int } a / \text{of-int } b$
unfolding *Fract-def of-rat-def*
by (*simp add: UN-ratrel of-rat-congruent*)

lemma *of-rat-0 [simp]*: $\text{of-rat } 0 = 0$
by (*simp add: Zero-rat-def of-rat-rat*)

lemma *of-rat-1 [simp]*: $\text{of-rat } 1 = 1$
by (*simp add: One-rat-def of-rat-rat*)

lemma *of-rat-add*: $\text{of-rat } (a + b) = \text{of-rat } a + \text{of-rat } b$
by (*induct a, induct b, simp add: add-rat of-rat-rat add-frac-eq*)

lemma *of-rat-minus*: $\text{of-rat } (- a) = - \text{of-rat } a$
by (*induct a, simp add: minus-rat of-rat-rat*)

lemma *of-rat-diff*: $\text{of-rat } (a - b) = \text{of-rat } a - \text{of-rat } b$
by (*simp only: diff-minus of-rat-add of-rat-minus*)

lemma *of-rat-mult*: $\text{of-rat } (a * b) = \text{of-rat } a * \text{of-rat } b$
apply (*induct a, induct b, simp add: mult-rat of-rat-rat*)
apply (*simp add: divide-inverse nonzero-inverse-mult-distrib mult-ac*)
done

lemma *nonzero-of-rat-inverse*:
 $a \neq 0 \implies \text{of-rat } (\text{inverse } a) = \text{inverse } (\text{of-rat } a)$
apply (*rule inverse-unique [symmetric]*)
apply (*simp add: of-rat-mult [symmetric]*)
done

lemma *of-rat-inverse*:
 $(\text{of-rat } (\text{inverse } a)) :: 'a :: \{ \text{field-char-0}, \text{division-by-zero} \} =$
 $\text{inverse } (\text{of-rat } a)$
by (*cases a = 0, simp-all add: nonzero-of-rat-inverse*)

lemma *nonzero-of-rat-divide*:
 $b \neq 0 \implies \text{of-rat } (a / b) = \text{of-rat } a / \text{of-rat } b$
by (*simp add: divide-inverse of-rat-mult nonzero-of-rat-inverse*)

lemma *of-rat-divide*:

```

    (of-rat (a / b)::'a::{field-char-0,division-by-zero})
      = of-rat a / of-rat b
  by (cases b = 0, simp-all add: nonzero-of-rat-divide)

lemma of-rat-power:
  (of-rat (a ^ n)::'a::{field-char-0,recpower}) = of-rat a ^ n
  by (induct n) (simp-all add: of-rat-mult power-Suc)

lemma of-rat-eq-iff [simp]: (of-rat a = of-rat b) = (a = b)
  apply (induct a, induct b)
  apply (simp add: of-rat-rat eq-rat)
  apply (simp add: nonzero-divide-eq-eq nonzero-eq-divide-eq)
  apply (simp only: of-int-mult [symmetric] of-int-eq-iff)
  done

lemmas of-rat-eq-0-iff [simp] = of-rat-eq-iff [of - 0, simplified]

lemma of-rat-eq-id [simp]: of-rat = (id :: rat  $\Rightarrow$  rat)
proof
  fix a
  show of-rat a = id a
  by (induct a)
    (simp add: of-rat-rat divide-rat Fract-of-int-eq [symmetric])
qed

Collapse nested embeddings

lemma of-rat-of-nat-eq [simp]: of-rat (of-nat n) = of-nat n
  by (induct n) (simp-all add: of-rat-add)

lemma of-rat-of-int-eq [simp]: of-rat (of-int z) = of-int z
  by (cases z rule: int-diff-cases, simp add: of-rat-diff)

lemma of-rat-number-of-eq [simp]:
  of-rat (number-of w) = (number-of w :: 'a::{number-ring,field-char-0})
  by (simp add: number-of-eq)

lemmas zero-rat = Zero-rat-def
lemmas one-rat = One-rat-def

abbreviation
  rat-of-nat :: nat  $\Rightarrow$  rat
where
  rat-of-nat  $\equiv$  of-nat

abbreviation
  rat-of-int :: int  $\Rightarrow$  rat
where
  rat-of-int  $\equiv$  of-int

```

9.5 Implementation of rational numbers as pairs of integers

definition

Rational :: *int* × *int* ⇒ *rat*

where

Rational = *INum*

code-datatype *Rational*

lemma *Rational-simp*:

Rational (*k*, *l*) = *rat-of-int* *k* / *rat-of-int* *l*

unfolding *Rational-def* *INum-def* **by** *simp*

lemma *Rational-zero* [*simp*]: *Rational* 0_N = 0

by (*simp* *add*: *Rational-simp*)

lemma *Rational-lit* [*simp*]: *Rational* *i*_N = *rat-of-int* *i*

by (*simp* *add*: *Rational-simp*)

lemma *zero-rat-code* [*code*, *code unfold*]:

0 = *Rational* 0_N **by** *simp*

lemma *zero-rat-code* [*code*, *code unfold*]:

1 = *Rational* 1_N **by** *simp*

lemma [*code*, *code unfold*]:

number-of *k* = *rat-of-int* (*number-of* *k*)

by (*simp* *add*: *number-of-is-id* *rat-number-of-def*)

definition

[*code func del*]: *Fract'* (*b*::*bool*) *k* *l* = *Fract* *k* *l*

lemma [*code*]:

Fract *k* *l* = *Fract'* (*l* ≠ 0) *k* *l*

unfolding *Fract'-def* ..

lemma [*code*]:

Fract' *True* *k* *l* = (if *l* ≠ 0 then *Rational* (*k*, *l*) else *Fract* 1 0)

by (*simp* *add*: *Fract'-def* *Rational-simp* *Fract-of-int-quotient* [of *k* *l*])

lemma [*code*]:

of-rat (*Rational* (*k*, *l*)) = (if *l* ≠ 0 then *of-int* *k* / *of-int* *l* else 0)

by (*cases* *l* = 0)

(*auto* *simp* *add*: *Rational-simp* *of-rat-rat* [*simplified* *Fract-of-int-quotient* [of *k* *l*], *symmetric*])

instance *rat* :: *eq* ..

lemma *rat-eq-code* [*code*]: *Rational* *x* = *Rational* *y* ⟷ *normNum* *x* = *normNum* *y*

```

unfolding Rational-def INum-normNum-iff ..

lemma rat-less-eq-code [code]: Rational  $x \leq \textit{Rational } y \longleftrightarrow \textit{normNum } x \leq_N \textit{normNum } y$ 
proof –
  have  $\textit{normNum } x \leq_N \textit{normNum } y \longleftrightarrow \textit{Rational } (\textit{normNum } x) \leq \textit{Rational } (\textit{normNum } y)$ 
  by (simp add: Rational-def del: normNum)
  also have  $\dots = (\textit{Rational } x \leq \textit{Rational } y)$  by (simp add: Rational-def)
  finally show ?thesis by simp
qed

lemma rat-less-code [code]: Rational  $x < \textit{Rational } y \longleftrightarrow \textit{normNum } x <_N \textit{normNum } y$ 
proof –
  have  $\textit{normNum } x <_N \textit{normNum } y \longleftrightarrow \textit{Rational } (\textit{normNum } x) < \textit{Rational } (\textit{normNum } y)$ 
  by (simp add: Rational-def del: normNum)
  also have  $\dots = (\textit{Rational } x < \textit{Rational } y)$  by (simp add: Rational-def)
  finally show ?thesis by simp
qed

lemma rat-add-code [code]: Rational  $x + \textit{Rational } y = \textit{Rational } (x +_N y)$ 
unfolding Rational-def by simp

lemma rat-mul-code [code]: Rational  $x * \textit{Rational } y = \textit{Rational } (x *_N y)$ 
unfolding Rational-def by simp

lemma rat-neg-code [code]:  $-\textit{Rational } x = \textit{Rational } (\sim_N x)$ 
unfolding Rational-def by simp

lemma rat-sub-code [code]: Rational  $x - \textit{Rational } y = \textit{Rational } (x -_N y)$ 
unfolding Rational-def by simp

lemma rat-inv-code [code]: inverse (Rational  $x$ ) = Rational (Ninv  $x$ )
unfolding Rational-def Ninv divide-rat-def by simp

lemma rat-div-code [code]: Rational  $x / \textit{Rational } y = \textit{Rational } (x \div_N y)$ 
unfolding Rational-def by simp

Setup for SML code generator

types-code
  rat ((int */ int))
attach (term-of) ⟨⟨
fun term-of-rat (p, q) =
```

let

val *rT* = *Type* (*Rational.rat*, [])

in

if *q* = 1 *orelse* *p* = 0 *then* *HOLogic.mk-number rT p*

```

    else Const (HOL.inverse-class.divide, rT --> rT --> rT) $
      HOLogic.mk-number rT p $ HOLogic.mk-number rT q
  end;
>>
attach (test) <<
fun gen-rat i =
  let
    val p = random-range 0 i;
    val q = random-range 1 (i + 1);
    val g = Integer.gcd p q;
    val p' = p div g;
    val q' = q div g;
  in
    (if one-of [true, false] then p' else ~ p',
     if p' = 0 then 0 else q')
  end;
>>

consts-code
  Rational ((-))

consts-code
  of-int :: int ⇒ rat ((module)rat'-of'-int)
attach <<
fun rat-of-int 0 = (0, 0)
    | rat-of-int i = (i, 1);
>>

end

```

10 Positive real numbers

```

theory PReal
imports Rational
begin

```

Could be generalized and moved to *Ring-and-Field*

```

lemma add-eq-exists: ∃ x. a+x = (b::rat)
by (rule-tac x=b-a in exI, simp)

```

definition

```

  cut :: rat set => bool where
    cut A = ({ } ⊂ A &
              A < {r. 0 < r} &
              (∀ y ∈ A. ((∀ z. 0 < z & z < y --> z ∈ A) & (∃ u ∈ A. y < u))))

```

lemma cut-of-rat:

```

  assumes q: 0 < q shows cut {r::rat. 0 < r & r < q} (is cut ?A)

```

```

proof –
  from  $q$  have  $pos: ?A < \{r. 0 < r\}$  by force
  have nonempty:  $\{\} \subset ?A$ 
  proof
    show  $\{\} \subseteq ?A$  by simp
    show  $\{\} \neq ?A$ 
    by (force simp only: q eq-commute [of {}] interval-empty-iff)
  qed
  show ?thesis
  by (simp add: cut-def pos nonempty,
    blast dest: dense intro: order-less-trans)
qed

```

```

typedef preal =  $\{A. \text{cut } A\}$ 
  by (blast intro: cut-of-rat [OF zero-less-one])

```

```

instance preal ::  $\{\text{ord}, \text{plus}, \text{minus}, \text{times}, \text{inverse}, \text{one}\} ..$ 

```

```

definition
  preal-of-rat ::  $\text{rat} \Rightarrow \text{preal}$  where
  preal-of-rat  $q = \text{Abs-preal } \{x::\text{rat}. 0 < x \ \& \ x < q\}$ 

```

```

definition
  psup ::  $\text{preal set} \Rightarrow \text{preal}$  where
  psup  $P = \text{Abs-preal } (\bigcup X \in P. \text{Rep-preal } X)$ 

```

```

definition
  add-set ::  $[\text{rat set}, \text{rat set}] \Rightarrow \text{rat set}$  where
  add-set  $A \ B = \{w. \exists x \in A. \exists y \in B. w = x + y\}$ 

```

```

definition
  diff-set ::  $[\text{rat set}, \text{rat set}] \Rightarrow \text{rat set}$  where
  diff-set  $A \ B = \{w. \exists x. 0 < w \ \& \ 0 < x \ \& \ x \notin B \ \& \ x + w \in A\}$ 

```

```

definition
  mult-set ::  $[\text{rat set}, \text{rat set}] \Rightarrow \text{rat set}$  where
  mult-set  $A \ B = \{w. \exists x \in A. \exists y \in B. w = x * y\}$ 

```

```

definition
  inverse-set ::  $\text{rat set} \Rightarrow \text{rat set}$  where
  inverse-set  $A = \{x. \exists y. 0 < x \ \& \ x < y \ \& \ \text{inverse } y \notin A\}$ 

```

```

defs (overloaded)

```

```

preal-less-def:
   $R < S == \text{Rep-preal } R < \text{Rep-preal } S$ 

```

preal-le-def:
 $R \leq S == \text{Rep-preal } R \subseteq \text{Rep-preal } S$

preal-add-def:
 $R + S == \text{Abs-preal } (\text{add-set } (\text{Rep-preal } R) (\text{Rep-preal } S))$

preal-diff-def:
 $R - S == \text{Abs-preal } (\text{diff-set } (\text{Rep-preal } R) (\text{Rep-preal } S))$

preal-mult-def:
 $R * S == \text{Abs-preal } (\text{mult-set } (\text{Rep-preal } R) (\text{Rep-preal } S))$

preal-inverse-def:
 $\text{inverse } R == \text{Abs-preal } (\text{inverse-set } (\text{Rep-preal } R))$

preal-one-def:
 $1 == \text{preal-of-rat } 1$

Reduces equality on abstractions to equality on representatives

declare *Abs-preal-inject* [*simp*]
declare *Abs-preal-inverse* [*simp*]

lemma *rat-mem-preal*: $0 < q ==> \{r::\text{rat}. 0 < r \ \& \ r < q\} \in \text{preal}$
by (*simp add: preal-def cut-of-rat*)

lemma *preal-nonempty*: $A \in \text{preal} ==> \exists x \in A. 0 < x$
by (*unfold preal-def cut-def, blast*)

lemma *preal-Ex-mem*: $A \in \text{preal} \implies \exists x. x \in A$
by (*drule preal-nonempty, fast*)

lemma *preal-imp-psubset-positives*: $A \in \text{preal} ==> A < \{r. 0 < r\}$
by (*force simp add: preal-def cut-def*)

lemma *preal-exists-bound*: $A \in \text{preal} ==> \exists x. 0 < x \ \& \ x \notin A$
by (*drule preal-imp-psubset-positives, auto*)

lemma *preal-exists-greater*: $[| A \in \text{preal}; y \in A |] ==> \exists u \in A. y < u$
by (*unfold preal-def cut-def, blast*)

lemma *preal-downwards-closed*: $[| A \in \text{preal}; y \in A; 0 < z; z < y |] ==> z \in A$
by (*unfold preal-def cut-def, blast*)

Relaxing the final premise

lemma *preal-downwards-closed'*:
 $[| A \in \text{preal}; y \in A; 0 < z; z \leq y |] ==> z \in A$
apply (*simp add: order-le-less*)
apply (*blast intro: preal-downwards-closed*)
done

A positive fraction not in a positive real is an upper bound. Gleason p. 122

- Remark (1)

lemma *not-in-preal-ub*:

assumes *A*: $A \in \text{preal}$

and *notx*: $x \notin A$

and *y*: $y \in A$

and *pos*: $0 < x$

shows $y < x$

proof (*cases rule: linorder-cases*)

assume $x < y$

with *notx* **show** *?thesis*

by (*simp add: preal-downwards-closed [OF A y] pos*)

next

assume $x = y$

with *notx* **and** *y* **show** *?thesis* **by** *simp*

next

assume $y < x$

thus *?thesis* .

qed

preal lemmas instantiated to *Rep-preal X*

lemma *mem-Rep-preal-Ex*: $\exists x. x \in \text{Rep-preal } X$

by (*rule preal-Ex-mem [OF Rep-preal]*)

lemma *Rep-preal-exists-bound*: $\exists x > 0. x \notin \text{Rep-preal } X$

by (*rule preal-exists-bound [OF Rep-preal]*)

lemmas *not-in-Rep-preal-ub* = *not-in-preal-ub* [*OF Rep-preal*]

10.1 preal-of-prat: the Injection from prat to preal

lemma *rat-less-set-mem-preal*: $0 < y \implies \{u::\text{rat}. 0 < u \ \& \ u < y\} \in \text{preal}$

by (*simp add: preal-def cut-of-rat*)

lemma *rat-subset-imp-le*:

$[[\{u::\text{rat}. 0 < u \ \& \ u < x\} \subseteq \{u. 0 < u \ \& \ u < y\}; 0 < x]] \implies x \leq y$

apply (*simp add: linorder-not-less [symmetric]*)

apply (*blast dest: dense intro: order-less-trans*)

done

lemma *rat-set-eq-imp-eq*:

$[[\{u::\text{rat}. 0 < u \ \& \ u < x\} = \{u. 0 < u \ \& \ u < y\};$

$0 < x; 0 < y]] \implies x = y$

by (*blast intro: rat-subset-imp-le order-antisym*)

10.2 Properties of Ordering

lemma *preal-le-reft*: $w \leq (w::\text{preal})$

by (*simp add: preal-le-def*)

```

lemma preal-le-trans: [|  $i \leq j$ ;  $j \leq k$  |] ==>  $i \leq (k::preal)$ 
by (force simp add: preal-le-def)

lemma preal-le-anti-sym: [|  $z \leq w$ ;  $w \leq z$  |] ==>  $z = (w::preal)$ 
apply (simp add: preal-le-def)
apply (rule Rep-preal-inject [THEN iffD1], blast)
done

lemma preal-less-le:  $((w::preal) < z) = (w \leq z \ \& \ w \neq z)$ 
by (simp add: preal-le-def preal-less-def Rep-preal-inject psubset-def)

instance preal :: order
  by intro-classes
    (assumption |
      rule preal-le-refl preal-le-trans preal-le-anti-sym preal-less-le)+

lemma preal-imp-pos: [|  $A \in preal$ ;  $r \in A$  |] ==>  $0 < r$ 
by (insert preal-imp-psubset-positives, blast)

lemma preal-le-linear:  $x \leq y \mid y \leq (x::preal)$ 
apply (auto simp add: preal-le-def)
apply (rule ccontr)
apply (blast dest: not-in-Rep-preal-ub intro: preal-imp-pos [OF Rep-preal]
      elim: order-less-asm)
done

instance preal :: linorder
  by intro-classes (rule preal-le-linear)

instance preal :: distrib-lattice
  inf  $\equiv$  min
  sup  $\equiv$  max
  by intro-classes
    (auto simp add: inf-preal-def sup-preal-def min-max.sup-inf-distrib1)

```

10.3 Properties of Addition

```

lemma preal-add-commute:  $(x::preal) + y = y + x$ 
apply (unfold preal-add-def add-set-def)
apply (rule-tac f = Abs-preal in arg-cong)
apply (force simp add: add-commute)
done

```

Lemmas for proving that addition of two positive reals gives a positive real

```

lemma empty-psubset-nonempty:  $a \in A ==> \{\} \subset A$ 
by blast

```

Part 1 of Dedekind sections definition

```

lemma add-set-not-empty:
  [|A ∈ preal; B ∈ preal|] ==> {} ⊂ add-set A B
apply (drule preal-nonempty)+
apply (auto simp add: add-set-def)
done

```

Part 2 of Dedekind sections definition. A structured version of this proof is *preal-not-mem-mult-set-Ex* below.

```

lemma preal-not-mem-add-set-Ex:
  [|A ∈ preal; B ∈ preal|] ==> ∃ q > 0. q ∉ add-set A B
apply (insert preal-exists-bound [of A] preal-exists-bound [of B], auto)
apply (rule-tac x = x+xa in exI)
apply (simp add: add-set-def, clarify)
apply (drule (3) not-in-preal-ub)+
apply (force dest: add-strict-mono)
done

```

```

lemma add-set-not-rat-set:
  assumes A: A ∈ preal
  and B: B ∈ preal
  shows add-set A B < {r. 0 < r}
proof
  from preal-imp-pos [OF A] preal-imp-pos [OF B]
  show add-set A B ⊆ {r. 0 < r} by (force simp add: add-set-def)
next
  show add-set A B ≠ {r. 0 < r}
  by (insert preal-not-mem-add-set-Ex [OF A B], blast)
qed

```

Part 3 of Dedekind sections definition

```

lemma add-set-lemma3:
  [|A ∈ preal; B ∈ preal; u ∈ add-set A B; 0 < z; z < u|]
  ==> z ∈ add-set A B
proof (unfold add-set-def, clarify)
  fix x::rat and y::rat
  assume A: A ∈ preal
  and B: B ∈ preal
  and [simp]: 0 < z
  and zless: z < x + y
  and x: x ∈ A
  and y: y ∈ B
  have xpos [simp]: 0 < x by (rule preal-imp-pos [OF A x])
  have ypos [simp]: 0 < y by (rule preal-imp-pos [OF B y])
  have xypos [simp]: 0 < x+y by (simp add: pos-add-strict)
  let ?f = z/(x+y)
  have fless: ?f < 1 by (simp add: zless pos-divide-less-eq)
  show ∃ x' ∈ A. ∃ y' ∈ B. z = x' + y'
  proof (intro bexI)
  show z = x*?f + y*?f

```

```

    by (simp add: left-distrib [symmetric] divide-inverse mult-ac
        order-less-imp-not-eq2)
  next
    show  $y * ?f \in B$ 
  proof (rule preal-downwards-closed [OF B y])
    show  $0 < y * ?f$ 
    by (simp add: divide-inverse zero-less-mult-iff)
  next
    show  $y * ?f < y$ 
    by (insert mult-strict-left-mono [OF fless ypos], simp)
  qed
next
show  $x * ?f \in A$ 
proof (rule preal-downwards-closed [OF A x])
  show  $0 < x * ?f$ 
  by (simp add: divide-inverse zero-less-mult-iff)
next
show  $x * ?f < x$ 
by (insert mult-strict-left-mono [OF fless xpos], simp)
qed
qed
qed

```

Part 4 of Dedekind sections definition

lemma *add-set-lemma4*:

```

  [|A ∈ preal; B ∈ preal; y ∈ add-set A B|] ==> ∃ u ∈ add-set A B. y < u
  apply (auto simp add: add-set-def)
  apply (frule preal-exists-greater [of A], auto)
  apply (rule-tac x=u + y in exI)
  apply (auto intro: add-strict-left-mono)
done

```

lemma *mem-add-set*:

```

  [|A ∈ preal; B ∈ preal|] ==> add-set A B ∈ preal
  apply (simp (no-asm-simp) add: preal-def cut-def)
  apply (blast intro!: add-set-not-empty add-set-not-rat-set
    add-set-lemma3 add-set-lemma4)
done

```

lemma *preal-add-assoc*: $((x::\text{preal}) + y) + z = x + (y + z)$

```

  apply (simp add: preal-add-def mem-add-set Rep-preal)
  apply (force simp add: add-set-def add-ac)
done

```

instance *preal* :: *ab-semigroup-add*

proof

```

  fix a b c :: preal
  show  $(a + b) + c = a + (b + c)$  by (rule preal-add-assoc)
  show  $a + b = b + a$  by (rule preal-add-commute)

```

qed

lemma *preal-add-left-commute*: $x + (y + z) = y + ((x + z)::preal)$
by (*rule add-left-commute*)

Positive Real addition is an AC operator

lemmas *preal-add-ac = preal-add-assoc preal-add-commute preal-add-left-commute*

10.4 Properties of Multiplication

Proofs essentially same as for addition

lemma *preal-mult-commute*: $(x::preal) * y = y * x$
apply (*unfold preal-mult-def mult-set-def*)
apply (*rule-tac f = Abs-preal in arg-cong*)
apply (*force simp add: mult-commute*)
done

Multiplication of two positive reals gives a positive real.

Lemmas for proving positive reals multiplication set in *preal*

Part 1 of Dedekind sections definition

lemma *mult-set-not-empty*:
 $[A \in preal; B \in preal] ==> \{\} \subset mult-set\ A\ B$
apply (*insert preal-nonempty [of A] preal-nonempty [of B]*)
apply (*auto simp add: mult-set-def*)
done

Part 2 of Dedekind sections definition

lemma *preal-not-mem-mult-set-Ex*:
 assumes $A: A \in preal$
 and $B: B \in preal$
 shows $\exists q. 0 < q \ \& \ q \notin mult-set\ A\ B$
proof –
 from *preal-exists-bound [OF A]*
 obtain x **where** $[simp]: 0 < x \ x \notin A$ **by** *blast*
 from *preal-exists-bound [OF B]*
 obtain y **where** $[simp]: 0 < y \ y \notin B$ **by** *blast*
 show *?thesis*
 proof (*intro exI conjI*)
 show $0 < x*y$ **by** (*simp add: mult-pos-pos*)
 show $x * y \notin mult-set\ A\ B$
 proof –
 { fix $u::rat$ **and** $v::rat$
 assume $u \in A$ **and** $v \in B$ **and** $x*y = u*v$
 moreover
 with *prems* **have** $u < x$ **and** $v < y$ **by** (*blast dest: not-in-preal-ub*)
 moreover

```

    with prems have  $0 \leq v$ 
      by (blast intro: preal-imp-pos [OF B] order-less-imp-le prems)
    moreover
    from calculation
      have  $u * v < x * y$  by (blast intro: mult-strict-mono prems)
      ultimately have False by force }
    thus ?thesis by (auto simp add: mult-set-def)
  qed
qed
qed

```

lemma mult-set-not-rat-set:

```

  assumes A:  $A \in \text{preal}$ 
    and B:  $B \in \text{preal}$ 
  shows mult-set  $A \ B < \{r. 0 < r\}$ 
proof
  show mult-set  $A \ B \subseteq \{r. 0 < r\}$ 
    by (force simp add: mult-set-def
      intro: preal-imp-pos [OF A] preal-imp-pos [OF B] mult-pos-pos)
  show mult-set  $A \ B \neq \{r. 0 < r\}$ 
    using preal-not-mem-mult-set-Ex [OF A B] by blast
qed

```

Part 3 of Dedekind sections definition

lemma mult-set-lemma3:

```

  [|  $A \in \text{preal}; B \in \text{preal}; u \in \text{mult-set } A \ B; 0 < z; z < u$  |]
  ==>  $z \in \text{mult-set } A \ B$ 
proof (unfold mult-set-def, clarify)
  fix x::rat and y::rat
  assume A:  $A \in \text{preal}$ 
    and B:  $B \in \text{preal}$ 
    and [simp]:  $0 < z$ 
    and zless:  $z < x * y$ 
    and x:  $x \in A$ 
    and y:  $y \in B$ 
  have [simp]:  $0 < y$  by (rule preal-imp-pos [OF B y])
  show  $\exists x' \in A. \exists y' \in B. z = x' * y'$ 
  proof
    show  $\exists y' \in B. z = (z/y) * y'$ 
    proof
      show  $z = (z/y) * y$ 
        by (simp add: divide-inverse mult-commute [of y] mult-assoc
          order-less-imp-not-eq2)
      show  $y \in B$  by fact
    qed
  qed
next
  show  $z/y \in A$ 
  proof (rule preal-downwards-closed [OF A x])
    show  $0 < z/y$ 

```

```

      by (simp add: zero-less-divide-iff)
    show  $z/y < x$  by (simp add: pos-divide-less-eq zless)
  qed
qed
qed

```

Part 4 of Dedekind sections definition

```

lemma mult-set-lemma4:
  [|  $A \in preal$ ;  $B \in preal$ ;  $y \in mult-set\ A\ B$  |] ==>  $\exists u \in mult-set\ A\ B. y < u$ 
apply (auto simp add: mult-set-def)
apply (frule preal-exists-greater [of A], auto)
apply (rule-tac  $x=u * y$  in exI)
apply (auto intro: preal-imp-pos [of A] preal-imp-pos [of B]
    mult-strict-right-mono)
done

```

```

lemma mem-mult-set:
  [|  $A \in preal$ ;  $B \in preal$  |] ==>  $mult-set\ A\ B \in preal$ 
apply (simp (no-asm-simp) add: preal-def cut-def)
apply (blast intro!: mult-set-not-empty mult-set-not-rat-set
    mult-set-lemma3 mult-set-lemma4)
done

```

```

lemma preal-mult-assoc:  $((x::preal) * y) * z = x * (y * z)$ 
apply (simp add: preal-mult-def mem-mult-set Rep-preal)
apply (force simp add: mult-set-def mult-ac)
done

```

```

instance preal :: ab-semigroup-mult
proof
  fix  $a\ b\ c :: preal$ 
  show  $(a * b) * c = a * (b * c)$  by (rule preal-mult-assoc)
  show  $a * b = b * a$  by (rule preal-mult-commute)
qed

```

```

lemma preal-mult-left-commute:  $x * (y * z) = y * ((x * z)::preal)$ 
by (rule mult-left-commute)

```

Positive Real multiplication is an AC operator

```

lemmas preal-mult-ac =
  preal-mult-assoc preal-mult-commute preal-mult-left-commute

```

Positive real 1 is the multiplicative identity element

```

lemma preal-mult-1:  $(1::preal) * z = z$ 
unfolding preal-one-def
proof (induct z)
  fix  $A :: rat\ set$ 
  assume  $A: A \in preal$ 

```

```

have {w.  $\exists u. 0 < u \wedge u < 1 \ \& \ (\exists v \in A. w = u * v)$ } = A (is ?lhs = A)
proof
  show ?lhs  $\subseteq$  A
  proof clarify
    fix x::rat and u::rat and v::rat
    assume upos:  $0 < u$  and u<1 and v:  $v \in A$ 
    have vpos:  $0 < v$  by (rule preal-imp-pos [OF A v])
    hence  $u * v < 1 * v$  by (simp only: mult-strict-right-mono prems)
    thus  $u * v \in A$ 
    by (force intro: preal-downwards-closed [OF A v] mult-pos-pos
        upos vpos)
  qed
next
show A  $\subseteq$  ?lhs
proof clarify
  fix x::rat
  assume x:  $x \in A$ 
  have xpos:  $0 < x$  by (rule preal-imp-pos [OF A x])
  from preal-exists-greater [OF A x]
  obtain v where v:  $v \in A$  and xlessv:  $x < v$  ..
  have vpos:  $0 < v$  by (rule preal-imp-pos [OF A v])
  show  $\exists u. 0 < u \wedge u < 1 \wedge (\exists v \in A. x = u * v)$ 
  proof (intro exI conjI)
    show  $0 < x/v$ 
    by (simp add: zero-less-divide-iff xpos vpos)
    show  $x / v < 1$ 
    by (simp add: pos-divide-less-eq vpos xlessv)
    show  $\exists v' \in A. x = (x / v) * v'$ 
    proof
      show  $x = (x/v) * v$ 
      by (simp add: divide-inverse mult-assoc vpos
          order-less-imp-not-eq2)
      show  $v \in A$  by fact
    qed
  qed
qed
qed
qed
thus preal-of-rat 1 * Abs-preal A = Abs-preal A
by (simp add: preal-of-rat-def preal-mult-def mult-set-def
    rat-mem-preal A)
qed

instance preal :: comm-monoid-mult
by intro-classes (rule preal-mult-1)

lemma preal-mult-1-right:  $z * (1::preal) = z$ 
by (rule mult-1-right)

```

10.5 Distribution of Multiplication across Addition

lemma *mem-Rep-preal-add-iff*:

$(z \in \text{Rep-preal}(R+S)) = (\exists x \in \text{Rep-preal } R. \exists y \in \text{Rep-preal } S. z = x + y)$

apply (*simp add: preal-add-def mem-add-set Rep-preal*)

apply (*simp add: add-set-def*)

done

lemma *mem-Rep-preal-mult-iff*:

$(z \in \text{Rep-preal}(R*S)) = (\exists x \in \text{Rep-preal } R. \exists y \in \text{Rep-preal } S. z = x * y)$

apply (*simp add: preal-mult-def mem-mult-set Rep-preal*)

apply (*simp add: mult-set-def*)

done

lemma *distrib-subset1*:

$\text{Rep-preal } (w * (x + y)) \subseteq \text{Rep-preal } (w * x + w * y)$

apply (*auto simp add: Bex-def mem-Rep-preal-add-iff mem-Rep-preal-mult-iff*)

apply (*force simp add: right-distrib*)

done

lemma *preal-add-mult-distrib-mean*:

assumes *a*: $a \in \text{Rep-preal } w$

and *b*: $b \in \text{Rep-preal } w$

and *d*: $d \in \text{Rep-preal } x$

and *e*: $e \in \text{Rep-preal } y$

shows $\exists c \in \text{Rep-preal } w. a * d + b * e = c * (d + e)$

proof

let $?c = (a*d + b*e)/(d+e)$

have [*simp*]: $0 < a \ 0 < b \ 0 < d \ 0 < e \ 0 < d+e$

by (*blast intro: preal-imp-pos [OF Rep-preal] a b d e pos-add-strict*)**+**

have *cpos*: $0 < ?c$

by (*simp add: zero-less-divide-iff zero-less-mult-iff pos-add-strict*)

show $a * d + b * e = ?c * (d + e)$

by (*simp add: divide-inverse mult-assoc order-less-imp-not-eq2*)

show $?c \in \text{Rep-preal } w$

proof (*cases rule: linorder-le-cases*)

assume $a \leq b$

hence $?c \leq b$

by (*simp add: pos-divide-le-eq right-distrib mult-right-mono order-less-imp-le*)

thus *?thesis* **by** (*rule preal-downwards-closed' [OF Rep-preal b cpos]*)

next

assume $b \leq a$

hence $?c \leq a$

by (*simp add: pos-divide-le-eq right-distrib mult-right-mono order-less-imp-le*)

thus *?thesis* **by** (*rule preal-downwards-closed' [OF Rep-preal a cpos]*)

qed

qed

lemma *distrib-subset2*:

$Rep-preal (w * x + w * y) \subseteq Rep-preal (w * (x + y))$
apply (*auto simp add: Bex-def mem-Rep-preal-add-iff mem-Rep-preal-mult-iff*)
apply (*drule-tac w=w and x=x and y=y in preal-add-mult-distrib-mean, auto*)
done

lemma *preal-add-mult-distrib2*: $(w * ((x::preal) + y)) = (w * x) + (w * y)$
apply (*rule Rep-preal-inject [THEN iffD1]*)
apply (*rule equalityI [OF distrib-subset1 distrib-subset2]*)
done

lemma *preal-add-mult-distrib*: $((x::preal) + y) * w = (x * w) + (y * w)$
by (*simp add: preal-mult-commute preal-add-mult-distrib2*)

instance *preal :: comm-semiring*
by *intro-classes (rule preal-add-mult-distrib)*

10.6 Existence of Inverse, a Positive Real

lemma *mem-inv-set-ex*:

assumes *A*: $A \in preal$ **shows** $\exists x y. 0 < x \ \& \ x < y \ \& \ inverse \ y \notin A$
proof –
from *preal-exists-bound* [*OF A*]
obtain *x* **where** [*simp*]: $0 < x \ x \notin A$ **by** *blast*
show *?thesis*
proof (*intro exI conjI*)
show $0 < inverse (x+1)$
by (*simp add: order-less-trans [OF - less-add-one]*)
show $inverse(x+1) < inverse x$
by (*simp add: less-imp-inverse-less less-add-one*)
show $inverse (inverse x) \notin A$
by (*simp add: order-less-imp-not-eq2*)
qed
qed

Part 1 of Dedekind sections definition

lemma *inverse-set-not-empty*:

$A \in preal ==> \{\} \subset inverse-set A$
apply (*insert mem-inv-set-ex [of A]*)
apply (*auto simp add: inverse-set-def*)
done

Part 2 of Dedekind sections definition

lemma *preal-not-mem-inverse-set-Ex*:

assumes *A*: $A \in preal$ **shows** $\exists q. 0 < q \ \& \ q \notin inverse-set A$
proof –
from *preal-nonempty* [*OF A*]
obtain *x* **where** *x*: $x \in A$ **and** *xpos* [*simp*]: $0 < x$..
show *?thesis*

```

proof (intro exI conjI)
  show  $0 < \text{inverse } x$  by simp
  show  $\text{inverse } x \notin \text{inverse-set } A$ 
  proof -
    { fix  $y::\text{rat}$ 
      assume  $ygt: \text{inverse } x < y$ 
      have  $[simp]: 0 < y$  by (simp add: order-less-trans [OF - ygt])
      have  $iyless: \text{inverse } y < x$ 
        by (simp add: inverse-less-imp-less [of x] ygt)
      have  $\text{inverse } y \in A$ 
        by (simp add: preal-downwards-closed [OF A x] iyless)}
    thus ?thesis by (auto simp add: inverse-set-def)
  qed
qed
qed

lemma inverse-set-not-rat-set:
  assumes  $A: A \in \text{preal}$  shows  $\text{inverse-set } A < \{r. 0 < r\}$ 
proof
  show  $\text{inverse-set } A \subseteq \{r. 0 < r\}$  by (force simp add: inverse-set-def)
next
  show  $\text{inverse-set } A \neq \{r. 0 < r\}$ 
    by (insert preal-not-mem-inverse-set-Ex [OF A], blast)
qed

```

Part 3 of Dedekind sections definition

```

lemma inverse-set-lemma3:
   $[|A \in \text{preal}; u \in \text{inverse-set } A; 0 < z; z < u|]$ 
   $\implies z \in \text{inverse-set } A$ 
apply (auto simp add: inverse-set-def)
apply (auto intro: order-less-trans)
done

```

Part 4 of Dedekind sections definition

```

lemma inverse-set-lemma4:
   $[|A \in \text{preal}; y \in \text{inverse-set } A|] \implies \exists u \in \text{inverse-set } A. y < u$ 
apply (auto simp add: inverse-set-def)
apply (drule dense [of y])
apply (blast intro: order-less-trans)
done

```

```

lemma mem-inverse-set:
   $A \in \text{preal} \implies \text{inverse-set } A \in \text{preal}$ 
apply (simp (no-asm-simp) add: preal-def cut-def)
apply (blast intro!: inverse-set-not-empty inverse-set-not-rat-set
  inverse-set-lemma3 inverse-set-lemma4)
done

```

10.7 Gleason's Lemma 9-3.4, page 122

```

lemma Gleason9-34-exists:
  assumes A:  $A \in \text{preal}$ 
    and  $\forall x \in A. x + u \in A$ 
    and  $0 \leq z$ 
  shows  $\exists b \in A. b + (\text{of-int } z) * u \in A$ 
proof (cases z rule: int-cases)
  case (nonneg n)
  show ?thesis
proof (simp add: prems, induct n)
  case 0
  from preal-nonempty [OF A]
  show ?case by force
  case (Suc k)
  from this obtain b where  $b \in A$   $b + \text{of-nat } k * u \in A$  ..
  hence  $b + \text{of-int } (\text{int } k) * u + u \in A$  by (simp add: prems)
  thus ?case by (force simp add: left-distrib add-ac prems)
qed
next
  case (neg n)
  with prems show ?thesis by simp
qed

lemma Gleason9-34-contr:
  assumes A:  $A \in \text{preal}$ 
  shows  $[\forall x \in A. x + u \in A; 0 < u; 0 < y; y \notin A] \implies \text{False}$ 
proof (induct u, induct y)
  fix a::int and b::int
  fix c::int and d::int
  assume bpos [simp]:  $0 < b$ 
    and dpos [simp]:  $0 < d$ 
    and closed:  $\forall x \in A. x + (\text{Fract } c \ d) \in A$ 
    and upos:  $0 < \text{Fract } c \ d$ 
    and ypos:  $0 < \text{Fract } a \ b$ 
    and notin:  $\text{Fract } a \ b \notin A$ 
  have cpos [simp]:  $0 < c$ 
    by (simp add: zero-less-Fract-iff [OF dpos, symmetric] upos)
  have apos [simp]:  $0 < a$ 
    by (simp add: zero-less-Fract-iff [OF bpos, symmetric] ypos)
  let ?k =  $a * d$ 
  have frle:  $\text{Fract } a \ b \leq \text{Fract } ?k \ 1 * (\text{Fract } c \ d)$ 
proof -
  have ?thesis =  $((a * d * b * d) \leq c * b * (a * d * b * d))$ 
    by (simp add: mult-rat le-rat order-less-imp-not-eq2 mult-ac)
  moreover
  have  $(1 * (a * d * b * d)) \leq c * b * (a * d * b * d)$ 
    by (rule mult-mono,
        simp-all add: int-one-le-iff-zero-less zero-less-mult-iff
                   order-less-imp-le)

```

```

ultimately
show ?thesis by simp
qed
have k:  $0 \leq ?k$  by (simp add: order-less-imp-le zero-less-mult-iff)
from Gleason9-34-exists [OF A closed k]
obtain z where z:  $z \in A$ 
and mem:  $z + \text{of-int } ?k * \text{Fract } c \, d \in A$  ..
have less:  $z + \text{of-int } ?k * \text{Fract } c \, d < \text{Fract } a \, b$ 
by (rule not-in-preal-ub [OF A notin mem ypos])
have  $0 < z$  by (rule preal-imp-pos [OF A z])
with f1e and less show False by (simp add: Fract-of-int-eq)
qed

```

```

lemma Gleason9-34:
assumes A:  $A \in \text{preal}$ 
and upos:  $0 < u$ 
shows  $\exists r \in A. r + u \notin A$ 
proof (rule ccontr, simp)
assume closed:  $\forall r \in A. r + u \in A$ 
from preal-exists-bound [OF A]
obtain y where y:  $y \notin A$  and ypos:  $0 < y$  by blast
show False
by (rule Gleason9-34-contr [OF A closed upos ypos y])
qed

```

10.8 Gleason's Lemma 9-3.6

```

lemma lemma-gleason9-36:
assumes A:  $A \in \text{preal}$ 
and x:  $1 < x$ 
shows  $\exists r \in A. r * x \notin A$ 
proof -
from preal-nonempty [OF A]
obtain y where y:  $y \in A$  and ypos:  $0 < y$  ..
show ?thesis
proof (rule classical)
assume  $\sim(\exists r \in A. r * x \notin A)$ 
with y have ymem:  $y * x \in A$  by blast
from ypos mult-strict-left-mono [OF x]
have yless:  $y < y * x$  by simp
let ?d =  $y * x - y$ 
from yless have dpos:  $0 < ?d$  and eq:  $y + ?d = y * x$  by auto
from Gleason9-34 [OF A dpos]
obtain r where r:  $r \in A$  and notin:  $r + ?d \notin A$  ..
have rpos:  $0 < r$  by (rule preal-imp-pos [OF A r])
with dpos have rdpos:  $0 < r + ?d$  by arith
have  $\sim(r + ?d \leq y + ?d)$ 
proof

```

```

    assume le:  $r + ?d \leq y + ?d$ 
    from ymem have yd:  $y + ?d \in A$  by (simp add: eq)
    have  $r + ?d \in A$  by (rule preal-downwards-closed' [OF A yd rdpos le])
    with notin show False by simp
qed
hence  $y < r$  by simp
with ypos have dless:  $?d < (r * ?d)/y$ 
  by (simp add: pos-less-divide-eq mult-commute [of ?d]
      mult-strict-right-mono dpos)
have  $r + ?d < r*x$ 
proof -
  have  $r + ?d < r + (r * ?d)/y$  by (simp add: dless)
  also with ypos have  $\dots = (r/y) * (y + ?d)$ 
    by (simp only: right-distrib divide-inverse mult-ac, simp)
  also have  $\dots = r*x$  using ypos
    by (simp add: times-divide-eq-left)
  finally show  $r + ?d < r*x$  .
qed
with r notin rdpos
show  $\exists r \in A. r * x \notin A$  by (blast dest: preal-downwards-closed [OF A])
qed
qed

```

10.9 Existence of Inverse: Part 2

```

lemma mem-Rep-preal-inverse-iff:
  ( $z \in \text{Rep-preal}(\text{inverse } R)$ ) =
    ( $0 < z \wedge (\exists y. z < y \wedge \text{inverse } y \notin \text{Rep-preal } R)$ )
apply (simp add: preal-inverse-def mem-inverse-set Rep-preal)
apply (simp add: inverse-set-def)
done

```

```

lemma Rep-preal-of-rat:
   $0 < q \implies \text{Rep-preal}(\text{preal-of-rat } q) = \{x. 0 < x \wedge x < q\}$ 
by (simp add: preal-of-rat-def rat-mem-preal)

```

```

lemma subset-inverse-mult-lemma:
  assumes xpos:  $0 < x$  and xless:  $x < 1$ 
  shows  $\exists r \ u \ y. 0 < r \ \& \ r < y \ \& \ \text{inverse } y \notin \text{Rep-preal } R \ \& \$ 
     $u \in \text{Rep-preal } R \ \& \ x = r * u$ 
proof -
  from xpos and xless have  $1 < \text{inverse } x$  by (simp add: one-less-inverse-iff)
  from lemma-gleason9-36 [OF Rep-preal this]
  obtain r where r:  $r \in \text{Rep-preal } R$ 
    and notin:  $r * (\text{inverse } x) \notin \text{Rep-preal } R$  ..
  have rpos:  $0 < r$  by (rule preal-imp-pos [OF Rep-preal r])
  from preal-exists-greater [OF Rep-preal r]
  obtain u where u:  $u \in \text{Rep-preal } R$  and rless:  $r < u$  ..
  have upos:  $0 < u$  by (rule preal-imp-pos [OF Rep-preal u])

```

```

show ?thesis
proof (intro exI conjI)
  show  $0 < x/u$  using xpos upos
  by (simp add: zero-less-divide-iff)
  show  $x/u < x/r$  using xpos upos rpos
  by (simp add: divide-inverse mult-less-cancel-left rless)
  show  $\text{inverse } (x / r) \notin \text{Rep-preal } R$  using notin
  by (simp add: divide-inverse mult-commute)
  show  $u \in \text{Rep-preal } R$  by (rule u)
  show  $x = x / u * u$  using upos
  by (simp add: divide-inverse mult-commute)
qed
qed

lemma subset-inverse-mult:
   $\text{Rep-preal}(\text{preal-of-rat } 1) \subseteq \text{Rep-preal}(\text{inverse } R * R)$ 
apply (auto simp add: Bex-def Rep-preal-of-rat mem-Rep-preal-inverse-iff
  mem-Rep-preal-mult-iff)
apply (blast dest: subset-inverse-mult-lemma)
done

lemma inverse-mult-subset-lemma:
  assumes rpos:  $0 < r$ 
  and rless:  $r < y$ 
  and notin:  $\text{inverse } y \notin \text{Rep-preal } R$ 
  and q:  $q \in \text{Rep-preal } R$ 
  shows  $r * q < 1$ 
proof -
  have  $q < \text{inverse } y$  using rpos rless
  by (simp add: not-in-preal-ub [OF Rep-preal notin] q)
  hence  $r * q < r/y$  using rpos
  by (simp add: divide-inverse mult-less-cancel-left)
  also have  $\dots \leq 1$  using rpos rless
  by (simp add: pos-divide-le-eq)
  finally show ?thesis .
qed

lemma inverse-mult-subset:
   $\text{Rep-preal}(\text{inverse } R * R) \subseteq \text{Rep-preal}(\text{preal-of-rat } 1)$ 
apply (auto simp add: Bex-def Rep-preal-of-rat mem-Rep-preal-inverse-iff
  mem-Rep-preal-mult-iff)
apply (simp add: zero-less-mult-iff preal-imp-pos [OF Rep-preal])
apply (blast intro: inverse-mult-subset-lemma)
done

lemma preal-mult-inverse:  $\text{inverse } R * R = (1::\text{preal})$ 
unfolding preal-one-def
apply (rule Rep-preal-inject [THEN iffD1])
apply (rule equalityI [OF inverse-mult-subset subset-inverse-mult])

```

done

lemma *preal-mult-inverse-right*: $R * \text{inverse } R = (1::\text{preal})$
apply (rule *preal-mult-commute* [THEN subst])
apply (rule *preal-mult-inverse*)
done

Theorems needing *Gleason9-34*

lemma *Rep-preal-self-subset*: $\text{Rep-preal } (R) \subseteq \text{Rep-preal}(R + S)$
proof
 fix r
 assume $r: r \in \text{Rep-preal } R$
 have $rpos: 0 < r$ **by** (rule *preal-imp-pos* [OF *Rep-preal r*])
 from *mem-Rep-preal-Ex*
 obtain y **where** $y: y \in \text{Rep-preal } S$..
 have $ypos: 0 < y$ **by** (rule *preal-imp-pos* [OF *Rep-preal y*])
 have $ry: r+y \in \text{Rep-preal}(R + S)$ **using** $r y$
 by (auto simp add: *mem-Rep-preal-add-iff*)
 show $r \in \text{Rep-preal}(R + S)$ **using** $r ypos rpos$
 by (simp add: *preal-downwards-closed* [OF *Rep-preal ry*])
qed

lemma *Rep-preal-sum-not-subset*: $\sim \text{Rep-preal } (R + S) \subseteq \text{Rep-preal}(R)$
proof –
 from *mem-Rep-preal-Ex*
 obtain y **where** $y: y \in \text{Rep-preal } S$..
 have $ypos: 0 < y$ **by** (rule *preal-imp-pos* [OF *Rep-preal y*])
 from *Gleason9-34* [OF *Rep-preal ypos*]
 obtain r **where** $r: r \in \text{Rep-preal } R$ **and** *notin*: $r + y \notin \text{Rep-preal } R$..
 have $r + y \in \text{Rep-preal } (R + S)$ **using** $r y$
 by (auto simp add: *mem-Rep-preal-add-iff*)
 thus *?thesis* **using** *notin* **by** blast
qed

lemma *Rep-preal-sum-not-eq*: $\text{Rep-preal } (R + S) \neq \text{Rep-preal}(R)$
by (insert *Rep-preal-sum-not-subset*, blast)

at last, Gleason prop. 9-3.5(iii) page 123

lemma *preal-self-less-add-left*: $(R::\text{preal}) < R + S$
apply (unfold *preal-less-def* *psubset-def*)
apply (simp add: *Rep-preal-self-subset* *Rep-preal-sum-not-eq* [THEN *not-sym*])
done

lemma *preal-self-less-add-right*: $(R::\text{preal}) < S + R$
by (simp add: *preal-add-commute* *preal-self-less-add-left*)

lemma *preal-not-eq-self*: $x \neq x + (y::\text{preal})$
by (insert *preal-self-less-add-left* [of $x y$], auto)

10.10 Subtraction for Positive Reals

Gleason prop. 9-3.5(iv), page 123: proving $A < B \implies \exists D. A + D = B$.
We define the claimed D and show that it is a positive real

Part 1 of Dedekind sections definition

lemma *diff-set-not-empty*:

```

   $R < S \implies \{\} \subset \text{diff-set } (\text{Rep-preal } S) (\text{Rep-preal } R)$ 
apply (auto simp add: preal-less-def diff-set-def elim!: equalityE)
apply (frule-tac  $x1 = S$  in Rep-preal [THEN preal-exists-greater])
apply (drule preal-imp-pos [OF Rep-preal], clarify)
apply (cut-tac  $a=x$  and  $b=u$  in add-eq-exists, force)
done

```

Part 2 of Dedekind sections definition

lemma *diff-set-nonempty*:

```

   $\exists q. 0 < q \ \& \ q \notin \text{diff-set } (\text{Rep-preal } S) (\text{Rep-preal } R)$ 
apply (cut-tac  $X = S$  in Rep-preal-exists-bound)
apply (erule exE)
apply (rule-tac  $x = x$  in exI, auto)
apply (simp add: diff-set-def)
apply (auto dest: Rep-preal [THEN preal-downwards-closed])
done

```

lemma *diff-set-not-rat-set*:

```

   $\text{diff-set } (\text{Rep-preal } S) (\text{Rep-preal } R) < \{r. 0 < r\} \text{ (is ?lhs < ?rhs)}$ 
proof
  show  $?lhs \subseteq ?rhs$  by (auto simp add: diff-set-def)
  show  $?lhs \neq ?rhs$  using diff-set-nonempty by blast
qed

```

Part 3 of Dedekind sections definition

lemma *diff-set-lemma3*:

```

   $[R < S; u \in \text{diff-set } (\text{Rep-preal } S) (\text{Rep-preal } R); 0 < z; z < u]$ 
   $\implies z \in \text{diff-set } (\text{Rep-preal } S) (\text{Rep-preal } R)$ 
apply (auto simp add: diff-set-def)
apply (rule-tac  $x=x$  in exI)
apply (drule Rep-preal [THEN preal-downwards-closed], auto)
done

```

Part 4 of Dedekind sections definition

lemma *diff-set-lemma4*:

```

   $[R < S; y \in \text{diff-set } (\text{Rep-preal } S) (\text{Rep-preal } R)]$ 
   $\implies \exists u \in \text{diff-set } (\text{Rep-preal } S) (\text{Rep-preal } R). y < u$ 
apply (auto simp add: diff-set-def)
apply (drule Rep-preal [THEN preal-exists-greater], clarify)
apply (cut-tac  $a=x+y$  and  $b=u$  in add-eq-exists, clarify)
apply (rule-tac  $x=y+xa$  in exI)

```

apply (*auto simp add: add-ac*)
done

lemma *mem-diff-set*:

$R < S \implies \text{diff-set } (\text{Rep-preal } S) (\text{Rep-preal } R) \in \text{preal}$
apply (*unfold preal-def cut-def*)
apply (*blast intro!: diff-set-not-empty diff-set-not-rat-set*
diff-set-lemma3 diff-set-lemma4)
done

lemma *mem-Rep-preal-diff-iff*:

$R < S \implies$
 $(z \in \text{Rep-preal}(S-R)) =$
 $(\exists x. 0 < x \ \& \ 0 < z \ \& \ x \notin \text{Rep-preal } R \ \& \ x + z \in \text{Rep-preal } S)$
apply (*simp add: preal-diff-def mem-diff-set Rep-preal*)
apply (*force simp add: diff-set-def*)
done

proving that $R + D \leq S$

lemma *less-add-left-lemma*:

assumes *Rless*: $R < S$
and *a*: $a \in \text{Rep-preal } R$
and *cb*: $c + b \in \text{Rep-preal } S$
and $c \notin \text{Rep-preal } R$
and $0 < b$
and $0 < c$
shows $a + b \in \text{Rep-preal } S$
proof –
have $0 < a$ **by** (*rule preal-imp-pos [OF Rep-preal a]*)
moreover
have $a < c$ **using** *prems*
by (*blast intro: not-in-Rep-preal-ub*)
ultimately show *?thesis* **using** *prems*
by (*simp add: preal-downwards-closed [OF Rep-preal cb]*)
qed

lemma *less-add-left-le1*:

$R < (S::\text{preal}) \implies R + (S-R) \leq S$
apply (*auto simp add: Bex-def preal-le-def mem-Rep-preal-add-iff*
mem-Rep-preal-diff-iff)
apply (*blast intro: less-add-left-lemma*)
done

10.11 proving that $S \leq R + D$ — trickier

lemma *lemma-sum-mem-Rep-preal-ex*:

$x \in \text{Rep-preal } S \implies \exists e. 0 < e \ \& \ x + e \in \text{Rep-preal } S$
apply (*drule Rep-preal [THEN preal-exists-greater], clarify*)
apply (*cut-tac a=x and b=u in add-eq-exists, auto*)

done

lemma *less-add-left-lemma2*:

assumes *Rless*: $R < S$

and *x*: $x \in \text{Rep-preal } S$

and *xnot*: $x \notin \text{Rep-preal } R$

shows $\exists u v z. 0 < v \ \& \ 0 < z \ \& \ u \in \text{Rep-preal } R \ \& \ z \notin \text{Rep-preal } R \ \& \ z + v \in \text{Rep-preal } S \ \& \ x = u + v$

proof –

have *xpos*: $0 < x$ **by** (rule *preal-imp-pos* [OF *Rep-preal x*])

from *lemma-sum-mem-Rep-preal-ex* [OF *x*]

obtain *e* **where** *epos*: $0 < e$ **and** *xe*: $x + e \in \text{Rep-preal } S$ **by** *blast*

from *Gleason9-34* [OF *Rep-preal epos*]

obtain *r* **where** *r*: $r \in \text{Rep-preal } R$ **and** *notin*: $r + e \notin \text{Rep-preal } R$ **..**

with *x* *xnot* *xpos* **have** *rless*: $r < x$ **by** (*blast intro*: *not-in-Rep-preal-ub*)

from *add-eq-exists* [of *r x*]

obtain *y* **where** *eq*: $x = r + y$ **by** *auto*

show *?thesis*

proof (*intro exI conjI*)

show $r \in \text{Rep-preal } R$ **by** (rule *r*)

show $r + e \notin \text{Rep-preal } R$ **by** (rule *notin*)

show $r + e + y \in \text{Rep-preal } S$ **using** *xe eq* **by** (*simp add*: *add-ac*)

show $x = r + y$ **by** (*simp add*: *eq*)

show $0 < r + e$ **using** *epos preal-imp-pos* [OF *Rep-preal r*]

by *simp*

show $0 < y$ **using** *rless eq* **by** *arith*

qed

qed

lemma *less-add-left-le2*: $R < (S::\text{preal}) \implies S \leq R + (S - R)$

apply (*auto simp add*: *preal-le-def*)

apply (*case-tac* $x \in \text{Rep-preal } R$)

apply (*cut-tac* *Rep-preal-self-subset* [of *R*], *force*)

apply (*auto simp add*: *Bex-def mem-Rep-preal-add-iff mem-Rep-preal-diff-iff*)

apply (*blast dest*: *less-add-left-lemma2*)

done

lemma *less-add-left*: $R < (S::\text{preal}) \implies R + (S - R) = S$

by (*blast intro*: *preal-le-anti-sym* [OF *less-add-left-le1 less-add-left-le2*])

lemma *less-add-left-Ex*: $R < (S::\text{preal}) \implies \exists D. R + D = S$

by (*fast dest*: *less-add-left*)

lemma *preal-add-less2-mono1*: $R < (S::\text{preal}) \implies R + T < S + T$

apply (*auto dest*!: *less-add-left-Ex simp add*: *preal-add-assoc*)

apply (*rule-tac* $y1 = D$ **in** *preal-add-commute* [THEN *subst*])

apply (*auto intro*: *preal-self-less-add-left simp add*: *preal-add-assoc* [*symmetric*])

done

lemma *preal-add-less2-mono2*: $R < (S::preal) \implies T + R < T + S$
by (*auto intro: preal-add-less2-mono1 simp add: preal-add-commute [of T]*)

lemma *preal-add-right-less-cancel*: $R + T < S + T \implies R < (S::preal)$
apply (*insert linorder-less-linear [of R S], auto*)
apply (*drule-tac R = S and T = T in preal-add-less2-mono1*)
apply (*blast dest: order-less-trans*)
done

lemma *preal-add-left-less-cancel*: $T + R < T + S \implies R < (S::preal)$
by (*auto elim: preal-add-right-less-cancel simp add: preal-add-commute [of T]*)

lemma *preal-add-less-cancel-right*: $((R::preal) + T < S + T) = (R < S)$
by (*blast intro: preal-add-less2-mono1 preal-add-right-less-cancel*)

lemma *preal-add-less-cancel-left*: $(T + (R::preal) < T + S) = (R < S)$
by (*blast intro: preal-add-less2-mono2 preal-add-left-less-cancel*)

lemma *preal-add-le-cancel-right*: $((R::preal) + T \leq S + T) = (R \leq S)$
by (*simp add: linorder-not-less [symmetric] preal-add-less-cancel-right*)

lemma *preal-add-le-cancel-left*: $(T + (R::preal) \leq T + S) = (R \leq S)$
by (*simp add: linorder-not-less [symmetric] preal-add-less-cancel-left*)

lemma *preal-add-less-mono*:
 $[| x1 < y1; x2 < y2 |] \implies x1 + x2 < y1 + (y2::preal)$
apply (*auto dest!: less-add-left-Ex simp add: preal-add-ac*)
apply (*rule preal-add-assoc [THEN subst]*)
apply (*rule preal-self-less-add-right*)
done

lemma *preal-add-right-cancel*: $(R::preal) + T = S + T \implies R = S$
apply (*insert linorder-less-linear [of R S], safe*)
apply (*drule-tac [!] T = T in preal-add-less2-mono1, auto*)
done

lemma *preal-add-left-cancel*: $C + A = C + B \implies A = (B::preal)$
by (*auto intro: preal-add-right-cancel simp add: preal-add-commute*)

lemma *preal-add-left-cancel-iff*: $(C + A = C + B) = ((A::preal) = B)$
by (*fast intro: preal-add-left-cancel*)

lemma *preal-add-right-cancel-iff*: $(A + C = B + C) = ((A::preal) = B)$
by (*fast intro: preal-add-right-cancel*)

lemmas *preal-cancels* =
preal-add-less-cancel-right preal-add-less-cancel-left
preal-add-le-cancel-right preal-add-le-cancel-left
preal-add-left-cancel-iff preal-add-right-cancel-iff

```

instance preal :: ordered-cancel-ab-semigroup-add
proof
  fix a b c :: preal
  show a + b = a + c ==> b = c by (rule preal-add-left-cancel)
  show a ≤ b ==> c + a ≤ c + b by (simp only: preal-add-le-cancel-left)
qed

```

10.12 Completeness of type preal

Prove that supremum is a cut

Part 1 of Dedekind sections definition

```

lemma preal-sup-set-not-empty:
  P ≠ {} ==> {} ⊂ (⋃ X ∈ P. Rep-preal(X))
apply auto
apply (cut-tac X = x in mem-Rep-preal-Ex, auto)
done

```

Part 2 of Dedekind sections definition

```

lemma preal-sup-not-exists:
  ∀ X ∈ P. X ≤ Y ==> ∃ q. 0 < q & q ∉ (⋃ X ∈ P. Rep-preal(X))
apply (cut-tac X = Y in Rep-preal-exists-bound)
apply (auto simp add: preal-le-def)
done

```

```

lemma preal-sup-set-not-rat-set:
  ∀ X ∈ P. X ≤ Y ==> (⋃ X ∈ P. Rep-preal(X)) < {r. 0 < r}
apply (drule preal-sup-not-exists)
apply (blast intro: preal-imp-pos [OF Rep-preal])
done

```

Part 3 of Dedekind sections definition

```

lemma preal-sup-set-lemma3:
  [|P ≠ {}; ∀ X ∈ P. X ≤ Y; u ∈ (⋃ X ∈ P. Rep-preal(X)); 0 < z; z < u|]
  ==> z ∈ (⋃ X ∈ P. Rep-preal(X))
by (auto elim: Rep-preal [THEN preal-downwards-closed])

```

Part 4 of Dedekind sections definition

```

lemma preal-sup-set-lemma4:
  [|P ≠ {}; ∀ X ∈ P. X ≤ Y; y ∈ (⋃ X ∈ P. Rep-preal(X)) |]
  ==> ∃ u ∈ (⋃ X ∈ P. Rep-preal(X)). y < u
by (blast dest: Rep-preal [THEN preal-exists-greater])

```

```

lemma preal-sup:
  [|P ≠ {}; ∀ X ∈ P. X ≤ Y|] ==> (⋃ X ∈ P. Rep-preal(X)) ∈ preal
apply (unfold preal-def cut-def)
apply (blast intro!: preal-sup-set-not-empty preal-sup-set-not-rat-set)

```

```

preal-sup-set-lemma3 preal-sup-set-lemma4)
done

lemma preal-psup-le:
  [|  $\forall X \in P. X \leq Y$ ;  $x \in P$  |] ==>  $x \leq \text{psup } P$ 
apply (simp (no-asm-simp) add: preal-le-def)
apply (subgoal-tac  $P \neq \{\}$ )
apply (auto simp add: psup-def preal-sup)
done

lemma psup-le-ub: [|  $P \neq \{\}$ ;  $\forall X \in P. X \leq Y$  |] ==>  $\text{psup } P \leq Y$ 
apply (simp (no-asm-simp) add: preal-le-def)
apply (simp add: psup-def preal-sup)
apply (auto simp add: preal-le-def)
done

```

Supremum property

```

lemma preal-complete:
  [|  $P \neq \{\}$ ;  $\forall X \in P. X \leq Y$  |] ==>  $(\exists X \in P. Z < X) = (Z < \text{psup } P)$ 
apply (simp add: preal-less-def psup-def preal-sup)
apply (auto simp add: preal-le-def)
apply (rename-tac  $U$ )
apply (cut-tac  $x = U$  and  $y = Z$  in linorder-less-linear)
apply (auto simp add: preal-less-def)
done

```

10.13 The Embedding from *rat* into *preal*

```

lemma preal-of-rat-add-lemma1:
  [|  $x < y + z$ ;  $0 < x$ ;  $0 < y$  |] ==>  $x * y * \text{inverse } (y + z) < (y::\text{rat})$ 
apply (frule-tac  $c = y * \text{inverse } (y + z)$  in mult-strict-right-mono)
apply (simp add: zero-less-mult-iff)
apply (simp add: mult-ac)
done

```

```

lemma preal-of-rat-add-lemma2:
  assumes  $u < x + y$ 
  and  $0 < x$ 
  and  $0 < y$ 
  and  $0 < u$ 
  shows  $\exists v w::\text{rat}. w < y \ \& \ 0 < v \ \& \ v < x \ \& \ 0 < w \ \& \ u = v + w$ 
proof (intro exI conjI)
  show  $u * x * \text{inverse}(x+y) < x$  using prems
  by (simp add: preal-of-rat-add-lemma1)
  show  $u * y * \text{inverse}(x+y) < y$  using prems
  by (simp add: preal-of-rat-add-lemma1 add-commute [of  $x$ ])
  show  $0 < u * x * \text{inverse } (x + y)$  using prems
  by (simp add: zero-less-mult-iff)
  show  $0 < u * y * \text{inverse } (x + y)$  using prems

```

```

    by (simp add: zero-less-mult-iff)
  show  $u = u * x * \text{inverse } (x + y) + u * y * \text{inverse } (x + y)$  using prems
    by (simp add: left-distrib [symmetric] right-distrib [symmetric] mult-ac)
qed

```

```

lemma preal-of-rat-add:
  [|  $0 < x$ ;  $0 < y$  |]
  ==>  $\text{preal-of-rat } ((x::\text{rat}) + y) = \text{preal-of-rat } x + \text{preal-of-rat } y$ 
apply (unfold preal-of-rat-def preal-add-def)
apply (simp add: rat-mem-preal)
apply (rule-tac  $f = \text{Abs-preal}$  in arg-cong)
apply (auto simp add: add-set-def)
apply (blast dest: preal-of-rat-add-lemma2)
done

```

```

lemma preal-of-rat-mult-lemma1:
  [|  $x < y$ ;  $0 < x$ ;  $0 < z$  |] ==>  $x * z * \text{inverse } y < (z::\text{rat})$ 
apply (frule-tac  $c = z * \text{inverse } y$  in mult-strict-right-mono)
apply (simp add: zero-less-mult-iff)
apply (subgoal-tac  $y * (z * \text{inverse } y) = z * (y * \text{inverse } y)$ )
apply (simp-all add: mult-ac)
done

```

```

lemma preal-of-rat-mult-lemma2:
  assumes  $xless: x < y * z$ 
  and  $xpos: 0 < x$ 
  and  $ypos: 0 < y$ 
  shows  $x * z * \text{inverse } y * \text{inverse } z < (z::\text{rat})$ 
proof -
  have  $0 < y * z$  using prems by simp
  hence  $zpos: 0 < z$  using prems by (simp add: zero-less-mult-iff)
  have  $x * z * \text{inverse } y * \text{inverse } z = x * \text{inverse } y * (z * \text{inverse } z)$ 
    by (simp add: mult-ac)
  also have  $\dots = x/y$  using  $zpos$ 
    by (simp add: divide-inverse)
  also from  $xless$  have  $\dots < z$ 
    by (simp add: pos-divide-less-eq [OF ypos] mult-commute)
  finally show ?thesis .
qed

```

```

lemma preal-of-rat-mult-lemma3:
  assumes  $uless: u < x * y$ 
  and  $0 < x$ 
  and  $0 < y$ 
  and  $0 < u$ 
  shows  $\exists v w::\text{rat}. v < x \ \& \ w < y \ \& \ 0 < v \ \& \ 0 < w \ \& \ u = v * w$ 
proof -
  from dense [OF uless]
  obtain  $r$  where  $u < r \ r < x * y$  by blast

```

```

thus ?thesis
proof (intro exI conjI)
show  $u * x * \text{inverse } r < x$  using prems
  by (simp add: preal-of-rat-mult-lemma1)
show  $r * y * \text{inverse } x * \text{inverse } y < y$  using prems
  by (simp add: preal-of-rat-mult-lemma2)
show  $0 < u * x * \text{inverse } r$  using prems
  by (simp add: zero-less-mult-iff)
show  $0 < r * y * \text{inverse } x * \text{inverse } y$  using prems
  by (simp add: zero-less-mult-iff)
have  $u * x * \text{inverse } r * (r * y * \text{inverse } x * \text{inverse } y) =$ 
   $u * (r * \text{inverse } r) * (x * \text{inverse } x) * (y * \text{inverse } y)$ 
  by (simp only: mult-ac)
thus  $u = u * x * \text{inverse } r * (r * y * \text{inverse } x * \text{inverse } y)$  using prems
  by simp
qed
qed

lemma preal-of-rat-mult:
   $[[ 0 < x; 0 < y]]$ 
   $\implies \text{preal-of-rat } ((x::\text{rat}) * y) = \text{preal-of-rat } x * \text{preal-of-rat } y$ 
apply (unfold preal-of-rat-def preal-mult-def)
apply (simp add: rat-mem-preal)
apply (rule-tac  $f = \text{Abs-preal}$  in arg-cong)
apply (auto simp add: zero-less-mult-iff mult-strict-mono mult-set-def)
apply (blast dest: preal-of-rat-mult-lemma3)
done

lemma preal-of-rat-less-iff:
   $[[ 0 < x; 0 < y]] \implies (\text{preal-of-rat } x < \text{preal-of-rat } y) = (x < y)$ 
by (force simp add: preal-of-rat-def preal-less-def rat-mem-preal)

lemma preal-of-rat-le-iff:
   $[[ 0 < x; 0 < y]] \implies (\text{preal-of-rat } x \leq \text{preal-of-rat } y) = (x \leq y)$ 
by (simp add: preal-of-rat-less-iff linorder-not-less [symmetric])

lemma preal-of-rat-eq-iff:
   $[[ 0 < x; 0 < y]] \implies (\text{preal-of-rat } x = \text{preal-of-rat } y) = (x = y)$ 
by (simp add: preal-of-rat-le-iff order-eq-iff)

end

```

11 Defining the Reals from the Positive Reals

```

theory RealDef
imports PReal
uses (real-arith.ML)
begin

```

definition

realrel :: ((*preal* * *preal*) * (*preal* * *preal*)) set **where**
realrel = {*p*. $\exists x1\ y1\ x2\ y2. p = ((x1,y1),(x2,y2)) \ \& \ x1+y2 = x2+y1$ }

typedef (*Real*) *real* = UNIV//*realrel*
by (*auto simp add: quotient-def*)

definition

real-of-preal :: *preal* => *real* **where**
real-of-preal *m* = *Abs-Real*(*realrel*“{(*m* + 1, 1)})

instance *real* :: *zero*

real-zero-def: 0 == *Abs-Real*(*realrel*“{(1, 1)}) ..

lemmas [*code func del*] = *real-zero-def*

instance *real* :: *one*

real-one-def: 1 == *Abs-Real*(*realrel*“{(1 + 1, 1)}) ..

lemmas [*code func del*] = *real-one-def*

instance *real* :: *plus*

real-add-def: *z* + *w* ==
contents ($\bigcup (x,y) \in \text{Rep-Real}(z). \bigcup (u,v) \in \text{Rep-Real}(w).$
{ *Abs-Real*(*realrel*“{(*x*+*u*, *y*+*v*)}) }) ..

lemmas [*code func del*] = *real-add-def*

instance *real* :: *minus*

real-minus-def: *r* - *r* == *contents* ($\bigcup (x,y) \in \text{Rep-Real}(r). \{ \text{Abs-Real}(\text{realrel}“\{(y,x)\}) \}$)

real-diff-def: *r* - (*s*::*real*) == *r* + - *s* ..

lemmas [*code func del*] = *real-minus-def* *real-diff-def*

instance *real* :: *times*

real-mult-def:
z * *w* ==
contents ($\bigcup (x,y) \in \text{Rep-Real}(z). \bigcup (u,v) \in \text{Rep-Real}(w).$
{ *Abs-Real*(*realrel*“{(*x***u* + *y***v*, *x***v* + *y***u*)}) }) ..

lemmas [*code func del*] = *real-mult-def*

instance *real* :: *inverse*

real-inverse-def: *inverse* (*R*::*real*) == (THE *S*. (*R* = 0 & *S* = 0) | *S* * *R* = 1)

real-divide-def: *R* / (*S*::*real*) == *R* * *inverse* *S* ..

lemmas [*code func del*] = *real-inverse-def* *real-divide-def*

instance *real* :: *ord*

real-le-def: *z* ≤ (*w*::*real*) ==
 $\exists x\ y\ u\ v. x+v \leq u+y \ \& \ (x,y) \in \text{Rep-Real}\ z \ \& \ (u,v) \in \text{Rep-Real}\ w$
real-less-def: (*x* < (*y*::*real*)) == (*x* ≤ *y* & *x* ≠ *y*) ..

lemmas [code func del] = real-le-def real-less-def

instance real :: abs

real-abs-def: abs (r::real) == (if r < 0 then - r else r) ..

instance real :: sgn

real-sgn-def: sgn x == (if x=0 then 0 else if 0<x then 1 else - 1) ..

11.1 Equivalence relation over positive reals

lemma preal-trans-lemma:

assumes $x + y1 = x1 + y$

and $x + y2 = x2 + y$

shows $x1 + y2 = x2 + (y1::preal)$

proof -

have $(x1 + y2) + x = (x + y2) + x1$ **by** (simp add: add-ac)

also have $\dots = (x2 + y) + x1$ **by** (simp add: prems)

also have $\dots = x2 + (x1 + y)$ **by** (simp add: add-ac)

also have $\dots = x2 + (x + y1)$ **by** (simp add: prems)

also have $\dots = (x2 + y1) + x$ **by** (simp add: add-ac)

finally have $(x1 + y2) + x = (x2 + y1) + x$.

thus ?thesis **by** (rule add-right-imp-eq)

qed

lemma realrel-iff [simp]: $((x1,y1),(x2,y2)) \in \text{realrel} = (x1 + y2 = x2 + y1)$

by (simp add: realrel-def)

lemma equiv-realrel: equiv UNIV realrel

apply (auto simp add: equiv-def refl-def sym-def trans-def realrel-def)

apply (blast dest: preal-trans-lemma)

done

Reduces equality of equivalence classes to the *realrel* relation: $(\text{realrel} \text{ `` } \{x\} = \text{realrel} \text{ `` } \{y\}) = ((x, y) \in \text{realrel})$

lemmas equiv-realrel-iff =

eq-equiv-class-iff [OF equiv-realrel UNIV-I UNIV-I]

declare equiv-realrel-iff [simp]

lemma realrel-in-real [simp]: $\text{realrel} \text{ `` } \{(x,y)\} : \text{Real}$

by (simp add: Real-def realrel-def quotient-def, blast)

declare Abs-Real-inject [simp]

declare Abs-Real-inverse [simp]

Case analysis on the representation of a real number as an equivalence class of pairs of positive reals.

```

lemma eq-Abs-Real [case-names Abs-Real, cases type: real]:
  (!!x y. z = Abs-Real(realrel“{(x,y)}”) ==> P) ==> P
apply (rule Rep-Real [of z, unfolded Real-def, THEN quotientE])
apply (drule arg-cong [where f=Abs-Real])
apply (auto simp add: Rep-Real-inverse)
done

```

11.2 Addition and Subtraction

```

lemma real-add-congruent2-lemma:
  [|a + ba = aa + b; ab + bc = ac + bb|]
  ==> a + ab + (ba + bc) = aa + ac + (b + (bb::preal))
apply (simp add: add-assoc)
apply (rule add-left-commute [of ab, THEN ssubst])
apply (simp add: add-assoc [symmetric])
apply (simp add: add-ac)
done

```

```

lemma real-add:
  Abs-Real (realrel“{(x,y)}”) + Abs-Real (realrel“{(u,v)}”) =
  Abs-Real (realrel“{(x+u, y+v)}”)
proof -
  have (λz w. (λ(x,y). (λ(u,v). {Abs-Real (realrel “ {(x+u, y+v)}”)}) w) z)
    respects2 realrel
  by (simp add: congruent2-def, blast intro: real-add-congruent2-lemma)
  thus ?thesis
  by (simp add: real-add-def UN-UN-split-split-eq
    UN-equiv-class2 [OF equiv-realrel equiv-realrel])
qed

```

```

lemma real-minus: - Abs-Real(realrel“{(x,y)}”) = Abs-Real(realrel “ {(y,x)}”)
proof -
  have (λ(x,y). {Abs-Real (realrel“{(y,x)}”)}) respects realrel
  by (simp add: congruent-def add-commute)
  thus ?thesis
  by (simp add: real-minus-def UN-equiv-class [OF equiv-realrel])
qed

```

```

instance real :: ab-group-add
proof
  fix x y z :: real
  show (x + y) + z = x + (y + z)
    by (cases x, cases y, cases z, simp add: real-add add-assoc)
  show x + y = y + x
    by (cases x, cases y, simp add: real-add add-commute)
  show 0 + x = x
    by (cases x, simp add: real-add real-zero-def add-ac)
  show - x + x = 0
    by (cases x, simp add: real-minus real-add real-zero-def add-commute)

```

```

show  $x - y = x + - y$ 
  by (simp add: real-diff-def)
qed

```

11.3 Multiplication

```

lemma real-mult-congruent2-lemma:
  !!(x1::preal). [| x1 + y2 = x2 + y1 |] ==>
    x * x1 + y * y1 + (x * y2 + y * x2) =
    x * x2 + y * y2 + (x * y1 + y * x1)
apply (simp add: add-left-commute add-assoc [symmetric])
apply (simp add: add-assoc right-distrib [symmetric])
apply (simp add: add-commute)
done

lemma real-mult-congruent2:
  (%p1 p2.
    (%(x1,y1). (%(x2,y2).
      { Abs-Real (realrel“{(x1*x2 + y1*y2, x1*y2+y1*x2)} } ) p2) p1)
    respects2 realrel
  apply (rule congruent2-commuteI [OF equiv-realrel], clarify)
  apply (simp add: mult-commute add-commute)
  apply (auto simp add: real-mult-congruent2-lemma)
  done

lemma real-mult:
  Abs-Real((realrel“{(x1,y1)})) * Abs-Real((realrel“{(x2,y2)})) =
  Abs-Real(realrel “ {(x1*x2+y1*y2,x1*y2+y1*x2)})
by (simp add: real-mult-def UN-UN-split-split-eq
  UN-equiv-class2 [OF equiv-realrel equiv-realrel real-mult-congruent2])

lemma real-mult-commute: (z::real) * w = w * z
by (cases z, cases w, simp add: real-mult add-ac mult-ac)

lemma real-mult-assoc: ((z1::real) * z2) * z3 = z1 * (z2 * z3)
apply (cases z1, cases z2, cases z3)
apply (simp add: real-mult right-distrib add-ac mult-ac)
done

lemma real-mult-1: (1::real) * z = z
apply (cases z)
apply (simp add: real-mult real-one-def right-distrib
  mult-1-right mult-ac add-ac)
done

lemma real-add-mult-distrib: ((z1::real) + z2) * w = (z1 * w) + (z2 * w)
apply (cases z1, cases z2, cases w)
apply (simp add: real-add real-mult right-distrib add-ac mult-ac)
done

```

one and zero are distinct

```

lemma real-zero-not-eq-one:  $0 \neq (1::\text{real})$ 
proof -
  have  $(1::\text{preal}) < 1 + 1$ 
    by (simp add: preal-self-less-add-left)
  thus ?thesis
    by (simp add: real-zero-def real-one-def)
qed

```

instance *real :: comm-ring-1*

```

proof
  fix  $x\ y\ z :: \text{real}$ 
  show  $(x * y) * z = x * (y * z)$  by (rule real-mult-assoc)
  show  $x * y = y * x$  by (rule real-mult-commute)
  show  $1 * x = x$  by (rule real-mult-1)
  show  $(x + y) * z = x * z + y * z$  by (rule real-add-mult-distrib)
  show  $0 \neq (1::\text{real})$  by (rule real-zero-not-eq-one)
qed

```

11.4 Inverse and Division

```

lemma real-zero-iff: Abs-Real (realrel “ $\{(x, x)\}$ ”) = 0
by (simp add: real-zero-def add-commute)

```

Instead of using an existential quantifier and constructing the inverse within the proof, we could define the inverse explicitly.

```

lemma real-mult-inverse-left-ex:  $x \neq 0 \implies \exists y. y * x = (1::\text{real})$ 
apply (simp add: real-zero-def real-one-def, cases x)
apply (cut-tac x = xa and y = y in linorder-less-linear)
apply (auto dest!: less-add-left-Ex simp add: real-zero-iff)
apply (rule-tac
   $x = \text{Abs-Real } (\text{realrel} “\{(1, \text{inverse } (D) + 1)\}$ )
  in exI)
apply (rule-tac [2]
   $x = \text{Abs-Real } (\text{realrel} “\{(\text{inverse } (D) + 1, 1)\}$ )
  in exI)
apply (auto simp add: real-mult preal-mult-inverse-right ring-simps)
done

```

```

lemma real-mult-inverse-left:  $x \neq 0 \implies \text{inverse}(x) * x = (1::\text{real})$ 
apply (simp add: real-inverse-def)
apply (drule real-mult-inverse-left-ex, safe)
apply (rule theI, assumption, rename-tac z)
apply (subgoal-tac (z * x) * y = z * (x * y))
apply (simp add: mult-commute)
apply (rule mult-assoc)
done

```

11.5 The Real Numbers form a Field

```
instance real :: field
proof
  fix x y z :: real
  show  $x \neq 0 \implies \text{inverse } x * x = 1$  by (rule real-mult-inverse-left)
  show  $x / y = x * \text{inverse } y$  by (simp add: real-divide-def)
qed
```

Inverse of zero! Useful to simplify certain equations

```
lemma INVERSE-ZERO:  $\text{inverse } 0 = (0::\text{real})$ 
by (simp add: real-inverse-def)
```

```
instance real :: division-by-zero
proof
  show  $\text{inverse } 0 = (0::\text{real})$  by (rule INVERSE-ZERO)
qed
```

11.6 The $\leq$ Ordering

```
lemma real-le-reft:  $w \leq (w::\text{real})$ 
by (cases w, force simp add: real-le-def)
```

The arithmetic decision procedure is not set up for type preal. This lemma is currently unused, but it could simplify the proofs of the following two lemmas.

```
lemma preal-eq-le-imp-le:
  assumes eq:  $a+b = c+d$  and le:  $c \leq a$ 
  shows  $b \leq (d::\text{preal})$ 
proof -
  have  $c+d \leq a+d$  by (simp add: prems)
  hence  $a+b \leq a+d$  by (simp add: prems)
  thus  $b \leq d$  by simp
qed
```

```
lemma real-le-lemma:
  assumes l:  $u1 + v2 \leq u2 + v1$ 
  and x1 + v1 = u1 + y1
  and x2 + v2 = u2 + y2
  shows  $x1 + y2 \leq x2 + (y1::\text{preal})$ 
proof -
  have  $(x1+v1) + (u2+y2) = (u1+y1) + (x2+v2)$  by (simp add: prems)
  hence  $(x1+y2) + (u2+v1) = (x2+y1) + (u1+v2)$  by (simp add: add-ac)
  also have  $\dots \leq (x2+y1) + (u2+v1)$  by (simp add: prems)
  finally show ?thesis by simp
qed
```

```
lemma real-le:
   $(\text{Abs-Real}(\text{realrel}''\{(x1,y1)\}) \leq \text{Abs-Real}(\text{realrel}''\{(x2,y2)\})) =$ 
```

```

      (x1 + y2 ≤ x2 + y1)
    apply (simp add: real-le-def)
    apply (auto intro: real-le-lemma)
  done

lemma real-le-anti-sym: [| z ≤ w; w ≤ z |] ==> z = (w::real)
by (cases z, cases w, simp add: real-le)

lemma real-trans-lemma:
  assumes x + v ≤ u + y
    and u + v' ≤ u' + v
    and x2 + v2 = u2 + y2
  shows x + v' ≤ u' + (y::preal)
proof -
  have (x+v') + (u+v) = (x+v) + (u+v') by (simp add: add-ac)
  also have ... ≤ (u+y) + (u+v') by (simp add: prems)
  also have ... ≤ (u+y) + (u'+v) by (simp add: prems)
  also have ... = (u'+y) + (u+v) by (simp add: add-ac)
  finally show ?thesis by simp
qed

lemma real-le-trans: [| i ≤ j; j ≤ k |] ==> i ≤ (k::real)
apply (cases i, cases j, cases k)
apply (simp add: real-le)
apply (blast intro: real-trans-lemma)
done

lemma real-less-le: ((w::real) < z) = (w ≤ z & w ≠ z)
by (simp add: real-less-def)

instance real :: order
proof qed
  (assumption |
    rule real-le-refl real-le-trans real-le-anti-sym real-less-le)+

lemma real-le-linear: (z::real) ≤ w | w ≤ z
apply (cases z, cases w)
apply (auto simp add: real-le real-zero-def add-ac)
done

instance real :: linorder
  by (intro-classes, rule real-le-linear)

lemma real-le-eq-diff: (x ≤ y) = (x - y ≤ (0::real))
apply (cases x, cases y)

```

```

apply (auto simp add: real-le real-zero-def real-diff-def real-add real-minus
          add-ac)
apply (simp-all add: add-assoc [symmetric])
done

```

```

lemma real-add-left-mono:
  assumes le:  $x \leq y$  shows  $z + x \leq z + (y::real)$ 
proof -
  have  $z + x - (z + y) = (z + -z) + (x - y)$ 
  by (simp add: diff-minus add-ac)
  with le show ?thesis
  by (simp add: real-le-eq-diff [of x] real-le-eq-diff [of z+x] diff-minus)
qed

```

```

lemma real-sum-gt-zero-less:  $(0 < S + (-W::real)) \implies (W < S)$ 
by (simp add: linorder-not-le [symmetric] real-le-eq-diff [of S] diff-minus)

```

```

lemma real-less-sum-gt-zero:  $(W < S) \implies (0 < S + (-W::real))$ 
by (simp add: linorder-not-le [symmetric] real-le-eq-diff [of S] diff-minus)

```

```

lemma real-mult-order:  $[| 0 < x; 0 < y |] \implies (0::real) < x * y$ 
apply (cases x, cases y)
apply (simp add: linorder-not-le [where 'a = real, symmetric]
          linorder-not-le [where 'a = preal]
          real-zero-def real-le real-mult)
  — Reduce to the (simpler)  $\leq$  relation
apply (auto dest!: less-add-left-Ex
          simp add: add-ac mult-ac
          right-distrib preal-self-less-add-left)
done

```

```

lemma real-mult-less-mono2:  $[| (0::real) < z; x < y |] \implies z * x < z * y$ 
apply (rule real-sum-gt-zero-less)
apply (drule real-less-sum-gt-zero [of x y])
apply (drule real-mult-order, assumption)
apply (simp add: right-distrib)
done

```

```

instance real :: distrib-lattice
  inf x y  $\equiv$  min x y
  sup x y  $\equiv$  max x y
  by default (auto simp add: inf-real-def sup-real-def min-max.sup-inf-distrib1)

```

11.7 The Reals Form an Ordered Field

```

instance real :: ordered-field
proof
  fix x y z :: real
  show  $x \leq y \implies z + x \leq z + y$  by (rule real-add-left-mono)

```

```

show  $x < y \implies 0 < z \implies z * x < z * y$  by (rule real-mult-less-mono2)
show  $|x| = (\text{if } x < 0 \text{ then } -x \text{ else } x)$  by (simp only: real-abs-def)
show  $\text{sgn } x = (\text{if } x=0 \text{ then } 0 \text{ else if } 0 < x \text{ then } 1 \text{ else } -1)$ 
by (simp only: real-sgn-def)
qed

```

```

instance real :: lordered-ab-group-add ..

```

The function *real-of-preal* requires many proofs, but it seems to be essential for proving completeness of the reals from that of the positive reals.

```

lemma real-of-preal-add:

```

```

  real-of-preal ((x::preal) + y) = real-of-preal x + real-of-preal y
by (simp add: real-of-preal-def real-add left-distrib add-ac)

```

```

lemma real-of-preal-mult:

```

```

  real-of-preal ((x::preal) * y) = real-of-preal x * real-of-preal y
by (simp add: real-of-preal-def real-mult right-distrib add-ac mult-ac)

```

Gleason prop 9-4.4 p 127

```

lemma real-of-preal-trichotomy:

```

```

   $\exists m. (x::\text{real}) = \text{real-of-preal } m \mid x = 0 \mid x = -(\text{real-of-preal } m)$ 
apply (simp add: real-of-preal-def real-zero-def, cases x)
apply (auto simp add: real-minus add-ac)
apply (cut-tac x = x and y = y in linorder-less-linear)
apply (auto dest!: less-add-left-Ex simp add: add-assoc [symmetric])
done

```

```

lemma real-of-preal-leD:

```

```

  real-of-preal m1  $\leq$  real-of-preal m2  $\implies$   $m1 \leq m2$ 
by (simp add: real-of-preal-def real-le)

```

```

lemma real-of-preal-lessI:  $m1 < m2 \implies \text{real-of-preal } m1 < \text{real-of-preal } m2$ 

```

```

by (auto simp add: real-of-preal-leD linorder-not-le [symmetric])

```

```

lemma real-of-preal-lessD:

```

```

  real-of-preal m1 < real-of-preal m2  $\implies$   $m1 < m2$ 
by (simp add: real-of-preal-def real-le linorder-not-le [symmetric])

```

```

lemma real-of-preal-less-iff [simp]:

```

```

  (real-of-preal m1 < real-of-preal m2) = (m1 < m2)
by (blast intro: real-of-preal-lessI real-of-preal-lessD)

```

```

lemma real-of-preal-le-iff:

```

```

  (real-of-preal m1  $\leq$  real-of-preal m2) = (m1  $\leq$  m2)
by (simp add: linorder-not-less [symmetric])

```

```

lemma real-of-preal-zero-less:  $0 < \text{real-of-preal } m$ 

```

```

apply (insert preal-self-less-add-left [of 1 m])
apply (auto simp add: real-zero-def real-of-preal-def)

```

```

      real-less-def real-le-def add-ac)
apply (rule-tac x=m + 1 in exI, rule-tac x=1 in exI)
apply (simp add: add-ac)
done

```

```

lemma real-of-preal-minus-less-zero: - real-of-preal m < 0
by (simp add: real-of-preal-zero-less)

```

```

lemma real-of-preal-not-minus-gt-zero: ~ 0 < - real-of-preal m
proof -
  from real-of-preal-minus-less-zero
  show ?thesis by (blast dest: order-less-trans)
qed

```

11.8 Theorems About the Ordering

```

lemma real-gt-zero-preal-Ex: (0 < x) = (∃ y. x = real-of-preal y)
apply (auto simp add: real-of-preal-zero-less)
apply (cut-tac x = x in real-of-preal-trichotomy)
apply (blast elim!: real-of-preal-not-minus-gt-zero [THEN notE])
done

```

```

lemma real-gt-preal-preal-Ex:
  real-of-preal z < x ==> ∃ y. x = real-of-preal y
by (blast dest!: real-of-preal-zero-less [THEN order-less-trans]
    intro: real-gt-zero-preal-Ex [THEN iffD1])

```

```

lemma real-ge-preal-preal-Ex:
  real-of-preal z ≤ x ==> ∃ y. x = real-of-preal y
by (blast dest: order-le-imp-less-or-eq real-gt-preal-preal-Ex)

```

```

lemma real-less-all-preal: y ≤ 0 ==> ∀ x. y < real-of-preal x
by (auto elim: order-le-imp-less-or-eq [THEN disjE]
    intro: real-of-preal-zero-less [THEN [2] order-less-trans]
    simp add: real-of-preal-zero-less)

```

```

lemma real-less-all-real2: ~ 0 < y ==> ∀ x. y < real-of-preal x
by (blast intro!: real-less-all-preal linorder-not-less [THEN iffD1])

```

11.9 More Lemmas

```

lemma real-mult-left-cancel: (c::real) ≠ 0 ==> (c*a=c*b) = (a=b)
by auto

```

```

lemma real-mult-right-cancel: (c::real) ≠ 0 ==> (a*c=b*c) = (a=b)
by auto

```

```

lemma real-mult-less-iff1 [simp]: (0::real) < z ==> (x*z < y*z) = (x < y)
by (force elim: order-less-asym
    simp add: Ring-and-Field.mult-less-cancel-right)

```

```

lemma real-mult-le-cancel-iff1 [simp]:  $(0::\text{real}) < z \implies (x*z \leq y*z) = (x \leq y)$ 
apply (simp add: mult-le-cancel-right)
apply (blast intro: elim: order-less-asym)
done

```

```

lemma real-mult-le-cancel-iff2 [simp]:  $(0::\text{real}) < z \implies (z*x \leq z*y) = (x \leq y)$ 
by(simp add: mult-commute)

```

```

lemma real-inverse-gt-one:  $[(0::\text{real}) < x; x < 1] \implies 1 < \text{inverse } x$ 
by (simp add: one-less-inverse-iff)

```

11.10 Embedding numbers into the Reals

abbreviation

real-of-nat :: *nat* $\Rightarrow$ *real*

where

real-of-nat $\equiv$ *of-nat*

abbreviation

real-of-int :: *int* $\Rightarrow$ *real*

where

real-of-int $\equiv$ *of-int*

abbreviation

real-of-rat :: *rat* $\Rightarrow$ *real*

where

real-of-rat $\equiv$ *of-rat*

consts

real :: 'a $\Rightarrow$ *real*

defs (overloaded)

real-of-nat-def [*code inline*]: *real* == *real-of-nat*

real-of-int-def [*code inline*]: *real* == *real-of-int*

lemma *real-eq-of-nat*: *real* = *of-nat*

unfolding *real-of-nat-def* ..

lemma *real-eq-of-int*: *real* = *of-int*

unfolding *real-of-int-def* ..

lemma *real-of-int-zero* [*simp*]: *real* (0::*int*) = 0

by (*simp add: real-of-int-def*)

lemma *real-of-one* [*simp*]: *real* (1::*int*) = (1::*real*)

by (*simp add: real-of-int-def*)

lemma *real-of-int-add* [simp]: $\text{real}(x + y) = \text{real } (x::\text{int}) + \text{real } y$
by (simp add: real-of-int-def)

lemma *real-of-int-minus* [simp]: $\text{real}(-x) = -\text{real } (x::\text{int})$
by (simp add: real-of-int-def)

lemma *real-of-int-diff* [simp]: $\text{real}(x - y) = \text{real } (x::\text{int}) - \text{real } y$
by (simp add: real-of-int-def)

lemma *real-of-int-mult* [simp]: $\text{real}(x * y) = \text{real } (x::\text{int}) * \text{real } y$
by (simp add: real-of-int-def)

lemma *real-of-int-setsum* [simp]: $\text{real } ((\text{SUM } x:A. f x)::\text{int}) = (\text{SUM } x:A. \text{real}(f x))$
apply (subst real-eq-of-int)+
apply (rule of-int-setsum)
done

lemma *real-of-int-setprod* [simp]: $\text{real } ((\text{PROD } x:A. f x)::\text{int}) = (\text{PROD } x:A. \text{real}(f x))$
apply (subst real-eq-of-int)+
apply (rule of-int-setprod)
done

lemma *real-of-int-zero-cancel* [simp]: $(\text{real } x = 0) = (x = (0::\text{int}))$
by (simp add: real-of-int-def)

lemma *real-of-int-inject* [iff]: $(\text{real } (x::\text{int}) = \text{real } y) = (x = y)$
by (simp add: real-of-int-def)

lemma *real-of-int-less-iff* [iff]: $(\text{real } (x::\text{int}) < \text{real } y) = (x < y)$
by (simp add: real-of-int-def)

lemma *real-of-int-le-iff* [simp]: $(\text{real } (x::\text{int}) \leq \text{real } y) = (x \leq y)$
by (simp add: real-of-int-def)

lemma *real-of-int-gt-zero-cancel-iff* [simp]: $(0 < \text{real } (n::\text{int})) = (0 < n)$
by (simp add: real-of-int-def)

lemma *real-of-int-ge-zero-cancel-iff* [simp]: $(0 \leq \text{real } (n::\text{int})) = (0 \leq n)$
by (simp add: real-of-int-def)

lemma *real-of-int-lt-zero-cancel-iff* [simp]: $(\text{real } (n::\text{int}) < 0) = (n < 0)$
by (simp add: real-of-int-def)

lemma *real-of-int-le-zero-cancel-iff* [simp]: $(\text{real } (n::\text{int}) \leq 0) = (n \leq 0)$
by (simp add: real-of-int-def)

lemma *real-of-int-abs* [simp]: $\text{real } (\text{abs } x) = \text{abs}(\text{real } (x::\text{int}))$

```

by (auto simp add: abs-if)

lemma int-less-real-le:  $((n::int) < m) = (real\ n + 1 \leq real\ m)$ 
  apply (subgoal-tac  $real\ n + 1 = real\ (n + 1)$ )
  apply (simp del: real-of-int-add)
  apply auto
done

lemma int-le-real-less:  $((n::int) \leq m) = (real\ n < real\ m + 1)$ 
  apply (subgoal-tac  $real\ m + 1 = real\ (m + 1)$ )
  apply (simp del: real-of-int-add)
  apply simp
done

lemma real-of-int-div-aux:  $d \sim 0 \implies (real\ (x::int)) / (real\ d) =$ 
 $real\ (x\ div\ d) + (real\ (x\ mod\ d)) / (real\ d)$ 
proof -
  assume  $d \sim 0$ 
  have  $x = (x\ div\ d) * d + x\ mod\ d$ 
  by auto
  then have  $real\ x = real\ (x\ div\ d) * real\ d + real\ (x\ mod\ d)$ 
  by (simp only: real-of-int-mult [THEN sym] real-of-int-add [THEN sym])
  then have  $real\ x / real\ d = \dots / real\ d$ 
  by simp
  then show ?thesis
  by (auto simp add: add-divide-distrib ring-simps prems)
qed

lemma real-of-int-div:  $(d::int) \sim 0 \implies d\ dvd\ n \implies$ 
 $real\ (n\ div\ d) = real\ n / real\ d$ 
  apply (frule real-of-int-div-aux [of d n])
  apply simp
  apply (simp add: zdvd-iff-zmod-eq-0)
done

lemma real-of-int-div2:
 $0 \leq real\ (n::int) / real\ (x) - real\ (n\ div\ x)$ 
  apply (case-tac  $x = 0$ )
  apply simp
  apply (case-tac  $0 < x$ )
  apply (simp add: compare-rls)
  apply (subst real-of-int-div-aux)
  apply simp
  apply simp
  apply (subst zero-le-divide-iff)
  apply auto
  apply (simp add: compare-rls)
  apply (subst real-of-int-div-aux)
  apply simp

```

```

  apply simp
  apply (subst zero-le-divide-iff)
  apply auto
done

```

```

lemma real-of-int-div3:
  real (n::int) / real (x) - real (n div x) <= 1
  apply (case-tac x = 0)
  apply simp
  apply (simp add: compare-rls)
  apply (subst real-of-int-div-aux)
  apply assumption
  apply simp
  apply (subst divide-le-eq)
  apply clarsimp
  apply (rule conjI)
  apply (rule impI)
  apply (rule order-less-imp-le)
  apply simp
  apply (rule impI)
  apply (rule order-less-imp-le)
  apply simp
done

```

```

lemma real-of-int-div4: real (n div x) <= real (n::int) / real x
  by (insert real-of-int-div2 [of n x], simp)

```

11.11 Embedding the Naturals into the Reals

```

lemma real-of-nat-zero [simp]: real (0::nat) = 0
by (simp add: real-of-nat-def)

```

```

lemma real-of-nat-one [simp]: real (Suc 0) = (1::real)
by (simp add: real-of-nat-def)

```

```

lemma real-of-nat-add [simp]: real (m + n) = real (m::nat) + real n
by (simp add: real-of-nat-def)

```

```

lemma real-of-nat-Suc: real (Suc n) = real n + (1::real)
by (simp add: real-of-nat-def)

```

```

lemma real-of-nat-less-iff [iff]:
  (real (n::nat) < real m) = (n < m)
by (simp add: real-of-nat-def)

```

```

lemma real-of-nat-le-iff [iff]: (real (n::nat) ≤ real m) = (n ≤ m)
by (simp add: real-of-nat-def)

```

lemma *real-of-nat-ge-zero* [iff]: $0 \leq \text{real } (n::\text{nat})$
by (*simp add: real-of-nat-def zero-le-imp-of-nat*)

lemma *real-of-nat-Suc-gt-zero*: $0 < \text{real } (\text{Suc } n)$
by (*simp add: real-of-nat-def del: of-nat-Suc*)

lemma *real-of-nat-mult* [simp]: $\text{real } (m * n) = \text{real } (m::\text{nat}) * \text{real } n$
by (*simp add: real-of-nat-def of-nat-mult*)

lemma *real-of-nat-setsum* [simp]: $\text{real } ((\text{SUM } x:A. f x)::\text{nat}) =$
 $(\text{SUM } x:A. \text{real } (f x))$
apply (*subst real-eq-of-nat*)
apply (*rule of-nat-setsum*)
done

lemma *real-of-nat-setprod* [simp]: $\text{real } ((\text{PROD } x:A. f x)::\text{nat}) =$
 $(\text{PROD } x:A. \text{real } (f x))$
apply (*subst real-eq-of-nat*)
apply (*rule of-nat-setprod*)
done

lemma *real-of-card*: $\text{real } (\text{card } A) = \text{setsum } (\%x.1) A$
apply (*subst card-eq-setsum*)
apply (*subst real-of-nat-setsum*)
apply *simp*
done

lemma *real-of-nat-inject* [iff]: $(\text{real } (n::\text{nat}) = \text{real } m) = (n = m)$
by (*simp add: real-of-nat-def*)

lemma *real-of-nat-zero-iff* [iff]: $(\text{real } (n::\text{nat}) = 0) = (n = 0)$
by (*simp add: real-of-nat-def*)

lemma *real-of-nat-diff*: $n \leq m \implies \text{real } (m - n) = \text{real } (m::\text{nat}) - \text{real } n$
by (*simp add: add: real-of-nat-def of-nat-diff*)

lemma *real-of-nat-gt-zero-cancel-iff* [simp]: $(0 < \text{real } (n::\text{nat})) = (0 < n)$
by (*auto simp: real-of-nat-def*)

lemma *real-of-nat-le-zero-cancel-iff* [simp]: $(\text{real } (n::\text{nat}) \leq 0) = (n = 0)$
by (*simp add: add: real-of-nat-def*)

lemma *not-real-of-nat-less-zero* [simp]: $\sim \text{real } (n::\text{nat}) < 0$
by (*simp add: add: real-of-nat-def*)

lemma *real-of-nat-ge-zero-cancel-iff* [simp]: $(0 \leq \text{real } (n::\text{nat}))$
by (*simp add: add: real-of-nat-def*)

lemma *nat-less-real-le*: $((n::\text{nat}) < m) = (\text{real } n + 1 \leq \text{real } m)$

```

    apply (subgoal-tac real n + 1 = real (Suc n))
    apply simp
    apply (auto simp add: real-of-nat-Suc)
done

lemma nat-le-real-less: ((n::nat) <= m) = (real n < real m + 1)
  apply (subgoal-tac real m + 1 = real (Suc m))
  apply (simp add: less-Suc-eq-le)
  apply (simp add: real-of-nat-Suc)
done

lemma real-of-nat-div-aux: 0 < d ==> (real (x::nat)) / (real d) =
  real (x div d) + (real (x mod d)) / (real d)
proof -
  assume 0 < d
  have x = (x div d) * d + x mod d
    by auto
  then have real x = real (x div d) * real d + real(x mod d)
    by (simp only: real-of-nat-mult [THEN sym] real-of-nat-add [THEN sym])
  then have real x / real d = ... / real d
    by simp
  then show ?thesis
    by (auto simp add: add-divide-distrib ring-simps prems)
qed

lemma real-of-nat-div: 0 < (d::nat) ==> d dvd n ==>
  real(n div d) = real n / real d
  apply (frule real-of-nat-div-aux [of d n])
  apply simp
  apply (subst dvd-eq-mod-eq-0 [THEN sym])
  apply assumption
done

lemma real-of-nat-div2:
  0 <= real (n::nat) / real (x) - real (n div x)
  apply(case-tac x = 0)
  apply (simp)
  apply (simp add: compare-rls)
  apply (subst real-of-nat-div-aux)
  apply simp
  apply simp
  apply (subst zero-le-divide-iff)
  apply simp
done

lemma real-of-nat-div3:
  real (n::nat) / real (x) - real (n div x) <= 1
  apply(case-tac x = 0)
  apply (simp)

```

```

apply (simp add: compare-rls)
apply (subst real-of-nat-div-aux)
  apply simp
apply simp
done

```

```

lemma real-of-nat-div4: real (n div x) <= real (n::nat) / real x
  by (insert real-of-nat-div2 [of n x], simp)

```

```

lemma real-of-int-real-of-nat: real (int n) = real n
by (simp add: real-of-nat-def real-of-int-def int-eq-of-nat)

```

```

lemma real-of-int-of-nat-eq [simp]: real (of-nat n :: int) = real n
by (simp add: real-of-int-def real-of-nat-def)

```

```

lemma real-nat-eq-real [simp]: 0 <= x ==> real(nat x) = real x
  apply (subgoal-tac real(int(nat x)) = real(nat x))
  apply force
  apply (simp only: real-of-int-real-of-nat)
done

```

11.12 Numerals and Arithmetic

```

instance real :: number-ring
  real-number-of-def: number-of w ≡ real-of-int w
  by intro-classes (simp add: real-number-of-def)

```

```

lemma [code, code unfold]:
  number-of k = real-of-int (number-of k)
  unfolding number-of-is-id real-number-of-def ..

```

Collapse applications of *real* to *number-of*

```

lemma real-number-of [simp]: real (number-of v :: int) = number-of v
by (simp add: real-of-int-def of-int-number-of-eq)

```

```

lemma real-of-nat-number-of [simp]:
  real (number-of v :: nat) =
    (if neg (number-of v :: int) then 0
     else (number-of v :: real))
by (simp add: real-of-int-real-of-nat [symmetric] int-nat-number-of)

```

```

use real-arith.ML
declaration « K real-arith-setup »

```

11.13 Simprules combining x+y and 0: ARE THEY NEEDED?

Needed in this non-standard form by Hyperreal/Transcendental

```

lemma real-0-le-divide-iff:

```

$((0::\text{real}) \leq x/y) = ((x \leq 0 \mid 0 \leq y) \ \& \ (0 \leq x \mid y \leq 0))$
by (*simp add: real-divide-def zero-le-mult-iff, auto*)

lemma *real-add-minus-iff* [*simp*]: $(x + - a = (0::\text{real})) = (x=a)$
by *arith*

lemma *real-add-eq-0-iff*: $(x+y = (0::\text{real})) = (y = -x)$
by *auto*

lemma *real-add-less-0-iff*: $(x+y < (0::\text{real})) = (y < -x)$
by *auto*

lemma *real-0-less-add-iff*: $((0::\text{real}) < x+y) = (-x < y)$
by *auto*

lemma *real-add-le-0-iff*: $(x+y \leq (0::\text{real})) = (y \leq -x)$
by *auto*

lemma *real-0-le-add-iff*: $((0::\text{real}) \leq x+y) = (-x \leq y)$
by *auto*

11.13.1 Density of the Reals

lemma *real-lbound-gt-zero*:
 $[(0::\text{real}) < d1; 0 < d2] ==> \exists e. 0 < e \ \& \ e < d1 \ \& \ e < d2$
apply (*rule-tac x = (min d1 d2) / 2 in exI*)
apply (*simp add: min-def*)
done

Similar results are proved in *Ring-and-Field*

lemma *real-less-half-sum*: $x < y ==> x < (x+y) / (2::\text{real})$
by *auto*

lemma *real-gt-half-sum*: $x < y ==> (x+y)/(2::\text{real}) < y$
by *auto*

11.14 Absolute Value Function for the Reals

lemma *abs-minus-add-cancel*: $\text{abs}(x + (-y)) = \text{abs} (y + -(x::\text{real}))$
by (*simp add: abs-if*)

lemma *abs-le-interval-iff*: $(\text{abs } x \leq r) = (-r \leq x \ \& \ x \leq (r::\text{real}))$
by (*force simp add: OrderedGroup.abs-le-iff*)

lemma *abs-add-one-gt-zero* [*simp*]: $(0::\text{real}) < 1 + \text{abs}(x)$
by (*simp add: abs-if*)

lemma *abs-real-of-nat-cancel* [*simp*]: $\text{abs} (\text{real } x) = \text{real } (x::\text{nat})$

by (rule *abs-of-nonneg* [*OF real-of-nat-ge-zero*])

lemma *abs-add-one-not-less-self* [*simp*]: $\sim \text{abs}(x) + (1::\text{real}) < x$
by *simp*

lemma *abs-sum-triangle-ineq*: $\text{abs} ((x::\text{real}) + y + (-l + -m)) \leq \text{abs}(x + -l) + \text{abs}(y + -m)$
by *simp*

11.15 Implementation of rational real numbers as pairs of integers

definition

Ratreal :: *int* $\times$ *int* $\Rightarrow$ *real*

where

Ratreal = *INum*

code-datatype *Ratreal*

lemma *Ratreal-simp*:

Ratreal (*k*, *l*) = *real-of-int* *k* / *real-of-int* *l*

unfolding *Ratreal-def* *INum-def* **by** *simp*

lemma *Ratreal-zero* [*simp*]: *Ratreal* 0_N = 0
by (*simp add: Ratreal-simp*)

lemma *Ratreal-lit* [*simp*]: *Ratreal* *i*_N = *real-of-int* *i*
by (*simp add: Ratreal-simp*)

lemma *zero-real-code* [*code*, *code unfold*]:
0 = *Ratreal* 0_N **by** *simp*

lemma *one-real-code* [*code*, *code unfold*]:
1 = *Ratreal* 1_N **by** *simp*

instance *real* :: *eq* ..

lemma *real-eq-code* [*code*]: *Ratreal* *x* = *Ratreal* *y* $\longleftrightarrow$ *normNum* *x* = *normNum* *y*
unfolding *Ratreal-def* *INum-normNum-iff* ..

lemma *real-less-eq-code* [*code*]: *Ratreal* *x* $\leq$ *Ratreal* *y* $\longleftrightarrow$ *normNum* *x* $\leq_N$ *normNum* *y*

proof –

have *normNum* *x* $\leq_N$ *normNum* *y* $\longleftrightarrow$ *Ratreal* (*normNum* *x*) $\leq$ *Ratreal* (*normNum* *y*)

by (*simp add: Ratreal-def del: normNum*)

also have ... = (*Ratreal* *x* $\leq$ *Ratreal* *y*) **by** (*simp add: Ratreal-def*)

finally show ?thesis **by** *simp*

qed

```

lemma real-less-code [code]:  $\text{Ratreal } x < \text{Ratreal } y \longleftrightarrow \text{normNum } x <_N \text{normNum } y$ 
proof –
  have  $\text{normNum } x <_N \text{normNum } y \longleftrightarrow \text{Ratreal } (\text{normNum } x) < \text{Ratreal } (\text{normNum } y)$ 
  by (simp add: Ratreal-def del: normNum)
  also have  $\dots = (\text{Ratreal } x < \text{Ratreal } y)$  by (simp add: Ratreal-def)
  finally show ?thesis by simp
qed

```

```

lemma real-add-code [code]:  $\text{Ratreal } x + \text{Ratreal } y = \text{Ratreal } (x +_N y)$ 
unfolding Ratreal-def by simp

```

```

lemma real-mul-code [code]:  $\text{Ratreal } x * \text{Ratreal } y = \text{Ratreal } (x *_N y)$ 
unfolding Ratreal-def by simp

```

```

lemma real-neg-code [code]:  $-\text{Ratreal } x = \text{Ratreal } (\sim_N x)$ 
unfolding Ratreal-def by simp

```

```

lemma real-sub-code [code]:  $\text{Ratreal } x - \text{Ratreal } y = \text{Ratreal } (x -_N y)$ 
unfolding Ratreal-def by simp

```

```

lemma real-inv-code [code]:  $\text{inverse } (\text{Ratreal } x) = \text{Ratreal } (Ninv x)$ 
unfolding Ratreal-def Ninv real-divide-def by simp

```

```

lemma real-div-code [code]:  $\text{Ratreal } x / \text{Ratreal } y = \text{Ratreal } (x \div_N y)$ 
unfolding Ratreal-def by simp

```

Setup for SML code generator

```

types-code
  real ((int */ int))
attach (term-of) ⟨⟨
fun term-of-real (p, q) =
```

let
 val *rT* = *HOLogic.realT*
in
 if *q* = 1 *orelse* *p* = 0 *then* *HOLogic.mk-number rT p*
 else @{*term op / :: real ⇒ real ⇒ real*} \$
 HOLogic.mk-number rT p \$ *HOLogic.mk-number rT q*
 end;
 ⟩⟩
attach (*test*) ⟨⟨
fun *gen-real* *i* =

let
 val *p* = *random-range* 0 *i*;
 val *q* = *random-range* 1 (*i* + 1);
 val *g* = *Integer.gcd* *p* *q*;
 val *p'* = *p div g*;

```

    val q' = q div g;
  in
    (if one-of [true, false] then p' else ~ p',
     if p' = 0 then 0 else q')
  end;
>>

consts-code
  Ratreal ((-))

consts-code
  of-int :: int ⇒ real (⟨module⟩real'-of'-int)
attach ⟨⟨
  fun real-of-int 0 = (0, 0)
    | real-of-int i = (i, 1);
  ⟩⟩

declare real-of-int-of-nat-eq [symmetric, code]

end

```

12 Completeness of the Reals; Floor and Ceiling Functions

```

theory RComplete
imports Lubs RealDef
begin

```

```

lemma real-sum-of-halves:  $x/2 + x/2 = (x::real)$ 
  by simp

```

12.1 Completeness of Positive Reals

Supremum property for the set of positive reals

Let P be a non-empty set of positive reals, with an upper bound y . Then P has a least upper bound (written S).

FIXME: Can the premise be weakened to $\forall x \in P. x \leq y$?

```

lemma posreal-complete:
  assumes positive-P:  $\forall x \in P. (0::real) < x$ 
  and not-empty-P:  $\exists x. x \in P$ 
  and upper-bound-Ex:  $\exists y. \forall x \in P. x < y$ 
  shows  $\exists S. \forall y. (\exists x \in P. y < x) = (y < S)$ 
proof (rule exI, rule allI)
  fix y
  let ?pP =  $\{w. \text{real-of-preal } w \in P\}$ 

```

show $(\exists x \in P. y < x) = (y < \text{real-of-preal } (\text{psup } ?pP))$
proof (cases $0 < y$)
 assume $\text{neg-}y: \neg 0 < y$
 show *?thesis*
 proof
 assume $\exists x \in P. y < x$
 have $\forall x. y < \text{real-of-preal } x$
 using $\text{neg-}y$ **by** (rule *real-less-all-real2*)
 thus $y < \text{real-of-preal } (\text{psup } ?pP)$..
 next
 assume $y < \text{real-of-preal } (\text{psup } ?pP)$
 obtain x **where** $x\text{-in-}P: x \in P$ **using** *not-empty-P* ..
 hence $0 < x$ **using** *positive-P* **by** *simp*
 hence $y < x$ **using** $\text{neg-}y$ **by** *simp*
 thus $\exists x \in P. y < x$ **using** $x\text{-in-}P$..
 qed
next
 assume $\text{pos-}y: 0 < y$

 then obtain py **where** $y\text{-is-}py: y = \text{real-of-preal } py$
 by (auto *simp add: real-gt-zero-preal-Ex*)

 obtain a **where** $a \in P$ **using** *not-empty-P* ..
 with *positive-P* **have** $a\text{-pos}: 0 < a$..
 then obtain pa **where** $a = \text{real-of-preal } pa$
 by (auto *simp add: real-gt-zero-preal-Ex*)
 hence $pa \in ?pP$ **using** $\langle a \in P \rangle$ **by** *auto*
 hence $pP\text{-not-empty}: ?pP \neq \{\}$ **by** *auto*

 obtain sup **where** $\text{sup}: \forall x \in P. x < \text{sup}$
 using *upper-bound-Ex* ..
 from this and $\langle a \in P \rangle$ **have** $a < \text{sup}$..
 hence $0 < \text{sup}$ **using** $a\text{-pos}$ **by** *arith*
 then obtain possup **where** $\text{sup} = \text{real-of-preal } \text{possup}$
 by (auto *simp add: real-gt-zero-preal-Ex*)
 hence $\forall X \in ?pP. X \leq \text{possup}$
 using sup **by** (auto *simp add: real-of-preal-lessI*)
 with $pP\text{-not-empty}$ **have** $\text{psup}: \bigwedge Z. (\exists X \in ?pP. Z < X) = (Z < \text{psup } ?pP)$
 by (rule *preal-complete*)

show *?thesis*
proof
 assume $\exists x \in P. y < x$
 then obtain x **where** $x\text{-in-}P: x \in P$ **and** $y\text{-less-}x: y < x$..
 hence $0 < x$ **using** $\text{pos-}y$ **by** *arith*
 then obtain px **where** $x\text{-is-}px: x = \text{real-of-preal } px$
 by (auto *simp add: real-gt-zero-preal-Ex*)

 have $py\text{-less-}X: \exists X \in ?pP. py < X$

```

proof
  show  $py < px$  using  $y\text{-is-}py$  and  $x\text{-is-}px$  and  $y\text{-less-}x$ 
    by (simp add: real-of-preal-lessI)
  show  $px \in ?pP$  using  $x\text{-in-}P$  and  $x\text{-is-}px$  by simp
qed

  have  $(\exists X \in ?pP. py < X) \implies (py < psup\ ?pP)$ 
    using psup by simp
  hence  $py < psup\ ?pP$  using  $py\text{-less-}X$  by simp
  thus  $y < \text{real-of-preal}\ (psup\ \{w. \text{real-of-preal}\ } w \in P\})$ 
    using  $y\text{-is-}py$  and  $pos\text{-}y$  by (simp add: real-of-preal-lessI)
next
  assume  $y\text{-less-psup: } y < \text{real-of-preal}\ (psup\ ?pP)$ 

  hence  $py < psup\ ?pP$  using  $y\text{-is-}py$ 
    by (simp add: real-of-preal-lessI)
  then obtain  $X$  where  $py\text{-less-}X: py < X$  and  $X\text{-in-}pP: X \in ?pP$ 
    using psup by auto
  then obtain  $x$  where  $x\text{-is-}X: x = \text{real-of-preal}\ X$ 
    by (simp add: real-gt-zero-preal-Ex)
  hence  $y < x$  using  $py\text{-less-}X$  and  $y\text{-is-}py$ 
    by (simp add: real-of-preal-lessI)

  moreover have  $x \in P$  using  $x\text{-is-}X$  and  $X\text{-in-}pP$  by simp

  ultimately show  $\exists x \in P. y < x$  ..
qed
qed
qed

```

Completeness properties using *isUb*, *isLub* etc.

```

lemma real-isLub-unique:  $[\text{isLub } R\ S\ x; \text{isLub } R\ S\ y] \implies x = (y::\text{real})$ 
  apply (frule isLub-isUb)
  apply (frule-tac x = y in isLub-isUb)
  apply (blast intro!: order-antisym dest!: isLub-le-isUb)
done

```

Completeness theorem for the positive reals (again).

```

lemma posreals-complete:
  assumes positive-S:  $\forall x \in S. 0 < x$ 
    and not-empty-S:  $\exists x. x \in S$ 
    and upper-bound-Ex:  $\exists u. \text{isUb}\ (UNIV::\text{real set})\ S\ u$ 
  shows  $\exists t. \text{isLub}\ (UNIV::\text{real set})\ S\ t$ 
proof
  let  $?pS = \{w. \text{real-of-preal}\ } w \in S\}$ 

  obtain  $u$  where  $\text{isUb}\ UNIV\ S\ u$  using upper-bound-Ex ..
  hence sup:  $\forall x \in S. x \leq u$  by (simp add: isUb-def settle-def)

```

obtain x **where** $x\text{-in-}S$: $x \in S$ **using** $\text{not-empty-}S$..
hence $x\text{-gt-zero}$: $0 < x$ **using** $\text{positive-}S$ **by** simp
have $x \leq u$ **using** sup **and** $x\text{-in-}S$..
hence $0 < u$ **using** $x\text{-gt-zero}$ **by** arith

then obtain pu **where** $u\text{-is-}pu$: $u = \text{real-of-preal } pu$
by $(\text{auto simp add: real-gt-zero-preal-Ex})$

have $pS\text{-less-}pu$: $\forall pa \in ?pS. pa \leq pu$
proof
fix pa
assume $pa \in ?pS$
then obtain a **where** $a \in S$ **and** $a = \text{real-of-preal } pa$
by simp
moreover hence $a \leq u$ **using** sup **by** simp
ultimately show $pa \leq pu$
using sup **and** $u\text{-is-}pu$ **by** $(\text{simp add: real-of-preal-le-iff})$
qed

have $\forall y \in S. y \leq \text{real-of-preal } (\text{psup } ?pS)$
proof
fix y
assume $y\text{-in-}S$: $y \in S$
hence $0 < y$ **using** $\text{positive-}S$ **by** simp
then obtain py **where** $y\text{-is-}py$: $y = \text{real-of-preal } py$
by $(\text{auto simp add: real-gt-zero-preal-Ex})$
hence $py\text{-in-}pS$: $py \in ?pS$ **using** $y\text{-in-}S$ **by** simp
with $pS\text{-less-}pu$ **have** $py \leq \text{psup } ?pS$
by $(\text{rule preal-psup-le})$
thus $y \leq \text{real-of-preal } (\text{psup } ?pS)$
using $y\text{-is-}py$ **by** $(\text{simp add: real-of-preal-le-iff})$
qed

moreover {
fix x
assume $x\text{-ub-}S$: $\forall y \in S. y \leq x$
have $\text{real-of-preal } (\text{psup } ?pS) \leq x$
proof –
obtain s **where** $s\text{-in-}S$: $s \in S$ **using** $\text{not-empty-}S$..
hence $s\text{-pos}$: $0 < s$ **using** $\text{positive-}S$ **by** simp

hence $\exists ps. s = \text{real-of-preal } ps$ **by** $(\text{simp add: real-gt-zero-preal-Ex})$
then obtain ps **where** $s\text{-is-}ps$: $s = \text{real-of-preal } ps$..
hence $ps\text{-in-}pS$: $ps \in \{w. \text{real-of-preal } w \in S\}$ **using** $s\text{-in-}S$ **by** simp

from $x\text{-ub-}S$ **have** $s \leq x$ **using** $s\text{-in-}S$..
hence $0 < x$ **using** $s\text{-pos}$ **by** simp
hence $\exists px. x = \text{real-of-preal } px$ **by** $(\text{simp add: real-gt-zero-preal-Ex})$

then obtain px **where** $x\text{-is-}px: x = \text{real-of-preal } px \text{ ..}$

have $\forall pe \in ?pS. pe \leq px$

proof

fix pe

assume $pe \in ?pS$

hence $\text{real-of-preal } pe \in S$ **by** simp

hence $\text{real-of-preal } pe \leq x$ **using** $x\text{-ub-}S$ **by** simp

thus $pe \leq px$ **using** $x\text{-is-}px$ **by** $(\text{simp add: real-of-preal-le-iff})$

qed

moreover have $?pS \neq \{\}$ **using** $ps\text{-in-}pS$ **by** auto

ultimately have $(psup ?pS) \leq px$ **by** $(\text{simp add: psup-le-ub})$

thus $\text{real-of-preal } (psup ?pS) \leq x$ **using** $x\text{-is-}px$ **by** $(\text{simp add: real-of-preal-le-iff})$

qed

}

ultimately show $\text{isLub UNIV } S (\text{real-of-preal } (psup ?pS))$

by $(\text{simp add: isLub-def leastP-def isUb-def settle-def setge-def})$

qed

reals Completeness (again!)

lemma reals-complete:

assumes $\text{notempty-}S: \exists X. X \in S$

and $\text{exists-Ub: } \exists Y. \text{isUb } (\text{UNIV}::\text{real set}) S Y$

shows $\exists t. \text{isLub } (\text{UNIV}::\text{real set}) S t$

proof –

obtain X **where** $X\text{-in-}S: X \in S$ **using** $\text{notempty-}S \text{ ..}$

obtain Y **where** $Y\text{-isUb: isUb } (\text{UNIV}::\text{real set}) S Y$

using $\text{exists-Ub} \text{ ..}$

let $?SHIFT = \{z. \exists x \in S. z = x + (-X) + 1\} \cap \{x. 0 < x\}$

{

fix x

assume $\text{isUb } (\text{UNIV}::\text{real set}) S x$

hence $S\text{-le-}x: \forall y \in S. y \leq x$

by $(\text{simp add: isUb-def settle-def})$

{

fix s

assume $s \in \{z. \exists x \in S. z = x + -X + 1\}$

hence $\exists x \in S. s = x + -X + 1 \text{ ..}$

then obtain $x1$ **where** $x1 \in S$ **and** $s = x1 + (-X) + 1 \text{ ..}$

moreover hence $x1 \leq x$ **using** $S\text{-le-}x$ **by** simp

ultimately have $s \leq x + -X + 1$ **by** arith

}

then have $\text{isUb } (\text{UNIV}::\text{real set}) ?SHIFT (x + (-X) + 1)$

by $(\text{auto simp add: isUb-def settle-def})$

} **note** $S\text{-Ub-is-}SHIFT\text{-Ub} = \text{this}$

hence $\text{isUb UNIV } ?SHIFT (Y + (-X) + 1)$ **using** $Y\text{-isUb}$ **by** simp

hence $\exists Z. \text{isUb UNIV ?SHIFT } Z \dots$
 moreover have $\forall y \in ?SHIFT. 0 < y$ by *auto*
 moreover have *shifted-not-empty*: $\exists u. u \in ?SHIFT$
 using *X-in-S* and *Y-isUb* by *auto*
 ultimately obtain *t* where *t-is-Lub*: *isLub UNIV ?SHIFT t*
 using *posreals-complete* [of *?SHIFT*] by *blast*

show *?thesis*
 proof
 show *isLub UNIV S (t + X + (-1))*
 proof (rule *isLubI2*)
 {
 fix *x*
 assume *isUb (UNIV::real set) S x*
 hence *isUb (UNIV::real set) (?SHIFT) (x + (-X) + 1)*
 using *S-Ub-is-SHIFT-Ub* by *simp*
 hence $t \leq (x + (-X) + 1)$
 using *t-is-Lub* by (*simp add: isLub-le-isUb*)
 hence $t + X + -1 \leq x$ by *arith*
 }
 then show $(t + X + -1) \leq^* \text{Collect (isUb UNIV S)}$
 by (*simp add: setgeI*)
 next
 show *isUb UNIV S (t + X + -1)*
 proof -
 {
 fix *y*
 assume *y-in-S*: $y \in S$
 have $y \leq t + X + -1$
 proof -
 obtain *u* where *u-in-shift*: $u \in ?SHIFT$ using *shifted-not-empty* ..
 hence $\exists x \in S. u = x + -X + 1$ by *simp*
 then obtain *x* where *x-and-u*: $u = x + -X + 1 \dots$
 have *u-le-t*: $u \leq t$ using *u-in-shift* and *t-is-Lub* by (*simp add: isLubD2*)

 show *?thesis*
 proof *cases*
 assume $y \leq x$
 moreover have $x = u + X + -1$ using *x-and-u* by *arith*
 moreover have $u + X + -1 \leq t + X + -1$ using *u-le-t* by *arith*
 ultimately show $y \leq t + X + -1$ by *arith*
 next
 assume $\sim(y \leq x)$
 hence *x-less-y*: $x < y$ by *arith*

 have $x + (-X) + 1 \in ?SHIFT$ using *x-and-u* and *u-in-shift* by *simp*
 hence $0 < x + (-X) + 1$ by *simp*
 hence $0 < y + (-X) + 1$ using *x-less-y* by *arith*
 hence $y + (-X) + 1 \in ?SHIFT$ using *y-in-S* by *simp*

```

      hence  $y + (-X) + 1 \leq t$  using t-is-Lub by (simp add: isLubD2)
      thus ?thesis by simp
    qed
  qed
}
then show ?thesis by (simp add: isUb-def settle-def)
qed
qed
qed
qed

```

12.2 The Archimedean Property of the Reals

```

theorem reals-Archimedean:
  assumes x-pos:  $0 < x$ 
  shows  $\exists n. \text{inverse} (\text{real} (\text{Suc } n)) < x$ 
proof (rule ccontr)
  assume contr:  $\neg ?thesis$ 
  have  $\forall n. x * \text{real} (\text{Suc } n) \leq 1$ 
  proof
    fix n
    from contr have  $x \leq \text{inverse} (\text{real} (\text{Suc } n))$ 
    by (simp add: linorder-not-less)
    hence  $x \leq (1 / (\text{real} (\text{Suc } n)))$ 
    by (simp add: inverse-eq-divide)
    moreover have  $0 \leq \text{real} (\text{Suc } n)$ 
    by (rule real-of-nat-ge-zero)
    ultimately have  $x * \text{real} (\text{Suc } n) \leq (1 / \text{real} (\text{Suc } n)) * \text{real} (\text{Suc } n)$ 
    by (rule mult-right-mono)
    thus  $x * \text{real} (\text{Suc } n) \leq 1$  by simp
  qed
  hence  $\{z. \exists n. z = x * (\text{real} (\text{Suc } n))\} * \leq 1$ 
  by (simp add: settle-def, safe, rule spec)
  hence isUb (UNIV::real set)  $\{z. \exists n. z = x * (\text{real} (\text{Suc } n))\} 1$ 
  by (simp add: isUbI)
  hence  $\exists Y. \text{isUb} (\text{UNIV}::\text{real set}) \{z. \exists n. z = x * (\text{real} (\text{Suc } n))\} Y ..$ 
  moreover have  $\exists X. X \in \{z. \exists n. z = x * (\text{real} (\text{Suc } n))\}$  by auto
  ultimately have  $\exists t. \text{isLub UNIV} \{z. \exists n. z = x * \text{real} (\text{Suc } n)\} t$ 
  by (simp add: reals-complete)
  then obtain t where
    t-is-Lub: isLub UNIV  $\{z. \exists n. z = x * \text{real} (\text{Suc } n)\} t ..$ 

  have  $\forall n::\text{nat}. x * \text{real } n \leq t + - x$ 
  proof
    fix n
    from t-is-Lub have  $x * \text{real} (\text{Suc } n) \leq t$ 
    by (simp add: isLubD2)
    hence  $x * (\text{real } n) + x \leq t$ 
    by (simp add: right-distrib real-of-nat-Suc)
  proof

```

```

    thus  $x * (\text{real } n) \leq t + -x$  by arith
  qed

  hence  $\forall m. x * \text{real } (\text{Suc } m) \leq t + -x$  by simp
  hence  $\{z. \exists n. z = x * (\text{real } (\text{Suc } n))\} * \leq (t + -x)$ 
    by (auto simp add: settle-def)
  hence  $\text{isUb } (\text{UNIV}::\text{real set}) \{z. \exists n. z = x * (\text{real } (\text{Suc } n))\} (t + (-x))$ 
    by (simp add: isUbI)
  hence  $t \leq t + -x$ 
    using t-is-Lub by (simp add: isLub-le-isUb)
  thus False using x-pos by arith
qed

```

There must be other proofs, e.g. *Suc* of the largest integer in the cut representing x .

```

lemma reals-Archimedean2:  $\exists n. (x::\text{real}) < \text{real } (n::\text{nat})$ 
proof cases
  assume  $x \leq 0$ 
  hence  $x < \text{real } (1::\text{nat})$  by simp
  thus ?thesis ..
next
  assume  $\neg x \leq 0$ 
  hence x-greater-zero:  $0 < x$  by simp
  hence  $0 < \text{inverse } x$  by simp
  then obtain  $n$  where  $\text{inverse } (\text{real } (\text{Suc } n)) < \text{inverse } x$ 
    using reals-Archimedean by blast
  hence  $\text{inverse } (\text{real } (\text{Suc } n)) * x < \text{inverse } x * x$ 
    using x-greater-zero by (rule mult-strict-right-mono)
  hence  $\text{inverse } (\text{real } (\text{Suc } n)) * x < 1$ 
    using x-greater-zero by simp
  hence  $\text{real } (\text{Suc } n) * (\text{inverse } (\text{real } (\text{Suc } n)) * x) < \text{real } (\text{Suc } n) * 1$ 
    by (rule mult-strict-left-mono) simp
  hence  $x < \text{real } (\text{Suc } n)$ 
    by (simp add: ring-simps)
  thus  $\exists (n::\text{nat}). x < \text{real } n$  ..
qed

```

```

lemma reals-Archimedean3:
  assumes x-greater-zero:  $0 < x$ 
  shows  $\forall (y::\text{real}). \exists (n::\text{nat}). y < \text{real } n * x$ 
proof
  fix  $y$ 
  have x-not-zero:  $x \neq 0$  using x-greater-zero by simp
  obtain  $n$  where  $y * \text{inverse } x < \text{real } (n::\text{nat})$ 
    using reals-Archimedean2 ..
  hence  $y * \text{inverse } x * x < \text{real } n * x$ 
    using x-greater-zero by (simp add: mult-strict-right-mono)
  hence  $x * \text{inverse } x * y < x * \text{real } n$ 
    by (simp add: ring-simps)

```

hence $y < \text{real } (n::\text{nat}) * x$
 using $x\text{-not-zero}$ by (*simp add: ring-simps*)
 thus $\exists (n::\text{nat}). y < \text{real } n * x ..$
 qed

lemma *reals-Archimedean6*:

$0 \leq r \implies \exists (n::\text{nat}). \text{real } (n - 1) \leq r \ \& \ r < \text{real } (n)$
 apply (*insert reals-Archimedean2 [of r], safe*)
 apply (*subgoal-tac* $\exists x::\text{nat}. r < \text{real } x \wedge (\forall y. r < \text{real } y \longrightarrow x \leq y)$, *auto*)
 apply (*rule-tac* $x = x$ in *exI*)
 apply (*case-tac* x , *simp*)
 apply (*rename-tac* x')
 apply (*drule-tac* $x = x'$ in *spec*, *simp*)
 apply (*rule-tac* $x = \text{LEAST } n. r < \text{real } n$ in *exI*, *safe*)
 apply (*erule LeastI*, *erule Least-le*)
 done

lemma *reals-Archimedean6a*: $0 \leq r \implies \exists n. \text{real } (n) \leq r \ \& \ r < \text{real } (\text{Suc } n)$
 by (*drule reals-Archimedean6*) *auto*

lemma *reals-Archimedean-6b-int*:

$0 \leq r \implies \exists n::\text{int}. \text{real } n \leq r \ \& \ r < \text{real } (n+1)$
 apply (*drule reals-Archimedean6a*, *auto*)
 apply (*rule-tac* $x = \text{int } n$ in *exI*)
 apply (*simp add: real-of-int-real-of-nat real-of-nat-Suc*)
 done

lemma *reals-Archimedean-6c-int*:

$r < 0 \implies \exists n::\text{int}. \text{real } n \leq r \ \& \ r < \text{real } (n+1)$
 apply (*rule reals-Archimedean-6b-int [of -r, THEN exE]*, *simp*, *auto*)
 apply (*rename-tac* n)
 apply (*drule order-le-imp-less-or-eq*, *auto*)
 apply (*rule-tac* $x = - n - 1$ in *exI*)
 apply (*rule-tac* $[2] \ x = - n$ in *exI*, *auto*)
 done

12.3 Floor and Ceiling Functions from the Reals to the Integers

definition

$\text{floor} :: \text{real} \Rightarrow \text{int}$ **where**
 $\text{floor } r = (\text{LEAST } n::\text{int}. r < \text{real } (n+1))$

definition

$\text{ceiling} :: \text{real} \Rightarrow \text{int}$ **where**
 $\text{ceiling } r = - \text{floor } (- r)$

notation (*xsymbols*)

$\text{floor } \lfloor \cdot \rfloor$ **and**

```

ceiling ( $\lceil \cdot \rceil$ )

notation (HTML output)
floor ( $\lfloor \cdot \rfloor$ ) and
ceiling ( $\lceil \cdot \rceil$ )

lemma number-of-less-real-of-int-iff [simp]:
   $((\text{number-of } n) < \text{real } (m::\text{int})) = (\text{number-of } n < m)$ 
apply auto
apply (rule real-of-int-less-iff [THEN iffD1])
apply (drule-tac [2] real-of-int-less-iff [THEN iffD2], auto)
done

lemma number-of-less-real-of-int-iff2 [simp]:
   $(\text{real } (m::\text{int}) < (\text{number-of } n)) = (m < \text{number-of } n)$ 
apply auto
apply (rule real-of-int-less-iff [THEN iffD1])
apply (drule-tac [2] real-of-int-less-iff [THEN iffD2], auto)
done

lemma number-of-le-real-of-int-iff [simp]:
   $((\text{number-of } n) \leq \text{real } (m::\text{int})) = (\text{number-of } n \leq m)$ 
by (simp add: linorder-not-less [symmetric])

lemma number-of-le-real-of-int-iff2 [simp]:
   $(\text{real } (m::\text{int}) \leq (\text{number-of } n)) = (m \leq \text{number-of } n)$ 
by (simp add: linorder-not-less [symmetric])

lemma floor-zero [simp]: floor 0 = 0
apply (simp add: floor-def del: real-of-int-add)
apply (rule Least-equality)
apply simp-all
done

lemma floor-real-of-nat-zero [simp]: floor (real (0::nat)) = 0
by auto

lemma floor-real-of-nat [simp]: floor (real (n::nat)) = int n
apply (simp only: floor-def)
apply (rule Least-equality)
apply (drule-tac [2] real-of-int-of-nat-eq [THEN ssubst])
apply (drule-tac [2] real-of-int-less-iff [THEN iffD1])
apply simp-all
done

lemma floor-minus-real-of-nat [simp]: floor ( $-\text{real } (n::\text{nat})$ ) =  $-\text{int } n$ 
apply (simp only: floor-def)
apply (rule Least-equality)

```

```

apply (drule-tac [2] real-of-int-of-nat-eq [THEN ssubst])
apply (drule-tac [2] real-of-int-minus [THEN sym, THEN subst])
apply (drule-tac [2] real-of-int-less-iff [THEN iffD1])
apply simp-all
done

```

```

lemma floor-real-of-int [simp]: floor (real (n::int)) = n
apply (simp only: floor-def)
apply (rule Least-equality)
apply auto
done

```

```

lemma floor-minus-real-of-int [simp]: floor ( $- \text{real } (n::int)$ ) =  $- n$ 
apply (simp only: floor-def)
apply (rule Least-equality)
apply (drule-tac [2] real-of-int-minus [THEN sym, THEN subst])
apply auto
done

```

```

lemma real-lb-ub-int:  $\exists n::int. \text{real } n \leq r \ \& \ r < \text{real } (n+1)$ 
apply (case-tac  $r < 0$ )
apply (blast intro: reals-Archimedean-6c-int)
apply (simp only: linorder-not-less)
apply (blast intro: reals-Archimedean-6b-int reals-Archimedean-6c-int)
done

```

```

lemma lemma-floor:
  assumes a1:  $\text{real } m \leq r$  and a2:  $r < \text{real } n + 1$ 
  shows  $m \leq (n::int)$ 
proof –
  have  $\text{real } m < \text{real } n + 1$  using a1 a2 by (rule order-le-less-trans)
  also have  $\dots = \text{real } (n + 1)$  by simp
  finally have  $m < n + 1$  by (simp only: real-of-int-less-iff)
  thus ?thesis by arith
qed

```

```

lemma real-of-int-floor-le [simp]:  $\text{real } (\text{floor } r) \leq r$ 
apply (simp add: floor-def Least-def)
apply (insert real-lb-ub-int [of r], safe)
apply (rule theI2)
apply auto
done

```

```

lemma floor-mono:  $x < y \implies \text{floor } x \leq \text{floor } y$ 
apply (simp add: floor-def Least-def)
apply (insert real-lb-ub-int [of x])
apply (insert real-lb-ub-int [of y], safe)
apply (rule theI2)
apply (rule-tac [3] theI2)

```

```

apply simp
apply (erule conjI)
apply (auto simp add: order-eq-iff int-le-real-less)
done

lemma floor-mono2:  $x \leq y \implies \text{floor } x \leq \text{floor } y$ 
by (auto dest: order-le-imp-less-or-eq simp add: floor-mono)

lemma lemma-floor2:  $\text{real } n < \text{real } (x::\text{int}) + 1 \implies n \leq x$ 
by (auto intro: lemma-floor)

lemma real-of-int-floor-cancel [simp]:
   $(\text{real } (\text{floor } x) = x) = (\exists n::\text{int}. x = \text{real } n)$ 
apply (simp add: floor-def Least-def)
apply (insert real-lb-ub-int [of x], erule exE)
apply (rule theI2)
apply (auto intro: lemma-floor)
done

lemma floor-eq:  $[\text{real } n < x; x < \text{real } n + 1] \implies \text{floor } x = n$ 
apply (simp add: floor-def)
apply (rule Least-equality)
apply (auto intro: lemma-floor)
done

lemma floor-eq2:  $[\text{real } n \leq x; x < \text{real } n + 1] \implies \text{floor } x = n$ 
apply (simp add: floor-def)
apply (rule Least-equality)
apply (auto intro: lemma-floor)
done

lemma floor-eq3:  $[\text{real } n < x; x < \text{real } (\text{Suc } n)] \implies \text{nat}(\text{floor } x) = n$ 
apply (rule inj-int [THEN injD])
apply (simp add: real-of-nat-Suc)
apply (simp add: real-of-nat-Suc floor-eq floor-eq [where n = int n])
done

lemma floor-eq4:  $[\text{real } n \leq x; x < \text{real } (\text{Suc } n)] \implies \text{nat}(\text{floor } x) = n$ 
apply (drule order-le-imp-less-or-eq)
apply (auto intro: floor-eq3)
done

lemma floor-number-of-eq [simp]:
   $\text{floor}(\text{number-of } n :: \text{real}) = (\text{number-of } n :: \text{int})$ 
apply (subst real-number-of [symmetric])
apply (rule floor-real-of-int)
done

lemma floor-one [simp]:  $\text{floor } 1 = 1$ 

```

```

  apply (rule trans)
  prefer 2
  apply (rule floor-real-of-int)
  apply simp
done

lemma real-of-int-floor-ge-diff-one [simp]:  $r - 1 \leq \text{real}(\text{floor } r)$ 
  apply (simp add: floor-def Least-def)
  apply (insert real-lb-ub-int [of r], safe)
  apply (rule theI2)
  apply (auto intro: lemma-floor)
done

lemma real-of-int-floor-gt-diff-one [simp]:  $r - 1 < \text{real}(\text{floor } r)$ 
  apply (simp add: floor-def Least-def)
  apply (insert real-lb-ub-int [of r], safe)
  apply (rule theI2)
  apply (auto intro: lemma-floor)
done

lemma real-of-int-floor-add-one-ge [simp]:  $r \leq \text{real}(\text{floor } r) + 1$ 
  apply (insert real-of-int-floor-ge-diff-one [of r])
  apply (auto simp del: real-of-int-floor-ge-diff-one)
done

lemma real-of-int-floor-add-one-gt [simp]:  $r < \text{real}(\text{floor } r) + 1$ 
  apply (insert real-of-int-floor-gt-diff-one [of r])
  apply (auto simp del: real-of-int-floor-gt-diff-one)
done

lemma le-floor:  $\text{real } a \leq x \iff a \leq \text{floor } x$ 
  apply (subgoal-tac  $a < \text{floor } x + 1$ )
  apply arith
  apply (subst real-of-int-less-iff [THEN sym])
  apply simp
  apply (insert real-of-int-floor-add-one-gt [of x])
  apply arith
done

lemma real-le-floor:  $a \leq \text{floor } x \iff \text{real } a \leq x$ 
  apply (rule order-trans)
  prefer 2
  apply (rule real-of-int-floor-le)
  apply (subst real-of-int-le-iff)
  apply assumption
done

lemma le-floor-eq:  $(a \leq \text{floor } x) = (\text{real } a \leq x)$ 
  apply (rule iffI)

```

```

    apply (erule real-le-floor)
    apply (erule le-floor)
done

lemma le-floor-eq-number-of [simp]:
  (number-of n <= floor x) = (number-of n <= x)
by (simp add: le-floor-eq)

lemma le-floor-eq-zero [simp]: (0 <= floor x) = (0 <= x)
by (simp add: le-floor-eq)

lemma le-floor-eq-one [simp]: (1 <= floor x) = (1 <= x)
by (simp add: le-floor-eq)

lemma floor-less-eq: (floor x < a) = (x < real a)
  apply (subst linorder-not-le [THEN sym])
  apply simp
  apply (rule le-floor-eq)
done

lemma floor-less-eq-number-of [simp]:
  (floor x < number-of n) = (x < number-of n)
by (simp add: floor-less-eq)

lemma floor-less-eq-zero [simp]: (floor x < 0) = (x < 0)
by (simp add: floor-less-eq)

lemma floor-less-eq-one [simp]: (floor x < 1) = (x < 1)
by (simp add: floor-less-eq)

lemma less-floor-eq: (a < floor x) = (real a + 1 <= x)
  apply (insert le-floor-eq [of a + 1 x])
  apply auto
done

lemma less-floor-eq-number-of [simp]:
  (number-of n < floor x) = (number-of n + 1 <= x)
by (simp add: less-floor-eq)

lemma less-floor-eq-zero [simp]: (0 < floor x) = (1 <= x)
by (simp add: less-floor-eq)

lemma less-floor-eq-one [simp]: (1 < floor x) = (2 <= x)
by (simp add: less-floor-eq)

lemma floor-le-eq: (floor x <= a) = (x < real a + 1)
  apply (insert floor-less-eq [of x a + 1])
  apply auto
done

```

```

lemma floor-le-eq-number-of [simp]:
  (floor x <= number-of n) = (x < number-of n + 1)
by (simp add: floor-le-eq)

lemma floor-le-eq-zero [simp]: (floor x <= 0) = (x < 1)
by (simp add: floor-le-eq)

lemma floor-le-eq-one [simp]: (floor x <= 1) = (x < 2)
by (simp add: floor-le-eq)

lemma floor-add [simp]: floor (x + real a) = floor x + a
  apply (subst order-eq-iff)
  apply (rule conjI)
  prefer 2
  apply (subgoal-tac floor x + a < floor (x + real a) + 1)
  apply arith
  apply (subst real-of-int-less-iff [THEN sym])
  apply simp
  apply (subgoal-tac x + real a < real(floor(x + real a)) + 1)
  apply (subgoal-tac real (floor x) <= x)
  apply arith
  apply (rule real-of-int-floor-le)
  apply (rule real-of-int-floor-add-one-gt)
  apply (subgoal-tac floor (x + real a) < floor x + a + 1)
  apply arith
  apply (subst real-of-int-less-iff [THEN sym])
  apply simp
  apply (subgoal-tac real(floor(x + real a)) <= x + real a)
  apply (subgoal-tac x < real(floor x) + 1)
  apply arith
  apply (rule real-of-int-floor-add-one-gt)
  apply (rule real-of-int-floor-le)
done

lemma floor-add-number-of [simp]:
  floor (x + number-of n) = floor x + number-of n
  apply (subst floor-add [THEN sym])
  apply simp
done

lemma floor-add-one [simp]: floor (x + 1) = floor x + 1
  apply (subst floor-add [THEN sym])
  apply simp
done

lemma floor-subtract [simp]: floor (x - real a) = floor x - a
  apply (subst diff-minus)
  apply (subst real-of-int-minus [THEN sym])

```

apply (rule floor-add)
done

lemma floor-subtract-number-of [simp]: floor (x - number-of n) =
 floor x - number-of n
apply (subst floor-subtract [THEN sym])
apply simp
done

lemma floor-subtract-one [simp]: floor (x - 1) = floor x - 1
apply (subst floor-subtract [THEN sym])
apply simp
done

lemma ceiling-zero [simp]: ceiling 0 = 0
by (simp add: ceiling-def)

lemma ceiling-real-of-nat [simp]: ceiling (real (n::nat)) = int n
by (simp add: ceiling-def)

lemma ceiling-real-of-nat-zero [simp]: ceiling (real (0::nat)) = 0
by auto

lemma ceiling-floor [simp]: ceiling (real (floor r)) = floor r
by (simp add: ceiling-def)

lemma floor-ceiling [simp]: floor (real (ceiling r)) = ceiling r
by (simp add: ceiling-def)

lemma real-of-int-ceiling-ge [simp]: r ≤ real (ceiling r)
apply (simp add: ceiling-def)
apply (subst le-minus-iff, simp)
done

lemma ceiling-mono: x < y ==> ceiling x ≤ ceiling y
by (simp add: floor-mono ceiling-def)

lemma ceiling-mono2: x ≤ y ==> ceiling x ≤ ceiling y
by (simp add: floor-mono2 ceiling-def)

lemma real-of-int-ceiling-cancel [simp]:
 (real (ceiling x) = x) = (∃ n::int. x = real n)
apply (auto simp add: ceiling-def)
apply (drule arg-cong [where f = uminus], auto)
apply (rule-tac x = -n in exI, auto)
done

lemma ceiling-eq: [real n < x; x < real n + 1] ==> ceiling x = n + 1
apply (simp add: ceiling-def)

```

apply (rule minus-equation-iff [THEN iffD1])
apply (simp add: floor-eq [where n = -(n+1)])
done

```

```

lemma ceiling-eq2: [| real n < x; x ≤ real n + 1 |] ==> ceiling x = n + 1
by (simp add: ceiling-def floor-eq2 [where n = -(n+1)])

```

```

lemma ceiling-eq3: [| real n - 1 < x; x ≤ real n |] ==> ceiling x = n
by (simp add: ceiling-def floor-eq2 [where n = -n])

```

```

lemma ceiling-real-of-int [simp]: ceiling (real (n::int)) = n
by (simp add: ceiling-def)

```

```

lemma ceiling-number-of-eq [simp]:
  ceiling (number-of n :: real) = (number-of n)
apply (subst real-number-of [symmetric])
apply (rule ceiling-real-of-int)
done

```

```

lemma ceiling-one [simp]: ceiling 1 = 1
by (unfold ceiling-def, simp)

```

```

lemma real-of-int-ceiling-diff-one-le [simp]: real (ceiling r) - 1 ≤ r
apply (rule neg-le-iff-le [THEN iffD1])
apply (simp add: ceiling-def diff-minus)
done

```

```

lemma real-of-int-ceiling-le-add-one [simp]: real (ceiling r) ≤ r + 1
apply (insert real-of-int-ceiling-diff-one-le [of r])
apply (simp del: real-of-int-ceiling-diff-one-le)
done

```

```

lemma ceiling-le: x ≤ real a ==> ceiling x ≤ a
apply (unfold ceiling-def)
apply (subgoal-tac -a ≤ floor(- x))
apply simp
apply (rule le-floor)
apply simp
done

```

```

lemma ceiling-le-real: ceiling x ≤ a ==> x ≤ real a
apply (unfold ceiling-def)
apply (subgoal-tac real(- a) ≤ - x)
apply simp
apply (rule real-le-floor)
apply simp
done

```

```

lemma ceiling-le-eq: (ceiling x ≤ a) = (x ≤ real a)

```

```

    apply (rule iffI)
    apply (erule ceiling-le-real)
    apply (erule ceiling-le)
done

```

```

lemma ceiling-le-eq-number-of [simp]:
  (ceiling x <= number-of n) = (x <= number-of n)
by (simp add: ceiling-le-eq)

```

```

lemma ceiling-le-zero-eq [simp]: (ceiling x <= 0) = (x <= 0)
by (simp add: ceiling-le-eq)

```

```

lemma ceiling-le-one [simp]: (ceiling x <= 1) = (x <= 1)
by (simp add: ceiling-le-eq)

```

```

lemma less-ceiling-eq: (a < ceiling x) = (real a < x)
  apply (subst linorder-not-le [THEN sym])
  apply simp
  apply (rule ceiling-le-eq)
done

```

```

lemma less-ceiling-eq-number-of [simp]:
  (number-of n < ceiling x) = (number-of n < x)
by (simp add: less-ceiling-eq)

```

```

lemma less-ceiling-eq-zero [simp]: (0 < ceiling x) = (0 < x)
by (simp add: less-ceiling-eq)

```

```

lemma less-ceiling-eq-one [simp]: (1 < ceiling x) = (1 < x)
by (simp add: less-ceiling-eq)

```

```

lemma ceiling-less-eq: (ceiling x < a) = (x <= real a - 1)
  apply (insert ceiling-le-eq [of x a - 1])
  apply auto
done

```

```

lemma ceiling-less-eq-number-of [simp]:
  (ceiling x < number-of n) = (x <= number-of n - 1)
by (simp add: ceiling-less-eq)

```

```

lemma ceiling-less-eq-zero [simp]: (ceiling x < 0) = (x <= -1)
by (simp add: ceiling-less-eq)

```

```

lemma ceiling-less-eq-one [simp]: (ceiling x < 1) = (x <= 0)
by (simp add: ceiling-less-eq)

```

```

lemma le-ceiling-eq: (a <= ceiling x) = (real a - 1 < x)
  apply (insert less-ceiling-eq [of a - 1 x])
  apply auto

```

done

lemma *le-ceiling-eq-number-of* [*simp*]:
 (*number-of* *n* <= *ceiling* *x*) = (*number-of* *n* - 1 < *x*)
by (*simp* *add*: *le-ceiling-eq*)

lemma *le-ceiling-eq-zero* [*simp*]: (*0* <= *ceiling* *x*) = (-1 < *x*)
by (*simp* *add*: *le-ceiling-eq*)

lemma *le-ceiling-eq-one* [*simp*]: (1 <= *ceiling* *x*) = (0 < *x*)
by (*simp* *add*: *le-ceiling-eq*)

lemma *ceiling-add* [*simp*]: *ceiling* (*x* + *real* *a*) = *ceiling* *x* + *a*
 apply (*unfold* *ceiling-def*, *simp*)
 apply (*subst* *real-of-int-minus* [*THEN* *sym*])
 apply (*subst* *floor-add*)
 apply *simp*
done

lemma *ceiling-add-number-of* [*simp*]: *ceiling* (*x* + *number-of* *n*) =
 ceiling *x* + *number-of* *n*
 apply (*subst* *ceiling-add* [*THEN* *sym*])
 apply *simp*
done

lemma *ceiling-add-one* [*simp*]: *ceiling* (*x* + 1) = *ceiling* *x* + 1
 apply (*subst* *ceiling-add* [*THEN* *sym*])
 apply *simp*
done

lemma *ceiling-subtract* [*simp*]: *ceiling* (*x* - *real* *a*) = *ceiling* *x* - *a*
 apply (*subst* *diff-minus*) +
 apply (*subst* *real-of-int-minus* [*THEN* *sym*])
 apply (*rule* *ceiling-add*)
done

lemma *ceiling-subtract-number-of* [*simp*]: *ceiling* (*x* - *number-of* *n*) =
 ceiling *x* - *number-of* *n*
 apply (*subst* *ceiling-subtract* [*THEN* *sym*])
 apply *simp*
done

lemma *ceiling-subtract-one* [*simp*]: *ceiling* (*x* - 1) = *ceiling* *x* - 1
 apply (*subst* *ceiling-subtract* [*THEN* *sym*])
 apply *simp*
done

12.4 Versions for the natural numbers

definition

natfloor :: *real* => *nat* **where**
natfloor *x* = *nat*(*floor* *x*)

definition

natceiling :: *real* => *nat* **where**
natceiling *x* = *nat*(*ceiling* *x*)

lemma *natfloor-zero* [*simp*]: *natfloor* 0 = 0
by (*unfold natfloor-def*, *simp*)

lemma *natfloor-one* [*simp*]: *natfloor* 1 = 1
by (*unfold natfloor-def*, *simp*)

lemma *zero-le-natfloor* [*simp*]: 0 <= *natfloor* *x*
by (*unfold natfloor-def*, *simp*)

lemma *natfloor-number-of-eq* [*simp*]: *natfloor* (*number-of* *n*) = *number-of* *n*
by (*unfold natfloor-def*, *simp*)

lemma *natfloor-real-of-nat* [*simp*]: *natfloor*(*real* *n*) = *n*
by (*unfold natfloor-def*, *simp*)

lemma *real-natfloor-le*: 0 <= *x* ==> *real*(*natfloor* *x*) <= *x*
by (*unfold natfloor-def*, *simp*)

lemma *natfloor-neg*: *x* <= 0 ==> *natfloor* *x* = 0
apply (*unfold natfloor-def*)
apply (*subgoal-tac floor* *x* <= *floor* 0)
apply *simp*
apply (*erule floor-mono2*)
done

lemma *natfloor-mono*: *x* <= *y* ==> *natfloor* *x* <= *natfloor* *y*
apply (*case-tac* 0 <= *x*)
apply (*subst natfloor-def*)
apply (*subst nat-le-eq-zle*)
apply *force*
apply (*erule floor-mono2*)
apply (*subst natfloor-neg*)
apply *simp*
apply *simp*
done

lemma *le-natfloor*: *real* *x* <= *a* ==> *x* <= *natfloor* *a*
apply (*unfold natfloor-def*)
apply (*subst nat-int* [*THEN sym*])
apply (*subst nat-le-eq-zle*)

```

    apply simp
    apply (rule le-floor)
    apply simp
done

lemma le-natfloor-eq:  $0 \leq x \implies (a \leq \text{natfloor } x) = (\text{real } a \leq x)$ 
  apply (rule iffI)
  apply (rule order-trans)
  prefer 2
  apply (erule real-natfloor-le)
  apply (subst real-of-nat-le-iff)
  apply assumption
  apply (erule le-natfloor)
done

lemma le-natfloor-eq-number-of [simp]:
  ~ neg((number-of n)::int) ==>  $0 \leq x \implies$ 
    (number-of n  $\leq$  natfloor x) = (number-of n  $\leq$  x)
  apply (subst le-natfloor-eq, assumption)
  apply simp
done

lemma le-natfloor-eq-one [simp]:  $(1 \leq \text{natfloor } x) = (1 \leq x)$ 
  apply (case-tac  $0 \leq x$ )
  apply (subst le-natfloor-eq, assumption, simp)
  apply (rule iffI)
  apply (subgoal-tac natfloor x  $\leq$  natfloor 0)
  apply simp
  apply (rule natfloor-mono)
  apply simp
  apply simp
done

lemma natfloor-eq:  $\text{real } n \leq x \implies x < \text{real } n + 1 \implies \text{natfloor } x = n$ 
  apply (unfold natfloor-def)
  apply (subst nat-int [THEN sym])back
  apply (subst eq-nat-nat-iff)
  apply simp
  apply simp
  apply (rule floor-eq2)
  apply auto
done

lemma real-natfloor-add-one-gt:  $x < \text{real}(\text{natfloor } x) + 1$ 
  apply (case-tac  $0 \leq x$ )
  apply (unfold natfloor-def)
  apply simp
  apply simp-all
done

```

```

lemma real-natfloor-gt-diff-one:  $x - 1 < \text{real}(\text{natfloor } x)$ 
  apply (simp add: compare-rls)
  apply (rule real-natfloor-add-one-gt)
done

lemma ge-natfloor-plus-one-imp-gt:  $\text{natfloor } z + 1 \leq n \implies z < \text{real } n$ 
  apply (subgoal-tac z < real(natfloor z) + 1)
  apply arith
  apply (rule real-natfloor-add-one-gt)
done

lemma natfloor-add [simp]:  $0 \leq x \implies \text{natfloor } (x + \text{real } a) = \text{natfloor } x + a$ 
  apply (unfold natfloor-def)
  apply (subgoal-tac real a = real (int a))
  apply (erule ssubst)
  apply (simp add: nat-add-distrib del: real-of-int-of-nat-eq)
  apply simp
done

lemma natfloor-add-number-of [simp]:
   $\sim \text{neg } ((\text{number-of } n)::\text{int}) \implies 0 \leq x \implies$ 
   $\text{natfloor } (x + \text{number-of } n) = \text{natfloor } x + \text{number-of } n$ 
  apply (subst natfloor-add [THEN sym])
  apply simp-all
done

lemma natfloor-add-one:  $0 \leq x \implies \text{natfloor}(x + 1) = \text{natfloor } x + 1$ 
  apply (subst natfloor-add [THEN sym])
  apply assumption
  apply simp
done

lemma natfloor-subtract [simp]:  $\text{real } a \leq x \implies$ 
   $\text{natfloor}(x - \text{real } a) = \text{natfloor } x - a$ 
  apply (unfold natfloor-def)
  apply (subgoal-tac real a = real (int a))
  apply (erule ssubst)
  apply (simp del: real-of-int-of-nat-eq)
  apply simp
done

lemma natceiling-zero [simp]:  $\text{natceiling } 0 = 0$ 
  by (unfold natceiling-def, simp)

lemma natceiling-one [simp]:  $\text{natceiling } 1 = 1$ 
  by (unfold natceiling-def, simp)

lemma zero-le-natceiling [simp]:  $0 \leq \text{natceiling } x$ 

```

```

    by (unfold natceiling-def, simp)

lemma natceiling-number-of-eq [simp]: natceiling (number-of n) = number-of n
  by (unfold natceiling-def, simp)

lemma natceiling-real-of-nat [simp]: natceiling(real n) = n
  by (unfold natceiling-def, simp)

lemma real-natceiling-ge: x <= real(natceiling x)
  apply (unfold natceiling-def)
  apply (case-tac x < 0)
  apply simp
  apply (subst real-nat-eq-real)
  apply (subgoal-tac ceiling 0 <= ceiling x)
  apply simp
  apply (rule ceiling-mono2)
  apply simp
  apply simp
done

lemma natceiling-neg: x <= 0 ==> natceiling x = 0
  apply (unfold natceiling-def)
  apply simp
done

lemma natceiling-mono: x <= y ==> natceiling x <= natceiling y
  apply (case-tac 0 <= x)
  apply (subst natceiling-def)+
  apply (subst nat-le-eq-zle)
  apply (rule disjI2)
  apply (subgoal-tac real (0::int) <= real(ceiling y))
  apply simp
  apply (rule order-trans)
  apply simp
  apply (erule order-trans)
  apply simp
  apply (erule ceiling-mono2)
  apply (subst natceiling-neg)
  apply simp-all
done

lemma natceiling-le: x <= real a ==> natceiling x <= a
  apply (unfold natceiling-def)
  apply (case-tac x < 0)
  apply simp
  apply (subst nat-int [THEN sym])back
  apply (subst nat-le-eq-zle)
  apply simp
  apply (rule ceiling-le)

```

```

  apply simp
done

```

```

lemma natceiling-le-eq:  $0 \leq x \implies (\text{natceiling } x \leq a) = (x \leq \text{real } a)$ 
  apply (rule iffI)
  apply (rule order-trans)
  apply (rule real-natceiling-ge)
  apply (subst real-of-nat-le-iff)
  apply assumption
  apply (erule natceiling-le)
done

```

```

lemma natceiling-le-eq-number-of [simp]:
  ~ neg((number-of n)::int) ==>  $0 \leq x \implies$ 
     $(\text{natceiling } x \leq \text{number-of } n) = (x \leq \text{number-of } n)$ 
  apply (subst natceiling-le-eq, assumption)
  apply simp
done

```

```

lemma natceiling-le-eq-one:  $(\text{natceiling } x \leq 1) = (x \leq 1)$ 
  apply (case-tac  $0 \leq x$ )
  apply (subst natceiling-le-eq)
  apply assumption
  apply simp
  apply (subst natceiling-neg)
  apply simp
  apply simp
done

```

```

lemma natceiling-eq:  $\text{real } n < x \implies x \leq \text{real } n + 1 \implies \text{natceiling } x = n + 1$ 
  apply (unfold natceiling-def)
  apply (simplesubst nat-int [THEN sym]) back back
  apply (subgoal-tac  $\text{nat}(\text{int } n) + 1 = \text{nat}(\text{int } n + 1)$ )
  apply (erule ssubst)
  apply (subst eq-nat-nat-iff)
  apply (subgoal-tac  $\text{ceiling } 0 \leq \text{ceiling } x$ )
  apply simp
  apply (rule ceiling-mono2)
  apply force
  apply (rule ceiling-eq2)
  apply (simp, simp)
  apply (subst nat-add-distrib)
  apply auto
done

```

```

lemma natceiling-add [simp]:  $0 \leq x \implies$ 
   $\text{natceiling } (x + \text{real } a) = \text{natceiling } x + a$ 

```

```

apply (unfold natceiling-def)
apply (subgoal-tac real a = real (int a))
apply (erule ssubst)
apply (simp del: real-of-int-of-nat-eq)
apply (subst nat-add-distrib)
apply (subgoal-tac 0 = ceiling 0)
apply (erule ssubst)
apply (erule ceiling-mono2)
apply simp-all
done

```

```

lemma natceiling-add-number-of [simp]:
  ~ neg ((number-of n)::int) ==> 0 <= x ==>
    natceiling (x + number-of n) = natceiling x + number-of n
apply (subst natceiling-add [THEN sym])
apply simp-all
done

```

```

lemma natceiling-add-one: 0 <= x ==> natceiling(x + 1) = natceiling x + 1
apply (subst natceiling-add [THEN sym])
apply assumption
apply simp
done

```

```

lemma natceiling-subtract [simp]: real a <= x ==>
  natceiling(x - real a) = natceiling x - a
apply (unfold natceiling-def)
apply (subgoal-tac real a = real (int a))
apply (erule ssubst)
apply (simp del: real-of-int-of-nat-eq)
apply simp
done

```

```

lemma natfloor-div-nat: 1 <= x ==> y > 0 ==>
  natfloor (x / real y) = natfloor x div y
proof -
  assume 1 <= (x::real) and (y::nat) > 0
  have natfloor x = (natfloor x) div y * y + (natfloor x) mod y
    by simp
  then have a: real(natfloor x) = real ((natfloor x) div y) * real y +
    real((natfloor x) mod y)
    by (simp only: real-of-nat-add [THEN sym] real-of-nat-mult [THEN sym])
  have x = real(natfloor x) + (x - real(natfloor x))
    by simp
  then have x = real ((natfloor x) div y) * real y +
    real((natfloor x) mod y) + (x - real(natfloor x))
    by (simp add: a)
  then have x / real y = ... / real y
    by simp

```

```

also have ... =  $\text{real}((\text{natfloor } x) \text{ div } y) + \text{real}((\text{natfloor } x) \text{ mod } y) /$ 
 $\text{real } y + (x - \text{real}(\text{natfloor } x)) / \text{real } y$ 
by (auto simp add: ring-simps add-divide-distrib
 $\text{diff-divide-distrib prems}$ )
finally have  $\text{natfloor } (x / \text{real } y) = \text{natfloor}(\dots)$  by simp
also have ... =  $\text{natfloor}(\text{real}((\text{natfloor } x) \text{ mod } y) /$ 
 $\text{real } y + (x - \text{real}(\text{natfloor } x)) / \text{real } y + \text{real}((\text{natfloor } x) \text{ div } y))$ 
by (simp add: add-ac)
also have ... =  $\text{natfloor}(\text{real}((\text{natfloor } x) \text{ mod } y) /$ 
 $\text{real } y + (x - \text{real}(\text{natfloor } x)) / \text{real } y) + (\text{natfloor } x) \text{ div } y$ 
apply (rule natfloor-add)
apply (rule add-nonneg-nonneg)
apply (rule divide-nonneg-pos)
apply simp
apply (simp add: prems)
apply (rule divide-nonneg-pos)
apply (simp add: compare-rls)
apply (rule real-natfloor-le)
apply (insert prems, auto)
done
also have  $\text{natfloor}(\text{real}((\text{natfloor } x) \text{ mod } y) /$ 
 $\text{real } y + (x - \text{real}(\text{natfloor } x)) / \text{real } y) = 0$ 
apply (rule natfloor-eq)
apply simp
apply (rule add-nonneg-nonneg)
apply (rule divide-nonneg-pos)
apply force
apply (force simp add: prems)
apply (rule divide-nonneg-pos)
apply (simp add: compare-rls)
apply (rule real-natfloor-le)
apply (auto simp add: prems)
apply (insert prems, arith)
apply (simp add: add-divide-distrib [THEN sym])
apply (subgoal-tac real y = real y - 1 + 1)
apply (erule ssubst)
apply (rule add-le-less-mono)
apply (simp add: compare-rls)
apply (subgoal-tac real(natfloor x mod y) + 1 =
 $\text{real}(\text{natfloor } x \text{ mod } y + 1)$ )
apply (erule ssubst)
apply (subst real-of-nat-le-iff)
apply (subgoal-tac natfloor x mod y < y)
apply arith
apply (rule mod-less-divisor)
apply auto
apply (simp add: compare-rls)
apply (subst add-commute)
apply (rule real-natfloor-add-one-gt)

```

```

    done
  finally show ?thesis by simp
qed

end

```

13 Non-denumerability of the Continuum.

```

theory ContNotDenum
imports RComplete
begin

```

13.1 Abstract

The following document presents a proof that the Continuum is uncountable. It is formalised in the Isabelle/Isar theorem proving system.

Theorem: The Continuum $\mathbb{R}$ is not denumerable. In other words, there does not exist a function $f:\mathbb{N}\Rightarrow\mathbb{R}$ such that f is surjective.

Outline: An elegant informal proof of this result uses Cantor's Diagonalisation argument. The proof presented here is not this one. First we formalise some properties of closed intervals, then we prove the Nested Interval Property. This property relies on the completeness of the Real numbers and is the foundation for our argument. Informally it states that an intersection of countable closed intervals (where each successive interval is a subset of the last) is non-empty. We then assume a surjective function $f:\mathbb{N}\Rightarrow\mathbb{R}$ exists and find a real x such that x is not in the range of f by generating a sequence of closed intervals then using the NIP.

13.2 Closed Intervals

This section formalises some properties of closed intervals.

13.2.1 Definition

```

definition
  closed-int :: real  $\Rightarrow$  real  $\Rightarrow$  real set where
  closed-int x y = {z. x  $\leq$  z  $\wedge$  z  $\leq$  y}

```

13.2.2 Properties

```

lemma closed-int-subset:
  assumes xy: x1  $\geq$  x0 y1  $\leq$  y0
  shows closed-int x1 y1  $\subseteq$  closed-int x0 y0
proof -
  {

```

```

    fix x::real
    assume x ∈ closed-int x1 y1
    hence x ≥ x1 ∧ x ≤ y1 by (simp add: closed-int-def)
    with xy have x ≥ x0 ∧ x ≤ y0 by auto
    hence x ∈ closed-int x0 y0 by (simp add: closed-int-def)
  }
  thus ?thesis by auto
qed

```

```

lemma closed-int-least:
  assumes a: a ≤ b
  shows a ∈ closed-int a b ∧ (∀ x ∈ closed-int a b. a ≤ x)
proof
  from a have a ∈ {x. a ≤ x ∧ x ≤ b} by simp
  thus a ∈ closed-int a b by (unfold closed-int-def)
next
  have ∀ x ∈ {x. a ≤ x ∧ x ≤ b}. a ≤ x by simp
  thus ∀ x ∈ closed-int a b. a ≤ x by (unfold closed-int-def)
qed

```

```

lemma closed-int-most:
  assumes a: a ≤ b
  shows b ∈ closed-int a b ∧ (∀ x ∈ closed-int a b. x ≤ b)
proof
  from a have b ∈ {x. a ≤ x ∧ x ≤ b} by simp
  thus b ∈ closed-int a b by (unfold closed-int-def)
next
  have ∀ x ∈ {x. a ≤ x ∧ x ≤ b}. x ≤ b by simp
  thus ∀ x ∈ closed-int a b. x ≤ b by (unfold closed-int-def)
qed

```

```

lemma closed-not-empty:
  shows a ≤ b ⟹ ∃ x. x ∈ closed-int a b
  by (auto dest: closed-int-least)

```

```

lemma closed-mem:
  assumes a ≤ c and c ≤ b
  shows c ∈ closed-int a b
  using assms unfolding closed-int-def by auto

```

```

lemma closed-subset:
  assumes ac: a ≤ b c ≤ d
  assumes closed: closed-int a b ⊆ closed-int c d
  shows b ≥ c
proof -
  from closed have ∀ x ∈ closed-int a b. x ∈ closed-int c d by auto
  hence ∀ x. a ≤ x ∧ x ≤ b ⟹ c ≤ x ∧ x ≤ d by (unfold closed-int-def, auto)
  with ac have c ≤ b ∧ b ≤ d by simp
  thus ?thesis by auto

```

qed

13.3 Nested Interval Property

theorem *NIP*:

```

fixes  $f :: nat \Rightarrow real\ set$ 
assumes subset:  $\forall n. f\ (Suc\ n) \subseteq f\ n$ 
and closed:  $\forall n. \exists a\ b. f\ n = closed\_int\ a\ b \wedge a \leq b$ 
shows  $(\bigcap n. f\ n) \neq \{\}$ 
proof –
  let  $?g = \lambda n. (SOME\ c. c \in (f\ n) \wedge (\forall x \in (f\ n). c \leq x))$ 
  have ne:  $\forall n. \exists x. x \in (f\ n)$ 
  proof
    fix  $n$ 
    from closed have  $\exists a\ b. f\ n = closed\_int\ a\ b \wedge a \leq b$  by simp
    then obtain  $a$  and  $b$  where  $fn: f\ n = closed\_int\ a\ b \wedge a \leq b$  by auto
    hence  $a \leq b$  ..
    with closed-not-empty have  $\exists x. x \in closed\_int\ a\ b$  by simp
    with fn show  $\exists x. x \in (f\ n)$  by simp
  qed

```

have *gdef*: $\forall n. (?g\ n) \in (f\ n) \wedge (\forall x \in (f\ n). (?g\ n) \leq x)$

proof

```

  fix  $n$ 
  from closed have  $\exists a\ b. f\ n = closed\_int\ a\ b \wedge a \leq b$  ..
  then obtain  $a$  and  $b$  where ff:  $f\ n = closed\_int\ a\ b$  and  $a \leq b$  by auto
  hence  $a \leq b$  by simp
  hence  $a \in closed\_int\ a\ b \wedge (\forall x \in closed\_int\ a\ b. a \leq x)$  by (rule closed-int-least)
  with ff have  $a \in (f\ n) \wedge (\forall x \in (f\ n). a \leq x)$  by simp
  hence  $\exists c. c \in (f\ n) \wedge (\forall x \in (f\ n). c \leq x)$  ..
  thus  $(?g\ n) \in (f\ n) \wedge (\forall x \in (f\ n). (?g\ n) \leq x)$  by (rule someI-ex)
qed

```

— A denotes the set of all left-most points of all the intervals ...

moreover obtain A **where** *Adef*: $A = ?g\ ' \mathbb{N}$ **by** *simp*

ultimately have $\exists x. x \in A$

proof –

```

  have  $(0 :: nat) \in \mathbb{N}$  by simp
  moreover have  $?g\ 0 = ?g\ 0$  by simp
  ultimately have  $?g\ 0 \in ?g\ ' \mathbb{N}$  by (rule rev-image-eqI)
  with Adef have  $?g\ 0 \in A$  by simp
  thus thesis ..

```

qed

— Now show that A is bounded above ...

moreover have $\exists y. isUb\ (UNIV :: real\ set)\ A\ y$

proof –

```

{
  fix  $n$ 

```

```

from ne have ex:  $\exists x. x \in (f\ n) \ ..$ 
from gdef have  $(?g\ n) \in (f\ n) \wedge (\forall x \in (f\ n). (?g\ n) \leq x)$  by simp
moreover
from closed have  $\exists a\ b. f\ n = \text{closed-int } a\ b \wedge a \leq b \ ..$ 
then obtain a and b where  $f\ n = \text{closed-int } a\ b \wedge a \leq b$  by auto
hence  $b \in (f\ n) \wedge (\forall x \in (f\ n). x \leq b)$  using closed-int-most by blast
ultimately have  $\forall x \in (f\ n). (?g\ n) \leq b$  by simp
with ex have  $(?g\ n) \leq b$  by auto
hence  $\exists b. (?g\ n) \leq b$  by auto
}
hence aux:  $\forall n. \exists b. (?g\ n) \leq b \ ..$ 

have fs:  $\forall n::nat. f\ n \subseteq f\ 0$ 
proof (rule allI, induct-tac n)
  show  $f\ 0 \subseteq f\ 0$  by simp
next
  fix n
  assume  $f\ n \subseteq f\ 0$ 
  moreover from subset have  $f\ (Suc\ n) \subseteq f\ n \ ..$ 
  ultimately show  $f\ (Suc\ n) \subseteq f\ 0$  by simp
qed
have  $\forall n. (?g\ n) \in (f\ 0)$ 
proof
  fix n
  from gdef have  $(?g\ n) \in (f\ n) \wedge (\forall x \in (f\ n). (?g\ n) \leq x)$  by simp
  hence  $?g\ n \in f\ n \ ..$ 
  with fs show  $?g\ n \in f\ 0$  by auto
qed
moreover from closed
  obtain a and b where  $f\ 0 = \text{closed-int } a\ b$  and alb:  $a \leq b$  by blast
  ultimately have  $\forall n. ?g\ n \in \text{closed-int } a\ b$  by auto
  with alb have  $\forall n. ?g\ n \leq b$  using closed-int-most by blast
  with Adef have  $\forall y \in A. y \leq b$  by auto
  hence  $A * \leq b$  by (unfold setle-def)
  moreover have  $b \in (UNIV::real\ set)$  by simp
  ultimately have  $A * \leq b \wedge b \in (UNIV::real\ set)$  by simp
  hence isUb ( $UNIV::real\ set$ ) A b by (unfold isUb-def)
  thus ?thesis by auto
qed
— by the Axiom Of Completeness, A has a least upper bound ...
ultimately have  $\exists t. isLub\ UNIV\ A\ t$  by (rule reals-complete)

— denote this least upper bound as t ...
then obtain t where tdef:  $isLub\ UNIV\ A\ t \ ..$ 

— and finally show that this least upper bound is in all the intervals...
have  $\forall n. t \in f\ n$ 
proof
  fix n::nat

```

from *closed* **obtain** a **and** b **where**
int: $f\ n = \text{closed-int}\ a\ b$ **and** alb : $a \leq b$ **by** *blast*

have $t \geq a$
proof –
 have $a \in A$
 proof –

from $alb\ int$ **have** ain : $a \in f\ n \wedge (\forall x \in f\ n. a \leq x)$
 using *closed-int-least* **by** *blast*
 moreover **have** $\forall e. e \in f\ n \wedge (\forall x \in f\ n. e \leq x) \longrightarrow e = a$
 proof *clarsimp*
 fix e
 assume ein : $e \in f\ n$ **and** lt : $\forall x \in f\ n. e \leq x$
 from $lt\ ain$ **have** aux : $\forall x \in f\ n. a \leq x \wedge e \leq x$ **by** *auto*

from $ein\ aux$ **have** $a \leq e \wedge e \leq e$ **by** *auto*
 moreover **from** $ain\ aux$ **have** $a \leq a \wedge e \leq a$ **by** *auto*
 ultimately **show** $e = a$ **by** *simp*

qed
 hence $\bigwedge e. e \in f\ n \wedge (\forall x \in f\ n. e \leq x) \implies e = a$ **by** *simp*
 ultimately **have** $(?g\ n) = a$ **by** (*rule some-equality*)
 moreover
 {
 have $n = of\text{-}nat\ n$ **by** *simp*
 moreover **have** $of\text{-}nat\ n \in \mathbb{N}$ **by** *simp*
 ultimately **have** $n \in \mathbb{N}$
 apply –
 apply (*subst(asm) eq-sym-conv*)
 apply (*erule subst*)
 .
 }
 with $Adef$ **have** $(?g\ n) \in A$ **by** *auto*
 ultimately **show** $?thesis$ **by** *simp*

qed
 with $tdef$ **show** $a \leq t$ **by** (*rule isLubD2*)
 qed
 moreover **have** $t \leq b$
 proof –
 have $isUb\ UNIV\ A\ b$
 proof –
 {
 from $alb\ int$ **have**
 ain : $b \in f\ n \wedge (\forall x \in f\ n. x \leq b)$ **using** *closed-int-most* **by** *blast*

have $subsetd$: $\forall m. \forall n. f\ (n + m) \subseteq f\ n$
 proof (*rule allI, induct-tac m*)
 show $\forall n. f\ (n + 0) \subseteq f\ n$ **by** *simp*
 next

```

fix m n
assume pp:  $\forall p. f (p + n) \subseteq f p$ 
{
  fix p
  from pp have  $f (p + n) \subseteq f p$  by simp
  moreover from subset have  $f (Suc (p + n)) \subseteq f (p + n)$  by auto
  hence  $f (p + (Suc n)) \subseteq f (p + n)$  by simp
  ultimately have  $f (p + (Suc n)) \subseteq f p$  by simp
}
thus  $\forall p. f (p + Suc n) \subseteq f p$  ..
qed
have subsetm:  $\forall \alpha \beta. \alpha \geq \beta \longrightarrow (f \alpha) \subseteq (f \beta)$ 
proof ((rule allI)+, rule impI)
  fix  $\alpha::nat$  and  $\beta::nat$ 
  assume  $\beta \leq \alpha$ 
  hence  $\exists k. \alpha = \beta + k$  by (simp only: le-iff-add)
  then obtain k where  $\alpha = \beta + k$  ..
  moreover
  from subsetd have  $f (\beta + k) \subseteq f \beta$  by simp
  ultimately show  $f \alpha \subseteq f \beta$  by auto
qed

fix m
{
  assume  $m \geq n$ 
  with subsetm have  $f m \subseteq f n$  by simp
  with ain have  $\forall x \in f m. x \leq b$  by auto
  moreover
  from gdef have  $?g m \in f m \wedge (\forall x \in f m. ?g m \leq x)$  by simp
  ultimately have  $?g m \leq b$  by auto
}
moreover
{
  assume  $\neg(m \geq n)$ 
  hence  $m < n$  by simp
  with subsetm have sub:  $(f n) \subseteq (f m)$  by simp
  from closed obtain ma and mb where
     $f m = closed-int\ ma\ mb \wedge ma \leq mb$  by blast
  hence one:  $ma \leq mb$  and fm:  $f m = closed-int\ ma\ mb$  by auto
  from one alb sub fm int have  $ma \leq b$  using closed-subset by blast
  moreover have  $(?g m) = ma$ 
  proof -
    from gdef have  $?g m \in f m \wedge (\forall x \in f m. ?g m \leq x)$  ..
    moreover from one have
       $ma \in closed-int\ ma\ mb \wedge (\forall x \in closed-int\ ma\ mb. ma \leq x)$ 
      by (rule closed-int-least)
    with fm have  $ma \in f m \wedge (\forall x \in f m. ma \leq x)$  by simp
    ultimately have  $ma \leq ?g m \wedge ?g m \leq ma$  by auto
    thus  $?g m = ma$  by auto
  }

```

```

      qed
      ultimately have ?g m ≤ b by simp
    }
    ultimately have ?g m ≤ b by (rule case-split)
  }
  with Adef have ∀ y ∈ A. y ≤ b by auto
  hence A *≤ b by (unfold setle-def)
  moreover have b ∈ (UNIV::real set) by simp
  ultimately have A *≤ b ∧ b ∈ (UNIV::real set) by simp
  thus isUb (UNIV::real set) A b by (unfold isUb-def)
qed
with tdef show t ≤ b by (rule isLub-le-isUb)
qed
ultimately have t ∈ closed-int a b by (rule closed-mem)
with int show t ∈ f n by simp
qed
hence t ∈ (⋂ n. f n) by auto
thus ?thesis by auto
qed

```

13.4 Generating the intervals

13.4.1 Existence of non-singleton closed intervals

This lemma asserts that given any non-singleton closed interval (a,b) and any element c, there exists a closed interval that is a subset of (a,b) and that does not contain c and is a non-singleton itself.

lemma *closed-subset-ex:*

```

  fixes c::real
  assumes alb: a < b
  shows
    ∃ ka kb. ka < kb ∧ closed-int ka kb ⊆ closed-int a b ∧ c ∉ (closed-int ka kb)
proof –
  {
    assume clb: c < b
    {
      assume cla: c < a
      from alb cla clb have c ∉ closed-int a b by (unfold closed-int-def, auto)
      with alb have
        a < b ∧ closed-int a b ⊆ closed-int a b ∧ c ∉ closed-int a b
        by auto
      hence
        ∃ ka kb. ka < kb ∧ closed-int ka kb ⊆ closed-int a b ∧ c ∉ (closed-int ka kb)
        by auto
    }
  }
  moreover
  {
    assume ncla: ¬(c < a)
    with clb have cdef: a ≤ c ∧ c < b by simp
  }

```

```

obtain ka where kadef:  $ka = (c + b)/2$  by blast

from kadef clb have kalb:  $ka < b$  by auto
moreover from kadef cdef have kagc:  $ka > c$  by simp
ultimately have  $c \notin \text{closed-int } ka \ b$  by (unfold closed-int-def, auto)
moreover from cdef kagc have  $ka \geq a$  by simp
hence  $\text{closed-int } ka \ b \subseteq \text{closed-int } a \ b$  by (unfold closed-int-def, auto)
ultimately have
   $ka < b \wedge \text{closed-int } ka \ b \subseteq \text{closed-int } a \ b \wedge c \notin \text{closed-int } ka \ b$ 
  using kalb by auto
hence
   $\exists ka \ kb. ka < kb \wedge \text{closed-int } ka \ kb \subseteq \text{closed-int } a \ b \wedge c \notin (\text{closed-int } ka \ kb)$ 
  by auto
}
ultimately have
   $\exists ka \ kb. ka < kb \wedge \text{closed-int } ka \ kb \subseteq \text{closed-int } a \ b \wedge c \notin (\text{closed-int } ka \ kb)$ 
  by (rule case-split)
}
moreover
{
  assume  $\neg (c < b)$ 
  hence cgeb:  $c \geq b$  by simp

  obtain kb where kbdef:  $kb = (a + b)/2$  by blast
  with alb have kblb:  $kb < b$  by auto
  with kbdef cgeb have  $a < kb \wedge kb < c$  by auto
  moreover hence  $c \notin (\text{closed-int } a \ kb)$  by (unfold closed-int-def, auto)
  moreover from kblb have
     $\text{closed-int } a \ kb \subseteq \text{closed-int } a \ b$  by (unfold closed-int-def, auto)
  ultimately have
     $a < kb \wedge \text{closed-int } a \ kb \subseteq \text{closed-int } a \ b \wedge c \notin \text{closed-int } a \ kb$ 
    by simp
  hence
     $\exists ka \ kb. ka < kb \wedge \text{closed-int } ka \ kb \subseteq \text{closed-int } a \ b \wedge c \notin (\text{closed-int } ka \ kb)$ 
    by auto
}
ultimately show ?thesis by (rule case-split)
qed

```

13.5 newInt: Interval generation

Given a function $f: \mathbb{N} \Rightarrow \mathbb{R}$, $\text{newInt } (\text{Suc } n) \ f$ returns a closed interval such that $\text{newInt } (\text{Suc } n) \ f \subseteq \text{newInt } n \ f$ and does not contain $f \ (\text{Suc } n)$. With the base case defined such that $(f \ 0) \notin \text{newInt } 0 \ f$.

13.5.1 Definition

consts *newInt* :: $\text{nat} \Rightarrow (\text{nat} \Rightarrow \text{real}) \Rightarrow (\text{real set})$

primrec

```

newInt 0 f = closed-int (f 0 + 1) (f 0 + 2)
newInt (Suc n) f =
  (SOME e. (∃ e1 e2.
    e1 < e2 ∧
    e = closed-int e1 e2 ∧
    e ⊆ (newInt n f) ∧
    (f (Suc n)) ∉ e)
  )

```

13.5.2 Properties

We now show that every application of `newInt` returns an appropriate interval.

lemma *newInt-ex*:

```

∃ a b. a < b ∧
  newInt (Suc n) f = closed-int a b ∧
  newInt (Suc n) f ⊆ newInt n f ∧
  f (Suc n) ∉ newInt (Suc n) f

```

proof (induct n)

case 0

```

let ?e = SOME e. ∃ e1 e2.
  e1 < e2 ∧
  e = closed-int e1 e2 ∧
  e ⊆ closed-int (f 0 + 1) (f 0 + 2) ∧
  f (Suc 0) ∉ e

```

have *newInt (Suc 0) f = ?e* **by** *auto*

moreover

have *f 0 + 1 < f 0 + 2* **by** *simp*

with *closed-subset-ex* **have**

```

∃ ka kb. ka < kb ∧ closed-int ka kb ⊆ closed-int (f 0 + 1) (f 0 + 2) ∧
  f (Suc 0) ∉ (closed-int ka kb) .

```

hence

```

∃ e. ∃ ka kb. ka < kb ∧ e = closed-int ka kb ∧
  e ⊆ closed-int (f 0 + 1) (f 0 + 2) ∧ f (Suc 0) ∉ e by simp

```

hence

```

∃ ka kb. ka < kb ∧ ?e = closed-int ka kb ∧
  ?e ⊆ closed-int (f 0 + 1) (f 0 + 2) ∧ f (Suc 0) ∉ ?e
by (rule someI-ex)

```

ultimately have *∃ e1 e2. e1 < e2 ∧*

```

newInt (Suc 0) f = closed-int e1 e2 ∧
newInt (Suc 0) f ⊆ closed-int (f 0 + 1) (f 0 + 2) ∧
f (Suc 0) ∉ newInt (Suc 0) f by simp

```

thus

```

∃ a b. a < b ∧ newInt (Suc 0) f = closed-int a b ∧
  newInt (Suc 0) f ⊆ newInt 0 f ∧ f (Suc 0) ∉ newInt (Suc 0) f
by simp

```

next
case $(\text{Suc } n)$
hence $\exists a \ b.$
 $a < b \wedge$
 $\text{newInt } (\text{Suc } n) \ f = \text{closed-int } a \ b \wedge$
 $\text{newInt } (\text{Suc } n) \ f \subseteq \text{newInt } n \ f \wedge$
 $f (\text{Suc } n) \notin \text{newInt } (\text{Suc } n) \ f$ **by** *simp*
then obtain a **and** b **where** $ab: a < b \wedge$
 $\text{newInt } (\text{Suc } n) \ f = \text{closed-int } a \ b \wedge$
 $\text{newInt } (\text{Suc } n) \ f \subseteq \text{newInt } n \ f \wedge$
 $f (\text{Suc } n) \notin \text{newInt } (\text{Suc } n) \ f$ **by** *auto*
hence $cab: \text{closed-int } a \ b = \text{newInt } (\text{Suc } n) \ f$ **by** *simp*

let $?e = \text{SOME } e. \exists e1 \ e2.$
 $e1 < e2 \wedge$
 $e = \text{closed-int } e1 \ e2 \wedge$
 $e \subseteq \text{closed-int } a \ b \wedge$
 $f (\text{Suc } (\text{Suc } n)) \notin e$
from cab **have** $ni: \text{newInt } (\text{Suc } (\text{Suc } n)) \ f = ?e$ **by** *auto*

from ab **have** $a < b$ **by** *simp*
with *closed-subset-ex* **have**
 $\exists ka \ kb. ka < kb \wedge \text{closed-int } ka \ kb \subseteq \text{closed-int } a \ b \wedge$
 $f (\text{Suc } (\text{Suc } n)) \notin \text{closed-int } ka \ kb .$
hence
 $\exists e. \exists ka \ kb. ka < kb \wedge e = \text{closed-int } ka \ kb \wedge$
 $\text{closed-int } ka \ kb \subseteq \text{closed-int } a \ b \wedge f (\text{Suc } (\text{Suc } n)) \notin \text{closed-int } ka \ kb$
by *simp*
hence
 $\exists e. \exists ka \ kb. ka < kb \wedge e = \text{closed-int } ka \ kb \wedge$
 $e \subseteq \text{closed-int } a \ b \wedge f (\text{Suc } (\text{Suc } n)) \notin e$ **by** *simp*
hence
 $\exists ka \ kb. ka < kb \wedge ?e = \text{closed-int } ka \ kb \wedge$
 $?e \subseteq \text{closed-int } a \ b \wedge f (\text{Suc } (\text{Suc } n)) \notin ?e$ **by** (*rule someI-ex*)
with $ab \ ni$ **show**
 $\exists ka \ kb. ka < kb \wedge$
 $\text{newInt } (\text{Suc } (\text{Suc } n)) \ f = \text{closed-int } ka \ kb \wedge$
 $\text{newInt } (\text{Suc } (\text{Suc } n)) \ f \subseteq \text{newInt } (\text{Suc } n) \ f \wedge$
 $f (\text{Suc } (\text{Suc } n)) \notin \text{newInt } (\text{Suc } (\text{Suc } n)) \ f$ **by** *auto*
qed

lemma *newInt-subset*:
 $\text{newInt } (\text{Suc } n) \ f \subseteq \text{newInt } n \ f$
using *newInt-ex* **by** *auto*

Another fundamental property is that no element in the range of f is in the intersection of all closed intervals generated by newInt .

lemma *newInt-inter*:
 $\forall n. f \ n \notin (\bigcap n. \text{newInt } n \ f)$

```

proof
  fix  $n::nat$ 
  {
    assume  $n0: n = 0$ 
    moreover have  $newInt\ 0\ f = closed-int\ (f\ 0 + 1)\ (f\ 0 + 2)$  by simp
    ultimately have  $f\ n \notin newInt\ n\ f$  by (unfold closed-int-def, simp)
  }
  moreover
  {
    assume  $\neg n = 0$ 
    hence  $n > 0$  by simp
    then obtain  $m$  where  $ndef: n = Suc\ m$  by (auto simp add: gr0-conv-Suc)

    from newInt-ex have
       $\exists a\ b. a < b \wedge (newInt\ (Suc\ m)\ f) = closed-int\ a\ b \wedge$ 
       $newInt\ (Suc\ m)\ f \subseteq newInt\ m\ f \wedge f\ (Suc\ m) \notin newInt\ (Suc\ m)\ f .$ 
    then have  $f\ (Suc\ m) \notin newInt\ (Suc\ m)\ f$  by auto
    with ndef have  $f\ n \notin newInt\ n\ f$  by simp
  }
  ultimately have  $f\ n \notin newInt\ n\ f$  by (rule case-split)
  thus  $f\ n \notin (\bigcap n. newInt\ n\ f)$  by auto
qed

```

```

lemma newInt-notempty:
   $(\bigcap n. newInt\ n\ f) \neq \{\}$ 
proof -
  let  $?g = \lambda n. newInt\ n\ f$ 
  have  $\forall n. ?g\ (Suc\ n) \subseteq ?g\ n$ 
  proof
    fix  $n$ 
    show  $?g\ (Suc\ n) \subseteq ?g\ n$  by (rule newInt-subset)
  qed
  moreover have  $\forall n. \exists a\ b. ?g\ n = closed-int\ a\ b \wedge a \leq b$ 
  proof
    fix  $n::nat$ 
    {
      assume  $n = 0$ 
      then have
         $?g\ n = closed-int\ (f\ 0 + 1)\ (f\ 0 + 2) \wedge (f\ 0 + 1 \leq f\ 0 + 2)$ 
        by simp
      hence  $\exists a\ b. ?g\ n = closed-int\ a\ b \wedge a \leq b$  by blast
    }
    moreover
    {
      assume  $\neg n = 0$ 
      then have  $n > 0$  by simp
      then obtain  $m$  where  $nd: n = Suc\ m$  by (auto simp add: gr0-conv-Suc)
    }
  qed

```

```

have
   $\exists a\ b. a < b \wedge (\text{newInt } (\text{Suc } m) f) = \text{closed-int } a\ b \wedge$ 
   $(\text{newInt } (\text{Suc } m) f) \subseteq (\text{newInt } m f) \wedge (f (\text{Suc } m)) \notin (\text{newInt } (\text{Suc } m) f)$ 
  by (rule newInt-ex)
then obtain a and b where
   $a < b \wedge (\text{newInt } (\text{Suc } m) f) = \text{closed-int } a\ b$  by auto
with nd have ?g n = closed-int a b  $\wedge a \leq b$  by auto
hence  $\exists a\ b. ?g\ n = \text{closed-int } a\ b \wedge a \leq b$  by blast
}
ultimately show  $\exists a\ b. ?g\ n = \text{closed-int } a\ b \wedge a \leq b$  by (rule case-split)
qed
ultimately show ?thesis by (rule NIP)
qed

```

13.6 Final Theorem

```

theorem real-non-denum:
  shows  $\neg (\exists f::\text{nat} \Rightarrow \text{real}. \text{surj } f)$ 
proof — by contradiction
  assume  $\exists f::\text{nat} \Rightarrow \text{real}. \text{surj } f$ 
  then obtain  $f::\text{nat} \Rightarrow \text{real}$  where surj f by auto
  hence rangeF:  $\text{range } f = \text{UNIV}$  by (rule surj-range)
  — We now produce a real number x that is not in the range of f, using the
  properties of newInt.
  have  $\exists x. x \in (\bigcap n. \text{newInt } n f)$  using newInt-notempty by blast
  moreover have  $\forall n. f\ n \notin (\bigcap n. \text{newInt } n f)$  by (rule newInt-inter)
  ultimately obtain x where  $x \in (\bigcap n. \text{newInt } n f)$  and  $\forall n. f\ n \neq x$  by blast
  moreover from rangeF have  $x \in \text{range } f$  by simp
  ultimately show False by blast
qed
end

```

14 Natural powers theory

```

theory RealPow
imports RealDef
begin

declare abs-mult-self [simp]

instance real :: power ..

primrec (realpow)
  realpow-0:  $r ^ 0 = 1$ 
  realpow-Suc:  $r ^ (\text{Suc } n) = (r::\text{real}) * (r ^ n)$ 

```

instance *real* :: *recpower*

proof

fix *z* :: *real*

fix *n* :: *nat*

show $z^0 = 1$ **by** *simp*

show $z^{(\text{Suc } n)} = z * (z^n)$ **by** *simp*

qed

lemma *two-realpow-ge-one* [*simp*]: $(1::\text{real}) \leq 2^n$
by (*rule power-increasing*[*of 0 n 2::real, simplified*])

lemma *two-realpow-gt* [*simp*]: $\text{real } (n::\text{nat}) < 2^n$
apply (*induct n*)
apply (*auto simp add: real-of-nat-Suc*)
apply (*subst mult-2*)
apply (*rule add-less-le-mono*)
apply (*auto simp add: two-realpow-ge-one*)
done

lemma *realpow-Suc-le-self*: $[\mid 0 \leq r; r \leq (1::\text{real}) \mid] \implies r^{\text{Suc } n} \leq r$
by (*insert power-decreasing* [*of 1 Suc n r*], *simp*)

lemma *realpow-minus-mult* [*rule-format*]:
 $0 < n \longleftrightarrow (x::\text{real})^n - (x::\text{real})^{n-1} * x = x^n$
apply (*simp split add: nat-diff-split*)
done

lemma *realpow-two-mult-inverse* [*simp*]:
 $r \neq 0 \implies r * \text{inverse } r^{\text{Suc } 0} = \text{inverse } (r::\text{real})$
by (*simp add: real-mult-assoc* [*symmetric*])

lemma *realpow-two-minus* [*simp*]: $(-x)^{\text{Suc } 0} = (x::\text{real})^{\text{Suc } 0}$
by *simp*

lemma *realpow-two-diff*:
 $(x::\text{real})^{\text{Suc } 0} - y^{\text{Suc } 0} = (x - y) * (x + y)$
apply (*unfold real-diff-def*)
apply (*simp add: ring-simps*)
done

lemma *realpow-two-disj*:
 $((x::\text{real})^{\text{Suc } 0} = y^{\text{Suc } 0}) = (x = y \mid x = -y)$
apply (*cut-tac x = x and y = y in realpow-two-diff*)
apply (*auto simp del: realpow-Suc*)
done

lemma *realpow-real-of-nat*: $\text{real } (m::\text{nat})^n = \text{real } (m^n)$
apply (*induct n*)

apply (*auto simp add: real-of-nat-one real-of-nat-mult*)
done

lemma *realpow-real-of-nat-two-pos* [*simp*] : $0 < \text{real } (\text{Suc } (\text{Suc } 0) ^ n)$
apply (*induct n*)
apply (*auto simp add: real-of-nat-mult zero-less-mult-iff*)
done

lemma *realpow-increasing*:
 $[(0::\text{real}) \leq x; 0 \leq y; x ^ \text{Suc } n \leq y ^ \text{Suc } n] ==> x \leq y$
by (*rule power-le-imp-le-base*)

14.1 Literal Arithmetic Involving Powers, Type *real*

lemma *real-of-int-power*: $\text{real } (x::\text{int}) ^ n = \text{real } (x ^ n)$
apply (*induct n*)
apply (*simp-all add: nat-mult-distrib*)
done
declare *real-of-int-power* [*symmetric, simp*]

lemma *power-real-number-of*:
 $(\text{number-of } v :: \text{real}) ^ n = \text{real } ((\text{number-of } v :: \text{int}) ^ n)$
by (*simp only: real-number-of [symmetric] real-of-int-power*)

declare *power-real-number-of* [*of - number-of w, standard, simp*]

14.2 Properties of Squares

lemma *sum-squares-ge-zero*:
fixes $x y :: 'a::\text{ordered-ring-strict}$
shows $0 \leq x * x + y * y$
by (*intro add-nonneg-nonneg zero-le-square*)

lemma *not-sum-squares-lt-zero*:
fixes $x y :: 'a::\text{ordered-ring-strict}$
shows $\neg x * x + y * y < 0$
by (*simp add: linorder-not-less sum-squares-ge-zero*)

lemma *sum-nonneg-eq-zero-iff*:
fixes $x y :: 'a::\text{pordered-ab-group-add}$
assumes $x: 0 \leq x$ **and** $y: 0 \leq y$
shows $(x + y = 0) = (x = 0 \wedge y = 0)$
proof (*auto*)
from y **have** $x + 0 \leq x + y$ **by** (*rule add-left-mono*)
also assume $x + y = 0$
finally have $x \leq 0$ **by** *simp*
thus $x = 0$ **using** x **by** (*rule order-antisym*)
next
from x **have** $0 + y \leq x + y$ **by** (*rule add-right-mono*)

also assume $x + y = 0$
 finally have $y \leq 0$ by *simp*
 thus $y = 0$ using y by (rule *order-antisym*)
 qed

lemma *sum-squares-eq-zero-iff*:
 fixes $x\ y :: 'a::\text{ordered-ring-strict}$
 shows $(x * x + y * y = 0) = (x = 0 \wedge y = 0)$
 by (*simp add: sum-nonneg-eq-zero-iff*)

lemma *sum-squares-le-zero-iff*:
 fixes $x\ y :: 'a::\text{ordered-ring-strict}$
 shows $(x * x + y * y \leq 0) = (x = 0 \wedge y = 0)$
 by (*simp add: order-le-less not-sum-squares-lt-zero sum-squares-eq-zero-iff*)

lemma *sum-squares-gt-zero-iff*:
 fixes $x\ y :: 'a::\text{ordered-ring-strict}$
 shows $(0 < x * x + y * y) = (x \neq 0 \vee y \neq 0)$
 by (*simp add: order-less-le sum-squares-ge-zero sum-squares-eq-zero-iff*)

lemma *sum-power2-ge-zero*:
 fixes $x\ y :: 'a::\{\text{ordered-idom}, \text{recpower}\}$
 shows $0 \leq x^2 + y^2$
 unfolding *power2-eq-square* by (rule *sum-squares-ge-zero*)

lemma *not-sum-power2-lt-zero*:
 fixes $x\ y :: 'a::\{\text{ordered-idom}, \text{recpower}\}$
 shows $\neg x^2 + y^2 < 0$
 unfolding *power2-eq-square* by (rule *not-sum-squares-lt-zero*)

lemma *sum-power2-eq-zero-iff*:
 fixes $x\ y :: 'a::\{\text{ordered-idom}, \text{recpower}\}$
 shows $(x^2 + y^2 = 0) = (x = 0 \wedge y = 0)$
 unfolding *power2-eq-square* by (rule *sum-squares-eq-zero-iff*)

lemma *sum-power2-le-zero-iff*:
 fixes $x\ y :: 'a::\{\text{ordered-idom}, \text{recpower}\}$
 shows $(x^2 + y^2 \leq 0) = (x = 0 \wedge y = 0)$
 unfolding *power2-eq-square* by (rule *sum-squares-le-zero-iff*)

lemma *sum-power2-gt-zero-iff*:
 fixes $x\ y :: 'a::\{\text{ordered-idom}, \text{recpower}\}$
 shows $(0 < x^2 + y^2) = (x \neq 0 \vee y \neq 0)$
 unfolding *power2-eq-square* by (rule *sum-squares-gt-zero-iff*)

14.3 Squares of Reals

lemma *real-two-squares-add-zero-iff* [*simp*]:
 $(x * x + y * y = 0) = ((x::\text{real}) = 0 \wedge y = 0)$

by (rule sum-squares-eq-zero-iff)

lemma *real-sum-squares-cancel*: $x * x + y * y = 0 \implies x = (0::real)$
by *simp*

lemma *real-sum-squares-cancel2*: $x * x + y * y = 0 \implies y = (0::real)$
by *simp*

lemma *real-mult-self-sum-ge-zero*: $(0::real) \leq x*x + y*y$
by (rule sum-squares-ge-zero)

lemma *real-sum-squares-cancel-a*: $x * x = -(y * y) \implies x = (0::real) \ \& \ y=0$
by (*simp add: real-add-eq-0-iff [symmetric]*)

lemma *real-squared-diff-one-factored*: $x*x - (1::real) = (x + 1)*(x - 1)$
by (*simp add: left-distrib right-diff-distrib*)

lemma *real-mult-is-one* [*simp*]: $(x*x = (1::real)) = (x = 1 \mid x = -1)$
apply *auto*
apply (*drule right-minus-eq [THEN iffD2]*)
apply (*auto simp add: real-squared-diff-one-factored*)
done

lemma *real-sum-squares-not-zero*: $x \sim 0 \implies x * x + y * y \sim (0::real)$
by *simp*

lemma *real-sum-squares-not-zero2*: $y \sim 0 \implies x * x + y * y \sim (0::real)$
by *simp*

lemma *realpow-two-sum-zero-iff* [*simp*]:
 $(x^2 + y^2 = (0::real)) = (x = 0 \ \& \ y = 0)$
by (rule sum-power2-eq-zero-iff)

lemma *realpow-two-le-add-order* [*simp*]: $(0::real) \leq u^2 + v^2$
by (rule sum-power2-ge-zero)

lemma *realpow-two-le-add-order2* [*simp*]: $(0::real) \leq u^2 + v^2 + w^2$
by (*intro add-nonneg-nonneg zero-le-power2*)

lemma *real-sum-square-gt-zero*: $x \sim 0 \implies (0::real) < x * x + y * y$
by (*simp add: sum-squares-gt-zero-iff*)

lemma *real-sum-square-gt-zero2*: $y \sim 0 \implies (0::real) < x * x + y * y$
by (*simp add: sum-squares-gt-zero-iff*)

lemma *real-minus-mult-self-le* [*simp*]: $-(u * u) \leq (x * (x::real))$
by (rule-tac *j = 0 in real-le-trans, auto*)

lemma *realpow-square-minus-le* [*simp*]: $-(u^2) \leq (x::real)^2$

by (auto simp add: power2-eq-square)

lemma *real-sq-order*:

fixes $x::\text{real}$

assumes $xgt0: 0 \leq x$ and $ygt0: 0 \leq y$ and $sq: x^2 \leq y^2$

shows $x \leq y$

proof –

from sq have $x \wedge \text{Suc} (\text{Suc } 0) \leq y \wedge \text{Suc} (\text{Suc } 0)$

by (simp only: numeral-2-eq-2)

thus $x \leq y$ using $ygt0$

by (rule power-le-imp-le-base)

qed

14.4 Various Other Theorems

lemma *real-le-add-half-cancel*: $(x + y/2 \leq (y::\text{real})) = (x \leq y/2)$

by auto

lemma *real-minus-half-eq* [simp]: $(x::\text{real}) - x/2 = x/2$

by auto

lemma *real-mult-inverse-cancel*:

$[(0::\text{real}) < x; 0 < x1; x1 * y < x * u]$

$\implies \text{inverse } x * y < \text{inverse } x1 * u$

apply (rule-tac $c=x$ in mult-less-imp-less-left)

apply (auto simp add: real-mult-assoc [symmetric])

apply (simp (no-asm) add: mult-ac)

apply (rule-tac $c=x1$ in mult-less-imp-less-right)

apply (auto simp add: mult-ac)

done

lemma *real-mult-inverse-cancel2*:

$[(0::\text{real}) < x; 0 < x1; x1 * y < x * u] \implies y * \text{inverse } x < u * \text{inverse } x1$

apply (auto dest: real-mult-inverse-cancel simp add: mult-ac)

done

lemma *inverse-real-of-nat-gt-zero* [simp]: $0 < \text{inverse} (\text{real} (\text{Suc } n))$

by simp

lemma *inverse-real-of-nat-ge-zero* [simp]: $0 \leq \text{inverse} (\text{real} (\text{Suc } n))$

by simp

lemma *realpow-num-eq-if*: $(m::\text{real}) ^ n = (\text{if } n=0 \text{ then } 1 \text{ else } m * m ^ (n - 1))$

by (case-tac n , auto)

end

15 Vector Spaces and Algebras over the Reals

```
theory RealVector
imports RealPow
begin
```

15.1 Locale for additive functions

```
locale additive =
  fixes f :: 'a::ab-group-add  $\Rightarrow$  'b::ab-group-add
  assumes add:  $f\ (x + y) = f\ x + f\ y$ 
```

```
lemma (in additive) zero:  $f\ 0 = 0$ 
```

```
proof -
  have  $f\ 0 = f\ (0 + 0)$  by simp
  also have  $\dots = f\ 0 + f\ 0$  by (rule add)
  finally show  $f\ 0 = 0$  by simp
qed
```

```
lemma (in additive) minus:  $f\ (-\ x) = -\ f\ x$ 
```

```
proof -
  have  $f\ (-\ x) + f\ x = f\ (-\ x + x)$  by (rule add [symmetric])
  also have  $\dots = -\ f\ x + f\ x$  by (simp add: zero)
  finally show  $f\ (-\ x) = -\ f\ x$  by (rule add-right-imp-eq)
qed
```

```
lemma (in additive) diff:  $f\ (x - y) = f\ x - f\ y$ 
by (simp add: diff-def add minus)
```

```
lemma (in additive) setsum:  $f\ (\text{setsum } g\ A) = (\sum x \in A. f\ (g\ x))$ 
apply (cases finite A)
apply (induct set: finite)
apply (simp add: zero)
apply (simp add: add)
apply (simp add: zero)
done
```

15.2 Real vector spaces

```
class scaleR = type +
  fixes scaleR :: real  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr *R 75)
begin
```

```
abbreviation
```

```
  divideR :: 'a  $\Rightarrow$  real  $\Rightarrow$  'a (infixl '/R 70)
```

```
where
```

```
   $x\ /\_R\ r == \text{scaleR } (\text{inverse } r)\ x$ 
```

```
end
```

```

instance real :: scaleR
  real-scaleR-def [simp]: scaleR a x  $\equiv$  a * x ..

class real-vector = scaleR + ab-group-add +
  assumes scaleR-right-distrib: scaleR a (x + y) = scaleR a x + scaleR a y
  and scaleR-left-distrib: scaleR (a + b) x = scaleR a x + scaleR b x
  and scaleR-scaleR [simp]: scaleR a (scaleR b x) = scaleR (a * b) x
  and scaleR-one [simp]: scaleR 1 x = x

class real-algebra = real-vector + ring +
  assumes mult-scaleR-left [simp]: scaleR a x * y = scaleR a (x * y)
  and mult-scaleR-right [simp]: x * scaleR a y = scaleR a (x * y)

class real-algebra-1 = real-algebra + ring-1

class real-div-algebra = real-algebra-1 + division-ring

class real-field = real-div-algebra + field

instance real :: real-field
apply (intro-classes, unfold real-scaleR-def)
apply (rule right-distrib)
apply (rule left-distrib)
apply (rule mult-assoc [symmetric])
apply (rule mult-1-left)
apply (rule mult-assoc)
apply (rule mult-left-commute)
done

lemma scaleR-left-commute:
  fixes x :: 'a::real-vector'
  shows scaleR a (scaleR b x) = scaleR b (scaleR a x)
by (simp add: mult-commute)

interpretation scaleR-left: additive [(\(a. scaleR a x::'a::real-vector)]
by unfold-locales (rule scaleR-left-distrib)

interpretation scaleR-right: additive [(\(x. scaleR a x::'a::real-vector)]
by unfold-locales (rule scaleR-right-distrib)

lemmas scaleR-zero-left [simp] = scaleR-left.zero

lemmas scaleR-zero-right [simp] = scaleR-right.zero

lemmas scaleR-minus-left [simp] = scaleR-left.minus

lemmas scaleR-minus-right [simp] = scaleR-right.minus

lemmas scaleR-left-diff-distrib = scaleR-left.diff

```

lemmas *scaleR-right-diff-distrib* = *scaleR-right.diff*

lemma *scaleR-eq-0-iff* [*simp*]:
 fixes $x :: 'a::\text{real-vector}$
 shows $(\text{scaleR } a \ x = 0) = (a = 0 \vee x = 0)$
proof *cases*
 assume $a = 0$ **thus** ?thesis **by** *simp*
next
 assume *anz* [*simp*]: $a \neq 0$
 { assume $\text{scaleR } a \ x = 0$
 hence $\text{scaleR } (\text{inverse } a) (\text{scaleR } a \ x) = 0$ **by** *simp*
 hence $x = 0$ **by** *simp* }
 thus ?thesis **by** *force*
qed

lemma *scaleR-left-imp-eq*:
 fixes $x \ y :: 'a::\text{real-vector}$
 shows $\llbracket a \neq 0; \text{scaleR } a \ x = \text{scaleR } a \ y \rrbracket \implies x = y$
proof –
 assume *nonzero*: $a \neq 0$
 assume $\text{scaleR } a \ x = \text{scaleR } a \ y$
 hence $\text{scaleR } a \ (x - y) = 0$
 by (*simp add: scaleR-right-diff-distrib*)
 hence $x - y = 0$ **by** (*simp add: nonzero*)
 thus $x = y$ **by** *simp*
qed

lemma *scaleR-right-imp-eq*:
 fixes $x \ y :: 'a::\text{real-vector}$
 shows $\llbracket x \neq 0; \text{scaleR } a \ x = \text{scaleR } b \ x \rrbracket \implies a = b$
proof –
 assume *nonzero*: $x \neq 0$
 assume $\text{scaleR } a \ x = \text{scaleR } b \ x$
 hence $\text{scaleR } (a - b) \ x = 0$
 by (*simp add: scaleR-left-diff-distrib*)
 hence $a - b = 0$ **by** (*simp add: nonzero*)
 thus $a = b$ **by** *simp*
qed

lemma *scaleR-cancel-left*:
 fixes $x \ y :: 'a::\text{real-vector}$
 shows $(\text{scaleR } a \ x = \text{scaleR } a \ y) = (x = y \vee a = 0)$
by (*auto intro: scaleR-left-imp-eq*)

lemma *scaleR-cancel-right*:
 fixes $x \ y :: 'a::\text{real-vector}$
 shows $(\text{scaleR } a \ x = \text{scaleR } b \ x) = (a = b \vee x = 0)$
by (*auto intro: scaleR-right-imp-eq*)

lemma *nonzero-inverse-scaleR-distrib*:
fixes $x :: 'a::\text{real-div-algebra}$ **shows**
 $\llbracket a \neq 0; x \neq 0 \rrbracket \implies \text{inverse} (\text{scaleR } a \ x) = \text{scaleR } (\text{inverse } a) (\text{inverse } x)$
by (*rule inverse-unique, simp*)

lemma *inverse-scaleR-distrib*:
fixes $x :: 'a::\{\text{real-div-algebra}, \text{division-by-zero}\}$
shows $\text{inverse} (\text{scaleR } a \ x) = \text{scaleR } (\text{inverse } a) (\text{inverse } x)$
apply (*case-tac a = 0, simp*)
apply (*case-tac x = 0, simp*)
apply (*erule (1) nonzero-inverse-scaleR-distrib*)
done

15.3 Embedding of the Reals into any *real-algebra-1*: *of-real*

definition
 $\text{of-real} :: \text{real} \Rightarrow 'a::\text{real-algebra-1}$ **where**
 $\text{of-real } r = \text{scaleR } r \ 1$

lemma *scaleR-conv-of-real*: $\text{scaleR } r \ x = \text{of-real } r * x$
by (*simp add: of-real-def*)

lemma *of-real-0* [*simp*]: $\text{of-real } 0 = 0$
by (*simp add: of-real-def*)

lemma *of-real-1* [*simp*]: $\text{of-real } 1 = 1$
by (*simp add: of-real-def*)

lemma *of-real-add* [*simp*]: $\text{of-real } (x + y) = \text{of-real } x + \text{of-real } y$
by (*simp add: of-real-def scaleR-left-distrib*)

lemma *of-real-minus* [*simp*]: $\text{of-real } (- x) = - \text{of-real } x$
by (*simp add: of-real-def*)

lemma *of-real-diff* [*simp*]: $\text{of-real } (x - y) = \text{of-real } x - \text{of-real } y$
by (*simp add: of-real-def scaleR-left-diff-distrib*)

lemma *of-real-mult* [*simp*]: $\text{of-real } (x * y) = \text{of-real } x * \text{of-real } y$
by (*simp add: of-real-def mult-commute*)

lemma *nonzero-of-real-inverse*:
 $x \neq 0 \implies \text{of-real } (\text{inverse } x) =$
 $\text{inverse } (\text{of-real } x :: 'a::\text{real-div-algebra})$
by (*simp add: of-real-def nonzero-inverse-scaleR-distrib*)

lemma *of-real-inverse* [*simp*]:
 $\text{of-real } (\text{inverse } x) =$
 $\text{inverse } (\text{of-real } x :: 'a::\{\text{real-div-algebra}, \text{division-by-zero}\})$

by (*simp add: of-real-def inverse-scaleR-distrib*)

lemma *nonzero-of-real-divide*:

$y \neq 0 \implies \text{of-real } (x / y) =$
 $(\text{of-real } x / \text{of-real } y :: 'a::\text{real-field})$

by (*simp add: divide-inverse nonzero-of-real-inverse*)

lemma *of-real-divide [simp]*:

$\text{of-real } (x / y) =$
 $(\text{of-real } x / \text{of-real } y :: 'a::\{\text{real-field}, \text{division-by-zero}\})$

by (*simp add: divide-inverse*)

lemma *of-real-power [simp]*:

$\text{of-real } (x ^ n) = (\text{of-real } x :: 'a::\{\text{real-algebra-1}, \text{recpower}\}) ^ n$

by (*induct n (simp-all add: power-Suc)*)

lemma *of-real-eq-iff [simp]*: $(\text{of-real } x = \text{of-real } y) = (x = y)$

by (*simp add: of-real-def scaleR-cancel-right*)

lemmas *of-real-eq-0-iff [simp] = of-real-eq-iff [of - 0, simplified]*

lemma *of-real-eq-id [simp]*: $\text{of-real } = (id :: \text{real} \Rightarrow \text{real})$

proof

fix r

show $\text{of-real } r = id\ r$

by (*simp add: of-real-def*)

qed

Collapse nested embeddings

lemma *of-real-of-nat-eq [simp]*: $\text{of-real } (\text{of-nat } n) = \text{of-nat } n$

by (*induct n auto*)

lemma *of-real-of-int-eq [simp]*: $\text{of-real } (\text{of-int } z) = \text{of-int } z$

by (*cases z rule: int-diff-cases, simp*)

lemma *of-real-number-of-eq*:

$\text{of-real } (\text{number-of } w) = (\text{number-of } w :: 'a::\{\text{number-ring}, \text{real-algebra-1}\})$

by (*simp add: number-of-eq*)

Every real algebra has characteristic zero

instance *real-algebra-1 < ring-char-0*

proof

fix $m\ n :: \text{nat}$

have $(\text{of-real } (\text{of-nat } m) = (\text{of-real } (\text{of-nat } n)::'a)) = (m = n)$

by (*simp only: of-real-eq-iff of-nat-eq-iff*)

thus $(\text{of-nat } m = (\text{of-nat } n)::'a) = (m = n)$

by (*simp only: of-real-of-nat-eq*)

qed

15.4 The Set of Real Numbers

definition

Reals :: 'a::real-algebra-1 set **where**

Reals $\equiv$ range of-real

notation (*xsymbols*)

Reals ($\mathbb{R}$)

lemma *Reals-of-real* [simp]: of-real $r \in$ *Reals*

by (simp add: *Reals-def*)

lemma *Reals-of-int* [simp]: of-int $z \in$ *Reals*

by (subst of-real-of-int-eq [symmetric], rule *Reals-of-real*)

lemma *Reals-of-nat* [simp]: of-nat $n \in$ *Reals*

by (subst of-real-of-nat-eq [symmetric], rule *Reals-of-real*)

lemma *Reals-number-of* [simp]:

(number-of $w::'a::\{\text{number-ring}, \text{real-algebra-1}\}$) $\in$ *Reals*

by (subst of-real-number-of-eq [symmetric], rule *Reals-of-real*)

lemma *Reals-0* [simp]: $0 \in$ *Reals*

apply (unfold *Reals-def*)

apply (rule range-eqI)

apply (rule of-real-0 [symmetric])

done

lemma *Reals-1* [simp]: $1 \in$ *Reals*

apply (unfold *Reals-def*)

apply (rule range-eqI)

apply (rule of-real-1 [symmetric])

done

lemma *Reals-add* [simp]: $\llbracket a \in \text{Reals}; b \in \text{Reals} \rrbracket \implies a + b \in \text{Reals}$

apply (auto simp add: *Reals-def*)

apply (rule range-eqI)

apply (rule of-real-add [symmetric])

done

lemma *Reals-minus* [simp]: $a \in \text{Reals} \implies -a \in \text{Reals}$

apply (auto simp add: *Reals-def*)

apply (rule range-eqI)

apply (rule of-real-minus [symmetric])

done

lemma *Reals-diff* [simp]: $\llbracket a \in \text{Reals}; b \in \text{Reals} \rrbracket \implies a - b \in \text{Reals}$

apply (auto simp add: *Reals-def*)

apply (rule range-eqI)

apply (rule of-real-diff [symmetric])

done

```
lemma Reals-mult [simp]:  $\llbracket a \in \text{Reals}; b \in \text{Reals} \rrbracket \implies a * b \in \text{Reals}$ 
  apply (auto simp add: Reals-def)
  apply (rule range-eqI)
  apply (rule of-real-mult [symmetric])
done
```

```
lemma nonzero-Reals-inverse:
  fixes a :: 'a::real-div-algebra
  shows  $\llbracket a \in \text{Reals}; a \neq 0 \rrbracket \implies \text{inverse } a \in \text{Reals}$ 
  apply (auto simp add: Reals-def)
  apply (rule range-eqI)
  apply (erule nonzero-of-real-inverse [symmetric])
done
```

```
lemma Reals-inverse [simp]:
  fixes a :: 'a::{real-div-algebra,division-by-zero}
  shows  $a \in \text{Reals} \implies \text{inverse } a \in \text{Reals}$ 
  apply (auto simp add: Reals-def)
  apply (rule range-eqI)
  apply (rule of-real-inverse [symmetric])
done
```

```
lemma nonzero-Reals-divide:
  fixes a b :: 'a::real-field
  shows  $\llbracket a \in \text{Reals}; b \in \text{Reals}; b \neq 0 \rrbracket \implies a / b \in \text{Reals}$ 
  apply (auto simp add: Reals-def)
  apply (rule range-eqI)
  apply (erule nonzero-of-real-divide [symmetric])
done
```

```
lemma Reals-divide [simp]:
  fixes a b :: 'a::{real-field,division-by-zero}
  shows  $\llbracket a \in \text{Reals}; b \in \text{Reals} \rrbracket \implies a / b \in \text{Reals}$ 
  apply (auto simp add: Reals-def)
  apply (rule range-eqI)
  apply (rule of-real-divide [symmetric])
done
```

```
lemma Reals-power [simp]:
  fixes a :: 'a::{real-algebra-1,recpower}
  shows  $a \in \text{Reals} \implies a ^ n \in \text{Reals}$ 
  apply (auto simp add: Reals-def)
  apply (rule range-eqI)
  apply (rule of-real-power [symmetric])
done
```

```
lemma Reals-cases [cases set: Reals]:
```

```

    assumes  $q \in \mathbb{R}$ 
    obtains (of-real)  $r$  where  $q = \text{of-real } r$ 
    unfolding Reals-def
  proof -
    from  $\langle q \in \mathbb{R} \rangle$  have  $q \in \text{range of-real}$  unfolding Reals-def .
    then obtain  $r$  where  $q = \text{of-real } r$  ..
    then show thesis ..
  qed

```

```

lemma Reals-induct [case-names of-real, induct set: Reals]:
   $q \in \mathbb{R} \implies (\bigwedge r. P (\text{of-real } r)) \implies P q$ 
  by (rule Reals-cases) auto

```

15.5 Real normed vector spaces

```

class norm = type +
  fixes norm :: 'a  $\Rightarrow$  real

```

```

instance real :: norm
  real-norm-def [simp]: norm  $r \equiv |r|$  ..

```

```

class sgn-div-norm = scaleR + norm + sgn +
  assumes sgn-div-norm:  $\text{sgn } x = x /_R \text{ norm } x$ 

```

```

class real-normed-vector = real-vector + sgn-div-norm +
  assumes norm-ge-zero [simp]:  $0 \leq \text{norm } x$ 
  and norm-eq-zero [simp]:  $\text{norm } x = 0 \iff x = 0$ 
  and norm-triangle-ineq:  $\text{norm } (x + y) \leq \text{norm } x + \text{norm } y$ 
  and norm-scaleR:  $\text{norm } (\text{scaleR } a \ x) = |a| * \text{norm } x$ 

```

```

class real-normed-algebra = real-algebra + real-normed-vector +
  assumes norm-mult-ineq:  $\text{norm } (x * y) \leq \text{norm } x * \text{norm } y$ 

```

```

class real-normed-algebra-1 = real-algebra-1 + real-normed-algebra +
  assumes norm-one [simp]:  $\text{norm } 1 = 1$ 

```

```

class real-normed-div-algebra = real-div-algebra + real-normed-vector +
  assumes norm-mult:  $\text{norm } (x * y) = \text{norm } x * \text{norm } y$ 

```

```

class real-normed-field = real-field + real-normed-div-algebra

```

```

instance real-normed-div-algebra < real-normed-algebra-1

```

```

proof
  fix  $x \ y :: 'a$ 
  show  $\text{norm } (x * y) \leq \text{norm } x * \text{norm } y$ 
    by (simp add: norm-mult)
next
  have  $\text{norm } (1 * 1 :: 'a) = \text{norm } (1 :: 'a) * \text{norm } (1 :: 'a)$ 
    by (rule norm-mult)

```

thus $\text{norm } (1::'a) = 1$ by *simp*
qed

instance *real* :: *real-normed-field*
apply (*intro-classes*, *unfold real-norm-def real-scaleR-def*)
apply (*simp add: real-sgn-def*)
apply (*rule abs-ge-zero*)
apply (*rule abs-eq-0*)
apply (*rule abs-triangle-ineq*)
apply (*rule abs-mult*)
apply (*rule abs-mult*)
done

lemma *norm-zero* [*simp*]: $\text{norm } (0::'a::\text{real-normed-vector}) = 0$
by *simp*

lemma *zero-less-norm-iff* [*simp*]:
fixes $x :: 'a::\text{real-normed-vector}$
shows $(0 < \text{norm } x) = (x \neq 0)$
by (*simp add: order-less-le*)

lemma *norm-not-less-zero* [*simp*]:
fixes $x :: 'a::\text{real-normed-vector}$
shows $\neg \text{norm } x < 0$
by (*simp add: linorder-not-less*)

lemma *norm-le-zero-iff* [*simp*]:
fixes $x :: 'a::\text{real-normed-vector}$
shows $(\text{norm } x \leq 0) = (x = 0)$
by (*simp add: order-le-less*)

lemma *norm-minus-cancel* [*simp*]:
fixes $x :: 'a::\text{real-normed-vector}$
shows $\text{norm } (- x) = \text{norm } x$
proof –
have $\text{norm } (- x) = \text{norm } (\text{scaleR } (- 1) x)$
by (*simp only: scaleR-minus-left scaleR-one*)
also have $\dots = |- 1| * \text{norm } x$
by (*rule norm-scaleR*)
finally show ?thesis by *simp*
qed

lemma *norm-minus-commute*:
fixes $a b :: 'a::\text{real-normed-vector}$
shows $\text{norm } (a - b) = \text{norm } (b - a)$
proof –
have $\text{norm } -(b - a) = \text{norm } (b - a)$
by (*rule norm-minus-cancel*)
thus ?thesis by *simp*

qed

lemma norm-triangle-ineq2:

fixes a b :: 'a::real-normed-vector

shows $\text{norm } a - \text{norm } b \leq \text{norm } (a - b)$

proof -

have $\text{norm } (a - b + b) \leq \text{norm } (a - b) + \text{norm } b$

by (rule norm-triangle-ineq)

thus ?thesis by simp

qed

lemma norm-triangle-ineq3:

fixes a b :: 'a::real-normed-vector

shows $|\text{norm } a - \text{norm } b| \leq \text{norm } (a - b)$

apply (subst abs-le-iff)

apply auto

apply (rule norm-triangle-ineq2)

apply (subst norm-minus-commute)

apply (rule norm-triangle-ineq2)

done

lemma norm-triangle-ineq4:

fixes a b :: 'a::real-normed-vector

shows $\text{norm } (a - b) \leq \text{norm } a + \text{norm } b$

proof -

have $\text{norm } (a + - b) \leq \text{norm } a + \text{norm } (- b)$

by (rule norm-triangle-ineq)

thus ?thesis

by (simp only: diff-minus norm-minus-cancel)

qed

lemma norm-diff-ineq:

fixes a b :: 'a::real-normed-vector

shows $\text{norm } a - \text{norm } b \leq \text{norm } (a + b)$

proof -

have $\text{norm } a - \text{norm } (- b) \leq \text{norm } (a - - b)$

by (rule norm-triangle-ineq2)

thus ?thesis by simp

qed

lemma norm-diff-triangle-ineq:

fixes a b c d :: 'a::real-normed-vector

shows $\text{norm } ((a + b) - (c + d)) \leq \text{norm } (a - c) + \text{norm } (b - d)$

proof -

have $\text{norm } ((a + b) - (c + d)) = \text{norm } ((a - c) + (b - d))$

by (simp add: diff-minus add-ac)

also have $\dots \leq \text{norm } (a - c) + \text{norm } (b - d)$

by (rule norm-triangle-ineq)

finally show ?thesis .

qed

lemma *abs-norm-cancel* [simp]:
 fixes $a :: 'a::\text{real-normed-vector}$
 shows $|\text{norm } a| = \text{norm } a$
 by (rule *abs-of-nonneg* [OF *norm-ge-zero*])

lemma *norm-add-less*:
 fixes $x y :: 'a::\text{real-normed-vector}$
 shows $\llbracket \text{norm } x < r; \text{norm } y < s \rrbracket \implies \text{norm } (x + y) < r + s$
 by (rule *order-le-less-trans* [OF *norm-triangle-ineq add-strict-mono*])

lemma *norm-mult-less*:
 fixes $x y :: 'a::\text{real-normed-algebra}$
 shows $\llbracket \text{norm } x < r; \text{norm } y < s \rrbracket \implies \text{norm } (x * y) < r * s$
 apply (rule *order-le-less-trans* [OF *norm-mult-ineq*])
 apply (simp add: *mult-strict-mono'*)
 done

lemma *norm-of-real* [simp]:
 $\text{norm } (\text{of-real } r :: 'a::\text{real-normed-algebra-1}) = |r|$
 unfolding *of-real-def* by (simp add: *norm-scaleR*)

lemma *norm-number-of* [simp]:
 $\text{norm } (\text{number-of } w :: 'a::\{\text{number-ring}, \text{real-normed-algebra-1}\})$
 $= |\text{number-of } w|$
 by (subst *of-real-number-of-eq* [symmetric], rule *norm-of-real*)

lemma *norm-of-int* [simp]:
 $\text{norm } (\text{of-int } z :: 'a::\text{real-normed-algebra-1}) = |\text{of-int } z|$
 by (subst *of-real-of-int-eq* [symmetric], rule *norm-of-real*)

lemma *norm-of-nat* [simp]:
 $\text{norm } (\text{of-nat } n :: 'a::\text{real-normed-algebra-1}) = \text{of-nat } n$
 apply (subst *of-real-of-nat-eq* [symmetric])
 apply (subst *norm-of-real*, simp)
 done

lemma *nonzero-norm-inverse*:
 fixes $a :: 'a::\text{real-normed-div-algebra}$
 shows $a \neq 0 \implies \text{norm } (\text{inverse } a) = \text{inverse } (\text{norm } a)$
 apply (rule *inverse-unique* [symmetric])
 apply (simp add: *norm-mult* [symmetric])
 done

lemma *norm-inverse*:
 fixes $a :: 'a::\{\text{real-normed-div-algebra}, \text{division-by-zero}\}$
 shows $\text{norm } (\text{inverse } a) = \text{inverse } (\text{norm } a)$
 apply (case-tac $a = 0$, simp)

apply (*erule nonzero-norm-inverse*)
done

lemma *nonzero-norm-divide*:
fixes $a\ b :: 'a::\text{real-normed-field}$
shows $b \neq 0 \implies \text{norm } (a / b) = \text{norm } a / \text{norm } b$
by (*simp add: divide-inverse norm-mult nonzero-norm-inverse*)

lemma *norm-divide*:
fixes $a\ b :: 'a::\{\text{real-normed-field}, \text{division-by-zero}\}$
shows $\text{norm } (a / b) = \text{norm } a / \text{norm } b$
by (*simp add: divide-inverse norm-mult norm-inverse*)

lemma *norm-power-ineq*:
fixes $x :: 'a::\{\text{real-normed-algebra-1}, \text{recpower}\}$
shows $\text{norm } (x ^ n) \leq \text{norm } x ^ n$
proof (*induct n*)
case 0 **show** $\text{norm } (x ^ 0) \leq \text{norm } x ^ 0$ **by** *simp*
next
case (*Suc n*)
have $\text{norm } (x * x ^ n) \leq \text{norm } x * \text{norm } (x ^ n)$
by (*rule norm-mult-ineq*)
also from *Suc* **have** $\dots \leq \text{norm } x * \text{norm } x ^ n$
using *norm-ge-zero* **by** (*rule mult-left-mono*)
finally show $\text{norm } (x ^ \text{Suc } n) \leq \text{norm } x ^ \text{Suc } n$
by (*simp add: power-Suc*)
qed

lemma *norm-power*:
fixes $x :: 'a::\{\text{real-normed-div-algebra}, \text{recpower}\}$
shows $\text{norm } (x ^ n) = \text{norm } x ^ n$
by (*induct n*) (*simp-all add: power-Suc norm-mult*)

15.6 Sign function

lemma *norm-sgn*:
 $\text{norm } (\text{sgn}(x::'a::\text{real-normed-vector})) = (\text{if } x = 0 \text{ then } 0 \text{ else } 1)$
by (*simp add: sgn-div-norm norm-scaleR*)

lemma *sgn-zero* [*simp*]: $\text{sgn}(0::'a::\text{real-normed-vector}) = 0$
by (*simp add: sgn-div-norm*)

lemma *sgn-zero-iff*: $(\text{sgn}(x::'a::\text{real-normed-vector}) = 0) = (x = 0)$
by (*simp add: sgn-div-norm*)

lemma *sgn-minus*: $\text{sgn } (- x) = - \text{sgn}(x::'a::\text{real-normed-vector})$
by (*simp add: sgn-div-norm*)

lemma *sgn-scaleR*:

$\text{sgn} (\text{scaleR } r \ x) = \text{scaleR } (\text{sgn } r) (\text{sgn}(x::'a::\text{real-normed-vector}))$
by (*simp add: sgn-div-norm norm-scaleR mult-ac*)

lemma *sgn-one* [*simp*]: $\text{sgn } (1::'a::\text{real-normed-algebra-1}) = 1$
by (*simp add: sgn-div-norm*)

lemma *sgn-of-real*:
 $\text{sgn } (\text{of-real } r::'a::\text{real-normed-algebra-1}) = \text{of-real } (\text{sgn } r)$
unfolding *of-real-def* **by** (*simp only: sgn-scaleR sgn-one*)

lemma *sgn-mult*:
fixes $x \ y :: 'a::\text{real-normed-div-algebra}$
shows $\text{sgn } (x * y) = \text{sgn } x * \text{sgn } y$
by (*simp add: sgn-div-norm norm-mult mult-commute*)

lemma *real-sgn-eq*: $\text{sgn } (x::\text{real}) = x / |x|$
by (*simp add: sgn-div-norm divide-inverse*)

lemma *real-sgn-pos*: $0 < (x::\text{real}) \implies \text{sgn } x = 1$
unfolding *real-sgn-eq* **by** *simp*

lemma *real-sgn-neg*: $(x::\text{real}) < 0 \implies \text{sgn } x = -1$
unfolding *real-sgn-eq* **by** *simp*

15.7 Bounded Linear and Bilinear Operators

locale *bounded-linear* = *additive* +
constrains $f :: 'a::\text{real-normed-vector} \Rightarrow 'b::\text{real-normed-vector}$
assumes *scaleR*: $f (\text{scaleR } r \ x) = \text{scaleR } r (f \ x)$
assumes *bounded*: $\exists K. \forall x. \text{norm } (f \ x) \leq \text{norm } x * K$

lemma (*in bounded-linear*) *pos-bounded*:
 $\exists K > 0. \forall x. \text{norm } (f \ x) \leq \text{norm } x * K$
proof –
obtain K **where** $K: \bigwedge x. \text{norm } (f \ x) \leq \text{norm } x * K$
using *bounded* **by** *fast*
show *?thesis*
proof (*intro exI impI conjI allI*)
show $0 < \max 1 K$
by (*rule order-less-le-trans [OF zero-less-one le-maxI1]*)
next
fix x
have $\text{norm } (f \ x) \leq \text{norm } x * K$ **using** K .
also have $\dots \leq \text{norm } x * \max 1 K$
by (*rule mult-left-mono [OF le-maxI2 norm-ge-zero]*)
finally show $\text{norm } (f \ x) \leq \text{norm } x * \max 1 K$.
qed
qed

```

lemma (in bounded-linear) nonneg-bounded:
   $\exists K \geq 0. \forall x. \text{norm } (f\ x) \leq \text{norm } x * K$ 
proof -
  from pos-bounded
  show ?thesis by (auto intro: order-less-imp-le)
qed

locale bounded-bilinear =
  fixes prod :: ['a::real-normed-vector, 'b::real-normed-vector]
     $\Rightarrow$  'c::real-normed-vector
    (infixl ** 70)
  assumes add-left:  $\text{prod } (a + a')\ b = \text{prod } a\ b + \text{prod } a'\ b$ 
  assumes add-right:  $\text{prod } a\ (b + b') = \text{prod } a\ b + \text{prod } a\ b'$ 
  assumes scaleR-left:  $\text{prod } (\text{scaleR } r\ a)\ b = \text{scaleR } r\ (\text{prod } a\ b)$ 
  assumes scaleR-right:  $\text{prod } a\ (\text{scaleR } r\ b) = \text{scaleR } r\ (\text{prod } a\ b)$ 
  assumes bounded:  $\exists K. \forall a\ b. \text{norm } (\text{prod } a\ b) \leq \text{norm } a * \text{norm } b * K$ 

lemma (in bounded-bilinear) pos-bounded:
   $\exists K > 0. \forall a\ b. \text{norm } (a ** b) \leq \text{norm } a * \text{norm } b * K$ 
apply (cut-tac bounded, erule exE)
apply (rule-tac x=max 1 K in exI, safe)
apply (rule order-less-le-trans [OF zero-less-one le-maxI1])
apply (drule spec, drule spec, erule order-trans)
apply (rule mult-left-mono [OF le-maxI2])
apply (intro mult-nonneg-nonneg norm-ge-zero)
done

lemma (in bounded-bilinear) nonneg-bounded:
   $\exists K \geq 0. \forall a\ b. \text{norm } (a ** b) \leq \text{norm } a * \text{norm } b * K$ 
proof -
  from pos-bounded
  show ?thesis by (auto intro: order-less-imp-le)
qed

lemma (in bounded-bilinear) additive-right: additive ( $\lambda b. \text{prod } a\ b$ )
by (rule additive.intro, rule add-right)

lemma (in bounded-bilinear) additive-left: additive ( $\lambda a. \text{prod } a\ b$ )
by (rule additive.intro, rule add-left)

lemma (in bounded-bilinear) zero-left:  $\text{prod } 0\ b = 0$ 
by (rule additive.zero [OF additive-left])

lemma (in bounded-bilinear) zero-right:  $\text{prod } a\ 0 = 0$ 
by (rule additive.zero [OF additive-right])

lemma (in bounded-bilinear) minus-left:  $\text{prod } (-\ a)\ b = -\ \text{prod } a\ b$ 
by (rule additive.minus [OF additive-left])

```

lemma (in *bounded-bilinear*) *minus-right*: $\text{prod } a \ (-\ b) = -\ \text{prod } a \ b$
by (rule *additive.minus* [OF *additive-right*])

lemma (in *bounded-bilinear*) *diff-left*:
 $\text{prod } (a - a') \ b = \text{prod } a \ b - \text{prod } a' \ b$
by (rule *additive.diff* [OF *additive-left*])

lemma (in *bounded-bilinear*) *diff-right*:
 $\text{prod } a \ (b - b') = \text{prod } a \ b - \text{prod } a \ b'$
by (rule *additive.diff* [OF *additive-right*])

lemma (in *bounded-bilinear*) *bounded-linear-left*:
 $\text{bounded-linear } (\lambda a. \ a ** b)$
apply (*unfold-locales*)
apply (*rule add-left*)
apply (*rule scaleR-left*)
apply (*cut-tac bounded, safe*)
apply (*rule-tac x=norm b * K in exI*)
apply (*simp add: mult-ac*)
done

lemma (in *bounded-bilinear*) *bounded-linear-right*:
 $\text{bounded-linear } (\lambda b. \ a ** b)$
apply (*unfold-locales*)
apply (*rule add-right*)
apply (*rule scaleR-right*)
apply (*cut-tac bounded, safe*)
apply (*rule-tac x=norm a * K in exI*)
apply (*simp add: mult-ac*)
done

lemma (in *bounded-bilinear*) *prod-diff-prod*:
 $(x ** y - a ** b) = (x - a) ** (y - b) + (x - a) ** b + a ** (y - b)$
by (*simp add: diff-left diff-right*)

interpretation *mult*:
 $\text{bounded-bilinear } [op * :: 'a \Rightarrow 'a \Rightarrow 'a::\text{real-normed-algebra}]$
apply (*rule bounded-bilinear.intro*)
apply (*rule left-distrib*)
apply (*rule right-distrib*)
apply (*rule mult-scaleR-left*)
apply (*rule mult-scaleR-right*)
apply (*rule-tac x=1 in exI*)
apply (*simp add: norm-mult-ineq*)
done

interpretation *mult-left*:
 $\text{bounded-linear } [(\lambda x::'a::\text{real-normed-algebra}. \ x * y)]$
by (*rule mult.bounded-linear-left*)

```

interpretation mult-right:
  bounded-linear  $[(\lambda y::'a::\text{real-normed-algebra}. x * y)]$ 
by (rule mult.bounded-linear-right)

interpretation divide:
  bounded-linear  $[(\lambda x::'a::\text{real-normed-field}. x / y)]$ 
unfolding divide-inverse by (rule mult.bounded-linear-left)

interpretation scaleR: bounded-bilinear [scaleR]
apply (rule bounded-bilinear.intro)
apply (rule scaleR-left-distrib)
apply (rule scaleR-right-distrib)
apply simp
apply (rule scaleR-left-commute)
apply (rule-tac x=1 in exI)
apply (simp add: norm-scaleR)
done

interpretation scaleR-left: bounded-linear  $[\lambda r. \text{scaleR } r \ x]$ 
by (rule scaleR.bounded-linear-left)

interpretation scaleR-right: bounded-linear  $[\lambda x. \text{scaleR } r \ x]$ 
by (rule scaleR.bounded-linear-right)

interpretation of-real: bounded-linear  $[\lambda r. \text{of-real } r]$ 
unfolding of-real-def by (rule scaleR.bounded-linear-left)

end

theory Real
imports ContNotDenum RealVector
begin
end

```

16 Resource-Aware Operational semantics of Safe expressions

```

theory SafeRASemanticsReal
imports ../CoreSafe/SafeExpr ../SafeImp/SVMState ~~/src/HOL/Real/Real
begin

types

```

```

Delta = (Region → real)
MinimumFreshCells = real
MinimumWords = real
Resources = Delta × MinimumFreshCells × MinimumWords

types FunDefEnv = string → ProgVar list × RegVar list × unit Exp
consts Σd :: FunDefEnv

constdefs sizeVal :: [HeapMap, Val] ⇒ int
sizeVal h v ≡ (case v of (Loc p) ⇒ int p
                        | -      ⇒ 0)

constdefs size :: [HeapMap, Location] ⇒ int where
size h p ≡ (case h p of
    Some (j,C,vs) ⇒ (let rp = getRecursiveValuesCell (C,vs)
                      in 1 + (Σ i∈rp. sizeVal h (vs!i))))

constdefs balanceCells :: Delta ⇒ real (|| - || [71] 70)
balanceCells δ ≡ (Σ n∈ran δ. n)

constdefs addDelta :: Delta ⇒ Delta ⇒ Delta (infix ⊕ 110)
addDelta δ1 δ2 ≡ (%x. (if x ∈ dom δ1 ∩ dom δ2
    then (case δ1 x of (Some y) ⇒
        case δ2 x of (Some z) ⇒ Some (y + z))
    else if x ∈ dom δ1 - dom δ2
    then δ1 x
    else if x ∈ dom δ2 - dom δ1
    then δ2 x
    else None))

constdefs emptyDelta :: nat ⇒ nat → real (|- [71] 70)
|-k ≡ (%i. if i ∈ {0..k}
    then Some 0
    else None)

consts def-copy :: nat ⇒ Heap ⇒ bool

inductive
SafeRASem :: [Environment, HeapMap, nat, real, unit Exp, HeapMap, nat, Val,
Resources] ⇒ bool
( - ⊢ -, -, -, - ↓ -, -, -, - [71,71,71,71,71,71,71,71] 70)

where

litInt : E ⊢ h, k, td, (ConstE (LitN i) a) ↓ h, k, IntT i, (|-k, 0, 1)

```

| *litBool*: $E \vdash h, k, td, (ConstE (LitB b) a) \Downarrow h, k, BoolT b, ([k, 0, 1])$

| *var1* : $E1 x = Some (Val.Loc p)$
 $\implies (E1, E2) \vdash h, k, td, (VarE x a) \Downarrow h, k, Val.Loc p, ([k, 0, 1])$

| *var2* : $\llbracket E1 x = Some (Val.Loc p); E2 r = Some j; j \leq k;$
 $copy (h, k) p j = ((h', k), p'); def-copy p (h, k);$
 $m = real (size h p) \rrbracket$
 $\implies (E1, E2) \vdash h, k, td, (x @ r a) \Downarrow h', k, Val.Loc p', ([j \mapsto m], m, 2)$

| *var3* : $\llbracket E1 x = Some (Val.Loc p); h p = Some c; SafeHeap.fresh q h \rrbracket$
 $\implies (E1, E2) \vdash h, k, td, (ReuseE x a) \Downarrow ((h(p := None))(q \mapsto c)), k, Val.Loc q, ([k, 0, 1])$

| *let1* : $\llbracket \forall C as r a'. e1 \neq ConstrE C as r a'; x1 \notin dom E1;$
 $(E1, E2) \vdash h, k, 0, e1 \Downarrow h', k, v1, (\delta 1, m1, s1);$
 $(E1(x1 \mapsto v1), E2) \vdash h', k, (td+1), e2 \Downarrow h'', k, v2, (\delta 2, m2, s2) \rrbracket$
 $\implies (E1, E2) \vdash h, k, td, Let x1 = e1 In e2 a \Downarrow h'', k, v2,$
 $(\delta 1 \oplus \delta 2, max m1 (m2 + \|\delta 1\|), max (s1+2) (s2+1))$

| *let2* : $\llbracket E2 r = Some j; j \leq k; fresh p h; x1 \notin dom E1; r \neq self;$
 $(E1(x1 \mapsto Val.Loc p), E2) \vdash$
 $h(p \mapsto (j, (C, map (atom2val E1) as))), k, (td+1), e2 \Downarrow h', k, v2, (\delta, m, s) \rrbracket$
 $\implies (E1, E2) \vdash h, k, td, Let x1 = ConstrE C as r a' In e2 a \Downarrow h', k, v2,$
 $(\delta \oplus (empty(j \mapsto 1)), m+1, s+1)$

| *case1*: $\llbracket i < length alts;$
 $E1 x = Some (Val.Loc p);$
 $h p = Some (j, C, vs);$
 $alts!i = (pati, ei);$
 $pati = ConstrP C ps ms;$
 $xs = (snd (extractP (fst (alts ! i))));$
 $E1' = extend E1 xs vs;$
 $def-extend E1 xs vs;$
 $nr = real (length vs);$
 $(E1', E2) \vdash h, k, (td + nr), ei \Downarrow h', k, v, (\delta, m, s) \rrbracket$
 $\implies (E1, E2) \vdash h, k, td, Case (VarE x a) Of alts a' \Downarrow h', k, v, (\delta, m, (s+nr))$

| *case1-1*: $\llbracket i < length alts;$
 $E1 x = Some (IntT n);$
 $alts!i = (pati, ei);$
 $pati = ConstP (LitN n);$
 $(E1, E2) \vdash h, k, td, ei \Downarrow h', k, v, (\delta, m, s) \rrbracket$
 $\implies (E1, E2) \vdash h, k, td, Case (VarE x a) Of alts a' \Downarrow h', k, v, (\delta, m, s)$

| *case1-2*: $\llbracket i < length alts;$
 $E1 x = Some (BoolT b);$
 $alts!i = (pati, ei);$
 $pati = ConstP (LitB b);$

$$\begin{aligned}
& (E1, E2) \vdash h, k, td, ei \Downarrow h', k, v, (\delta, m, s) \\
\implies & (E1, E2) \vdash h, k, td, \text{Case } (\text{VarE } x \ a) \ \text{Of } \text{alts } a' \Downarrow h', k, v, (\delta, m, s) \\
| \text{ case2: } & \llbracket \begin{aligned} & i < \text{length } \text{alts}; \\ & E1 \ x = \text{Some } (\text{Val.Loc } p); \\ & h \ p = \text{Some } (j, C, vs); \\ & \text{alts}!i = (\text{pati}, ei); \\ & \text{pati} = \text{ConstrP } C \ ps \ ms; \\ & xs = (\text{snd } (\text{extractP } (\text{fst } (\text{alts } ! \ i))))); \\ & E1' = \text{extend } E1 \ xs \ vs; \\ & \text{def-extend } E1 \ xs \ vs; \\ & nr = \text{real } (\text{length } vs); \\ & j \leq k; \\ & (E1', E2) \vdash h(p := \text{None}), k, (td + nr), ei \Downarrow h', k, v, (\delta, m, s) \end{aligned} \rrbracket \\
\implies & (E1, E2) \vdash h, k, td, \text{CaseD } (\text{VarE } x \ a) \ \text{Of } \text{alts } a' \Downarrow h', k, v, \\
& (\delta \oplus (\text{empty}(j \mapsto -1)), \max 0 \ (m - 1), s + nr) \\
| \text{ app-primops: } & \llbracket \begin{aligned} & \text{primops } f = \text{Some } \text{oper}; \\ & v1 = \text{atom2val } E1 \ a1; \\ & v2 = \text{atom2val } E1 \ a2; \\ & v = \text{execOp } \text{oper } v1 \ v2 \end{aligned} \rrbracket \\
\implies & (E1, E2) \vdash h, k, td, \text{AppE } f \ [a1, a2] \ \Box \ a \Downarrow h, k, v, (\Box_k, 0, 2) \\
| \text{ app: } & \llbracket \begin{aligned} & \Sigma d \ f = \text{Some } (xs, rs, e); \text{primops } f = \text{None}; \\ & \text{distinct } xs; \text{distinct } rs; \text{dom } E1 \cap \text{set } xs = \{\}; \\ & \text{length } xs = \text{length } as; \text{length } rs = \text{length } rr; \\ & E1' = \text{map-of } (\text{zip } xs \ (\text{map } (\text{atom2val } E1) \ as)); \\ & n = \text{real } (\text{length } xs); \\ & l = \text{real } (\text{length } rs); \\ & E2' = (\text{map-of } (\text{zip } rs \ (\text{map } (\text{the} \circ E2) \ rr))) \ (\text{self} \mapsto \text{Suc } k); \\ & (E1', E2') \vdash h, (\text{Suc } k), (n+l), e \Downarrow h', (\text{Suc } k), v, (\delta, m, s); \\ & h'' = h' \mid \{p. p \in \text{dom } h' \ \& \ \text{fst } (\text{the } (h' \ p)) \leq k\} \rrbracket \\ \implies & (E1, E2) \vdash h, k, td, \text{AppE } f \ as \ rr \ a \Downarrow h'', k, v, \\ & (\delta(k+1 := \text{None}), m, \max(n+l) \ (s+n+l-td)) \end{aligned}
\end{aligned}$$

end

17 Depth-Aware Operational semantics of Safe expressions

```

theory SafeDepthSemanticsReal
imports SafeRASemanticsReal ../SafeImp/SVMState
begin

```

inductive

$\text{SafeDepthSem} :: [\text{Environment}, \text{HeapMap}, \text{nat}, \text{real}, \text{unit Exp}, \text{string}, \text{HeapMap}, \text{nat},$

$\text{Val}, \text{Resources}, \text{nat}] \Rightarrow \text{bool}$

$(- \vdash -, -, -, -, \Downarrow -, -, -, -, -, - [71, 71, 71, 71, 71, 71, 71, 71, 71, 71] \ 70)$

where

$\text{litInt} : E \vdash h, k, \text{td}, (\text{ConstE } (\text{LitN } i) \ a) \Downarrow f \ h, k, \text{IntT } i, ([\]_k, 0, 1), 0$

$| \text{litBool} : E \vdash h, k, \text{td}, (\text{ConstE } (\text{LitB } b) \ a) \Downarrow f \ h, k, \text{BoolT } b, ([\]_k, 0, 1), 0$

$| \text{var1} : E1 \ x = \text{Some } (\text{Val.Loc } p) \\ \Rightarrow (E1, E2) \vdash h, k, \text{td}, (\text{VarE } x \ a) \Downarrow f \ h, k, \text{Val.Loc } p, ([\]_k, 0, 1), 0$

$| \text{var2} : \llbracket E1 \ x = \text{Some } (\text{Val.Loc } p); E2 \ r = \text{Some } j; j \leq k; \\ \text{copy } (h, k) \ p \ j = ((h', k), p'); \text{def-copy } p \ (h, k); \\ m = \text{real } (\text{size } h \ p) \rrbracket \\ \Rightarrow (E1, E2) \vdash h, k, \text{td}, (x \ @ \ r \ a) \Downarrow f \ h', k, \text{Val.Loc } p', ([j \mapsto m], m, 2), 0$

$| \text{var3} : \llbracket E1 \ x = \text{Some } (\text{Val.Loc } p); h \ p = \text{Some } c; \text{SafeHeap.fresh } q \ h \rrbracket \\ \Rightarrow (E1, E2) \vdash h, k, \text{td}, (\text{ReuseE } x \ a) \Downarrow f \\ ((h(p := \text{None}))(q \mapsto c)), k, \text{Val.Loc } q, ([\]_k, 0, 1), 0$

$| \text{let1} : \llbracket \forall \ C \ \text{as } r \ a'. e1 \neq \text{ConstrE } C \ \text{as } r \ a'; x1 \notin \text{dom } E1; \\ (E1, E2) \vdash h, k, 0, e1 \Downarrow f \ h', k, v1, (\delta 1, m1, s1), n1 \wedge \\ (E1(x1 \mapsto v1), E2) \vdash h', k, (\text{td} + 1), e2 \Downarrow f \ h'', k, v2, (\delta 2, m2, s2), n2 \rrbracket \\ \Rightarrow (E1, E2) \vdash h, k, \text{td}, \text{Let } x1 = e1 \ \text{In } e2 \ a \Downarrow f \ h'', k, v2, (\delta 1 \oplus \delta 2, \max m1 \\ (m2 + \|\delta 1\|), \max (s1 + 2) (s2 + 1)), \max n1 \ n2$

$| \text{let2} : \llbracket E2 \ r = \text{Some } j; j \leq k; \text{fresh } p \ h; x1 \notin \text{dom } E1; r \neq \text{self}; \\ (E1(x1 \mapsto \text{Val.Loc } p), E2) \vdash \\ h(p \mapsto (j, (C, \text{map } (\text{atom2val } E1) \ as))), k, (\text{td} + 1), e2 \Downarrow f \ h', k, v2, \\ (\delta, m, s), n \rrbracket \\ \Rightarrow (E1, E2) \vdash h, k, \text{td}, \text{Let } x1 = \text{ConstrE } C \ \text{as } r \ a' \ \text{In } e2 \ a \Downarrow f \ h', k, v2, (\delta \oplus (\text{empty}(j \mapsto 1)), m + 1, s + 1), n$

$| \text{case1} : \llbracket i < \text{length } \text{alts}; \\ E1 \ x = \text{Some } (\text{Val.Loc } p); \\ h \ p = \text{Some } (j, C, vs); \\ \text{alts}!i = (\text{pati}, ei); \\ \text{pati} = \text{ConstrP } C \ ps \ ms; \\ xs = (\text{snd } (\text{extractP } (\text{fst } (\text{alts } ! \ i)))); \\ E1' = \text{extend } E1 \ xs \ vs; \\ \text{def-extend } E1 \ xs \ vs; \\ nr = \text{real } (\text{length } vs); \\ (E1', E2) \vdash h, k, (\text{td} + nr), ei \Downarrow f \ h', k, v, (\delta, m, s), n \rrbracket \\ \Rightarrow (E1, E2) \vdash h, k, \text{td}, \text{Case } (\text{VarE } x \ a) \ \text{Of } \text{alts } a' \Downarrow f \ h', k, v, (\delta, m, \\ (s + nr)), n$

$| \text{case1-1} : \llbracket i < \text{length } \text{alts}; \\ E1 \ x = \text{Some } (\text{IntT } n);$

$$\begin{aligned}
& \text{alts!}i = (\text{pati}, ei); \\
& \text{pati} = \text{ConstP } (\text{LitN } n); \\
& (E1, E2) \vdash h, k, td, ei \Downarrow f h', k, v, (\delta, m, s), nf \\
\implies & (E1, E2) \vdash h, k, td, \text{Case } (\text{VarE } x \ a) \ \text{Of alts } a' \Downarrow f h', k, v, (\delta, m, s), nf
\end{aligned}$$

$$\begin{aligned}
| \text{ case1-2: } & \llbracket i < \text{length alts}; \\
& E1 \ x = \text{Some } (\text{BoolT } b); \\
& \text{alts!}i = (\text{pati}, ei); \\
& \text{pati} = \text{ConstP } (\text{LitB } b); \\
& (E1, E2) \vdash h, k, td, ei \Downarrow f h', k, v, (\delta, m, s), n \\
\implies & (E1, E2) \vdash h, k, td, \text{Case } (\text{VarE } x \ a) \ \text{Of alts } a' \Downarrow f h', k, v, (\delta, m, s),
\end{aligned}$$

n

$$\begin{aligned}
| \text{ case2: } & \llbracket i < \text{length alts}; \\
& E1 \ x = \text{Some } (\text{Val.Loc } p); \\
& h \ p = \text{Some } (j, C, vs); \\
& \text{alts!}i = (\text{pati}, ei); \\
& \text{pati} = \text{ConstrP } C \ ps \ ms; \\
& xs = (\text{snd } (\text{extractP } (\text{fst } (\text{alts } ! \ i)))); \\
& E1' = \text{extend } E1 \ xs \ vs; \\
& \text{def-extend } E1 \ xs \ vs; \\
& nr = \text{real } (\text{length } vs); \\
& j \leq k; \\
& (E1', E2) \vdash h(p := \text{None}), k, (td + nr), ei \Downarrow f h', k, v, (\delta, m, s), n \\
\implies & (E1, E2) \vdash h, k, td, \text{CaseD } (\text{VarE } x \ a) \ \text{Of alts } a' \Downarrow f h', k, v, \\
& (\delta \oplus (\text{empty}(j \mapsto -1)), \max 0 \ (m - 1), s + nr), n
\end{aligned}$$

$$\begin{aligned}
| \text{ app-primops: } & \llbracket \text{primops } g = \text{Some } \text{oper}; \\
& v1 = \text{atom2val } E1 \ a1; \\
& v2 = \text{atom2val } E1 \ a2; \\
& v = \text{execOp } \text{oper } v1 \ v2 \\
\implies & (E1, E2) \vdash h, k, td, \text{AppE } g \ [a1, a2] \ \Box \ a \Downarrow f h, k, v, (\Box_k, 0, 2), 1
\end{aligned}$$

$$\begin{aligned}
| \text{ app: } & \llbracket \Sigma d \ f = \text{Some } (xs, rs, e); \text{primops } f = \text{None}; \\
& \text{distinct } xs; \text{distinct } rs; \text{dom } E1 \cap \text{set } xs = \{\}; \\
& \text{length } xs = \text{length } as; \text{length } rs = \text{length } rr; \\
& E1' = \text{map-of } (\text{zip } xs \ (\text{map } (\text{atom2val } E1) \ as)); \\
& n = \text{real } (\text{length } xs); \\
& l = \text{real } (\text{length } rs); \\
& E2' = (\text{map-of } (\text{zip } rs \ (\text{map } (\text{the} \circ E2) \ rr))) \ (\text{self} \mapsto \text{Suc } k); \\
& (E1', E2') \vdash h, (\text{Suc } k), (n+l), e \Downarrow f h', (\text{Suc } k), v, (\delta, m, s), nf; \\
& h'' = h' \upharpoonright \{p. p \in \text{dom } h' \ \& \ \text{fst } (\text{the } (h' \ p)) \leq k\} \\
\implies & (E1, E2) \vdash h, k, td, (\text{AppE } f \ as \ rr \ a) \Downarrow f h'', k, v, (\delta(k+1 := \text{None}), m, \max \\
& (n+l) \ (s+n+l-td)), (nf+1)
\end{aligned}$$

$$\begin{aligned}
| \text{ app2: } & \llbracket \Sigma d \ g = \text{Some } (xs, rs, e); \text{primops } g = \text{None}; f \neq g; \\
& \text{distinct } xs; \text{distinct } rs; \text{dom } E1 \cap \text{set } xs = \{\}; \\
& \text{length } xs = \text{length } as; \text{length } rs = \text{length } rr; \\
& E1' = \text{map-of } (\text{zip } xs \ (\text{map } (\text{atom2val } E1) \ as));
\end{aligned}$$

$n = \text{real } (\text{length } xs);$
 $l = \text{real } (\text{length } rs);$
 $E2' = (\text{map-of } (\text{zip } rs \ (\text{map } (\text{the} \circ E2) \ rr))) \ (\text{self} \mapsto \text{Suc } k);$
 $(E1', E2') \vdash h, (\text{Suc } k), (n+l), e \Downarrow f h', (\text{Suc } k), v, (\delta, m, s), nf;$
 $h'' = h' \mid \ulcorner \{p. p \in \text{dom } h' \ \& \ \text{fst } (\text{the } (h' \ p)) \leq k\} \urcorner$
 $\implies (E1, E2) \vdash h, k, td, \text{AppE } g \text{ as } rr \ a \Downarrow f h'', k, v, (\delta(k+1 := \text{None}), m, \text{max}$
 $(n+l) \ (s+n+l-td)), nf$

lemma $\text{eqSemRADepth}[\text{rule-format}]: (E1, E2) \vdash h, k, td, e \Downarrow h', k, v, r \longrightarrow$
 $(\exists \ n. (E1, E2) \vdash h, k, td, e \Downarrow h', k, v, r, n)$

apply $(\text{rule } \text{impI})$
apply $(\text{erule } \text{SafeRASem.induct})$

apply $(\text{rule-tac } x=0 \text{ in } exI)$
apply $(\text{rule } \text{SafeDepthSem.litInt})$

apply $(\text{rule-tac } x=0 \text{ in } exI)$
apply $(\text{rule } \text{SafeDepthSem.litBool})$

apply $(\text{rule-tac } x=0 \text{ in } exI)$
apply $(\text{rule } \text{SafeDepthSem.var1, assumption})$

apply $(\text{rule-tac } x=0 \text{ in } exI)$
apply $(\text{rule } \text{SafeDepthSem.var2, simp, simp, assumption, assumption, assumption, assumption})$

apply $(\text{rule-tac } x=0 \text{ in } exI)$
apply $(\text{rule } \text{SafeDepthSem.var3, assumption+})$

apply $(\text{erule } exE)+$
apply $(\text{rename-tac } n1 \ n2)$
apply $(\text{rule-tac } x=\text{max } n1 \ n2 \text{ in } exI)$
apply $(\text{rule } \text{SafeDepthSem.let1})$
apply (assumption+)
apply $(\text{rule } \text{conjI, simp, simp})$

apply $(\text{erule } exE)+$
apply $(\text{rename-tac } n2)$
apply $(\text{rule-tac } x=n2 \text{ in } exI)$
apply $(\text{rule } \text{SafeDepthSem.let2})$
apply (assumption+)

```

apply (elim exE)
apply (rename-tac ni)
apply (rule-tac x=ni in exI)
apply (rule SafeDepthSem.case1)
apply (assumption+)

```

```

apply (elim exE)
apply (rename-tac ni)
apply (rule-tac x=ni in exI)
apply (rule SafeDepthSem.case1-1)
apply (assumption+)

```

```

apply (elim exE)
apply (rename-tac ni)
apply (rule-tac x=ni in exI)
apply (rule SafeDepthSem.case1-2)
apply (assumption+)

```

```

apply (elim exE)
apply (rename-tac ni)
apply (rule-tac x=ni in exI)
apply (rule SafeDepthSem.case2)
apply (assumption+)

```

```

apply (rule-tac x=1 in exI)
apply (rule SafeDepthSem.app-primops)
apply assumption+

```

```

apply (elim exE)
apply (rename-tac nf)
apply (case-tac fa=f)

```

```

apply (rule-tac x=nf+1 in exI)
apply (rule-tac s=f and t=fa in subst)
apply simp
apply (rule-tac s=h' | ' {p ∈ dom h'. fst (the (h' p)) ≤ k} and t=h'' in subst)
apply simp
apply clarify
apply (rule-tac h'=h' in SafeDepthSem.app)
apply assumption+
apply simp

```

```

apply simp
apply simp
apply simp
apply assumption
apply simp

```

```

apply (rule-tac  $x=nf$  in exI)
apply (rule-tac  $s=h' \mid \{p \in \text{dom } h'. \text{fst } (\text{the } (h' p)) \leq k\}$  and  $t=h''$  in subst)
apply simp
apply (rule SafeDepthSem.app2)
apply assumption +
apply simp
apply assumption +
apply simp
done

```

```

lemma eqSemDepthRA[rule-format]:  $(E1, E2) \vdash h, k, td, e \Downarrow f h', k, v, r, n \longrightarrow$   

 $(E1, E2) \vdash h, k, td, e \Downarrow h', k, v, r$ 

```

```

apply (rule impI)
apply (erule SafeDepthSem.induct)

```

```

apply (rule SafeRASem.litInt)

```

```

apply (rule SafeRASem.litBool)

```

```

apply (rule SafeRASem.var1, assumption)

```

```

apply (rule SafeRASem.var2, assumption +)

```

```

apply (rule SafeRASem.var3, assumption +)

```

```

apply (elim conjE)
apply (rule SafeRASem.let1, assumption +)

```

```

apply (rule SafeRASem.let2, assumption +)

```

```

apply (rule SafeRASem.case1, assumption +)

```

apply (*rule SafeRASem.case1-1,assumption+*)

apply (*rule SafeRASem.case1-2,assumption+*)

apply (*rule SafeRASem.case2,assumption+*)

apply (*rule SafeRASem.app-primops,assumption+*)

apply (*rule SafeRASem.app,assumption+*)

apply (*rule SafeRASem.app,assumption+*)
done

constdefs

SafeBoundSem :: [*Environment*, *HeapMap*, *nat*, *real*, *unit Exp*, *string* × *nat*,
HeapMap, *nat*, *Val*, *Resources*] ⇒ *bool*
(- ⊢ -, -, -, - ⊥ -, -, -, -, - [71,71,71,71,71,71,71,71,71,71] 70)

$E \vdash h, k, td, e \Downarrow_{tup} h', k', v, r \equiv$
 $(let (f,n) = tup \text{ in } k=k' \wedge (\exists nf . E \vdash h, k, td, e \Downarrow_f h', k, v, r, nf$
 $\wedge nf \leq n))$

lemma *eqSemRABound* [*rule-format*]:

$(E1, E2) \vdash h, k, td, e \Downarrow_{h', k, v, r} \equiv$
 $(\exists n. (E1, E2) \vdash h, k, td, e \Downarrow_{(f, n)} h', k, v, r)$

apply (*rule eq-reflection*)

apply (*rule iffI*)

apply (*simp add: SafeBoundSem-def*)

apply (*drule-tac ?f=f in eqSemRADepth*)

apply (*elim exE*)

apply (*rule-tac x=x in exI*)

apply (*rule-tac x=x in exI*)

apply (*rule conjI,assumption,simp*)

apply (*simp add: SafeBoundSem-def del:Product-Type.split-paired-Ex*)

apply (*elim exE*)

apply (*elim conjE*)

apply (*drule eqSemDepthRA*)

by *assumption*

```

lemma eqSemBoundRA [rule-format]:
   $\exists n. (E1, E2) \vdash h, k, td, e \Downarrow (f, n) \ h', k, v, r \equiv$ 
   $(E1, E2) \vdash h, k, td, e \Downarrow h', k, v, r$ 
apply (rule eq-reflection)
apply (rule iffI)

apply (simp add: SafeBoundSem-def del:Product-Type.split-paired-Ex)
apply (elim exE)
apply (elim conjE)
apply (drule eqSemDepthRA)
apply assumption

apply (simp add: SafeBoundSem-def)
apply (drule-tac ?f=f in eqSemRADepth)
apply (elim exE)
apply (rule-tac x=x in exI)
apply (rule-tac x=x in exI)
apply (rule conjI, assumption, simp)
done

lemma impSemBoundRA [rule-format]:
   $(E1, E2) \vdash h, k, td, e \Downarrow (f, n) \ h', k, v, r \implies$ 
   $(E1, E2) \vdash h, k, td, e \Downarrow h', k, v, r$ 
apply (simp add: SafeBoundSem-def del:Product-Type.split-paired-Ex)
apply (elim exE, elim conjE)
apply (drule eqSemDepthRA)
by assumption

end

```

18 Definitions for certifying memory bounds

```

theory Costes-definitions
imports SafeRASemanticsReal SafeDepthSemanticsReal
  ~~/src/HOL/Real/Real
begin

types RegionTypeVariable = string

constdefs

```

```

    ρself :: RegionTypeVariable
    ρself ≡ "rho-self"

constdefs ρself-f :: FunName ⇒ RegionTypeVariable
    ρself-f f ≡ ρself @ "-" @ f

types Cost = real list → real

types AbstractDelta = RegionTypeVariable → Cost
    AbstractMu      = Cost
    AbstractSigma   = Cost

types VarType = string

datatype TypeExpression = VarT VarType
    | ConstrT string TypeExpression list VarType list

types FunctionResourcesSignature = FunName → AbstractDelta × AbstractMu
    × AbstractSigma
    FunctionTypesSignature      = FunName → (TypeExpression list × VarType
list
    × TypeExpression)
    FunctionDefinitionSignature = FunName → (ProgVar list × RegVar list
    × unit Exp)

types RegionEnv = string → TypeExpression list × VarType list × TypeExpression
consts Σt :: FunctionTypesSignature

types ConstructorSignatureFun = string → TypeExpression list × VarType
    × TypeExpression
consts constructorSignature :: ConstructorSignatureFun

types PhiMapping = (string → Cost)

types TypeMapping = (string → TypeExpression)
types RegMapping = (string → string)
types ThetaMapping = TypeMapping × RegMapping

types InstantiationMapping = VarType → nat

```

types $TypeMu = (string \rightarrow TypeExpression) \times (string \rightarrow string)$

consts $mu-ext :: TypeMu \Rightarrow TypeExpression \Rightarrow TypeExpression$
 $mu-exts :: TypeMu \Rightarrow TypeExpression\ list \Rightarrow TypeExpression\ list$

primrec

$mu-ext\ \mu\ (VarT\ a) = the\ ((fst\ \mu)\ a)$
 $mu-ext\ \mu\ (ConstrT\ T\ tm\ \varrho s) = (ConstrT\ T\ (mu-exts\ \mu\ tm)\ (map\ (the\ \circ\ (snd\ \mu))\ \varrho s))$

$mu-exts\ \mu\ [] = []$
 $mu-exts\ \mu\ (x\#\!xs) = mu-ext\ \mu\ x\ \#\! (mu-exts\ \mu\ xs)$

constdefs

$RecPos :: Val\ list \Rightarrow TypeExpression\ list \Rightarrow TypeExpression \Rightarrow Val\ list$
 $RecPos\ vn\ tn\ t == map\ fst\ (filter\ (\lambda(vi,ti).\ ti=t)\ (zip\ vn\ tn))$

function $size :: Val \Rightarrow HeapMap \Rightarrow real$

where

$size\ (IntT\ i)\ h = 0$
 $| size\ (BoolT\ b)\ h = 0$
 $| size\ (Loc\ p)\ h = (case\ (h\ p)\ of\ Some\ (j,C,vn) \Rightarrow$
 $\quad (case\ \Sigma t\ C\ of\ Some\ (ti,\varrho s,t)$
 $\quad \quad \Rightarrow 1 + (\sum\ v \in set\ (RecPos\ vn\ ti\ t). size\ v\ h)$
 $\quad | - \Rightarrow 0)$
 $\quad | - \Rightarrow 0)$

by *pat-completeness auto*

constdefs $sizeEnv :: (ProgVar \rightarrow Val) \Rightarrow ProgVar \Rightarrow HeapMap \Rightarrow real$

$sizeEnv\ E\ x\ h \equiv (case\ E\ x\ of\ Some\ v \Rightarrow size\ v\ h$
 $\quad | - \Rightarrow 0)$

constdefs

$sizeAbstractDelta-si :: AbstractDelta \Rightarrow real\ list \Rightarrow nat \Rightarrow InstantiationMapping$
 $\quad \Rightarrow real$
 $sizeAbstractDelta-si\ \Delta\ si\ j\ \eta \equiv \sum \varrho \in \{\varrho.\ \eta\ \varrho = Some\ j\}. the\ (the\ (\Delta\ \varrho)\ si)$

constdefs

Δ -ge :: *AbstractDelta* $\Rightarrow$ *real list* $\Rightarrow$ *nat* $\Rightarrow$ *InstantiationMapping*
 $\Rightarrow$ *Delta* $\Rightarrow$ *bool*
 Δ -ge Δ *si* *k* η $\delta \equiv (\forall j \in \{0..k\}. \text{sizeAbstractDelta-si } \Delta \text{ si } j \eta \geq \text{the } (\delta j))$

constdefs

μ -ge :: *AbstractMu* $\Rightarrow$ *real list* $\Rightarrow$ *MinimumFreshCells* $\Rightarrow$ *bool*
 μ -ge μ *si* *m* $\equiv \text{the } (\mu \text{ si}) \geq m$

constdefs

σ -ge :: *AbstractSigma* $\Rightarrow$ *real list* $\Rightarrow$ *MinimumWords* $\Rightarrow$ *bool*
 σ -ge σ *si* *s* $\equiv \text{the } (\sigma \text{ si}) \geq s$

fun *build-si* :: (*ProgVar* $\rightarrow$ *Val*) $\Rightarrow$ *HeapMap* $\Rightarrow$ *ProgVar list* $\Rightarrow$ *real list*

where

$\text{build-si } E \ h \ [] = []$
 $|\ \text{build-si } E \ h \ (x \# xs) = (\text{sizeEnv } E \ x \ h) \# \text{build-si } E \ h \ xs$

constdefs *defined* :: *AbstractDelta* $\Rightarrow$ *AbstractMu* $\Rightarrow$ *AbstractSigma* $\Rightarrow$ *real list* $\Rightarrow$ *bool*

defined $\Delta \ \mu \ \sigma \ \text{si} \equiv$
 $(\forall \varrho \in \text{dom } \Delta. (\forall \text{si}'. \text{length si} = \text{length si}' \longrightarrow \text{si}' \in \text{dom } (\text{the } (\Delta \ \varrho))))$
 $\wedge (\forall \text{si}'. \text{length si} = \text{length si}' \longrightarrow \text{si}' \in \text{dom } \mu)$
 $\wedge (\forall \text{si}'. \text{length si} = \text{length si}' \longrightarrow \text{si}' \in \text{dom } \sigma)$

types *FunTypesEnv* = *string* $\rightarrow$ *ThetaMapping*

FunSizesEnv = *string* $\rightarrow$ *PhiMapping*

consts $\Sigma\vartheta$:: *FunTypesEnv*

consts $\Sigma\Phi$:: *FunSizesEnv*

constdefs *typesVarsAPP* :: *FunTypesEnv* $\Rightarrow$ *string* $\Rightarrow$ *TypeMapping*

typesVarsAPP $\Sigma \ f \equiv (\text{case } \Sigma \ f \text{ of Some } (\vartheta 1, \vartheta 2) \Rightarrow \vartheta 1)$

constdefs *typesRegsAPP* :: *FunTypesEnv* $\Rightarrow$ *string* $\Rightarrow$ *RegMapping*

typesRegsAPP $\Sigma \ f \equiv (\text{case } \Sigma \ f \text{ of Some } (\vartheta 1, \vartheta 2) \Rightarrow \vartheta 2)$

constdefs *typesAPP* :: *FunTypesEnv* $\Rightarrow$ *string* $\Rightarrow$ *ThetaMapping*

typesAPP $\Sigma \ f \equiv (\text{typesVarsAPP } \Sigma \ f, \text{typesRegsAPP } \Sigma \ f)$

constdefs *typesArgAPP* :: *RegionEnv* $\Rightarrow$ *string* $\Rightarrow$ *TypeExpression list*

typesArgAPP $\Sigma \ f \equiv (\text{case } \Sigma \ f \text{ of Some } (ti, \varrho s, tf) \Rightarrow ti)$

constdefs *regionsArgAPP* :: *RegionEnv* $\Rightarrow$ *string* $\Rightarrow$ *string list*
regionsArgAPP Σ *f* $\equiv$ (case Σ *f* of *Some* (*ti*,*qs*,*tf*) $\Rightarrow$ *qs*)

constdefs *typeResAPP* :: *RegionEnv* $\Rightarrow$ *string* $\Rightarrow$ *TypeExpression*
typeResAPP Σ *f* $\equiv$ (case Σ *f* of *Some* (*ti*,*qs*,*tf*) $\Rightarrow$ *tf*)

constdefs *sizesAPP* :: *FunSizesEnv* $\Rightarrow$ *string* $\Rightarrow$ *PhiMapping*
sizesAPP Σ *f* $\equiv$ (case Σ *f* of *Some* φ $\Rightarrow$ φ)

constdefs *R-Args* :: *RegionEnv* $\Rightarrow$ *FunName* $\Rightarrow$ *string list*
R-Args Σ *f* $\equiv$ (case Σ *f* of *Some* (*ts*,*qs*,*t*) $\Rightarrow$ *qs*)

constdefs
admissible :: *FunName* $\Rightarrow$ *InstantiationMapping* $\Rightarrow$ *nat* $\Rightarrow$ *bool*
admissible *f* η *k* $\equiv$
 $\varrho\text{self-}f\ f \in \text{dom } \eta \wedge$
 $(\forall \varrho \in \text{dom } \eta.$
 $\exists k'.$
 $\eta \varrho = \text{Some } k' \wedge$
 $(\varrho = \varrho\text{self-}f\ f \longrightarrow k' = k) \wedge$
 $(\varrho \neq \varrho\text{self-}f\ f \longrightarrow k' < k))$

consts *regions* :: *TypeExpression* $\Rightarrow$ *string set*
regions' :: *TypeExpression list* $\Rightarrow$ *string set*

primrec
regions (*VarT* *a*) = {}
regions (*ConstrT* *T tm qs*) = (*regions'* *tm*) $\cup$ *set qs*

regions' [] = {}
regions' (*t* # *ts*) = *regions t* $\cup$ *regions' ts*

consts *variables* :: *TypeExpression* $\Rightarrow$ *string set*
variables' :: *TypeExpression list* $\Rightarrow$ *string set*

primrec
variables (*VarT* *a*) = {*a*}
variables (*ConstrT* *T tm qs*) = (*variables'* *tm*)

variables' [] = {}
variables' (*t* # *ts*) = *variables t* $\cup$ *variables' ts*

fun

wellT :: *TypeExpression list* $\Rightarrow$ *VarType* $\Rightarrow$ *TypeExpression* $\Rightarrow$ *bool*

where

wellT *tn* ϱ (*ConstrT* *T* *tm* ϱ s) =
 ((*length* ϱ s > 0 $\wedge$ ϱ = *last* ϱ s $\wedge$ *distinct* ϱ s $\wedge$ *last* ϱ s $\notin$ *regions'* *tm*)
 $\wedge$ ($\forall$ *i* < *length* *tn*. *regions* (*tn*!*i*) $\subseteq$ *regions* (*ConstrT* *T* *tm* ϱ s) $\wedge$
variables (*tn*!*i*) $\subseteq$ *variables* (*ConstrT* *T* *tm* ϱ s)))

inductive

consistent-v :: [*TypeExpression*, *InstantiationMapping*, *Val*, *HeapMap*] $\Rightarrow$ *bool*

where

primitiveI : *consistent-v* (*ConstrT* *intType* [] []) η (*IntT* *i*) *h*
| *primitiveB* : *consistent-v* (*ConstrT* *boolType* [] []) η (*BoolT* *b*) *h*
| *variable* : *consistent-v* (*VarT* *a*) η *v* *h*
| *algebraic-None* : *p* $\notin$ *dom* *h* $\implies$ *consistent-v* *t* η (*Loc* *p*) *h*
| *algebraic* : [*h* *p* = *Some* (*j*, *C*, *vn*);
 ϱ l = *last* ϱ s;
 ϱ l $\in$ *dom* η ; η (ϱ l) = *Some* *j*;
constructorSignature *C* = *Some* (*tn'*, ϱ' , *ConstrT* *T* *tm'* ϱ s');
wellT *tn'* (*last* ϱ s') (*TypeExpression*.*ConstrT* *T* *tm'* ϱ s');
length *vn* = *length* *tn'*;
 $\exists$ $\mu 1$ $\mu 2$. (((*the* ($\mu 2$ (*last* ϱ s'))), *mu-ext* ($\mu 1$, $\mu 2$)) (*ConstrT* *T*
tm' ϱ s')) =
(ϱ l, *ConstrT* *T* *tm* ϱ s) $\wedge$
($\forall$ *i* < *length* *vn*. *consistent-v* ((*map* (*mu-ext* ($\mu 1$, $\mu 2$)) *tn'*)!*i*) η
(*vn*!*i*) *h*))]
 $\implies$ *consistent-v* (*ConstrT* *T* *tm* ϱ s) η (*Loc* *p*) *h*

fun

consistent :: *ThetaMapping* $\Rightarrow$ *InstantiationMapping* $\Rightarrow$ *Environment* $\Rightarrow$ *HeapMap*
 $\Rightarrow$ *bool*

where

consistent ($\vartheta 1$, $\vartheta 2$) η (*E1*, *E2*) *h* =
 (($\forall$ *x* $\in$ *dom* *E1*. $\exists$ *t* *v*. $\vartheta 1$ *x* = *Some* *t*
 $\wedge$ *E1* *x* = *Some* *v*
 $\wedge$ *consistent-v* *t* η *v* *h*)
 $\wedge$ ($\forall$ *r* $\in$ *dom* *E2* . $\exists$ *r'* *r''*. $\vartheta 2$ *r* = *Some* *r'*
 $\wedge$ η *r'* = *Some* *r''*
 $\wedge$ *E2* *r* = *Some* *r''*)
 $\wedge$ *self* $\in$ *dom* *E2*
 $\wedge$ $\vartheta 2$ *self* = *Some* ϱ self)

fun *valid-f* :: *FunName* $\Rightarrow$ *ThetaMapping* $\Rightarrow$ *PhiMapping* $\Rightarrow$ *bool*

where

$valid\text{-}f\ f\ (\vartheta 1, \vartheta 2)\ \Phi =$
 $(\forall\ e.\ (set\ (varsAPP\ \Sigma d\ f)) \cup fv\ (e\ ()::unit\ Exp) \subseteq dom\ \vartheta 1$
 $\wedge\ fvReg\ (e\ ()::unit\ Exp) \cup \{\varrho self\text{-}f\ f\} \subseteq dom\ \vartheta 2$
 $\wedge\ (set\ (varsAPP\ \Sigma d\ f)) \cup fv\ (e\ ()::unit\ Exp) \subseteq dom\ \Phi$
 $\wedge\ (\forall\ E1\ E2\ h\ k\ td\ hh\ v\ r\ \eta\ si.\$
 $\quad SafeRASem\ (E1, E2)\ h\ k\ td\ (e\ ()::unit\ Exp)\ hh\ k\ v\ r$
 $\wedge\ si = build\text{-}si\ E1\ h\ (varsAPP\ \Sigma d\ f)$
 $\wedge\ admissible\ f\ \eta\ k$
 $\longrightarrow consistent\ (\vartheta 1, \vartheta 2)\ \eta\ (E1, E2)\ h$
 $\wedge\ (\forall\ y \in dom\ \Phi.\ the\ ((the\ (\Phi\ y))\ si)\ >= sizeEnv\ E1\ y\ h)))$

constdefs $valid :: FunDefEnv \Rightarrow FunTypesEnv \Rightarrow FunSizesEnv \Rightarrow bool$
 $valid\ \Sigma\text{-}d\ \Sigma\text{-}\vartheta\ \Sigma\text{-}\Phi \equiv (\forall\ f \in dom\ \Sigma\text{-}d.\ valid\text{-}f\ f\ (typesAPP\ \Sigma\text{-}\vartheta\ f)\ (sizesAPP\ \Sigma\text{-}\Phi\ f))$

declare $valid\text{-}f.simps\ [simp\ del]$
declare $valid\text{-}def\ [simp\ del]$

fun $SafeResourcesDAss::$

$unit\ Exp \Rightarrow$
 $FunName \Rightarrow ThetaMapping \Rightarrow PhiMapping \Rightarrow real \Rightarrow$
 $AbstractDelta \Rightarrow AbstractMu \Rightarrow AbstractSigma \Rightarrow bool$
 $(- :: - - - - \{ - , - , - , - \}\ 1000)$

where

$SafeResourcesDAss\ e\ f\ (\vartheta 1, \vartheta 2)\ \Phi\ td\ \Delta\ \mu\ \sigma =$
 $(valid\ \Sigma d\ \Sigma \vartheta\ \Sigma \Phi \longrightarrow \quad (*\ valid\ *)$
 $(set\ (R\text{-}Args\ \Sigma t\ f)) \cup \{\varrho self\text{-}f\ f\} = dom\ \Delta \quad (*\ P\text{-}static\ *)$
 $\wedge\ (\forall\ E1\ E2\ h\ k\ hh\ v\ \delta\ m\ s\ \eta\ si.$
 $\quad SafeRASemanticsReal.SafeRASem\ (E1, E2)\ h\ k\ td\ e\ hh\ k\ v\ (\delta, m, s)$
 $\wedge\ (set\ (varsAPP\ \Sigma d\ f)) \cup fv\ e \subseteq dom\ E1 \wedge fvReg\ e \subseteq dom\ E2\ (*\ P\text{-}dyn\ *)$
 $\wedge\ dom\ \Delta = dom\ \eta$
 $\wedge\ si = build\text{-}si\ E1\ h\ (varsAPP\ \Sigma d\ f) \quad (*\ P\text{-}size\ *)$
 $\wedge\ admissible\ f\ \eta\ k \quad (*\ P\text{-}\eta\ *)$
 $\longrightarrow Delta\text{-}ge\ \Delta\ si\ k\ \eta\ \delta \quad (*\ P\text{-}\Delta\ *)$
 $\wedge\ mu\text{-}ge\ \mu\ si\ m \quad (*\ P\text{-}\mu\ *)$
 $\wedge\ sigma\text{-}ge\ \sigma\ si\ s))$

constdefs $regionsType :: string \Rightarrow string\ set$
 $regionsType\ f \equiv (case\ \Sigma t\ f\ of\ Some\ (ti,\ \varrho s,\ t) \Rightarrow regions'\ ti \cup regions\ t \cup set\ \varrho s)$

```

constdefs constantCost :: real  $\Rightarrow$  Cost ( $\lfloor$ - 1000)
constantCost r  $\equiv$  ( $\lambda$ xs. Some r)

```

```

constdefs emptyAbstractDelta :: string  $\Rightarrow$  AbstractDelta
                                     ( $\lfloor$ - 1000)
emptyAbstractDelta f ==
  (% $\varrho$  . if  $\varrho \in (\text{set } (R\text{-Args } \Sigma t f)) \cup \{\varrho\text{self-}f f\}$ 
    then Some  $\lfloor$ 0
    else None)

```

```

fun foldr1 :: ('a  $\Rightarrow$  'a  $\Rightarrow$  'a)  $\Rightarrow$  'a list  $\Rightarrow$  'a
where
  foldr1 f [x] = x
| foldr1 f (x#xs) = f x (foldr1 f xs)

```

```

constdefs addCost :: Cost  $\Rightarrow$  Cost  $\Rightarrow$  Cost ( $- +_c -$  1000)
c1 +c c2  $\equiv$  ( $\lambda$  x. if ( $x \in \text{dom } c1 \cap \text{dom } c2$ ) then Some (the (c1 x) + the (c2 x))
  else None)

```

```

constdefs addCostList :: Cost list  $\Rightarrow$  Cost
addCostList  $\equiv$  foldr1 addCost

```

```

constdefs addAbstractDelta :: AbstractDelta  $\Rightarrow$  AbstractDelta  $\Rightarrow$  AbstractDelta
                                     ( $- +_{\Delta} -$  1000)
addAbstractDelta  $\Delta 1$   $\Delta 2$  ==
  (% $\varrho$  . if ( $\varrho \in \text{dom } \Delta 1 \cap \text{dom } \Delta 2$ )
    then Some ((the ( $\Delta 1$   $\varrho$ )) +c (the ( $\Delta 2$   $\varrho$ )))
    else None)

```

```

constdefs addCostReal :: Cost  $\Rightarrow$  real  $\Rightarrow$  Cost
addCostReal c r  $\equiv$  ( $\lambda$  x. if  $x \in \text{dom } c$  then Some (the (c x) + r)
  else None)

```

```

constdefs substCostReal :: Cost  $\Rightarrow$  real  $\Rightarrow$  Cost
substCostReal c r  $\equiv$  ( $\lambda$  x. if  $x \in \text{dom } c$  then Some (the (c x) - r)
  else None)

```

```

constdefs maxCost :: Cost  $\Rightarrow$  Cost  $\Rightarrow$  Cost ( $\lfloor$ c { -, - } 1000)
 $\lfloor$ c { c1 , c2 }  $\equiv$  ( $\lambda$  x. if  $x \in \text{dom } c1 \cap \text{dom } c2$  then Some (max (the (c1 x))

```

```

(the (c2 x)))
      else None)

```

```

constdefs maxAbstractDelta :: AbstractDelta ⇒ AbstractDelta ⇒ AbstractDelta
maxAbstractDelta Δ1 Δ2 ≡ (%ρ . if (ρ ∈ dom Δ1 ∩ dom Δ2)
      then Some (⊔c { the (Δ1 ρ) , the (Δ2 ρ) })
      else None)

```

```

constdefs maxCostList :: Cost list ⇒ Cost (⊔c - 1000)
maxCostList xs ≡ foldr maxCost xs ⊔0

```

```

constdefs maxAbstractDeltaList :: FunName ⇒ AbstractDelta list ⇒ Abstract-
Delta
      (⊔Δ - - 1000)
maxAbstractDeltaList f xs ≡ foldr maxAbstractDelta xs (emptyAbstractDelta f)

```

```

constdefs sizeAbstractDelta :: AbstractDelta ⇒ Cost (|-| 1000)
sizeAbstractDelta d ≡ fold addCost (%x. x) ⊔0 (ran d)

```

```

fun AbstractDeltaSpaceCost :: AbstractDelta × AbstractMu × AbstractSigma ⇒
AbstractDelta

```

```

where
  AbstractDeltaSpaceCost (Δ, μ, σ) = Δ

```

```

fun AbstractMuSpaceCost :: AbstractDelta × AbstractMu × AbstractSigma ⇒
AbstractMu

```

```

where
  AbstractMuSpaceCost (Δ, μ, σ) = μ

```

```

fun AbstractSigmaSpaceCost :: AbstractDelta × AbstractMu × AbstractSigma ⇒
AbstractSigma

```

```

where
  AbstractSigmaSpaceCost (Δ, μ, σ) = σ

```

```

constdefs num-r :: ('a Patron × 'a Exp) ⇒ real
num-r alt ≡ real (length (snd (extractP (fst alt))))

```

```

constdefs list-ge :: real list ⇒ real list ⇒ bool
list-ge xs ys == (∀ i < length xs. xs!i ≥ ys!i)

```

constdefs *monotonic-bound* :: (real list $\rightarrow$ real) $\Rightarrow$ bool
monotonic-bound b == ($\forall$ x $\in$ dom b. ($\forall$ y $\in$ dom b. list-ge x y $\longrightarrow$ the (b x) $\geq$ the (b y)))

constdefs *monotonic-AbstractDelta* :: AbstractDelta $\Rightarrow$ bool
monotonic-AbstractDelta $\Delta \equiv (\forall \varrho \in \text{dom } \Delta. \text{monotonic-bound } (\text{the } (\Delta \varrho)))$

constdefs *defined-bound* :: (real list $\rightarrow$ real) $\Rightarrow$ FunName $\Rightarrow$ bool
defined-bound b f $\equiv (\forall$ xs. length xs = length (varsAPP Σ d f) $\longrightarrow$ xs $\in$ dom b)

constdefs *defined-AbstractDelta* :: AbstractDelta $\Rightarrow$ FunName $\Rightarrow$ bool
defined-AbstractDelta Δ f $\equiv (\forall \varrho \in \text{dom } \Delta. \text{defined-bound } (\text{the } (\Delta \varrho)) \text{ f})$

fun *argP-aux* :: (string $\rightarrow$ TypeExpression) $\Rightarrow$ TypeExpression $\Rightarrow$ 'a Exp $\Rightarrow$ bool
where
argP-aux ϑ t (ConstE (LitN -) -) = (t = (ConstrT intType [] []))
| *argP-aux* ϑ t (ConstE (LitB -) -) = (t = (ConstrT boolType [] []))
| *argP-aux* ϑ t (VarE x -) = (ϑ x = Some t)

fun *argP* :: (string $\rightarrow$ string) $\Rightarrow$ string list $\Rightarrow$ RegMapping $\Rightarrow$ string list $\Rightarrow$ bool
where
argP ψ ϱ s ϑ 2 rs = (length ϱ s = length rs
 $\wedge (\forall i < \text{length } \varrho\text{s}. \psi (\varrho\text{s}!i) = \vartheta 2 (\text{rs}!i)))$

constdefs *η -ef* :: InstantiationMapping $\Rightarrow$ (string $\rightarrow$ string) $\Rightarrow$ string list
 $\Rightarrow$ InstantiationMapping
 η -ef η φ ϱ s $\equiv (\% \varrho. \eta (\text{the } (\varphi \varrho))) \mid ' (\text{set } \varrho\text{s})$

consts *phiMapping-app* :: (Cost option) list $\Rightarrow$ real list $\Rightarrow$ real list
primrec
phiMapping-app [] xs = []
phiMapping-app (c#cs) xs = (case c of Some c' $\Rightarrow$ the (c' xs)# (phiMapping-app cs xs))

constdefs *cost-PhiMapping* :: Cost $\Rightarrow$ ('a Exp) list $\Rightarrow$ PhiMapping $\Rightarrow$ Cost
cost-PhiMapping c as $\varphi \equiv (\lambda$ xs. c (phiMapping-app (map φ (map atom2var as)) xs))

constdefs *mu-app* :: AbstractMu $\Rightarrow$ ('a Exp) list $\Rightarrow$ PhiMapping $\Rightarrow$ AbstractMu
mu-app μ g as $\varphi \equiv \text{cost-PhiMapping } \mu$ g as φ

constdefs *sigma-app* :: AbstractSigma $\Rightarrow$ ('a Exp) list $\Rightarrow$ PhiMapping $\Rightarrow$ AbstractSigma

$\text{sigma-app } \sigma g \text{ as } \varphi \equiv \text{cost-PhiMapping } \sigma g \text{ as } \varphi$

constdefs

$\text{setsumCost} :: ('a \Rightarrow \text{Cost}) \Rightarrow 'a \text{ set} \Rightarrow \text{Cost}$

$\text{setsumCost } f \ A \equiv \text{if finite } A \text{ then fold addCost } f \ []_0 \ A \text{ else } []_0$

constdefs $\text{sum-rho} :: \text{FunName} \Rightarrow \text{AbstractDelta} \Rightarrow (\text{string} \rightarrow \text{string}) \Rightarrow \text{PhiMapping}$
 $\Rightarrow ('a \text{ Exp}) \text{ list} \Rightarrow \text{string} \Rightarrow \text{Cost}$

$\text{sum-rho } g \ \Delta g \ \psi \ \Phi \text{ as } \varrho \equiv (\%xs. (\text{setsumCost}$
 $(\lambda \varrho. \text{the } (\Delta g \ \varrho))$
 $\{\varrho'. \psi \ \varrho' = \text{Some } \varrho \wedge \varrho' \in \text{set } (R\text{-Args } \Sigma t \ g)\})$
 $(\text{phiMapping-app } (\text{map } \Phi \ (\text{map atom2var } as)) \ xs))$

constdefs $\text{instance-f} ::$

$\text{FunName} \Rightarrow \text{FunName} \Rightarrow \text{AbstractDelta} \Rightarrow (\text{string} \rightarrow \text{string}) \Rightarrow \text{PhiMapping}$
 $\Rightarrow ('a \text{ Exp}) \text{ list} \Rightarrow \text{AbstractDelta}$

$\text{instance-f } f \ g \ \Delta g \ \psi \ \Phi \text{ as } \equiv$

$(\% \varrho. \text{Some } (\text{sum-rho } g \ \Delta g \ \psi \ \Phi \text{ as } \varrho)) \mid ' ((\text{set } (R\text{-Args } \Sigma t \ f)) \cup \{\varrho \text{self-f } f\})$

consts $\text{varToExp} :: \text{string list} \Rightarrow 'a \Rightarrow 'a \text{ Exp list}$

primrec

$\text{varToExp } [] \ a = []$

$\text{varToExp } (v \# vs) \ a = \text{VarE } v \ a \ \# \ \text{varToExp } vs \ a$

constdefs $\text{projection} :: \text{FunName} \Rightarrow \text{AbstractDelta} \Rightarrow \text{AbstractDelta}$

$\text{projection } f \ \Delta \equiv (\% \varrho. \text{if } (\varrho = \varrho \text{self-f } f) \text{ then None else } \Delta \ \varrho)$

inductive

$\text{ValidGlobalResourcesEnv} :: \text{FunctionResourcesSignature} \Rightarrow \text{bool}$

$(\models - \ 1000)$

where

$\text{base: } \models \text{empty}$

$\mid \text{step: } [] \models \Sigma b; f \notin \text{dom } \Sigma b;$

$(\text{bodyAPP } \Sigma d \ f) : f \ (\text{typesAPP } \Sigma \vartheta \ f) \ (\text{sizesAPP } \Sigma \Phi \ f) \ \text{real}(\text{length } (\text{varsAPP } \Sigma d \ f) + \text{length } (\text{regionsAPP}$

$\{\Delta, \mu, \sigma\}) \implies \models \Sigma b(f \mapsto (\text{projection } f \ \Delta, \mu, \sigma))$

fun $\text{SafeResourcesDAssCntxt} ::$

$\text{unit Exp} \Rightarrow \text{FunctionResourcesSignature} \Rightarrow$

$\text{FunName} \Rightarrow \text{ThetaMapping} \Rightarrow \text{PhiMapping} \Rightarrow \text{real} \Rightarrow$

$\text{AbstractDelta} \Rightarrow \text{AbstractMu} \Rightarrow \text{AbstractSigma} \Rightarrow \text{bool}$

$(- , - : - - - \ \{\ - , - , - \} \ 1000)$

where

$\text{SafeResourcesDAssCntxt } e \ \Sigma b \ f \ (\vartheta 1, \vartheta 2) \ \Phi \ td \ \Delta \ \mu \ \sigma =$

$(\models \Sigma b \longrightarrow e : f \ (\vartheta 1, \vartheta 2) \ \Phi \ td \ \{\Delta, \mu, \sigma\})$

fun *SafeResourcesDAssDepth* ::

unit Exp $\Rightarrow$
FunName $\Rightarrow$ *ThetaMapping* $\Rightarrow$ *PhiMapping* $\Rightarrow$ *real* $\Rightarrow$ *nat* $\Rightarrow$
AbstractDelta $\Rightarrow$ *AbstractMu* $\Rightarrow$ *AbstractSigma* $\Rightarrow$ *bool*
 (- :- - - - , - $\{\!|$ - , - , - $\}$ 1000)

where

SafeResourcesDAssDepth *e f* ($\vartheta 1, \vartheta 2$) Φ *td n* Δ μ σ =
 (*valid* Σd $\Sigma \vartheta$ $\Sigma \Phi \longrightarrow$ (* *valid* *)
 (*set* (*R-Args* Σt *f*)) $\cup$ {*pself-f f*} = *dom* Δ (* *P-static* *)
 $\wedge$ ($\forall$ *E1 E2 h k hh v* δ *m s* η *si*.
 SafeDepthSemanticsReal.SafeBoundSem (*E1, E2*) *h k td e* (*f, n*) *hh k v*
 (δ, m, s)
 $\wedge$ (*set* (*varsAPP* Σd *f*)) $\cup$ *fv e* $\subseteq$ *dom E1* $\wedge$ *fvReg e* $\subseteq$ *dom E2* (* *P-dyn* *)
 $\wedge$ *dom* Δ = *dom* η
 $\wedge$ *si* = *build-si* *E1 h* (*varsAPP* Σd *f*) (* *P-size* *)
 $\wedge$ *admissible f* η *k* (* *P- η* *)
 $\longrightarrow$ *Delta-ge* Δ *si k* η δ (* *P- Δ* *)
 $\wedge$ *mu-ge* μ *si m* (* *P- μ* *)
 $\wedge$ *sigma-ge* σ *si s*))

inductive *ValidGlobalResourcesEnvDepth* ::

string $\Rightarrow$ *nat* $\Rightarrow$ *FunctionResourcesSignature* $\Rightarrow$ *bool*
 ($\models$ - , - - 1000)

where

base : $\llbracket \models \Sigma b; f \notin \text{dom } \Sigma b \rrbracket \Longrightarrow \models_{f,n} \Sigma b$
 $\mid$ *depth0* : $\llbracket \models \Sigma b; f \notin \text{dom } \Sigma b \rrbracket \Longrightarrow \models_{f,0} \Sigma b (f \mapsto (\text{projection } f \Delta, \mu, \sigma))$
 $\mid$ *step* : $\llbracket \models \Sigma b; f \notin \text{dom } \Sigma b;$
 (*bodyAPP* Σd *f*) :*f* (*typesAPP* $\Sigma \vartheta$ *f*) (*sizesAPP* $\Sigma \Phi$ *f*) *real*(*length* (*varsAPP* Σd *f*) + *length* (*regionsAPP* $\Sigma \Phi$ *f*))
 $\llbracket \Delta, \mu, \sigma \rrbracket \Longrightarrow \models_{f, \text{Suc } n} \Sigma b (f \mapsto (\text{projection } f \Delta, \mu, \sigma))$
 $\mid$ *g* : $\llbracket \models_{f,n} \Sigma b; g \notin \text{dom } \Sigma b; g \neq f;$
 (*bodyAPP* Σd *g*) :*g* (*typesAPP* $\Sigma \vartheta$ *g*) (*sizesAPP* $\Sigma \Phi$ *g*) *real*(*length* (*varsAPP* Σd *g*) + *length* (*regionsAPP* $\Sigma \Phi$ *g*))
 $\llbracket \Delta, \mu, \sigma \rrbracket \Longrightarrow \models_{f,n} \Sigma b (g \mapsto (\text{projection } g \Delta, \mu, \sigma))$

fun *SafeResourcesDAssDepthCntxt* ::

unit Exp $\Rightarrow$ *FunctionResourcesSignature* $\Rightarrow$
FunName $\Rightarrow$ *ThetaMapping* $\Rightarrow$ *PhiMapping* $\Rightarrow$ *real* $\Rightarrow$ *nat* $\Rightarrow$
AbstractDelta $\Rightarrow$ *AbstractMu* $\Rightarrow$ *AbstractSigma* $\Rightarrow$ *bool*
 (- , - :- - - - , - $\{\!|$ - , - , - $\}$ 1000)

where

SafeResourcesDAssDepthCntxt *e* Σb *f* ($\vartheta 1, \vartheta 2$) Φ *td n* Δ μ σ =
 ($\models_{f,n} \Sigma b \longrightarrow e : f$ ($\vartheta 1, \vartheta 2$) Φ *td, n* $\{\!|$ Δ, μ, σ $\}$)

end

19 Auxiliary lemmas of the soundness theorem

```
theory CostesDepth
imports Costes-definitions
begin
```

```
lemma dom-emptyAbstractDelta:
  dom  $\llbracket_f$  = (set (R-Args  $\Sigma t f$ ))  $\cup$  { $\varnothing$ self- $f f$ }
apply (rule equalityI)
apply (rule subsetI)
apply (simp add: emptyAbstractDelta-def, clarsimp)
apply (split split-if-asm, simp, simp)
apply (simp add: emptyAbstractDelta-def)
by (rule conjI, force, force)
```

```
lemma Delta-ge-emptyAbstractDelta-emptyDelta:
   $\llbracket \text{dom } \llbracket_f = \text{dom } \eta \rrbracket$ 
 $\implies \text{Delta-ge } \llbracket_f \text{ si } k \eta \text{ (SafeRASemanticsReal.emptyDelta } k)$ 
apply (subst (asm) dom-emptyAbstractDelta)
apply (simp add: Delta-ge-def)
apply (simp add: sizeAbstractDelta-si-def)
apply (simp add: emptyDelta-def)
apply (simp add: emptyAbstractDelta-def)
apply (rule ballI)
apply (rule setsum-nonneg)
apply (simp add: constantCost-def)
apply (rule allI, intro impI)
by clarsimp
```

```
lemma mu-ge-constantCost:
  mu-ge  $\llbracket_0$  si 0
by (simp add: mu-ge-def add: constantCost-def)
```

```
lemma sigma-ge-constantCost:
  sigma-ge  $\llbracket_1$  si 1
by (simp add: sigma-ge-def add: constantCost-def)
```

```
lemma SafeResourcesDADepth-LitInt:
  ConstE (LitN  $i$ )  $a :_f (\vartheta 1, \vartheta 2) \varphi \text{ td}, n$ 
 $\{\llbracket_f, \llbracket_0, \llbracket_1\rrbracket\}$ 
```

```

apply (simp only: SafeResourcesDAssDepth.simps)
apply (rule impI)
apply (rule conjI)

  apply (subst dom-emptyAbstractDelta,simp)

apply (intro allI,rule impI)
apply (elim conjE)
apply (frule impSemBoundRA [where td=td])
apply (erule SafeRASem.cases,simp-all)
apply clarsimp
apply (rule conjI)

  apply (rule Delta-ge-emptyAbstractDelta-emptyDelta,assumption+)
apply (rule conjI)

  apply (rule mu-ge-constantCost)

by (rule sigma-ge-constantCost)

```

lemma *SafeResourcesDADepth-LitBool:*

```

  ConstE (LitB b) a : f (v1, v2)  $\varphi$  td, n {[]f, [], []1}
apply (simp only: SafeResourcesDAssDepth.simps)
apply (rule impI)
apply (rule conjI)

  apply (subst dom-emptyAbstractDelta,simp)

apply (intro allI,rule impI)
apply (elim conjE)
apply (frule impSemBoundRA [where td=td])
apply (erule SafeRASem.cases,simp-all)
apply clarsimp
apply (rule conjI)

  apply (rule Delta-ge-emptyAbstractDelta-emptyDelta,assumption+)
apply (rule conjI)

  apply (rule mu-ge-constantCost)

by (rule sigma-ge-constantCost)

```

axioms *SafeResourcesDADepth-Var1*:

$VarE\ x\ a :_f (\vartheta 1, \vartheta 2) \varphi\ td, n$
 $\{\llbracket_f, \llbracket_0, \llbracket_1\rrbracket\}$

axioms *SafeResourcesDADepth-Var2*:

$\llbracket \vartheta 2\ r = Some\ \varrho; \varphi\ x = Some\ \eta \rrbracket$
 $\implies CopyE\ x\ r\ d :_f (\vartheta 1, \vartheta 2) \varphi\ td, n\ \{\llbracket \varrho \mapsto \eta \rrbracket, \eta, \llbracket_2\rrbracket\}$

axioms *SafeResourcesDADepth-Var3*:

$\llbracket \vartheta 2\ r = Some\ \varrho; \varphi\ x = Some\ \eta \rrbracket$
 $\implies ReuseE\ x\ a :_f (\vartheta 1, \vartheta 2) \varphi\ td, n$
 $\{\llbracket_f, \llbracket_0, \llbracket_1\rrbracket\}$

axioms *SafeResourcesDADepth-APP-PRIMOP*:

$\llbracket primops\ g = Some\ oper \rrbracket$
 $\implies AppE\ g\ [a1, a2] \llbracket a :_f (\vartheta 1, \vartheta 2) \varphi\ td, n$
 $\{\llbracket_g, \llbracket_0, \llbracket_2\rrbracket\}$

lemma *dom-addAbstractDelta*:

$dom\ (\Delta 1 +_{\Delta} \Delta 2) = dom\ \Delta 1 \cap dom\ \Delta 2$
apply (*simp add: addAbstractDelta-def*)
apply *auto*
by (*split split-if-asm, force, force*)+

lemma *P-static-dom-Δ-Let*:

$\llbracket set\ (R\text{-}Args\ \Sigma t\ f) \cup \{\varrho self\text{-}f\ f\} = dom\ \Delta 1;$
 $set\ (R\text{-}Args\ \Sigma t\ f) \cup \{\varrho self\text{-}f\ f\} = dom\ \Delta 2 \rrbracket$
 $\implies set\ (R\text{-}Args\ \Sigma t\ f) \cup \{\varrho self\text{-}f\ f\} = dom\ \Delta 1 +_{\Delta} \Delta 2$
by (*subst dom-addAbstractDelta, simp*)

lemma *P1-f-n-LET*:

$\llbracket \forall\ C\ as\ r\ a'.\ e1 \neq ConstrE\ C\ as\ r\ a';$
 $SafeDepthSemanticsReal.SafeBoundSem\ (E1, E2)\ h\ k\ td\ (Let\ x1 = e1\ In\ e2$
 $a)$
 $(f, n)\ hh\ k\ v\ (\delta, m, s) \rrbracket$
 $\implies \exists\ h'\ v1\ v2\ \delta 1\ m1\ s1\ \delta 2\ m2\ s2\ n1\ n2.$
 $SafeDepthSemanticsReal.SafeBoundSem\ (E1, E2)\ h\ k\ 0\ e1$
 $(f, n)\ h'\ k\ v1\ (\delta 1, m1, s1)$
 $\wedge\ SafeDepthSemanticsReal.SafeBoundSem\ (E1(x1 \mapsto v1), E2)\ h'\ k\ (td +$
 $1)\ e2$
 $(f, n)\ hh\ k\ v2\ (\delta 2, m2, s2)$

$\wedge \delta = \text{SafeRASemanticsReal.addDelta } \delta 1 \ \delta 2$
 $\wedge m = \max m1 \ (m2 + \text{SafeRASemanticsReal.balanceCells } \delta 1)$
 $\wedge s = \max (s1 + 2) \ (s2 + 1)$
 $\wedge x1 \notin \text{dom } E1$
apply (*simp add: SafeBoundSem-def*)
apply (*elim exE, elim conjE*)
apply (*erule SafeDepthSem.cases, simp-all*)
apply (*elim conjE*)
apply (*rule-tac x=h' in exI*)
apply (*rule-tac x=v1 in exI*)
apply (*rule-tac x=v2 in exI*)
apply (*rule-tac x=δ1 in exI*)
apply (*rule-tac x=m1 in exI*)
apply (*rule-tac x=s1 in exI*)
apply (*rule conjI*)
apply (*rule-tac x=n1 in exI*)
apply *simp*
apply (*rule-tac x=δ2 in exI*)
apply (*rule-tac x=m2 in exI*)
apply (*rule-tac x=s2 in exI*)
apply (*rule conjI*)
apply (*rule-tac x=n2 in exI*)
apply *simp*
by *simp*

lemma *P-dyn-dom-E1-Let-e1*:
 $\llbracket \text{set } (\text{varsAPP } \Sigma d \ f) \cup \text{fv } (\text{Let } x1 = e1 \text{ In } e2 \ a) \subseteq \text{dom } E1;$
 $x1 \notin \text{fv } e1 \rrbracket$
 $\implies \text{set } (\text{varsAPP } \Sigma d \ f) \cup \text{fv } e1 \subseteq \text{dom } E1$
by (*simp, elim conjE, blast*)

lemma *P-dyn-dom-E1-Let-e2*:
 $\llbracket \text{set } (\text{varsAPP } \Sigma d \ f) \cup \text{fv } (\text{Let } x1 = e1 \text{ In } e2 \ a) \subseteq \text{dom } E1;$
 $x1 \notin \text{fv } e1 \rrbracket$
 $\implies \text{set } (\text{varsAPP } \Sigma d \ f) \cup \text{fv } e2 \subseteq \text{dom } (E1(x1 \mapsto v1))$
by (*simp, elim conjE, blast*)

lemma *P-dyn-dom-Δ-Let-e1*:
 $\llbracket \text{set } (R\text{-Args } \Sigma t \ f) \cup \{\varrho\text{self}.f \ f\} = \text{dom } \Delta 1;$
 $\text{set } (R\text{-Args } \Sigma t \ f) \cup \{\varrho\text{self}.f \ f\} = \text{dom } \Delta 2;$
 $\text{dom } \Delta 1 +_{\Delta} \Delta 2 = \text{dom } \eta \rrbracket$
 $\implies \text{dom } \Delta 1 = \text{dom } \eta$
by (*subst (asm) dom-addAbstractDelta, simp*)

lemma *P-dyn-dom-Δ-Let-e2*:
 $\llbracket \text{set } (R\text{-Args } \Sigma t \ f) \cup \{\varrho\text{self}.f \ f\} = \text{dom } \Delta 1;$
 $\text{set } (R\text{-Args } \Sigma t \ f) \cup \{\varrho\text{self}.f \ f\} = \text{dom } \Delta 2;$
 $\text{dom } \Delta 1 +_{\Delta} \Delta 2 = \text{dom } \eta \rrbracket$

$\Rightarrow \text{dom } \Delta 1 = \text{dom } \eta$
by (*subst (asm) dom-addAbstractDelta,simp*)

lemma *length-build-si*:
 $\text{length } (\text{build-si } E1 \ h \ xs) = \text{length } xs$
by (*induct xs,simp-all*)

lemma *sizeAbstractDelta-si-addf*:
 $\llbracket \text{set } (R\text{-Args } \Sigma t \ f) \cup \{\varrho \text{self-}f \ f\} = \text{dom } \Delta 1; \text{defined-AbstractDelta } \Delta 1 \ f;$
 $\text{set } (R\text{-Args } \Sigma t \ f) \cup \{\varrho \text{self-}f \ f\} = \text{dom } \Delta 2; \text{defined-AbstractDelta } \Delta 2 \ f;$
 $\text{dom } \Delta 1 +_{\Delta} \Delta 2 = \text{dom } \eta \rrbracket$
 $\Rightarrow \text{sizeAbstractDelta-si } \Delta 1 +_{\Delta} \Delta 2 \ (\text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f)) \ j \ \eta$
 $= \text{sizeAbstractDelta-si } \Delta 1 \ (\text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f)) \ j \ \eta + \text{sizeAbstractDelta-si}$
 $\Delta 2 \ (\text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f)) \ j \ \eta$
apply (*frule P-static-dom-Δ-Let,simp*)
apply (*subgoal-tac dom Δ1 +_Δ Δ2 = dom Δ2*)
apply (*subgoal-tac dom Δ1 = dom Δ2,simp*)
apply (*simp only: sizeAbstractDelta-si-def*)
apply (*subgoal-tac (Σ ρ | η ρ = Some j. the (the (Δ1 +_Δ Δ2 ρ) (build-si E1*
 $h \ (\text{varsAPP } \Sigma d \ f)))) =$
 $(\Sigma \varrho \mid \eta \ \varrho = \text{Some } j. \text{the } (\text{the } (\Delta 1 \ \varrho) \ (\text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f)))) + \text{the } (\text{the } (\Delta 2 \ \varrho) \ (\text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f))))),\text{simp}$
apply (*subst setsum-addf*)
apply (*simp only: addAbstractDelta-def*)
apply (*rule setsum-cong2*)
apply (*simp add: addAbstractDelta-def*)
apply (*rule conjI*)
apply (*rule impI*)
apply (*simp add: addCost-def*)
apply (*rule impI*)
apply (*simp add: defined-AbstractDelta-def*)
apply (*erule-tac x=x in ballE*)
prefer 2 apply simp
apply (*simp add: defined-bound-def*)
apply (*erule-tac x=(build-si E1 h (varsAPP Σd f)) in allE*)
apply (*subst (asm) length-build-si*)
apply simp
apply (*erule-tac x=x in ballE*)
prefer 2 apply simp
apply (*erule-tac x=(build-si E1 h (varsAPP Σd f)) in allE*)
apply (*subst (asm) length-build-si*)
apply simp
apply (*simp add: dom-def*)
apply simp
by simp

lemma *sizeAbstractDelta-si-nonneg*:

$\llbracket \text{dom } \Delta = \text{dom } \eta;$
 $\forall \varrho \in \text{dom } \Delta. 0 \leq \text{the } (\text{the } (\Delta \varrho) \text{ si}) \rrbracket$
 $\implies \text{sizeAbstractDelta-si } \Delta \text{ si } j \eta \geq 0$
apply (*simp add: sizeAbstractDelta-si-def*)
by (*rule setsum-nonneg,simp,force*)

axioms *wellFormed-Δ*:

$\forall \varrho \in \text{dom } \Delta.$
 $0 \leq \text{the } (\text{the } (\Delta \varrho) (\text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f))))$

axioms *semantic-no-capture-E1*:

$\llbracket \text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2) \ h \ k \ td \ e \ (f, n) \ h' \ k \ v \ (\delta, m,$
 $s);$
 $E1 \ x = \text{Some } (\text{Loc } p);$
 $p \notin \text{dom } h \rrbracket$
 $\implies p \notin \text{dom } h'$

axioms *semantic-extend-pointers*:

$\text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2) \ h \ k \ td \ e \ (f, n) \ h' \ k \ v \ (\delta, m,$
 $s)$
 $\implies (\forall p \in \text{dom } h. \ h \ p = h' \ p)$

axioms *size-equals-h-h'*:

$v = \text{Loc } p$
 $\implies \text{size } v \ h' = \text{Costes-definitions.size } v \ h$

axioms *axiom-size-dom*:

$(\forall x \in \text{set } (\text{varsAPP } \Sigma d \ f). \ \text{size-dom } (\text{the } (E1 \ x), h))$

lemma *sizeEnv-equals-h-h'*:

$\llbracket x1 \notin \text{dom } E1;$
 $\text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2) \ h \ k \ td \ e \ (f, n) \ h' \ k \ v \ (\delta, m,$
 $s);$
 $x1 \notin \text{set } xs; x1 \neq x;$
 $\text{size-dom } (\text{the } (E1 \ x), h); \text{size-dom } (\text{the } (E1 \ x), h');$
 $(\forall x \in \text{set } xs. \ \text{size-dom } (\text{the } (E1 \ x), h) \wedge \text{size-dom } (\text{the } (E1 \ x), h')) \rrbracket$
 $\implies \text{sizeEnv } E1 \ x \ h = \text{sizeEnv } (E1(x1 \mapsto v1)) \ x \ h'$
apply (*subgoal-tac sizeEnv (E1(x1 ↦ v1)) x h' = sizeEnv E1 x h',simp*)
prefer 2 apply (*simp add: sizeEnv-def*)
apply (*frule semantic-extend-pointers*)
apply (*simp add: sizeEnv-def*)
apply (*case-tac E1 x,simp-all*)
apply (*case-tac a*)

apply (*rename-tac* $v' p$)
apply (*case-tac* $p \notin \text{dom } h$)

apply (*frule semantic-no-capture-E1,simp,assumption+*)
apply (*subgoal-tac* $h p = \text{None} \wedge h' p = \text{None}$)
apply (*simp add: size.psimps(3)*)
apply *force*

apply (*subgoal-tac* $\exists j C vn. h p = \text{Some } (j, C, vn)$)
prefer 2 **apply** *force*
apply (*elim exE*)
apply (*rule size-equals-h-h',assumption*)

apply *simp*

by *simp*

lemma *build-si-equals-h-h'* [*rule-format*]:

$x1 \notin \text{dom } E1$
 $\longrightarrow \text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2) h k td e (f, n) h' k v (\delta, m, s)$
 $\longrightarrow x1 \notin \text{set } xs$
 $\longrightarrow (\forall x \in \text{set } xs. \text{size-dom } (the (E1 x), h) \wedge \text{size-dom } (the (E1 x), h'))$
 $\longrightarrow (\text{build-si } E1 h xs) = (\text{build-si } (E1(x1 \mapsto v1)) h' xs)$

apply (*rule impI*)
apply (*induct xs,simp-all*)
apply (*intro impI*)
apply (*drule mp,simp*)
apply (*elim conjE*)
by (*rule sizeEnv-equals-h-h',assumption+*)

lemma *P-Δ-Let*:

$\llbracket \text{set } (R\text{-Args } \Sigma t f) \cup \{\text{qself-}f f\} = \text{dom } \Delta 1; \text{defined-AbstractDelta } \Delta 1 f;$
 $\text{set } (R\text{-Args } \Sigma t f) \cup \{\text{qself-}f f\} = \text{dom } \Delta 2; \text{defined-AbstractDelta } \Delta 2 f;$
 $\text{dom } \Delta 1 +_{\Delta} \Delta 2 = \text{dom } \eta;$
 $\Delta\text{ge } \Delta 1 (\text{build-si } E1 h (\text{varsAPP } \Sigma d f)) k \eta \delta 1;$
 $\Delta\text{ge } \Delta 2 (\text{build-si } E1 h (\text{varsAPP } \Sigma d f)) k \eta \delta 2 \rrbracket$
 $\implies \Delta\text{ge } \Delta 1 +_{\Delta} \Delta 2 (\text{build-si } E1 h (\text{varsAPP } \Sigma d f)) k \eta (\delta 1 \oplus \delta 2)$

apply (*unfold Delta-ge-def*)
apply (*rule ballI*)
apply (*subst sizeAbstractDelta-si-addf,simp,simp,simp,simp,simp*)
apply (*erule-tac x=j in ballE*)
prefer 2 **apply** *simp*
apply (*erule-tac x=j in ballE*)

```

prefer 2 apply simp
apply (simp add: addDelta-def)
apply (rule conjI)
apply (rule impI)
apply (rule conjI)
apply (rule impI, clarsimp)
apply (rule impI)
apply (subgoal-tac 0 ≤ sizeAbstractDelta-si Δ1 (build-si E1 h (varsAPP Σd f))
j η, simp)
apply (rule sizeAbstractDelta-si-nonneg)
apply (subst (asm) dom-addAbstractDelta, simp)
apply (rule wellFormed-Δ)
apply (rule impI)
apply (rule conjI)
apply (rule impI)
apply (subgoal-tac 0 ≤ sizeAbstractDelta-si Δ2 (build-si E1 h (varsAPP Σd f))
j η, simp)
apply (rule sizeAbstractDelta-si-nonneg)
apply (subst (asm) dom-addAbstractDelta, simp)
apply (rule wellFormed-Δ)
apply (rule impI)
apply (subgoal-tac 0 ≤ sizeAbstractDelta-si Δ2 (build-si E1 h (varsAPP Σd f))
j η)
apply (subgoal-tac 0 ≤ sizeAbstractDelta-si Δ1 (build-si E1 h (varsAPP Σd f))
j η)
apply clarsimp
apply (rule sizeAbstractDelta-si-nonneg)
apply (subst (asm) dom-addAbstractDelta, simp)
apply (rule wellFormed-Δ)
apply (rule sizeAbstractDelta-si-nonneg)
apply (subst (asm) dom-addAbstractDelta, simp)
by (rule wellFormed-Δ)

```

axioms *sizeAbstractDelta-Δ1-ge-balanceCells-δ1*:
 $\Delta1\text{-}ge\ \Delta1\ si\ k\ \eta\ \delta1$
 $\implies the\ (sizeAbstractDelta\ \Delta1\ si) \geq$
 $SafeRASemanticsReal.balanceCells\ \delta1$

lemma *defined-AbstractDelta-si-in-dom-Δ1*:
 $defined-bound\ |\Delta1|\ f$
 $\implies build-si\ E1\ h\ (varsAPP\ \Sigma d\ f) \in dom\ |\Delta1|$
apply (*simp* *add*: *defined-bound-def*)
apply (*erule-tac* $x = build-si\ E1\ h\ (varsAPP\ \Sigma d\ f)$ **in** *allE*)
by (*subst* (*asm*) *length-build-si, simp*)

lemma *P-μ-Let*:

```

[[ Delta-ge  $\Delta 1$  (build-si  $E1$   $h$  (varsAPP  $\Sigma d$   $f$ ))  $k$   $\eta$   $\delta 1$ ;
   defined-AbstractDelta  $\Delta 1$   $f$ ; defined-bound  $|\Delta 1|$   $f$ ;
   mu-ge  $\mu 1$  (build-si  $E1$   $h$  (varsAPP  $\Sigma d$   $f$ ))  $m1$ ; defined-bound  $\mu 1$   $f$ ;
   mu-ge  $\mu 2$  (build-si  $E1$   $h$  (varsAPP  $\Sigma d$   $f$ ))  $m2$ ; defined-bound  $\mu 2$   $f$  ]]
 $\Rightarrow$  mu-ge  $\sqcup_c \{ \mu 1, |\Delta 1| +_c \mu 2 \}$  (build-si  $E1$   $h$  (varsAPP  $\Sigma d$   $f$ )) ( $\max m1$ 
( $m2 + \text{SafeRASemanticsReal.balanceCells } \delta 1$ ))
apply (simp add: mu-ge-def)
apply (rule conjI)
apply (simp add: maxCost-def)
apply (rule conjI)
apply (rule impI)
apply (simp add: maxCost-def)
apply (rule impI)+
apply (simp add: defined-bound-def [where  $b=\mu 1$ ])
apply (erule-tac  $x = \text{build-si } E1 \text{ } h \text{ (varsAPP } \Sigma d \text{ } f) \text{ in allE}$ )
apply (subst (asm) length-build-si,simp)
apply (simp add: defined-bound-def [where  $b=\mu 2$ ])
apply (erule-tac  $x = \text{build-si } E1 \text{ } h \text{ (varsAPP } \Sigma d \text{ } f) \text{ in allE}$ )
apply (subst (asm) length-build-si,simp)
apply (frule-tac  $?E1.0=E1$  and  $h=h$  in defined-AbstractDelta-si-in-dom- $\Delta 1$ )
apply (simp add: addCost-def)
apply (simp add: dom-def)
apply (frule sizeAbstractDelta- $\Delta 1$ -ge-balanceCells- $\delta 1$ )
apply (simp add: maxCost-def)
apply (rule conjI,rule impI)
apply (simp add: addCost-def)
apply (rule conjI,rule impI)
apply (frule sizeAbstractDelta- $\Delta 1$ -ge-balanceCells- $\delta 1$ ,simp)
apply (rule impI)
apply (simp add: defined-bound-def [where  $b=\mu 1$ ])
apply (erule-tac  $x = \text{build-si } E1 \text{ } h \text{ (varsAPP } \Sigma d \text{ } f) \text{ in allE}$ )
apply (subst (asm) length-build-si,simp)
apply (simp add: defined-bound-def [where  $b=\mu 2$ ])
apply (erule-tac  $x = \text{build-si } E1 \text{ } h \text{ (varsAPP } \Sigma d \text{ } f) \text{ in allE}$ )
apply (subst (asm) length-build-si,simp)
apply (frule-tac  $?E1.0=E1$  and  $h=h$  in defined-AbstractDelta-si-in-dom- $\Delta 1$ )
apply simp
apply (rule impI)
apply (simp add: addCost-def)
apply (simp add: defined-bound-def [where  $b=\mu 1$ ])
apply (erule-tac  $x = \text{build-si } E1 \text{ } h \text{ (varsAPP } \Sigma d \text{ } f) \text{ in allE}$ )
apply (simp add: defined-bound-def [where  $b=\mu 2$ ])
apply (subst (asm) length-build-si,simp)
apply (erule-tac  $x = \text{build-si } E1 \text{ } h \text{ (varsAPP } \Sigma d \text{ } f) \text{ in allE}$ )
apply (subst (asm) length-build-si,simp)
apply (frule-tac  $?E1.0=E1$  and  $h=h$  in defined-AbstractDelta-si-in-dom- $\Delta 1$ )
by (simp add: dom-def)

```

lemma *P-σ-Let*:

```

  ⌊ sigma-ge σ1 (build-si E1 h (varsAPP Σd f)) s1; defined-bound σ1 f;
    sigma-ge σ2 (build-si E1 h (varsAPP Σd f)) s2; defined-bound σ2 f ⌋
  ⇒ sigma-ge ⌊c { ⌊2 +c σ1 , ⌊1 +c σ2 } (build-si E1 h (varsAPP Σd f))
(max (s1 + 2) (s2 + 1))
apply (simp add: sigma-ge-def)
apply (rule conjI)
apply (simp add: maxCost-def)
apply (rule conjI)
apply (rule impI)
apply (simp add: constantCost-def)
apply (simp add: addCost-def)
apply (rule conjI)
apply (rule impI)+
apply (rule conjI)
apply (rule impI,simp)
apply (rule impI)
apply (simp add: defined-bound-def)
apply (rule impI)
apply (simp add: defined-bound-def)
apply (erule-tac x= build-si E1 h (varsAPP Σd f) in allE)
apply (subst (asm) length-build-si,simp)
apply (erule-tac x= build-si E1 h (varsAPP Σd f) in allE)
apply (subst (asm) length-build-si,simp)
apply clarsimp
apply (rule impI)+
apply (simp add: defined-bound-def)
apply (erule-tac x= build-si E1 h (varsAPP Σd f) in allE)
apply (subst (asm) length-build-si,simp)
apply (erule-tac x= build-si E1 h (varsAPP Σd f) in allE)
apply (subst (asm) length-build-si,simp)
apply (simp add: constantCost-def)
apply (simp add: addCost-def)
apply (simp add: dom-def)
apply (simp add: maxCost-def)
apply (rule conjI)
apply (rule impI)
apply (simp add: constantCost-def)
apply (simp add: addCost-def)
apply (rule conjI)
apply (rule impI)+
apply (rule conjI)
apply (rule impI,simp)
apply (rule impI)
apply (simp add: defined-bound-def)
apply (erule-tac x= build-si E1 h (varsAPP Σd f) in allE)
apply (subst (asm) length-build-si,simp)
apply (erule-tac x= build-si E1 h (varsAPP Σd f) in allE)

```

```

apply (subst (asm) length-build-si,simp)
apply clarsimp
apply (rule impI)+
apply (rule conjI)
apply (rule impI)
apply (simp add: defined-bound-def)
apply (rule impI)+
apply (simp add: defined-bound-def)
apply (erule-tac x= build-si E1 h (varsAPP  $\Sigma d$  f) in allE)
apply (subst (asm) length-build-si,simp)
apply (erule-tac x= build-si E1 h (varsAPP  $\Sigma d$  f) in allE)
apply (subst (asm) length-build-si,simp)
apply clarsimp
apply (rule impI)+
apply (simp add: defined-bound-def)
apply (erule-tac x= build-si E1 h (varsAPP  $\Sigma d$  f) in allE)
apply (subst (asm) length-build-si,simp)
apply (erule-tac x= build-si E1 h (varsAPP  $\Sigma d$  f) in allE)
apply (subst (asm) length-build-si,simp)
apply (simp add: constantCost-def)
apply (simp add: addCost-def)
by (simp add: dom-def)

```

lemma *SafeResourcesDADepth-Let1*:

```

 $\llbracket \forall C \text{ as } r \text{ a'}. e1 \neq \text{ConstrE } C \text{ as } r \text{ a'}$ ;
 $x1 \notin \text{fv } e1$ ;
 $x1 \notin \text{set } (\text{varsAPP } \Sigma d \text{ f})$ ;
 $e1 : f (\vartheta 1, \vartheta 2) \Phi 0, n \llbracket \Delta 1, \mu 1, \sigma 1 \rrbracket$ ;
defined-AbstractDelta  $\Delta 1$  f; defined-bound  $|\Delta 1|$  f;
defined-bound  $\mu 1$  f; defined-bound  $\sigma 1$  f;
 $e2 : f (\vartheta 1, \vartheta 2) \Phi (td+1), n \llbracket \Delta 2, \mu 2, \sigma 2 \rrbracket$ ;
defined-AbstractDelta  $\Delta 2$  f; defined-bound  $\mu 2$  f; defined-bound  $\sigma 2$  f;
 $\Delta = \Delta 1 +_{\Delta} \Delta 2$ ;
 $\mu = \bigsqcup_c \{\mu 1, |\Delta 1| +_c \mu 2\}$ ;
 $\sigma = \bigsqcup_c \{\llbracket 2 +_c \sigma 1,$ 
 $\quad \llbracket 1 +_c \sigma 2 \rrbracket \}$ 
 $\implies \text{Let } x1 = e1 \text{ In } e2 \text{ a} : f (\vartheta 1, \vartheta 2) \Phi td, n \llbracket \Delta, \mu, \sigma \rrbracket$ 
apply (simp only: SafeResourcesDAssDepth.simps)

```

```

apply (rule impI)
apply (drule mp, simp)
apply (drule mp, simp)

```

```

apply (elim conjE)

```

apply (*rule conjI*)
apply (*rule P-static-dom- Δ -Let,simp,simp*)

apply (*intro allI, rule impI*)
apply (*elim conjE*)
apply (*frule P1-f-n-LET,simp*)
apply (*elim exE*)

apply (*erule-tac x=E1 in allE*)
apply (*erule-tac x=E2 in allE*)
apply (*erule-tac x=h in allE*)
apply (*erule-tac x=k in allE*)
apply (*erule-tac x=h' in allE*)
apply (*erule-tac x=v1 in allE*)
apply (*erule-tac x= δ 1 in allE*)
apply (*erule-tac x=m1 in allE*)
apply (*erule-tac x=s1 in allE*)
apply (*erule-tac x= η in allE*)
apply (*erule-tac x=si in allE*)
apply (*drule mp*)
apply (*rule conjI,simp*)

apply (*rule conjI, rule P-dyn-dom-E1-Let-e1, assumption+*)
apply (*rule conjI, simp*)
apply (*rule conjI, frule P-dyn-dom- Δ -Let-e1,simp,simp,simp*)
apply (*rule conjI, simp*)
apply *simp*

apply (*erule-tac x=E1($x1 \mapsto v1$) in allE*)
apply (*erule-tac x=E2 in allE*)
apply (*erule-tac x=h' in allE*)
apply (*erule-tac x=k in allE*)
apply (*erule-tac x=hh in allE*)
apply (*erule-tac x=v2 in allE*)
apply (*erule-tac x= δ 2 in allE*)
apply (*erule-tac x=m2 in allE*)
apply (*erule-tac x=s2 in allE*)
apply (*erule-tac x= η in allE*)
apply (*erule-tac x=build-si ($E1(x1 \mapsto v1)$) h' (varsAPP $\Sigma d f$) in allE*)
apply (*drule mp*)

apply (*rule conjI,simp*)

apply (*rule conjI, rule P-dyn-dom-E1-Let-e2, assumption+*)
apply (*rule conjI, simp*)
apply (*rule conjI, frule P-dyn-dom-Δ-Let-e2, simp,simp,simp*)
apply (*rule conjI, simp*)
apply *simp*

apply (*subgoal-tac* ($\forall x \in \text{set } (\text{varsAPP } \Sigma d f). \text{size-dom } (\text{the } (E1 x), h) \wedge$
 $\text{size-dom } (\text{the } (E1 x), h'))$)
prefer 2 apply (*insert axiom-size-dom,force*)
apply (*subgoal-tac*
 $\text{build-si } E1 h (\text{varsAPP } \Sigma d f) =$
 $\text{build-si } (E1(x1 \mapsto v1)) h' (\text{varsAPP } \Sigma d f)$)
prefer 2 apply (*rule build-si-equals-h-h', simp,force,assumption+, simp,simp*)

apply (*rule conjI*)
apply (*rule P-Δ-Let*)
apply (*simp,simp,simp,simp,simp,simp,simp,simp*)

apply (*rule conjI,rule P-μ-Let*)
apply (*force,simp,simp,simp,simp,simp,simp,simp*)

apply (*rule P-σ-Let*)
by (*simp,simp,simp,simp*)

axioms *SafeResourcesDADepth-Let2*:

$\llbracket \vartheta 2 \ r = \text{Some } \varrho; \varrho \notin \text{dom } \Delta;$
 $e2 : f(\vartheta 1, \vartheta 2) \varphi \text{td}, n \ \{\Delta, \mu, \sigma\} \rrbracket$
 $\implies \text{Let } x1 = \text{ConstrE } C \text{ as } r \ a' \text{ In } e2 \ a : f(\vartheta 1, \vartheta 2) \varphi \text{td}, n \ \{\Delta ++ [\varrho \mapsto []], \mu$
 $+_c \ []_1, \sigma 2 +_c \ []_1\}$

lemma *dom-maxCost*:

$\text{dom } (\text{maxCost } c1 \ c2) = \text{dom } c1 \cap \text{dom } c2$

```

apply (rule equalityI)
apply (rule subsetI)
  apply (simp add: maxCost-def, clarsimp)
  apply (split split-if-asm, simp)
  apply (simp add: maxCost-def)
apply (rule subsetI)
  apply (simp add: maxCost-def, clarsimp)
  apply (simp add: dom-def)
done

```

```

lemma dom-maxAbstractDelta:
  dom (maxAbstractDelta c1 c2) = dom c1  $\cap$  dom c2
apply (rule equalityI)
apply (rule subsetI)
  apply (simp add: maxAbstractDelta-def, clarsimp)
  apply (split split-if-asm, simp, simp)
apply (rule subsetI)
apply (simp add: maxAbstractDelta-def)
by (clarsimp, simp add: dom-def)

```

```

lemma dom-maxCostList:
  dom (foldr maxCost (map AbstractMuSpaceCost xs) [] 0) =
    ( $\bigcap$  i < length xs. dom (AbstractMuSpaceCost (xs! i)))
apply (induct xs)
  apply (simp add: constantCost-def, force)
apply clarsimp
apply (simp add: maxCost-def)
apply (rule equalityI)
apply (rule subsetI, simp)
apply (rule ballI, clarsimp)
apply (split split-if-asm, simp)
  apply (case-tac i)
    apply (simp add: dom-def)
  apply simp
  apply (erule-tac x=nat in ballE)
    apply (simp add: dom-def)
  apply simp
apply simp
apply clarsimp
apply (rule conjI)
  apply force
by force

```

```

lemma P-static-dom- $\Delta$ -Case [rule-format]:
  length xs > 0
   $\longrightarrow$  ( $\forall$  i < length xs.

```

```

      insert (qself-f f) (set (R-Args  $\Sigma t$  f)) = dom (AbstractDeltaSpaceCost (xs !
i)) )
     $\longrightarrow$  insert (qself-f f) (set (R-Args  $\Sigma t$  f)) = dom  $\sqcup_{\Delta}$  f map AbstractDeltaS-
paceCost xs
  apply (simp add: maxAbstractDeltaList-def)
  apply (induct xs, clarsimp, clarsimp)
  apply (subst dom-maxAbstractDelta)
  apply (case-tac xs)
  apply (simp add: maxAbstractDelta-def)
  apply (subst dom-emptyAbstractDelta, simp)
  apply (subgoal-tac dom a = insert (qself-f f) (set (R-Args  $\Sigma t$  f)))
  prefer 2 apply (erule-tac x=0 in allE, simp)
  apply clarsimp
  apply (drule mp)
  apply (rule allI, rule impI)
  apply (erule-tac x=Suc i in allE, simp)
by simp

```

lemma *P-dyn-dom-E1-Case-ej*:

```

   $\llbracket$  set (varsAPP  $\Sigma d$  f)  $\subseteq$  dom E1;
    def-extend E1 (snd (extractP (fst (alts ! i)))) vs  $\rrbracket$ 
 $\impl$  set (varsAPP  $\Sigma d$  f)  $\subseteq$  dom (extend E1 (snd (extractP (fst (alts ! i)))) vs)
  apply (simp add: extend-def)
  apply (simp add: def-extend-def)
by blast

```

lemma *P-dyn-fv-dom-E1-Case-ej* [rule-format]:

```

  fvAlts alts  $\subseteq$  dom E1
 $\longrightarrow$  i < length alts
 $\longrightarrow$  def-extend E1 (snd (extractP (fst (alts ! i)))) vs
 $\longrightarrow$  fv (snd (alts ! i))  $\subseteq$  dom (extend E1 (snd (extractP (fst (alts ! i)))) vs)
  apply (induct alts arbitrary: i, simp-all)
  apply (rule impI)+
  apply (elim conjE)
  apply (case-tac alts = [], simp-all)
  apply (simp add: def-extend-def)
  apply (case-tac a, simp-all)
  apply (elim conjE)
  apply (simp add: extend-def)
  apply blast
  apply (case-tac i, simp-all)
  apply (case-tac a, simp-all)
  apply (simp add: def-extend-def)
  apply (simp add: extend-def)
  apply (case-tac aa, simp-all)
  apply (simp add: Let-def)

```

by *blast*

lemma *P-dyn-fvReg-dom-E2-Case-ej*:
 $\llbracket \text{fvAltsReg } \text{alts} \subseteq \text{dom } E2; i < \text{length } \text{alts} \rrbracket$
 $\implies \text{fvReg } (\text{snd } (\text{alts } ! i)) \subseteq \text{dom } E2$
apply (*induct alts arbitrary: i,simp-all*)
apply (*case-tac i,simp*)
by (*case-tac a, simp-all*)

lemma *P-dyn-fv-dom-E1-Case-LitN-ej* [*rule-format*]:
 $\text{fvAlts } \text{alts} \subseteq \text{dom } E1$
 $\longrightarrow i < \text{length } \text{alts}$
 $\longrightarrow \text{fst } (\text{alts } ! i) = \text{ConstP } (\text{LitN } n)$
 $\longrightarrow \text{fv } (\text{snd } (\text{alts } ! i)) \subseteq \text{dom } E1$
apply (*induct alts arbitrary: i ,simp-all*)
apply (*rule impI*)
apply (*elim conjE*)
apply (*case-tac alts = [],simp-all*)
apply (*case-tac a, simp-all*)
apply (*case-tac i,simp-all*)
by (*case-tac a, simp-all*)

lemma *P-dyn-fv-dom-E1-Case-LitB-ej* [*rule-format*]:
 $\text{fvAlts } \text{alts} \subseteq \text{dom } E1$
 $\longrightarrow i < \text{length } \text{alts}$
 $\longrightarrow \text{fst } (\text{alts } ! i) = \text{ConstP } (\text{LitB } b)$
 $\longrightarrow \text{fv } (\text{snd } (\text{alts } ! i)) \subseteq \text{dom } E1$
apply (*induct alts arbitrary: i ,simp-all*)
apply (*rule impI*)
apply (*elim conjE*)
apply (*case-tac alts = [],simp-all*)
apply (*case-tac a, simp-all*)
apply (*case-tac i,simp-all*)
by (*case-tac a, simp-all*)

lemma *si-in-dom-AbstractDeltaSpaceCost*:
 $\llbracket \text{defined-AbstractDelta } (\text{AbstractDeltaSpaceCost } x) f; \varrho \in \text{dom } (\text{AbstractDeltaSpaceCost } x) \rrbracket$
 $\implies \text{build-si } E1 h (\text{varsAPP } \Sigma d f) \in \text{dom } (\text{the } (\text{AbstractDeltaSpaceCost } x \ \varrho))$
apply (*simp add: defined-AbstractDelta-def*)
apply (*erule-tac x= ϱ in ballE*)
apply (*simp add: defined-bound-def*)
apply (*erule-tac x= build-si E1 h (varsAPP $\Sigma d f$) in allE*)
apply (*subst (asm) length-build-si,simp*)

by *simp*

lemma *si-in-dom-emptyAbstractDelta*:

$\varrho \in \text{dom } \llbracket_f$
 $\implies \text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f) \in \text{dom } (\text{the } (\llbracket_f \varrho))$
apply (*simp add: emptyAbstractDelta-def, clarsimp*)
apply (*split split-if-asm*)
apply *clarsimp*
apply (*rule conjI*)
apply (*simp add: constantCost-def, force*)
apply (*rule conjI*)
apply (*simp add: constantCost-def, force*)
apply *force*
by *simp*

lemma *defined-AbstracDelta-imp-defined-bound*:

$\llbracket (\forall i < \text{length } \text{assert.}$
 $\text{insert } (\varrho \text{self-} f \ f) \ (\text{set } (R\text{-Args } \Sigma t \ f)) = \text{dom } (\text{AbstractDeltaSpaceCost } (\text{assert } !$
 $! \ i)) \)$;
 $\varrho \in \text{insert } (\varrho \text{self-} f \ f) \ (\text{set } (R\text{-Args } \Sigma t \ f))$;
 $(\forall i < \text{length } \text{assert. defined-AbstractDelta } (\text{AbstractDeltaSpaceCost } (\text{assert } !$
 $i)) \ f) \rrbracket$
 $\implies (\forall i < \text{length } \text{assert. defined-bound } (\text{the } (\text{AbstractDeltaSpaceCost } (\text{assert } !$
 $i) \ \varrho)) \ f)$
apply (*rule allI, rule impI*)
apply (*erule-tac x=i in allE, simp*)
apply (*simp only: defined-AbstractDelta-def*)
done

lemma *all-i-defined-bound-all-si-in-dom-Delta*:

$(\forall i < \text{length } xs. \text{defined-bound } (\text{the } (\text{AbstractDeltaSpaceCost } (xs \ ! \ i) \ \varrho)) \ f)$
 $\implies \forall i < \text{length } xs. \text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f) \in \text{dom } (\text{the } (\text{AbstractDeltaSpaceCost}$
 $(xs \ ! \ i) \ \varrho))$
apply (*rule allI, rule impI*)
apply (*erule-tac x=i in allE, simp*)
apply (*simp add: defined-bound-def*)
apply (*erule-tac x= build-si E1 h (varsAPP Σ d f) in allE*)
apply (*subst (asm) length-build-si*)
by *simp*

lemma *all-i-si-in-Delta-si-in-dom-maxCost-Delta*:

$\forall i < \text{length } xs. \text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f) \in \text{dom } (\text{the } (\text{AbstractDeltaSpaceCost}$
 $(xs \ ! \ i) \ \varrho))$
 $\implies \text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f) \in (\bigcap i < \text{length } xs. \text{dom } (\text{the } (\text{AbstractDeltaSpaceCost}$
 $(xs \ ! \ i) \ \varrho)))$
by (*induct xs, simp, simp*)

```

lemma dom-maxCostDeltaList [rule-format]:
   $\varrho \in \text{insert } (\varrho \text{self-}f\ f) (\text{set } (R\text{-Args } \Sigma t\ f))$ 
   $\longrightarrow \varrho \in \text{dom } (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } xs) []_f)$ 
   $\longrightarrow \text{dom } (\text{the } (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } xs) []_f\ \varrho))$ 
=
  ( $\bigcap i < \text{length } xs. \text{dom } (\text{the } (\text{AbstractDeltaSpaceCost } (xs\ !\ i)\ \varrho))$ )
apply (rule impI)
apply (induct xs)
apply (simp add: emptyAbstractDelta-def)
apply (simp add: constantCost-def)
apply force
apply clarsimp
apply (rule equalityI)
apply (rule subsetI,simp)
apply (rule ballI,clarsimp)
apply (case-tac i)
apply (simp add: maxAbstractDelta-def)
apply (split split-if-asm)
apply (simp add: maxCost-def)
apply clarsimp
apply (split split-if-asm)
apply (simp add: dom-def)
apply simp
apply simp
apply clarsimp
apply (simp add: maxAbstractDelta-def)
apply (split split-if-asm)
apply (simp add: maxCost-def)
apply clarsimp
apply (split split-if-asm)
apply (erule-tac x=nat in ballE)
apply (simp add: dom-def)
apply simp
apply simp
apply simp
apply (rule subsetI,simp)
apply (simp add: maxAbstractDelta-def)
apply (split split-if-asm)
apply (simp add: maxCost-def)
apply clarsimp
apply (rule conjI)
apply (simp add: dom-def)
apply force
apply (rule ballI)
apply (erule-tac x=Suc i in ballE)
apply simp
apply simp
by simp

```

lemma *si-dom-Delta-si-dom-maxCost-Delta*:
 $\llbracket \varrho \in \text{insert } (\varrho\text{self-}f\ f) \ (\text{set } (R\text{-Args } \Sigma t\ f));$
 $\varrho \in \text{dom } (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } xs) \ \llbracket_f \rrbracket);$
 $\forall i < \text{length } xs. \text{build-si } E1\ h \ (\text{varsAPP } \Sigma d\ f) \in \text{dom } (\text{the } (\text{AbstractDeltaSpaceCost } (xs\ !\ i)\ \varrho)) \rrbracket$
 $\implies \text{build-si } E1\ h \ (\text{varsAPP } \Sigma d\ f) \in \text{dom } (\text{the } (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } xs) \ \llbracket_f \rrbracket \varrho))$
apply (*frule all-i-si-in-Delta-si-in-dom-maxCost-Delta*)
by (*subst dom-maxCostDeltaList,simp,simp,simp*)

lemma *ρ-in-dom-AbstractDeltaSpaceCost*:
 $\llbracket \varrho \in \text{insert } (\varrho\text{self-}f\ f) \ (\text{set } (R\text{-Args } \Sigma t\ f));$
 $\varrho \in \text{dom } (\text{maxAbstractDelta } (\text{AbstractDeltaSpaceCost } x) \ (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } xs) \ \llbracket_f \rrbracket)) \rrbracket$
 $\implies \varrho \in \text{dom } (\text{AbstractDeltaSpaceCost } x) \wedge \varrho \in \text{dom } (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } xs) \ \llbracket_f \rrbracket)$
apply (*simp add: maxAbstractDelta-def,clarsimp*)
by (*split split-if-asm,simp,simp*)

lemma *si-in-dom-AbstractDeltaSpaceCost*:
 $\llbracket \varrho \in \text{insert } (\varrho\text{self-}f\ f) \ (\text{set } (R\text{-Args } \Sigma t\ f));$
 $si \in \text{dom } (\text{the } (\text{maxAbstractDelta } (\text{AbstractDeltaSpaceCost } x) \ (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } xs) \ \llbracket_f \rrbracket \varrho)));$
 $\varrho \in \text{dom } (\text{maxAbstractDelta } (\text{AbstractDeltaSpaceCost } x) \ (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } xs) \ \llbracket_f \rrbracket)) \rrbracket$
 $\implies si \in \text{dom } (\text{the } (\text{AbstractDeltaSpaceCost } x\ \varrho)) \cap$
 $\text{dom } (\text{the } (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } xs) \ \llbracket_f \rrbracket \varrho))$
apply (*frule ρ-in-dom-AbstractDeltaSpaceCost,simp,clarsimp*)
apply (*simp add: maxAbstractDelta-def*)
apply (*split split-if-asm*)
apply (*simp add: maxCost-def,clarsimp*)
apply (*split split-if-asm,simp,simp*)
by *simp*

lemma *maxAbstractDeltaSpaceCost-ge-AbstractDeltaSpaceCost [rule-format]*:
 $i < \text{length } \text{assert}$
 $\longrightarrow \varrho \in \text{insert } (\varrho\text{self-}f\ f) \ (\text{set } (R\text{-Args } \Sigma t\ f))$
 $\longrightarrow \varrho \in \text{dom } (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } \text{assert}) \ \llbracket_f \rrbracket)$
 $\longrightarrow \text{build-si } E1\ h \ (\text{varsAPP } \Sigma d\ f) \in \text{dom } (\text{the } (\text{foldr } \text{maxAbstractDelta } (\text{map } \text{AbstractDeltaSpaceCost } \text{assert}) \ \llbracket_f \rrbracket \varrho))$

$\longrightarrow$ the (the (AbstractDeltaSpaceCost (assert ! i) ϱ) (build-si E1 h (varsAPP $\Sigma d f$)))
 $\leq$ the (the ($\sqcup \Delta$ f map AbstractDeltaSpaceCost assert ϱ) (build-si E1 h (varsAPP $\Sigma d f$)))
apply (rule impI)
apply (induct assert i rule: list-induct3,simp-all)
apply (rule conjI)
apply (rule impI)+
apply (simp only: maxAbstractDeltaList-def)
apply (simp (no-asm) add: maxAbstractDelta-def)
apply (rule conjI)
apply (rule impI)
apply (simp add: maxCost-def)
apply (rule impI)+
apply (subgoal-tac
 $\text{build-si } E1 h (\text{varsAPP } \Sigma d f) \in$
 $\text{dom (the (AbstractDeltaSpaceCost } x (\varrho \text{self-} f f)) \cap$
 $\text{dom (the (foldr maxAbstractDelta (map AbstractDeltaSpaceCost } xs) []_f (\varrho \text{self-} f f))), \text{ simp})$
apply (rule si-in-dom-AbstractDeltaSpaceCost,simp,simp,simp)
apply (rule impI)+
apply (subgoal-tac $\varrho \text{self-} f f \in \text{dom (AbstractDeltaSpaceCost } x) \wedge$
 $\varrho \text{self-} f f \in \text{dom (foldr maxAbstractDelta (map AbstractDeltaSpaceCost$
 $xs) []_f), \text{ simp})$
apply (rule ϱ -in-dom-AbstractDeltaSpaceCost,simp,simp)
apply (rule impI)+
apply (simp only: maxAbstractDeltaList-def)
apply (simp (no-asm) add: maxAbstractDelta-def)
apply (rule conjI)
apply (rule impI)
apply (simp add: maxCost-def)
apply (rule impI)+
apply (subgoal-tac $\text{build-si } E1 h (\text{varsAPP } \Sigma d f) \in \text{dom (the (AbstractDeltaSpaceCost$
 $x \varrho)) \cap$
 $\text{dom (the (foldr maxAbstractDelta (map AbstractDeltaSpaceCost } xs) []_f \varrho)), \text{ simp})$
apply (rule si-in-dom-AbstractDeltaSpaceCost,simp,force,simp)
apply (rule impI)+
apply (subgoal-tac $\varrho \in \text{dom (AbstractDeltaSpaceCost } x) \wedge$
 $\varrho \in \text{dom (foldr maxAbstractDelta (map AbstractDeltaSpaceCost$
 $xs) []_f), \text{ simp})$
apply (rule ϱ -in-dom-AbstractDeltaSpaceCost,simp,simp)
apply (rule conjI)
apply (rule impI)+
apply clarsimp
apply (simp only: maxAbstractDeltaList-def)
apply (simp (no-asm) add: maxAbstractDelta-def)
apply (rule conjI)
apply (rule impI)
apply (simp add: maxCost-def)

```

apply (rule conjI)
apply (rule impI)+
apply simp
apply (rule impI)+
apply (simp add: maxAbstractDelta-def)
apply (simp add: maxCost-def)
apply clarsimp
apply (split split-if-asm,simp,simp)
apply (rule impI)+
apply (simp add: maxAbstractDelta-def)
apply (split split-if-asm,simp,simp)
apply clarsimp
apply (simp only: maxAbstractDeltaList-def)
apply (simp (no-asm) add: maxAbstractDelta-def)
apply (rule conjI)
apply (rule impI)
apply (simp add: maxCost-def)
apply (rule conjI)
apply (rule impI)+
apply simp
apply (rule impI)+
apply (simp add: maxAbstractDelta-def)
apply (simp add: maxCost-def)
apply clarsimp
apply (split split-if-asm,simp,simp)
apply (rule impI)+
apply (simp add: maxAbstractDelta-def)
by (split split-if-asm,simp,simp)

```

lemma *all-i-defined-bound-all-si-in-dom-mu:*
 $\forall i < \text{length } xs. \text{defined-bound } (\text{AbstractMuSpaceCost } (xs! i)) f$
 $\implies \forall i < \text{length } xs. \text{build-si } E1 h (\text{varsAPP } \Sigma d f) \in \text{dom } (\text{AbstractMuSpaceCost } (xs! i))$

```

apply (rule allI,rule impI)
apply (erule-tac x=i in allE,simp)
apply (simp add: defined-bound-def)
apply (erule-tac x= build-si E1 h (varsAPP  $\Sigma d f$ ) in allE)
apply (subst (asm) length-build-si)
by simp

```

lemma *all-i-si-in-dom-mu-si-in-dom-maxCost-mu:*

$\forall i < \text{length } xs. \text{ build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f) \in \text{dom } (\text{AbstractMuSpaceCost } (xs! \ i))$
 $\implies \text{ build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f) \in (\bigcap i < \text{length } xs. \text{dom } (\text{AbstractMuSpaceCost } (xs! \ i)))$
by (*induct xs,simp,simp*)

lemma *si-dom-mu-si-dom-maxCost-mu*:
 $\forall i < \text{length } xs. \text{ build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f) \in \text{dom } (\text{AbstractMuSpaceCost } (xs! \ i))$
 $\implies \text{ build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f) \in \text{dom } (\text{foldr maxCost } (\text{map AbstractMuSpaceCost } xs) [])$
apply (*frule all-i-si-in-dom-mu-si-in-dom-maxCost-mu*)
by (*subst dom-maxCostList,simp*)

lemma *maxAbstractMuSpaceCost-ge-AbstractMuSpaceCost [rule-format]*:
 $i < \text{length } \text{assert}$
 $\longrightarrow (\forall i < \text{length } \text{assert}. \text{defined-bound } (\text{AbstractMuSpaceCost } (\text{assert } ! \ i)) \ f)$
 $\longrightarrow \text{the } (\text{AbstractMuSpaceCost } (\text{assert } ! \ i) \ (\text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f)))$
 $\leq \text{the } (\bigsqcup_c \text{map AbstractMuSpaceCost } \text{assert } (\text{build-si } E1 \ h \ (\text{varsAPP } \Sigma d \ f)))$
apply (*rule impI*)
apply (*simp add: maxCostList-def*)
apply (*induct assert i rule: list-induct3, simp-all*)
apply (*case-tac xs,simp*)
apply (*rule impI*)
apply (*simp only: maxCost-def*)
apply (*simp (no-asm) add: maxCost-def*)
apply (*simp add: constantCost-def*)
apply (*simp add: dom-def*)
apply *clarsimp*
apply (*simp add: maxCost-def*)
apply (*rule impI*)
apply (*drule mp*)
apply (*erule-tac x=0 in allE,simp*)
apply (*simp add: defined-bound-def*)
apply (*erule-tac x= build-si E1 h (varsAPP Σ d f) in allE*)
apply (*subst (asm) length-build-si,simp*)
apply *clarsimp*
apply (*rule conjI*)
apply (*erule-tac x=Suc 0 in allE,simp*)
apply (*simp add: defined-bound-def*)
apply (*erule-tac x= build-si E1 h (varsAPP Σ d f) in allE*)
apply (*subst (asm) length-build-si,simp*)
apply (*rule si-dom-mu-si-dom-maxCost-mu*)
apply (*rule all-i-defined-bound-all-si-in-dom-mu,force*)
apply (*rule impI,clarsimp*)
apply (*drule mp*)

```

apply force
apply (simp add: maxCost-def)
apply (rule conjI)
apply (rule impI)+
apply simp
apply (rule impI)+
apply (drule mp)
apply (erule-tac x=0 in allE, simp)
apply (simp add: defined-bound-def)
apply (erule-tac x= build-si E1 h (varsAPP  $\Sigma d$  f) in allE)
apply (subst (asm) length-build-si, simp)
apply (subgoal-tac
  build-si E1 h (varsAPP  $\Sigma d$  f)  $\in$  dom (foldr maxCost (map AbstractMuSpaceCost
xs) []), simp)
apply (rule si-dom-mu-si-dom-maxCost-mu)
apply (rule all-i-defined-bound-all-si-in-dom-mu)
by force

```

lemma *sizeAbstractDelta-si-case-Lit-ge-sizeAbstracDelta-si-alt:*

```

   $\llbracket i < \text{length } \text{assert};$ 
     $\text{insert } (\varrho \text{self-}f f) (\text{set } (R\text{-Args } \Sigma t f)) = \text{dom } \bigsqcup_{\Delta} f \text{ map } \text{AbstractDeltaSpaceCost}$ 
 $\text{assert};$ 
     $(\forall i < \text{length } \text{assert}.$ 
       $\text{insert } (\varrho \text{self-}f f) (\text{set } (R\text{-Args } \Sigma t f)) = \text{dom } (\text{AbstractDeltaSpaceCost } (\text{assert } !$ 
 $i))$ 
     $);$ 
     $(\forall i < \text{length } \text{assert}.$  defined-AbstractDelta  $(\text{AbstractDeltaSpaceCost } (\text{assert } !$ 
 $i)) f$ 
     $);$ 
     $\text{insert } (\varrho \text{self-}f f) (\text{set } (R\text{-Args } \Sigma t f)) = \text{dom } \eta \rrbracket$ 
 $\implies \text{sizeAbstractDelta-si } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)) (\text{build-si } E1 h$ 
 $(\text{varsAPP } \Sigma d f)) j \eta$ 
 $\leq \text{sizeAbstractDelta-si } \bigsqcup_{\Delta} f \text{ map } \text{AbstractDeltaSpaceCost } \text{assert } (\text{build-si}$ 
 $E1 h (\text{varsAPP } \Sigma d f)) j \eta$ 
apply (simp (no-asm) only: sizeAbstractDelta-si-def)
apply (rule setsum-mono)
apply (subgoal-tac  $\varrho \in \text{insert } (\varrho \text{self-}f f) (\text{set } (R\text{-Args } \Sigma t f))$ )
prefer 2 apply (simp add: dom-def, blast)
apply (rule maxAbstractDeltaSpaceCost-ge-AbstractDeltaSpaceCost, simp, simp)
apply (simp add: maxAbstractDeltaList-def)
apply (subst dom-maxCostDeltaList, simp)
apply (simp add: maxAbstractDeltaList-def)
apply (rule all-i-si-in-Delta-si-in-dom-maxCost-Delta)
apply (rule all-i-defined-bound-all-si-in-dom-Delta)
apply (frule defined-AbstracDelta-imp-defined-bound, simp, simp)
by simp

```

lemma *P-Δ-Case-Lit*:

```

  ⌊ i < length assert;
    insert (ρself-ff) (set (R-Args Σt f)) = dom ⌊Δ f map AbstractDeltaSpaceCost
assert;
  ∀ i < length assert. insert (ρself-ff) (set (R-Args Σt f)) = dom (AbstractDeltaSpaceCost
(assert ! i));
  ∀ i < length assert. defined-AbstractDelta (AbstractDeltaSpaceCost (assert ! i))
f;
  insert (ρself-ff) (set (R-Args Σt f)) = dom η;
  Delta-ge (AbstractDeltaSpaceCost (assert ! i)) (build-si E1 h (varsAPP Σd f))
k η δ ⌋
  ⇒ Delta-ge ⌊Δ f map AbstractDeltaSpaceCost assert (build-si E1 h (varsAPP
Σd f)) k η δ
apply (simp (no-asm) only: Delta-ge-def)
apply (rule ballI)
apply (frule-tac
  ?E1.0=E1 and h=h and j=j
  in sizeAbstractDelta-si-case-Lit-ge-sizeAbstracDelta-si-alt, assumption+)
apply (simp add: Delta-ge-def)
by (erule-tac x=j in ballE,simp,simp)

```

lemma *nth-build-si*:

```

  i < length xs
  ⇒ build-si E1 h xs!i = sizeEnv E1 (xs!i) h
apply (induct xs arbitrary:i, simp-all)
by (case-tac i,simp-all)

```

lemma *sizeEnv-alt-equals-sizeEnv-Case*:

```

  ⌊ set (varsAPP Σd f) ⊆ dom E1; ia < length (varsAPP Σd f);
    def-extend E1 (snd (extractP (fst (alts ! i)))) vs ⌋
  ⇒ sizeEnv (extend E1 (snd (extractP (fst (alts ! i)))) vs) (varsAPP Σd f !
ia) h = sizeEnv E1 (varsAPP Σd f ! ia) h
apply (subgoal-tac
  extend E1 (snd (extractP (fst (alts ! i)))) vs (varsAPP Σd f ! ia) =
  E1 (varsAPP Σd f ! ia))
apply (simp add: sizeEnv-def)
apply (simp add: def-extend-def)
apply (elim conjE)
apply (subgoal-tac (varsAPP Σd f ! ia) ∉ set (snd (extractP (fst (alts ! i)))) )
apply (rule sym)
apply (subst extend-monotone,simp,simp)
apply (subgoal-tac varsAPP Σd f ! ia ∈ dom E1,blast)
by (subst (asm) set-conv-nth,blast)

```

lemma *build-si-Case-ge-build-si-alt*:

```

  ⌊ set (varsAPP Σd f) ⊆ dom E1; ia < length (varsAPP Σd f);
    def-extend E1 (snd (extractP (fst (alts ! i)))) vs ⌋

```

$\implies \text{build-si } (\text{extend } E1 \text{ (snd (extractP (fst (alts ! i)))) } vs) \ h \text{ (varsAPP } \Sigma d \ f)$
 $! \text{ ia} = \text{build-si } E1 \ h \text{ (varsAPP } \Sigma d \ f) ! \text{ ia}$
apply (subst nth-build-si,simp)+
by (rule sizeEnv-alt-equals-sizeEnv-Case,assumption+)

lemma sizeEnv-alt-equals-sizeEnv-Case-2:
 $\llbracket x \in \text{dom } E1;$
 $\text{def-extend } E1 \text{ (snd (extractP (fst (alts ! i)))) } vs \rrbracket$
 $\implies \text{sizeEnv } (\text{extend } E1 \text{ (snd (extractP (fst (alts ! i)))) } vs) \ x \ h = \text{sizeEnv } E1$
 $x \ h$
apply (subgoal-tac
 $\text{extend } E1 \text{ (snd (extractP (fst (alts ! i)))) } vs \ x =$
 $E1 \ x)$
apply (simp add: sizeEnv-def)
apply (simp add: def-extend-def)
apply (elim conjE)
apply (subgoal-tac $x \notin \text{set (snd (extractP (fst (alts ! i))))}$)
apply (rule sym)
apply (subst extend-monotone,simp,simp)
by blast

lemma build-si-Case-ge-build-si-alt-2 [rule-format]:
 $\text{set } xs \subseteq \text{dom } E1$
 $\longrightarrow \text{def-extend } E1 \text{ (snd (extractP (fst (alts ! i)))) } vs$
 $\longrightarrow \text{build-si } (\text{extend } E1 \text{ (snd (extractP (fst (alts ! i)))) } vs) \ h \ xs = \text{build-si } E1$
 $h \ xs$
apply (induct-tac xs,simp-all)
apply clarsimp
by (rule sizeEnv-alt-equals-sizeEnv-Case-2,force,simp)

lemma list-ge-build-si-alt-build-si-Case:
 $\llbracket \text{set (varsAPP } \Sigma d \ f) \subseteq \text{dom } E1;$
 $\text{def-extend } E1 \text{ (snd (extractP (fst (alts ! i)))) } vs \rrbracket$
 $\implies \text{list-ge } (\text{build-si } E1 \ h \text{ (varsAPP } \Sigma d \ f)) \ (\text{build-si } (\text{extend } E1 \text{ (snd (extractP (fst (alts ! i)))) } vs) \ h \text{ (varsAPP } \Sigma d \ f))$
apply (simp add: list-ge-def,clarsimp)
apply (subst (asm) length-build-si)
apply (frule-tac ?E1.0=E1 and h=h in build-si-Case-ge-build-si-alt,assumption+)
by simp

lemma sizeAbstractDelta-si-Case-ge-sizeAbstractDelta-si-alt:
 $\llbracket i < \text{length assert}; \text{set (varsAPP } \Sigma d \ f) \subseteq \text{dom } E1;$
 $\forall i < \text{length assert. insert } (\text{oself-ff}) \ (\text{set (R-Args } \Sigma t \ f)) = \text{dom (AbstractDeltaSpaceCost (assert ! i))};$

$(\forall i < \text{length } \text{assert}.$
 $\quad \text{insert } (\varrho\text{self-}f f) (\text{set } (R\text{-Args } \Sigma t f)) = \text{dom } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i))$
 $! i))$);
 $\quad \forall i < \text{length } \text{assert}.$ $\text{defined-AbstractDelta } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i))$
 f ;
 $\quad \text{def-extend } E1 \text{ (snd (extractP (fst (alts ! i)))) vs};$
 $\quad \text{monotonic-AbstractDelta } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i));$
 $\quad \text{insert } (\varrho\text{self-}f f) (\text{set } (R\text{-Args } \Sigma t f)) = \text{dom } \eta$ $\llbracket$
 $\implies \text{sizeAbstractDelta-si } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)) (\text{build-si } (\text{extend}$
 $E1 \text{ (snd (extractP (fst (alts ! i)))) vs } h \text{ (varsAPP } \Sigma d f)) j \eta$
 $\leq \text{sizeAbstractDelta-si } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)) (\text{build-si } E1 h \text{ (varsAPP}$
 $\Sigma d f)) j \eta$
 $\text{apply (simp (no-asm) only: sizeAbstractDelta-si-def)}$
 $\text{apply (rule setsum-mono, clarsimp)}$
 $\text{by (subst build-si-Case-ge-build-si-alt-2, simp, simp, simp)}$

lemma *sizeAbstractDelta-si-Case-equals-sizeAbstractDelta-si-alt:*

$\llbracket i < \text{length } \text{assert}; \text{set } (\text{varsAPP } \Sigma d f) \subseteq \text{dom } E1;$
 $\quad \text{def-extend } E1 \text{ (snd (extractP (fst (alts ! i)))) vs } \rrbracket$
 $\implies \text{sizeAbstractDelta-si } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)) (\text{build-si } (\text{extend}$
 $E1 \text{ (snd (extractP (fst (alts ! i)))) vs } h \text{ (varsAPP } \Sigma d f)) j \eta$
 $= \text{sizeAbstractDelta-si } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)) (\text{build-si } E1 h$
 $(\text{varsAPP } \Sigma d f)) j \eta$
 $\text{apply (simp (no-asm) only: sizeAbstractDelta-si-def)}$
 $\text{by (subst build-si-Case-ge-build-si-alt-2, simp, simp, simp)}$

lemma *P-Δ-Case:*

$\llbracket i < \text{length } \text{assert};$
 $\quad \text{insert } (\varrho\text{self-}f f) (\text{set } (R\text{-Args } \Sigma t f)) = \text{dom } \bigsqcup_{\Delta} f \text{ map } \text{AbstractDeltaSpaceCost}$
 $\text{assert};$
 $\quad \forall i < \text{length } \text{assert}.$ $\text{insert } (\varrho\text{self-}f f) (\text{set } (R\text{-Args } \Sigma t f)) = \text{dom } (\text{AbstractDeltaSpaceCost}$
 $(\text{assert } ! i));$
 $\quad \forall i < \text{length } \text{assert}.$ $\text{defined-AbstractDelta } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i))$
 f ;
 $\quad \text{def-extend } E1 \text{ (snd (extractP (fst (alts ! i)))) vs};$
 $\quad \text{insert } (\varrho\text{self-}f f) (\text{set } (R\text{-Args } \Sigma t f)) = \text{dom } \eta;$
 $\quad \text{set } (\text{varsAPP } \Sigma d f) \subseteq \text{dom } E1;$
 $\quad \text{insert } (\varrho\text{self-}f f) (\text{set } (R\text{-Args } \Sigma t f)) = \text{dom } \eta;$
 $\quad \text{monotonic-AbstractDelta } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i));$
 $\quad \text{Delta-ge } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)) (\text{build-si } (\text{extend } E1 \text{ (snd (extractP}$
 $(\text{fst (alts ! i)))) vs } h \text{ (varsAPP } \Sigma d f)) k \eta \delta \rrbracket$
 $\implies \text{Delta-ge } \bigsqcup_{\Delta} f \text{ map } \text{AbstractDeltaSpaceCost } \text{assert } (\text{build-si } E1 h \text{ (varsAPP}$
 $\Sigma d f)) k \eta \delta$
 $\text{apply (simp (no-asm) only: Delta-ge-def)}$
 $\text{apply (rule ballI)}$
 apply (frule-tac
 $\quad ?E1.0=E1 \text{ and } h=h \text{ and } j=j$
 $\text{in sizeAbstractDelta-si-case-Lit-ge-sizeAbstracDelta-si-alt, assumption+})$

apply (*subgoal-tac*
 $\text{sizeAbstractDelta-si } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)) (\text{build-si } (\text{extend } E1$
 $(\text{snd } (\text{extractP } (\text{fst } (\text{alts } ! i)))) \text{ vs } h (\text{varsAPP } \Sigma d f)) j \eta =$
 $\text{sizeAbstractDelta-si } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)) (\text{build-si } E1 h (\text{varsAPP}$
 $\Sigma d f)) j \eta)$
apply (*simp add: Delta-ge-def*)
apply (*erule-tac x=j in ballE,simp,simp*)
by (*rule sizeAbstractDelta-si-Case-equals-sizeAbstractDelta-si-alt,assumption+*)

lemma *AbstractMuSpaceCost-Case-equals-AbstractMuSpaceCost-alt:*
 $\llbracket \text{set } (\text{varsAPP } \Sigma d f) \subseteq \text{dom } E1;$
 $\text{def-extend } E1 (\text{snd } (\text{extractP } (\text{fst } (\text{alts } ! i)))) \text{ vs } \rrbracket$
 $\implies \text{the } (\text{AbstractMuSpaceCost } (\text{assert } ! i) (\text{build-si } (\text{extend } E1 (\text{snd } (\text{extractP}$
 $(\text{fst } (\text{alts } ! i)))) \text{ vs } h (\text{varsAPP } \Sigma d f)))$
 $= \text{the } (\text{AbstractMuSpaceCost } (\text{assert } ! i) (\text{build-si } E1 h (\text{varsAPP } \Sigma d f)))$
by (*subst build-si-Case-ge-build-si-alt-2,simp,simp,simp*)

lemma *P-μ-Case:*
 $\llbracket \text{set } (\text{varsAPP } \Sigma d f) \subseteq \text{dom } E1;$
 $\text{def-extend } E1 (\text{snd } (\text{extractP } (\text{fst } (\text{alts } ! i)))) \text{ vs};$
 $\text{monotonic-bound } (\text{AbstractMuSpaceCost } (\text{assert } ! i));$
 $(\forall i < \text{length } \text{assert}. \text{defined-bound } (\text{AbstractMuSpaceCost } (\text{assert } ! i)) f);$
 $i < \text{length } \text{assert};$
 $\text{mu-ge } (\text{AbstractMuSpaceCost } (\text{assert } ! i)) (\text{build-si } (\text{extend } E1 (\text{snd } (\text{extractP}$
 $(\text{fst } (\text{alts } ! i)))) \text{ vs } h (\text{varsAPP } \Sigma d f)) m \rrbracket$
 $\implies \text{mu-ge } \bigsqcup_c \text{ map } \text{AbstractMuSpaceCost } \text{assert } (\text{build-si } E1 h (\text{varsAPP } \Sigma d$
 $f)) m$
apply (*simp add: mu-ge-def*)
apply (*frule-tac*
 $?E1.0=E1 \text{ and } h=h$
in *maxAbstractMuSpaceCost-ge-AbstractMuSpaceCost,force*)
apply (*subgoal-tac*
 $\text{the } (\text{AbstractMuSpaceCost } (\text{assert } ! i) (\text{build-si } (\text{extend } E1 (\text{snd } (\text{extractP } (\text{fst}$
 $(\text{alts } ! i)))) \text{ vs } h (\text{varsAPP } \Sigma d f))) =$
 $\text{the } (\text{AbstractMuSpaceCost } (\text{assert } ! i) (\text{build-si } E1 h (\text{varsAPP } \Sigma d f))))$
apply *force*
by (*rule AbstractMuSpaceCost-Case-equals-AbstractMuSpaceCost-alt,assumption,simp*)

lemma *P-μ-Case-Lit:*
 $\llbracket (\forall i < \text{length } \text{assert}. \text{defined-bound } (\text{AbstractMuSpaceCost } (\text{assert } ! i)) f);$
 $i < \text{length } \text{assert};$
 $\text{mu-ge } (\text{AbstractMuSpaceCost } (\text{assert } ! i)) (\text{build-si } E1 h (\text{varsAPP } \Sigma d f)) m \rrbracket$
 $\implies \text{mu-ge } \bigsqcup_c \text{ map } \text{AbstractMuSpaceCost } \text{assert } (\text{build-si } E1 h (\text{varsAPP } \Sigma d$
 $f)) m$
apply (*simp add: mu-ge-def*)

apply (*subgoal-tac*
the ($\sqcup_c$ *map* *AbstractMuSpaceCost* *assert* (*build-si* *E1* *h* (*varsAPP* Σd *f*))) $\geq$
the (*AbstractMuSpaceCost* (*assert* ! *i*) (*build-si* *E1* *h* (*varsAPP* Σd *f*))))
apply *force*
by (*rule* *maxAbstractMuSpaceCost-ge-AbstractMuSpaceCost*, *assumption*, *simp*)

lemma *all-i-defined-bound-all-si-in-dom-sigma*:
 $\forall i < \text{length } xs. \text{defined-bound } (\text{AbstractSigmaSpaceCost } (xs! i)) f$
 $\implies \forall i < \text{length } xs. \text{build-si } E1 h (\text{varsAPP } \Sigma d f) \in \text{dom } (\text{AbstractSigmaSpaceCost } (xs! i))$
apply (*rule* *allI*, *rule* *impI*)
apply (*erule-tac* *x=i* **in** *allE*, *simp*)
apply (*simp* *add*: *defined-bound-def*)
apply (*erule-tac* *x= build-si E1 h (varsAPP Σd f)* **in** *allE*)
apply (*subst* (*asm*) *length-build-si*)
by *simp*

lemma *all-i-si-in-dom-sigma-si-in-dom-maxCost-sigma*:
 $\forall i < \text{length } xs. \text{build-si } E1 h (\text{varsAPP } \Sigma d f) \in \text{dom } (\text{AbstractSigmaSpaceCost } (xs! i))$
 $\implies \text{build-si } E1 h (\text{varsAPP } \Sigma d f) \in (\bigcap i < \text{length } xs. \text{dom } (\text{AbstractSigmaSpaceCost } (xs! i)))$
by (*induct* *xs*, *simp*, *simp*)

lemma *dom-maxCostSigmaList*:
 $\text{length } xs = \text{length } ys$
 $\longrightarrow \text{dom } (\text{foldr } \text{maxCost } (\text{map } (\lambda(x, y). \text{addCostReal } x y) (\text{zip } (\text{map } \text{AbstractSigmaSpaceCost } xs) (\text{map } \text{num-r } ys)))) \sqcap \emptyset =$
 $(\bigcap i < \text{length } xs. \text{dom } (\text{AbstractSigmaSpaceCost } (xs! i)))$
apply (*induct* *xs* *ys* *rule*:*list-induct2'*, *simp-all*)
apply (*simp* *add*: *constantCost-def*)
apply *force*
apply *clarsimp*
apply (*simp* *add*: *maxCost-def*)
apply (*rule* *equalityI*)
apply (*rule* *subsetI*, *simp*)
apply (*rule* *ballI*, *clarsimp*)
apply (*split* *split-if-asm*, *simp*)
apply (*case-tac* *i*)
apply (*simp* *add*: *dom-def*)
apply (*simp* *add*: *addCostReal-def*)
apply *clarsimp*
apply (*split* *split-if-asm*)
apply *clarsimp*
apply *simp*
apply *clarsimp*
apply (*erule-tac* *x=nat* **in** *ballE*)

```

    apply (simp add: dom-def)
  apply simp
  apply simp
  apply clarsimp
  apply (rule conjI)
  apply (simp add: addCostReal-def)
  apply force
  by force

```

lemma *si-dom-sigma-si-dom-maxCost-sigma*:

```

  ⌊ length xs = length ys;
    ∀ i < length xs. build-si E1 h (varsAPP Σd f) ∈ dom (AbstractSigmaSpaceCost
  (xs! i)) ⌋
  ⇒ build-si E1 h (varsAPP Σd f) ∈
    dom (foldr maxCost (map (λ(x, y). addCostReal x y) (zip (map AbstractSig-
  maSpaceCost xs) (map num-r ys))) ⌋ 0)
  apply (frule all-i-si-in-dom-sigma-si-in-dom-maxCost-sigma)
  by (subst dom-maxCostSigmaList, simp)

```

lemma *maxAbstractSigmaSpaceCost-ge-AbstractSigmaSpaceCost* [rule-format]:

```

  length assert = length alts
  → (∀ i < length assert. defined-bound (AbstractSigmaSpaceCost (assert ! i))
  f)
  → (∀ i < length assert. the (AbstractSigmaSpaceCost (assert ! i) (build-si E1
  h (varsAPP Σd f)))
    ≤ the (⌊c map (λ(x, y). addCostReal x y) (zip (map AbstractSigmaS-
  paceCost assert) (map num-r alts)) (build-si E1 h (varsAPP Σd f))))
  apply (induct assert alts rule: list-induct2', simp-all)
  apply clarsimp
  apply (drule mp, force)
  apply (simp add: maxCostList-def)
  apply (simp add: maxCost-def)
  apply (rule conjI)
  apply (rule impI, clarsimp)
  apply (case-tac i, simp)
  apply (simp add: addCostReal-def)
  apply (split split-if-asm, simp)
  apply (subgoal-tac num-r (ab, ba) >= 0, simp)
  apply (simp add: num-r-def)
  apply simp
  apply simp
  apply (erule-tac x=nat in allE, simp)
  apply (erule-tac x=nat in allE, simp)
  apply clarsimp
  apply (drule mp)
  apply (erule-tac x=0 in allE, simp)
  apply (simp add: defined-bound-def)

```

```

apply (erule-tac x= build-si E1 h (varsAPP  $\Sigma$  d f) in allE)
apply (subst (asm) length-build-si,simp)
apply (simp add: addCostReal-def)
apply (simp add: dom-def)
apply (subgoal-tac
  build-si E1 h (varsAPP  $\Sigma$  d f)  $\in$ 
  dom (foldr maxCost (map ( $\lambda(x, y).$  addCostReal x y) (zip (map AbstractSigmaSpaceCost xs) (map num-r ys))) []),simp)
apply (rule si-dom-sigma-si-dom-maxCost-sigma,assumption+)
apply (rule all-i-defined-bound-all-si-in-dom-sigma)
by force

```

```

lemma maxAbstractSigmaSpaceCost-ge-AbstractSigmaSpaceCost-2 [rule-format]:
  length assert = length alts
   $\longrightarrow$  ( $\forall i < \text{length assert. defined-bound (AbstractSigmaSpaceCost (assert ! i))$ 
  f)
   $\longrightarrow$  ( $\forall i < \text{length assert. the (AbstractSigmaSpaceCost (assert ! i) (build-si E1$ 
  h (varsAPP  $\Sigma$  d f))) + num-r (alts!i)
   $\leq$  the ( $\bigsqcup_c$  map ( $\lambda(x, y).$  addCostReal x y) (zip (map AbstractSigmaSpaceCost assert) (map num-r alts)) (build-si E1 h (varsAPP  $\Sigma$  d f))))))
apply (induct assert alts rule: list-induct2',simp-all)
apply clarsimp
apply (drule mp,force)
apply (simp add: maxCostList-def)
apply (simp add: maxCost-def)
apply (rule conjI)
apply (rule impI,clarsimp)
apply (case-tac i,simp)
apply (simp add: addCostReal-def)
apply (split split-if-asm,simp)
apply simp
apply force
apply clarsimp
apply (drule mp)
apply (erule-tac x=0 in allE,simp)
apply (simp add: defined-bound-def)
apply (erule-tac x= build-si E1 h (varsAPP  $\Sigma$  d f) in allE)
apply (subst (asm) length-build-si,simp)
apply (simp add: addCostReal-def)
apply (simp add: dom-def)
apply (subgoal-tac
  build-si E1 h (varsAPP  $\Sigma$  d f)  $\in$ 
  dom (foldr maxCost (map ( $\lambda(x, y).$  addCostReal x y) (zip (map AbstractSigmaSpaceCost xs) (map num-r ys))) []),simp)
apply (rule si-dom-sigma-si-dom-maxCost-sigma,assumption+)
apply (rule all-i-defined-bound-all-si-in-dom-sigma)

```

by *force*

lemma *P-σ-Case-Lit*:

```

  ⌊ i < length assert; length assert = length alts;
    (∀ i < length assert. defined-bound (AbstractSigmaSpaceCost (assert ! i)) f);
    sigma-ge (AbstractSigmaSpaceCost (assert ! i)) (build-si E1 h (varsAPP Σd f))
  s ⌋
  ⇒ sigma-ge ⌊c map (λ(x, y). addCostReal x y) (zip (map AbstractSigmaSpaceCost assert) (map num-r alts)) (build-si E1 h (varsAPP Σd f)) s
apply (simp add: sigma-ge-def)
apply (subgoal-tac
  the (AbstractSigmaSpaceCost (assert ! i) (build-si E1 h (varsAPP Σd f)))
  ≤ the (⌊c map (λ(x, y). addCostReal x y) (zip (map AbstractSigmaSpaceCost assert) (map num-r alts)) (build-si E1 h (varsAPP Σd f))))
apply force
apply (rule maxAbstractSigmaSpaceCost-ge-AbstractSigmaSpaceCost,assumption+, simp)
by simp

```

lemma *AbstractSigmaSpaceCost-Case-equals-AbstractSigmaSpaceCost-alt*:

```

  ⌊ set (varsAPP Σd f) ⊆ dom E1;
    def-extend E1 (snd (extractP (fst (alts ! i)))) vs ⌋
  ⇒ the (AbstractSigmaSpaceCost (assert ! i) (build-si (extend E1 (snd (extractP (fst (alts ! i)))) vs) h (varsAPP Σd f)))
    = the (AbstractSigmaSpaceCost (assert ! i) (build-si E1 h (varsAPP Σd f)))
by (subst build-si-Case-ge-build-si-alt-2,simp,simp,simp)

```

lemma *P-σ-Case*:

```

  ⌊ i < length assert; length assert = length alts;
    s = s' + nr; nr = real (length vs);
    set (varsAPP Σd f) ⊆ dom E1;
    def-extend E1 (snd (extractP (fst (alts ! i)))) vs;
    (∀ i < length assert. defined-bound (AbstractSigmaSpaceCost (assert ! i)) f);
    sigma-ge (AbstractSigmaSpaceCost (assert ! i)) (build-si (extend E1 (snd (extractP (fst (alts ! i)))) vs) h (varsAPP Σd f)) s' ⌋
  ⇒ sigma-ge ⌊c map (λ(x, y). addCostReal x y) (zip (map AbstractSigmaSpaceCost assert) (map num-r alts)) (build-si E1 h (varsAPP Σd f)) s
apply (simp add: sigma-ge-def)
apply (frule-tac
  ?E1.0=E1 and h=h
  in maxAbstractSigmaSpaceCost-ge-AbstractSigmaSpaceCost-2,force,simp)
apply (subgoal-tac num-r (alts ! i) = real (length vs))
apply (subgoal-tac
  the (AbstractSigmaSpaceCost (assert ! i) (build-si (extend E1 (snd (extractP (fst (alts ! i)))) vs) h (varsAPP Σd f))) =
  the (AbstractSigmaSpaceCost (assert ! i) (build-si E1 h (varsAPP Σd f))))

```

apply *simp*
apply (*rule AbstractSigmaSpaceCost-Case-equals-AbstractSigmaSpaceCost-alt,assumption+*)
apply (*simp add: num-r-def*)
by (*simp add: def-extend-def*)

lemma *P1-f-n-CASE:*

$\llbracket E1\ x = \text{Some}\ (\text{Val.Loc}\ p);$
 $\text{SafeDepthSemanticsReal.SafeBoundSem}\ (E1, E2)\ h\ k\ td\ (\text{Case}\ \text{VarE}\ x\ ()\ \text{Of}$
 $\text{alts}\ ())\ (f, n)\ hh\ k\ v\ (\delta, m, s) \rrbracket$
 $\implies \exists\ j\ C\ vs\ nr\ s'.$
 $h\ p = \text{Some}\ (j, C, vs) \wedge$
 $s = (s' + nr) \wedge$
 $nr = \text{real}\ (\text{length}\ vs) \wedge$
 $(\exists\ i < \text{length}\ \text{alts}.$
 $\text{def-extend}\ E1\ (\text{snd}\ (\text{extractP}\ (\text{fst}\ (\text{alts}\ !\ i))))\ vs$
 $\wedge\ \text{SafeDepthSemanticsReal.SafeBoundSem}\ (\text{extend}\ E1\ (\text{snd}\ (\text{extractP}\ (\text{fst}$
 $(\text{alts}\ !\ i))))\ vs, E2)\ h\ k\ (td + nr)\ (\text{snd}\ (\text{alts}\ !\ i))$
 $(f, n)\ hh\ k\ v\ (\delta, m, s'))$

apply (*simp add: SafeBoundSem-def*)
apply (*elim exE, elim conjE*)
apply (*erule SafeDepthSem.cases, simp-all*)
by *force*

lemma *P1-f-n-CASE-1-1:*

$\llbracket E1\ x = \text{Some}\ (\text{IntT}\ n');$
 $\text{SafeDepthSemanticsReal.SafeBoundSem}\ (E1, E2)\ h\ k\ td\ (\text{Case}\ \text{VarE}\ x\ ()\ \text{Of}$
 $\text{alts}\ ())\ (f, n)\ hh\ k\ v\ (\delta, m, s) \rrbracket$
 $\implies (\exists\ i < \text{length}\ \text{alts}.$
 $(\text{SafeDepthSemanticsReal.SafeBoundSem}\ (E1, E2)\ h\ k\ td\ (\text{snd}\ (\text{alts}\ !\ i))\ (f,$
 $n)\ hh\ k\ v\ (\delta, m, s))$
 $\wedge\ \text{fst}\ (\text{alts}\ !\ i) = \text{ConstP}\ (\text{LitN}\ n'))$
apply (*simp add: SafeBoundSem-def*)
apply (*elim exE, elim conjE*)
apply (*erule SafeDepthSem.cases, simp-all*)
by *force*

lemma *P1-f-n-CASE-1-2:*

$\llbracket E1\ x = \text{Some}\ (\text{BoolT}\ b);$
 $\text{SafeDepthSemanticsReal.SafeBoundSem}\ (E1, E2)\ h\ k\ td\ (\text{Case}\ \text{VarE}\ x\ ()\ \text{Of}$
 $\text{alts}\ ())\ (f, n)\ hh\ k\ v\ (\delta, m, s) \rrbracket$
 $\implies (\exists\ i < \text{length}\ \text{alts}.$
 $(\text{SafeDepthSemanticsReal.SafeBoundSem}\ (E1, E2)\ h\ k\ td\ (\text{snd}\ (\text{alts}\ !\ i))\ (f,$
 $n)\ hh\ k\ v\ (\delta, m, s))$
 $\wedge\ \text{fst}\ (\text{alts}\ !\ i) = \text{ConstP}\ (\text{LitB}\ b))$

apply (*simp add: SafeBoundSem-def*)
apply (*elim exE, elim conjE*)
apply (*erule SafeDepthSem.cases, simp-all*)
by *force*

lemma *nr-Case*:

$\llbracket nr = \text{real } (\text{length } vs); \text{def-extend } E1 \text{ (snd (extractP (fst (alts ! i)))) } vs \rrbracket$
 $\implies td + nr = td + \text{num-r } (alts!i)$
by (*simp add: num-r-def, simp add: def-extend-def*)

lemma *SafeResourcesDADepth-CASE*:

$\llbracket \text{length } alts = \text{length } \text{assert}; \text{length } alts > 0;$
 $(\forall i < \text{length } \text{assert}. \text{defined-AbstractDelta } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)) f);$
 $(\forall i < \text{length } \text{assert}. \text{monotonic-AbstractDelta } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)));$
 $(\forall i < \text{length } \text{assert}. \text{defined-bound } (\text{AbstractMuSpaceCost } (\text{assert } ! i)) f);$
 $(\forall i < \text{length } \text{assert}. \text{monotonic-bound } (\text{AbstractMuSpaceCost } (\text{assert } ! i)));$
 $(\forall i < \text{length } \text{assert}. \text{defined-bound } (\text{AbstractSigmaSpaceCost } (\text{assert } ! i)) f);$
 $(\forall i < \text{length } \text{assert}. \text{monotonic-bound } (\text{AbstractSigmaSpaceCost } (\text{assert } ! i)));$
 $\forall i < \text{length } alts.$
 $\text{snd } (alts ! i) : f (\vartheta 1, \vartheta 2) \varphi (td + \text{real } (\text{length } (\text{snd } (\text{extractP } (\text{fst } (alts ! i))))), n$
 $\llbracket \text{AbstractDeltaSpaceCost } (\text{assert}!i),$
 $\text{AbstractMuSpaceCost } (\text{assert}!i),$
 $\text{AbstractSigmaSpaceCost } (\text{assert}!i) \rrbracket;$
 $\Delta = \bigsqcup \Delta f (\text{map } \text{AbstractDeltaSpaceCost } \text{assert});$
 $\mu = \bigsqcup_c (\text{map } \text{AbstractMuSpaceCost } \text{assert});$
 $\sigma = \bigsqcup_c (\text{map } (\lambda (\Delta, n). \text{addCostReal } \Delta n) (\text{zip } (\text{map } \text{AbstractSigmaSpaceCost } \text{assert}) (\text{map } \text{num-r } alts)))) \rrbracket$
 $\implies \text{Case } (\text{VarE } x a) \text{ Of } alts a' : f (\vartheta 1, \vartheta 2) \varphi td, n \llbracket \Delta, \mu, \sigma \rrbracket$
apply (*simp only: SafeResourcesDAssDepth.simps*)

apply (*rule impI*)
apply (*subgoal-tac $\forall i < \text{length } alts. \text{valid } \Sigma d \Sigma \vartheta \Sigma \Phi$*)
prefer 2 **apply** *simp*
apply *simp*

apply (*rule conjI*)
apply (*rule P-static-dom- Δ -Case*)
apply (*simp, simp*)

apply (*intro allI, rule impI*)

apply (*elim conjE*)

apply (*case-tac E1 x*)

apply (*simp add: dom-def*)

apply (*case-tac a*)

apply (*rename-tac p, simp*)

apply (*frule P1-f-n-CASE, assumption*)

apply (*elim exE, elim conjE*)

apply (*elim exE, elim conjE*)

apply (*subgoal-tac*

insert (oself-f f) (set (R-Args $\Sigma t f$))

= dom $\bigsqcup \Delta f$ map AbstractDeltaSpaceCost assert)

prefer 2 apply (*rule P-static-dom- Δ -Case, simp*)

apply (*rotate-tac 8*)

apply (*erule-tac x=ia in allE, simp*)

apply (*subgoal-tac*

$\forall i < \text{length}$ assert.

insert (oself-f f) (set (R-Args $\Sigma t f$)) = dom (AbstractDeltaSpaceCost (assert ! i)))

prefer 2 apply *force*

apply (*rotate-tac 8*)

apply (*erule-tac x=i in allE*)

apply (*drule mp, simp*)

apply (*elim conjE*)

apply (*erule-tac x=extend E1 (snd (extractP (fst (alts ! i)))) vs in allE*)

apply (*erule-tac x=E2 in allE*)

apply (*erule-tac x=h in allE*)

apply (*rotate-tac 30*)

apply (*erule-tac x=k in allE*)

apply (*erule-tac x=hh in allE*)

apply (*erule-tac x=v in allE*)

apply (*erule-tac x= δ in allE*)

apply (*erule-tac x=m in allE*)

apply (*erule-tac x=s' in allE*)

apply (*erule-tac x= η in allE*)

apply (*rotate-tac 19*)

apply (*drule mp*)

apply (*rule conjI*)

apply (*subst (asm) nr-Case, assumption+)*+

apply (*simp add: num-r-def*)

apply (*rule conjI, rule P-dyn-dom-E1-Case-ej,assumption+*)
apply (*rule conjI, rule P-dyn-fv-dom-E1-Case-ej,assumption+*)
apply (*rule conjI, rule P-dyn-fvReg-dom-E2-Case-ej,assumption+*)
apply (*rule conjI, simp*)
apply *simp*

apply (*rule conjI, rule P- Δ -Case*)
apply (*simp,assumption+,force,assumption+,force,simp,simp*)

apply (*rule conjI, rule P- μ -Case*)
apply (*simp,assumption+,force,assumption+,force,simp*)

apply (*rule P- σ -Case*)
apply (*simp,simp,simp,force,simp,assumption+,simp*)

apply *simp*
apply (*frule P1-f-n-CASE-1-1,assumption*)
apply (*elim exE, elim conjE*)
apply (*subgoal-tac*
 insert (o self.f f) (set (R-Args Σ t f))
 = dom $\bigsqcup_{\Delta} f \text{ map } AbstractDeltaSpaceCost \text{ assert}$)
prefer 2 apply (*rule P-static-dom- Δ -Case,simp*)
 apply (*rotate-tac 8*)
 apply (*erule-tac x=ia in allE,simp*)
apply (*subgoal-tac*
 $\forall i < \text{length } \text{assert}.$
 insert (o self.f f) (set (R-Args Σ t f)) = dom (AbstractDeltaSpaceCost (assert
 ! i))))
prefer 2 apply *force*
apply (*rotate-tac 8*)
apply (*erule-tac x=i in allE*)
apply (*drule mp,simp*)
apply (*elim conjE*)

apply (*erule-tac x=E1 in allE*)
apply (*erule-tac x=E2 in allE*)

```

apply (erule-tac x=h in allE)
apply (rotate-tac 27)
apply (erule-tac x=k in allE)
apply (erule-tac x=hh in allE)
apply (erule-tac x=v in allE)
apply (erule-tac x=δ in allE)
apply (erule-tac x=m in allE)
apply (erule-tac x=s in allE)
apply (erule-tac x=η in allE)
apply (rotate-tac 18)
apply (drule mp)
apply (rule conjI,simp)

```

```

apply (rule conjI,assumption)
apply (rule conjI, rule P-dyn-fv-dom-E1-Case-LitN-ej,assumption+)
apply (rule conjI, rule P-dyn-fvReg-dom-E2-Case-ej,assumption+)
apply (rule conjI,simp)
apply assumption

```

```

apply (rule conjI)
apply (rule P-Δ-Case-Lit,simp,assumption+,simp,simp)

```

```

apply (rule conjI, rule P-μ-Case-Lit,force,force,force)

```

```

apply (rule P-σ-Case-Lit,force,force,force,force)

```

```

apply simp
apply (frule P1-f-n-CASE-1-2,assumption)
apply (elim exE, elim conjE)
apply (subgoal-tac
  insert (o self f f) (set (R-Args Σ t f))
  = dom ⌊ Δ f map AbstractDeltaSpaceCost assert)
prefer 2 apply (rule P-static-dom-Δ-Case,simp)
  apply (rotate-tac 8)
  apply (erule-tac x=ia in allE,simp)
apply (subgoal-tac
  ∀ i < length assert.

```

```

      insert (qself-f f) (set (R-Args  $\Sigma$  t f)) = dom (AbstractDeltaSpaceCost (assert
! i)))
    prefer 2 apply force
    apply (rotate-tac 8)
    apply (erule-tac x=i in allE)
    apply (drule mp,simp)
    apply (elim conjE)

    apply (erule-tac x=E1 in allE)
    apply (erule-tac x=E2 in allE)
    apply (erule-tac x=h in allE)
    apply (rotate-tac 27)
    apply (erule-tac x=k in allE)
    apply (erule-tac x=hh in allE)
    apply (erule-tac x=v in allE)
    apply (erule-tac x= $\delta$  in allE)
    apply (erule-tac x=m in allE)
    apply (erule-tac x=s in allE)
    apply (erule-tac x= $\eta$  in allE)
    apply (rotate-tac 18)
    apply (drule mp)
    apply (rule conjI,simp)

    apply (rule conjI,simp)
    apply (rule conjI, rule P-dyn-fv-dom-E1-Case-LitB-ej,assumption+)
    apply (rule conjI, rule P-dyn-fvReg-dom-E2-Case-ej,assumption+)
    apply (rule conjI, simp)
    apply simp

    apply (rule conjI)
    apply (rule P- $\Delta$ -Case-Lit,simp,assumption+,simp,simp)

    apply (rule conjI, rule P- $\mu$ -Case-Lit,force,force,force)

    apply (rule P- $\sigma$ -Case-Lit,force,force,force,force)
    done

```

```

declare atom.simps [simp del]
declare consistent.simps [simp del]
declare SafeResourcesDAssDepth.simps [simp del]
declare valid-def [simp del]
declare SafeResourcesDAss.simps [simp del]
declare argP.simps [simp del]
declare  $\eta$ -ef-def [simp del]

```

axioms *equals-projection*:

```

projection  $f \Delta' = \text{projection } f \Delta$ 
 $\implies \Delta' = \Delta$ 

```

lemma *lemma-19-aux* [*rule-format*]:

```

 $\models \Sigma b$ 
 $\longrightarrow \Sigma b \ g = \text{Some } (\text{projection } g \ \Delta g, \mu g, \sigma g)$ 
 $\longrightarrow \Sigma d \ g = \text{Some } (xs, rs, eg)$ 
 $\longrightarrow (\text{bodyAPP } \Sigma d \ g):_g (\text{typesAPP } \Sigma \varnothing \ g) (\text{sizesAPP } \Sigma \Phi \ g) \text{ real}(\text{length } (\text{varsAPP } \Sigma d \ g) + \text{length } (\text{regionsAPP } \Sigma d \ g))$ 
 $\Vdash \Delta g, \mu g, \sigma g$ 
apply (rule impI)
apply (erule ValidGlobalResourcesEnv.induct)
apply simp
apply (rule impI)+
apply (case-tac g=f)

```

```

apply (simp add: typeResAPP-def regionsArgAPP-def typesArgAPP-def)
apply (elim conjE, frule equals-projection, simp)

```

by (*simp add: typeResAPP-def regionsArgAPP-def typesArgAPP-def*)

lemma *equiv-SafeResourcesDAss-all-n-SafeResourcesDAssDepth*:

```

 $e : f (\text{typesAPP } \Sigma \varnothing \ f) (\text{sizesAPP } \Sigma \Phi \ f) \text{ td } \Vdash \Delta, \mu, \sigma$ 
 $\implies \forall n. \text{SafeResourcesDAssDepth } e \ f (\text{typesAPP } \Sigma \varnothing \ f) (\text{sizesAPP } \Sigma \Phi \ f) \text{ td } n$ 
 $\Delta \ \mu \ \sigma$ 
apply (simp only: typesAPP-def)
apply (simp only: SafeResourcesDAss.simps)
apply (simp only: SafeResourcesDAssDepth.simps)
apply clarsimp
apply (simp only: SafeBoundSem-def)
apply (simp add: Let-def)
apply (elim exE)
apply (elim conjE)
apply (erule-tac x=E1 in allE)
apply (erule-tac x=E2 in allE)
apply (erule-tac x=h in allE)

```

```

apply (erule-tac x=k in allE)
apply (erule-tac x=hh in allE)
apply (erule-tac x=v in allE)
apply (erule-tac x=δ in allE)
apply (erule-tac x=m in allE)
apply (erule-tac x=s in allE)
apply (erule-tac x=η in allE)
apply (erule-tac td=td in eqSemDepthRA)
apply (erule mp,force)
by simp

```

```

lemma lemma-19 [rule-format]:
  ValidGlobalResourcesEnvDepth f n Σb
  → Σd g = Some (xs,rs,eg)
  → Σb g = Some (projection g Δg,μg,σg)
  → g ≠ f
  → SafeResourcesDAss eg g (typesAPP Σ∅ g) (sizesAPP ΣΦ g) (real (length
xs) + real (length rs)) Δg μg σg
apply (rule impI)
apply (erule ValidGlobalResourcesEnvDepth.induct)

```

```

apply (rule impI)+
apply (erule lemma-19-aux,force,force)
apply (simp add: bodyAPP-def varsAPP-def regionsAPP-def)

```

```

apply (rule impI)+
apply (erule lemma-19-aux, simp add: fun-upd-apply, force)
apply (subst (asm) fun-upd-apply, simp)
apply (simp add: bodyAPP-def varsAPP-def regionsAPP-def)

```

```

apply (rule impI)+
apply (erule lemma-19-aux, simp add: fun-upd-apply, force)
apply (subst (asm) fun-upd-apply, simp)
apply (simp add: bodyAPP-def varsAPP-def regionsAPP-def)

```

```

apply (case-tac ga=g,simp-all)
apply (rule impI)+
apply (simp add: bodyAPP-def varsAPP-def regionsAPP-def)
apply (elim conjE, frule equals-projection,simp)
done

```

```

lemma lemma-20 [rule-format]:
  ValidGlobalResourcesEnvDepth f n  $\Sigma b$ 
   $\longrightarrow \Sigma d f = \text{Some } (xs, rs, ef)$ 
   $\longrightarrow \Sigma b f = \text{Some } (\text{projection } f \Delta f, \mu f, \sigma f)$ 
   $\longrightarrow n = \text{Suc } n'$ 
   $\longrightarrow \text{SafeResourcesDAssDepth } ef f (\text{typesAPP } \Sigma \vartheta f) (\text{sizesAPP } \Sigma \Phi f) (\text{real } (length xs) + \text{real } (length rs)) n' \Delta f \mu f \sigma f$ 
apply (rule impI)
apply (erule ValidGlobalResourcesEnvDepth.induct)

apply (rule impI)+
apply (frule lemma-19-aux, simp add: fun-upd-apply, force)
apply (frule equiv-SafeResourcesDAss-all-n-SafeResourcesDAssDepth)
apply (simp add: bodyAPP-def varsAPP-def regionsAPP-def)

apply simp

apply (rule impI)+
apply (simp add: bodyAPP-def varsAPP-def regionsAPP-def)
apply (elim conjE, frule equals-projection, simp)

apply (rule impI)+
apply simp
done

```

```

lemma P1-f-n-APP:
   $\llbracket (E1, E2) \vdash h, k, td, \text{AppE } f \text{ as } rs' \text{ a } \Downarrow(f, n) \text{ hh}, k, v, (\delta, m, s); \text{primops } f = \text{None};$ 
   $\Sigma d f = \text{Some } (xs, rs, ef) \rrbracket$ 
   $\implies \exists h' \delta' s'.$ 
   $(\text{map-of } (\text{zip } xs (\text{map } (\text{atom2val } E1) \text{ as})), \text{map-of } (\text{zip } rs (\text{map } (\text{the } \circ E2) rs')))(\text{self} \mapsto \text{Suc } k) \vdash$ 
   $h, (\text{Suc } k), (\text{real } (length as) + \text{real } (length rs')), ef \Downarrow(f, n) h', (\text{Suc } k),$ 
   $v, (\delta', m, s')$ 
   $\wedge s = \max(\text{real } (length xs) + \text{real } (length rs)) ((s' + \text{real } (length xs)) + \text{real } (length rs) - td)$ 
   $\wedge \delta = \delta'(k+1 := \text{None})$ 
   $\wedge length xs = length as$ 

```

```

    ∧ distinct xs
    ∧ length rs = length rs'
    ∧ distinct rs
    ∧ hh = h' | ' {p. p ∈ dom h' & fst (the (h' p)) ≤ k}
    ∧ dom E1 ∩ set xs = {}
    ∧ n > 0
  apply (simp add: SafeBoundSem-def)
  apply (elim exE, elim conjE)
  apply (erule SafeDepthSem.cases,simp-all)
  apply clarsimp
  apply (rule-tac x=h' in exI)
  apply (rule-tac x=δ in exI)
  apply (rule-tac x=s in exI)
  apply (rule conjI)
  apply (rule-tac x=nfa in exI)
  apply (rule conjI)
  apply force
  apply force
by force

lemma P1-f-n-APP-2:
  ⌊ (E1, E2) ⊢ h , k , td, AppE g as rs' a ↓(f,n) hh , k , v, (δ,m,s); primops g =
  None; f≠g;
  Σd g = Some (xs, rs, eg) ⌋
  ⇒ ∃ h' δ' s'.
    (map-of (zip xs (map (atom2val E1) as)), map-of (zip rs (map (the ∘ E2)
    rs'))(self ↦ Suc k)) ⊢
      h, (Suc k), (real (length as) + real (length rs')), eg ↓(f,n) h', (Suc k),
    v, (δ',m,s')
    ∧ s = max( real (length xs) + real (length rs)) ((s'+ real (length xs))+real
    (length rs) - td)
    ∧ δ = δ'(k+1:=None)
    ∧ length xs = length as
    ∧ distinct xs
    ∧ length rs = length rs'
    ∧ distinct rs
    ∧ hh = h' | ' {p. p ∈ dom h' & fst (the (h' p)) ≤ k}
    ∧ dom E1 ∩ set xs = {}
  apply (simp add: SafeBoundSem-def)
  apply (elim exE, elim conjE)
  apply (erule SafeDepthSem.cases,simp-all)
  apply clarsimp
  apply (rule-tac x=h' in exI)
  apply (rule-tac x=δ in exI)
  apply (rule-tac x=s in exI)
  apply (rule conjI)
  apply (rule-tac x=nfa in exI)
  apply (rule conjI)

```

apply *force*
apply *force*
by *force*

lemma *P1-f-n-ge-0-APP:*

$\llbracket (E1, E2) \vdash h, k, td, AppE f as rs' a \Downarrow(f, Suc n) hh, k, v, (\delta, m, s); primops f$
 $= None;$
 $\Sigma d f = Some (xs, rs, ef) \rrbracket$
 $\implies \exists h' \delta' s'.$
 $(map-of (zip xs (map (atom2val E1) as)), map-of (zip rs (map (the \circ E2)$
 $rs'))(self \mapsto Suc k)) \vdash$
 $h, Suc k, (real (length as) + real (length rs')), ef \Downarrow(f, n) h', Suc k, v,$
 (δ', m, s')
 $\wedge s = max(real (length xs) + real (length rs)) ((s' + real (length xs)) + real$
 $(length rs) - td)$
 $\wedge \delta = \delta'(k+1 := None)$
 $\wedge length xs = length as$
 $\wedge distinct xs$
 $\wedge length rs = length rs'$
 $\wedge distinct rs$
 $\wedge hh = h' \mid \{p. p \in dom h' \& fst (the (h' p)) \leq k\}$
 $\wedge dom E1 \cap set xs = \{\}$
apply (*simp add: SafeBoundSem-def*)
apply (*elim exE, elim conjE*)
apply (*erule SafeDepthSem.cases, simp-all*)
apply *clarsimp*
apply (*rule-tac x=h' in exI*)
apply (*rule-tac x=\delta in exI*)
apply (*rule-tac x=s in exI*)
apply (*rule conjI*)
apply (*rule-tac x=nfa in exI*)
apply (*rule conjI*)
apply *force*
apply *force*
by *force*

lemma *dom-map-of-zip:*

$length xs = length ys$
 $\implies set xs \subseteq dom (map-of (zip xs ys))$
apply (*induct xs ys rule:list-induct2', simp-all*)
by *blast*

lemma *xs-in-dom-f*:
 $\llbracket \text{length } xs = \text{length } ys;$
 $(\forall i < \text{length } ys. f (xs!i) = g (ys!i));$
 $\text{set } ys \subseteq \text{dom } g \rrbracket$
 $\implies \forall i < \text{length } xs. (xs!i) \in \text{dom } f$
by *force*

lemma *nth-in-dom-map*:
 $\text{set } xs \subseteq \text{dom } m$
 $\implies \forall i < \text{length } xs. xs!i \in \text{dom } m$
by *force*

lemma *set-xs-subseteq-dom-m*:
 $\llbracket x \in \text{set } xs; (\forall i < \text{length } xs. xs ! i \in \text{dom } m) \rrbracket$
 $\implies x \in \text{dom } m$
by (*subst (asm) in-set-conv-nth,force*)

lemma *dom-instance-f*:
 $\text{dom } (\text{instance-f } f \Delta g \varphi \text{ as } rs \Phi) = (\text{set } (R\text{-Args } \Sigma t f)) \cup \{\varrho\text{self-f } f\}$
apply (*simp add: instance-f-def*)
apply (*rule equalityI*)
apply (*rule subsetI*)
apply (*simp add: instance-f-def*)
apply *clarsimp*
apply (*rule conjI*)
apply *clarsimp*
by *clarsimp*

lemma *dom-η-ef*:
 $\llbracket \Sigma d \ g = \text{Some } (xs, rs, eg); \text{fvReg } (AppE \ g \text{ as } rs' ()) \subseteq \text{dom } E2;$
 $\text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2) \ h \ k \ td \ (AppE \ g \text{ as } rs' ())$
 $(f, n) \text{ hh } k \ v \ (\delta, m, s);$
 $\text{valid } \Sigma d \ \Sigma \vartheta \ \Sigma \Phi; \text{admissible } f \ \eta \ k; f \in \text{dom } \Sigma d;$
 $\text{argP } \varphi \ (R\text{-Args } \Sigma t \ g) \ (\text{typesRegsAPP } \Sigma \vartheta \ f) \ rs' \rrbracket$
 $\implies \text{dom } (\eta\text{-ef } \eta \ \varphi \ (R\text{-Args } \Sigma t \ g) \ ++ \ [\varrho\text{self-f } g \mapsto \text{Suc } k]) = \text{set } (R\text{-Args } \Sigma t \ g)$
 $\cup \{\varrho\text{self-f } g\}$
apply (*simp add: argP.simps*)
apply (*simp add: valid-def*)
apply (*erule-tac x=f in ballE*)

```

  prefer 2 apply force
  apply (simp only: typesAPP-def)
  apply (simp add: valid-f.simps)
  apply (elim conjE)
  apply (erule-tac x=AppE g as rs' in allE)
  apply (elim conjE,simp)
  apply (erule-tac x=E1 in allE)
  apply (erule-tac x=E2 in allE)
  apply (erule-tac x=h in allE)
  apply (rotate-tac 13)
  apply (erule-tac x=k in allE)
  apply (erule-tac x=td in allE)
  apply (erule-tac x=hh in allE)
  apply (erule-tac x=v in allE)
  apply (erule-tac x= $\delta$  in allE)
  apply (erule-tac x=m in allE)
  apply (erule-tac x=s in allE)
  apply (erule-tac x= $\eta$  in allE)
  apply (drule mp, simp)
  apply (subst eqSemRABound,force)
  apply (elim conjE)
  apply (simp add: consistent.simps)
  apply (elim conjE)
  apply (rule equalityI)
  apply (rule subsetI)
  apply (simp add:  $\eta$ -ef-def)
  apply force
  apply (rule subsetI)
  apply (simp add:  $\eta$ -ef-def,clarsimp)
  apply (frule xs-in-dom-f,simp,simp)
  apply (frule set-xs-subseteq-dom-m, simp)
  apply (subst (asm) in-set-conv-nth)
  apply (elim exE)
  apply (rotate-tac 5)
  apply (erule-tac x=i in allE)
  apply (drule mp,simp,simp)
  apply (rotate-tac 7)
  apply (erule-tac x=rs!i in ballE)
  apply (elim exE, elim conjE)+
  apply simp
  by (frule nth-in-dom-map,simp)

```

lemma P -dyn-xs-subseteq-dom-E1-g:

$\llbracket \Sigma d \ g = \text{Some } (xs, rs, eg); \text{fv } eg \subseteq \text{set } xs;$
 $\forall i < \text{length } as. \text{atom } (as \ ! \ i); \text{length } xs = \text{length } as \rrbracket$
 $\implies \text{set } (\text{varsAPP } \Sigma d \ g) \cup \text{fv } eg \subseteq \text{dom } (\text{map-of } (\text{zip } xs \ (\text{map } (\text{atom2val } E1) \text{ as})))$
apply (*subgoal-tac* $\text{length } xs = \text{length } (\text{map } (\text{atom2val } E1) \text{ as})$)
prefer 2 **apply** (*induct* $xs, \text{simp}, \text{clarsimp}$)
apply (*frule-tac* $ys = (\text{map } (\text{atom2val } E1) \text{ as})$ **in** *dom-map-of-zip*)
by (*simp add: varsAPP-def*)

lemma *P-dyn-fvReg-eg-subseteq-dom-E2-g*:
 $\llbracket \text{fvReg } eg \subseteq \text{set } rs; \text{length } rs = \text{length } rs';$
 $\text{set } rs' \subseteq \text{dom } E2 \rrbracket$
 $\implies \text{fvReg } eg \subseteq \text{dom } (\text{map-of } (\text{zip } rs \ (\text{map } (\text{the } \circ E2) \text{ rs'}))(\text{self} \mapsto \text{Suc } k))$
apply (*subgoal-tac* $\text{set } rs \subseteq \text{dom } (\text{map-of } (\text{zip } rs \ (\text{map } (\text{the } \circ E2) \text{ rs'})))$, *simp*)
apply *blast*
by (*rule dom-map-of-zip, simp*)

lemma *P-dyn-dom-η-ef*:
 $\llbracket \Sigma d \ g = \text{Some } (xs, rs, eg); \text{fvReg } (\text{AppE } g \text{ as } rs' \ ()) \subseteq \text{dom } E2;$
 $\text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2) \ h \ k \ td \ (\text{AppE } g \text{ as } rs' \ ())$
 $(f, n) \text{ hh } k \ v \ (\delta, m, s);$
 $\text{valid } \Sigma d \ \Sigma \vartheta \ \Sigma \Phi; \text{argP } \psi \ (R\text{-Args } \Sigma t \ g) \ (\text{typesRegsAPP } \Sigma \vartheta \ f) \ rs';$
 $\text{admissible } f \ \eta \ k; f \in \text{dom } \Sigma d;$
 $\text{set } (R\text{-Args } \Sigma t \ g) \cup \{\varrho \text{self-f } g\} = \text{dom } \Delta g;$
 $\text{dom } (\text{instance-f } f \ g \ \Delta g \ \psi \ (\text{sizesAPP } \Sigma \Phi \ f) \text{ as}) = \text{dom } \eta \rrbracket$
 $\implies \text{dom } \Delta g = \text{dom } (\eta\text{-ef } \eta \ \psi \ (R\text{-Args } \Sigma t \ g) \ ++ \ [\varrho \text{self-f } g \mapsto \text{Suc } k])$
by (*subst dom-η-ef, assumption+, simp*)

lemma *P-η-ef*:
 $\llbracket \text{admissible } f \ \eta \ k \rrbracket$
 $\implies \text{admissible } g \ (\eta\text{-ef } \eta \ \varphi \ (R\text{-Args } \Sigma t \ g) \ ++ \ [\varrho \text{self-f } g \mapsto \text{Suc } k]) \ (\text{Suc } k)$
apply (*simp only: admissible-def*)
apply (*rule conjI, simp*)
apply (*elim conjE*)
apply (*rule ballI, simp*)
apply (*rule impI, simp*)
apply (*erule-tac* $x = \text{the } (\varphi \ \varrho)$ **in** *ballE*)

apply (*elim exE*, *elim conjE*)
apply (*simp add: η -ef-def*)
apply *force*
by (*simp add: η -ef-def*, *simp add: dom-def*)

lemma *length-phiMapping-equals-length-as*:
 $\llbracket \text{atom2var } ' \text{ set } as \subseteq \text{dom } \Phi \rrbracket$
 $\implies \text{length } (\text{phiMapping-app } (\text{map } \Phi (\text{map } \text{atom2var } as)) \text{ si}) = \text{length } as$
by (*induct as, simp, clarsimp*)

lemma *length-build-si*:
 $\text{length } (\text{build-si } E1 \ h \ xs) = \text{length } xs$
by (*induct xs, simp-all*)

lemma *valid-fv-APP-subseteq- Φ* :
 $\llbracket \text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2) \ h \ k \ td \ (\text{AppE } g \ as \ rs' \ ()) \ (f, n) \ hh \ k \ v \ (\delta, m, s);$
 $\text{valid } \Sigma d \ \Sigma \vartheta \ \Sigma \Phi; f \in \text{dom } \Sigma d \rrbracket$
 $\implies \text{fv } (\text{AppE } g \ as \ rs' \ ()) \subseteq \text{dom } (\text{sizesAPP } \Sigma \Phi \ f)$
apply (*simp add: valid-def*)
apply (*erule-tac x=f in ballE*)
apply (*simp add: typesAPP-def add: valid-f.simps*)
apply (*elim conjE*)
apply (*erule-tac x=AppE g as rs' in allE, simp*)
by *force*

lemma *as-subseteq-dom- Φ [rule-format]*:
 $\text{fvs}' \ as \subseteq \text{dom } \Phi$
 $\longrightarrow (\forall i < \text{length } as. \text{atom } (as \ ! \ i))$
 $\longrightarrow \text{atom2var } ' \text{ set } as \subseteq \text{dom } \Phi$
apply (*rule impI*)
apply (*induct as, simp, clarsimp*)
apply (*drule mp, force, simp*)
apply (*erule-tac x=0 in allE, simp*)
apply (*simp add: atom.simps*)
by (*case-tac a, simp-all*)

lemma *nth-map-of-xs-atom2val*:
 $\llbracket \text{length } xs = \text{length } as;$
 $\text{distinct } xs \rrbracket$
 $\implies \forall i < \text{length } xs.$
 $\text{map-of } (\text{zip } xs \ (\text{map } (\text{atom2val } E1) \ as)) \ (xs!i) =$
 $\text{Some } (\text{atom2val } E1 \ (as!i))$
apply *clarsimp*
apply (*induct xs as rule: list-induct2', simp-all*)

by (*case-tac i,simp,clarsimp*)

lemma *nth-atom2val-in-dom-E*:

$\llbracket \text{atom2var } ' \text{ set } as \subseteq \text{dom } E1; \\ i < \text{length } as; as ! i = \text{VarE } x \ a \rrbracket \\ \implies \text{Some } (\text{atom2val } E1 \ (as ! i)) = E1 \ x$
apply (*subgoal-tac i < length (map atom2var as)*)
apply (*frule-tac xs=(map atom2var as) in nth-mem,simp*)
apply (*subgoal-tac x ∈ dom E1*)
apply (*frule domD,elim exE,simp*)
apply *blast*
by (*induct as, simp, simp*)

lemma *nth-build-si*:

$i < \text{length } xs \\ \implies \text{build-si } E1 \ h \ xs!i = \text{sizeEnv } E1 \ (xs!i) \ h$
apply (*induct xs arbitrary:i, simp-all*)
by (*case-tac i,simp-all*)

lemma *sizeEnv-equals-build-si-i*:

$\llbracket \text{length } xs = \text{length } as; \text{distinct } xs; (\forall i < \text{length } as. \text{atom } (as ! i)); \\ \Sigma d \ g = \text{Some } (xs,rs,eg); i < \text{length } as; \text{atom2var } ' \text{ set } as \subseteq \text{dom } E1 \rrbracket \\ \implies \text{sizeEnv } E1 \ (\text{map } \text{atom2var } as ! i) \ h \\ = \text{build-si } (\text{map-of } (\text{zip } xs \ (\text{map } (\text{atom2val } E1) \ as))) \ h \ (\text{varsAPP } \Sigma d \ g) ! i$
apply (*simp add: varsAPP-def*)
apply (*subst nth-build-si,simp*)
apply (*frule-tac ?E1.0=E1 in nth-map-of-xs-atom2val,simp*)
apply (*erule-tac x=i in allE*)
apply (*drule mp,simp*)
apply (*erule-tac x=i in allE*)
apply (*drule mp,simp*)
apply (*simp add: atom.simps*)
apply (*case-tac as!i,simp-all*)
apply (*frule-tac ?E1.0=E1 in nth-map-of-xs-atom2val,simp*)
apply (*erule-tac x=i in allE*)
apply (*drule mp,simp*)
apply (*subst (asm) nth-atom2val-in-dom-E,assumption+*)
apply (*subgoal-tac sizeEnv (map-of (zip xs (map (atom2val E1) as))) (xs ! i) h*
 $=$
 $\text{sizeEnv } E1 \ \text{list } h, \text{simp}$)
by (*simp add: sizeEnv-def*)

lemma *fv-as-in-dom-E1 [rule-format]*:

$fv's' \ as \subseteq \text{dom } E1 \\ \longrightarrow (\forall i < \text{length } as. \text{atom } (as ! i))$

```

  → atom2var ‘ set as ⊆ dom E1
apply (rule impI)
apply (induct as,simp,clarsimp)
apply (drule mp,force,simp)
apply (erule-tac x=0 in allE,simp)
apply (simp add: atom.simps)
by (case-tac a, simp-all)

```

lemma *nth-phiMapping-app*:

```

  set ys ⊆ dom φ
  ⇒ ∀ i < length ys. phiMapping-app (map φ ys) si ! i =
    the (the (φ (ys!i)) si)
apply (rule allI, rule impI)
apply (induct ys arbitrary: i, simp-all)
apply (elim conjE)
apply (frule domD, elim exE,simp)
by (case-tac i,simp,simp)

```

lemma *list-ge-phiMapping-app-build-si*:

```

  ⌊ (∀ i < length as. atom (as!i)); length xs = length as; distinct xs;
    fv (AppE g as rs' a) ⊆ dom E1;
    SafeDepthSemanticsReal.SafeBoundSem (E1, E2) h k td (AppE g as rs' ()) (f,
n) hh k v (δ, m, s);
    admissible f η k; f ∈ dom Σd;
    Σd g = Some (xs,rs,eg); valid Σd Σ∅ ΣΦ ⌋
  ⇒ list-ge (phiMapping-app (map (sizesAPP ΣΦ f) (map atom2var as)) (build-si
E1 h (varsAPP Σd f)))
    (build-si (map-of (zip xs (map (atom2val E1) as))) h
(varsAPP Σd g))
apply (simp add: list-ge-def)
apply (rule allI, rule impI)
apply (simp only: valid-def)
apply (erule-tac x=f in ballE)
  prefer 2 apply force
apply (simp add: typesAPP-def)
apply (simp add: valid-f.simps)
apply (elim conjE)
apply (erule-tac x=AppE g as rs' in allE)
apply (elim conjE,simp)
apply (erule-tac x=E1 in allE)
apply (erule-tac x=E2 in allE)
apply (erule-tac x=h in allE)
apply (rotate-tac 15)
apply (erule-tac x=k in allE)
apply (erule-tac x=td in allE)
apply (erule-tac x=hh in allE)
apply (erule-tac x=v in allE)

```

```

apply (erule-tac  $x=\delta$  in  $allE$ )
apply (erule-tac  $x=m$  in  $allE$ )
apply (erule-tac  $x=s$  in  $allE$ )
apply (rotate-tac 15)
apply (erule-tac  $x=\eta$  in  $allE$ )
apply (drule  $mp, simp$ )
apply (subst eqSemRABound, force)
apply (elim conjE)
apply (frule-tac  $\Phi=(sizesAPP \Sigma\Phi f)$  in  $as-subseteq-dom-\Phi, simp$ )
apply (frule-tac  $\Phi=(sizesAPP \Sigma\Phi f)$  and
       $si=(build-si E1 h (varsAPP \Sigma d f))$  in  $length-phiMapping-equals-length-as$ )
apply (subst  $nth-phiMapping-app, simp, simp$ )
apply (erule-tac  $x=map\ atom2var\ as\ !\ i$  in  $ballE$ )
apply (subgoal-tac  $sizeEnv\ E1\ (map\ atom2var\ as\ !\ i)\ h$ 
       $=\ build-si\ (map-of\ (zip\ xs\ (map\ (atom2val\ E1)\ as)))\ h\ (varsAPP$ 
       $\Sigma d\ g)\ !\ i, simp$ )
apply (frule  $fv-as-in-dom-E1, simp$ )
apply (rule  $sizeEnv-equals-build-si-i, assumption+, simp, simp$ )
apply (subgoal-tac  $set\ (map\ atom2var\ as) \subseteq dom\ (sizesAPP \Sigma\Phi f)$ )
apply (frule-tac  $xs=map\ atom2var\ as$  in  $nth-in-dom-map, simp$ )
by  $simp$ 

```

lemma *Phi-Mapping-app-ge-build-si-ef*:

```

 $\llbracket SafeDepthSemanticsReal.SafeBoundSem\ (E1, E2)\ h\ k\ td\ (AppE\ g\ as\ rs'\ ())\ (f,$ 
 $n)\ hh\ k\ v\ (\delta, m, s);$ 
 $(\forall i < length\ as.\ atom\ (as\ !\ i)); length\ xs = length\ as; distinct\ xs;$ 
 $valid\ \Sigma d\ \Sigma \vartheta\ \Sigma \Phi; \Sigma d\ g = Some\ (xs, rs, ef); fv\ (AppE\ g\ as\ rs'\ a) \subseteq dom\ E1;$ 
 $monotonic-bound\ \mu g; f \in dom\ \Sigma d; admissible\ f\ \eta\ k;$ 
 $defined-bound\ \mu g\ g\rrbracket$ 
 $\implies the\ (\mu g\ (phiMapping-app\ (map\ (sizesAPP\ \Sigma\Phi\ f)\ (map\ atom2var\ as))$ 
 $(build-si\ E1\ h\ (varsAPP\ \Sigma d\ f)))) \geq$ 
 $the\ (\mu g\ (build-si\ (map-of\ (zip\ xs\ (map\ (atom2val\ E1)\ as)))\ h\ (varsAPP\ \Sigma d$ 
 $g)))$ 
apply (frule  $valid-fv-APP-subseteq-\Phi, assumption+$ )
apply (subgoal-tac  $phiMapping-app\ (map\ (sizesAPP\ \Sigma\Phi\ f)\ (map\ atom2var\ as))$ 
 $(build-si\ E1\ h\ (varsAPP\ \Sigma d\ f)) \in dom\ \mu g$ )
prefer 2 apply ( $simp\ only: defined-bound-def$ )
apply (erule-tac  $x=phiMapping-app\ (map\ (sizesAPP\ \Sigma\Phi\ f)\ (map\ atom2var\ as))$ 
 $(build-si\ E1\ h\ (varsAPP\ \Sigma d\ f))$  in  $allE$ )
apply (drule  $mp$ )
apply ( $simp\ add: varsAPP-def$ )
apply (rule  $length-phiMapping-equals-length-as$ )
apply (rule  $as-subseteq-dom-\Phi, assumption+, force$ )
apply  $simp$ 
apply (subgoal-tac  $build-si\ (map-of\ (zip\ xs\ (map\ (atom2val\ E1)\ as)))\ h\ (varsAPP$ 
 $\Sigma d\ g) \in dom\ \mu g$ )
prefer 2 apply ( $simp\ only: defined-bound-def$ )
apply (erule-tac  $x=build-si\ (map-of\ (zip\ xs\ (map\ (atom2val\ E1)\ as)))\ h\ (varsAPP$ 

```

```

 $\Sigma d\ g)$  in  $allE)$ 
apply ( $drule\ mp$ )
apply ( $subst\ length\ build\ si, simp$ )
apply  $simp$ 
apply ( $simp\ add: monotonic\ bound\ def$ )
apply ( $erule\ tac\ x = \phi Mapping\ app\ (map\ (sizesAPP\ \Sigma\Phi\ f)\ (map\ atom2var\ as))$ 
 $(build\ si\ E1\ h\ (varsAPP\ \Sigma d\ f))$  in  $ballE)$ 
prefer 2 apply  $simp$ 
apply ( $erule\ tac\ x = build\ si\ (map\ of\ (zip\ xs\ (map\ (atom2val\ E1)\ as)))\ h\ (varsAPP\ \Sigma d\ g)$  in  $ballE)$ 
prefer 2 apply  $simp$ 
apply ( $drule\ mp$ )
by ( $rule\ list\ ge\ ge\ \phi Mapping\ app\ build\ si, assumption+, simp, assumption+$ )

```

lemma $P\text{-}\mu\text{-APP}$:

```

 $\llbracket SafeDepthSemanticsReal.SafeBoundSem\ (E1, E2)\ h\ k\ td\ (AppE\ g\ as\ rs'\ ())\ (f,$ 
 $n)\ hh\ k\ v\ (\delta, m, s);$ 
 $(\forall\ i < length\ as.\ atom\ (as!i));$ 
 $distinct\ xs; length\ xs = length\ as;$ 
 $monotonic\ bound\ \mu g; defined\ bound\ \mu g\ g;$ 
 $\Sigma d\ g = Some\ (xs, rs, ef);$ 
 $f \in dom\ \Sigma d;$ 
 $admissible\ f\ \eta\ k;$ 
 $valid\ \Sigma d\ \Sigma\vartheta\ \Sigma\Phi;$ 
 $set\ (varsAPP\ \Sigma d\ f) \cup fv\ (AppE\ g\ as\ rs'\ ()) \subseteq dom\ E1;$ 
 $\mu\text{-ge}\ \mu g\ (build\ si\ (map\ of\ (zip\ xs\ (map\ (atom2val\ E1)\ as)))\ h\ (varsAPP\ \Sigma d$ 
 $g))\ m\ \rrbracket$ 
 $\implies \mu\text{-ge}\ (\mu\text{-app}\ \mu g\ as\ (sizesAPP\ \Sigma\Phi\ f))\ (build\ si\ E1\ h\ (varsAPP\ \Sigma d\ f))\ m$ 
apply ( $simp\ only: \mu\text{-ge}\ def$ )
apply ( $simp\ only: \mu\text{-app}\ def$ )
apply ( $simp\ only: cost\ \phi Mapping\ def$ )
apply ( $subgoal\ tac\ the\ (\mu g\ (\phi Mapping\ app\ (map\ (sizesAPP\ \Sigma\Phi\ f)\ (map\ atom2var\ as))$ 
 $(build\ si\ E1\ h\ (varsAPP\ \Sigma d\ f))))\ >=$ 
 $the\ (\mu g\ (build\ si\ (map\ of\ (zip\ xs\ (map\ (atom2val\ E1)\ as)))\ h$ 
 $(varsAPP\ \Sigma d\ g))), simp)$ 
apply ( $rule\ \phi\ Mapping\ app\ ge\ build\ si\ ef$ )
by ( $assumption+, simp, assumption+$ )

```

lemma $fvs\text{-in}\text{-}dom\text{-}sizesAPP$:

```

 $\llbracket (\forall\ i < length\ as.\ atom\ (as!i));$ 
 $SafeDepthSemanticsReal.SafeBoundSem\ (E1, E2)\ h\ k\ td\ (AppE\ g\ as\ rs'\ ())$ 
 $(f, n)\ hh\ k\ v\ (\delta, m, s);$ 
 $f \in dom\ \Sigma d; admissible\ f\ \eta\ k;$ 
 $valid\ \Sigma d\ \Sigma\vartheta\ \Sigma\Phi\ \rrbracket$ 
 $\implies atom2var\ 'set\ as \subseteq dom\ (sizesAPP\ \Sigma\Phi\ f)$ 
apply ( $simp\ only: valid\ def$ )

```

```

apply (erule-tac x=f in ballE)
prefer 2 apply force
apply (simp add: typesAPP-def)
apply (simp add: valid-f.simps)
apply (elim conjE)
apply (erule-tac x=AppE g as rs' in allE)
apply (elim conjE,simp)
apply (erule-tac x=E1 in allE)
apply (erule-tac x=E2 in allE)
apply (erule-tac x=h in allE)
apply (rotate-tac 10)
apply (erule-tac x=k in allE)
apply (erule-tac x=td in allE)
apply (erule-tac x=hh in allE)
apply (erule-tac x=v in allE)
apply (erule-tac x=δ in allE)
apply (erule-tac x=m in allE)
apply (erule-tac x=s in allE)
apply (erule-tac x=η in allE)
apply (drule mp,simp)
apply (subst eqSemRABound,force)
apply (elim conjE)
by (rule as-subseteq-dom-Φ,simp,simp)

```

lemma *P-σ-APP*:

```

[[ SafeDepthSemanticsReal.SafeBoundSem (E1, E2) h k td (AppE g as rs' ()) (f,
n) hh k v (δ, m, s);
  (∀ i < length as. atom (as ! i)); length xs = length as; distinct xs;
  valid Σd Σ∅ ΣΦ; Σd g = Some (xs, rs, ef); fv (AppE g as rs' a) ⊆ dom E1;
  monotonic-bound σg; f ∈ dom Σd; admissible f η k;
  defined-bound σg g;
  s = max (l + q) (s' + l + q - td);
  sigma-ge σg (build-si (map-of (zip xs (map (atom2val E1) as))) h (varsAPP
Σd g)) s' ]]
  ⇒ sigma-ge ⌊c {⌊l + q, substCostReal (addCostReal (addCostReal (sigma-app
σg as (sizesAPP ΣΦ f)) l) q) td} (build-si E1 h (varsAPP Σd f)) s
apply (frule fvs-in-dom-sizesAPP, assumption+)
apply (simp only: sigma-ge-def)
apply (simp only: sigma-app-def)
apply (simp only: cost-PhiMapping-def)
apply (subgoal-tac
  the (σg (phiMapping-app (map (sizesAPP ΣΦ f) (map atom2var as)) (build-si
E1 h (varsAPP Σd f)))) ≥
  the (σg (build-si (map-of (zip xs (map (atom2val E1) as))) h (varsAPP Σd
g))),simp)
apply (rule conjI)
apply (simp add: constantCost-def)
apply (simp add: maxCost-def)

```

```

apply (rule impI)+
apply (drule mp,force)
apply (subgoal-tac
  build-si E1 h (varsAPP Σd f) ∈
    dom (substCostReal (addCostReal (addCostReal (λxs. σg (phiMapping-app
  (map (sizesAPP ΣΦ f) (map atom2var as)) xs)) l) q) td),simp)
  apply (simp add: substCostReal-def)
  apply (simp add: addCostReal-def)
  apply clarsimp
  apply (subgoal-tac phiMapping-app (map (sizesAPP ΣΦ f) (map atom2var as))
  (build-si E1 h (varsAPP Σd f) ∈ dom σg)
  prefer 2 apply (simp only: defined-bound-def)
  apply (erule-tac x=phiMapping-app (map (sizesAPP ΣΦ f) (map atom2var
as)) (build-si E1 h (varsAPP Σd f)) in allE)
  apply (drule mp)
  apply (subst length-phiMapping-equals-length-as,simp)
  apply (simp add: varsAPP-def)
  apply simp
  apply (subgoal-tac phiMapping-app (map (sizesAPP ΣΦ f) (map atom2var as))
  (build-si E1 h (varsAPP Σd f) ∈ dom σg)
  prefer 2 apply (simp only: defined-bound-def)
  apply (simp add: dom-def)
  apply (simp add: maxCost-def)
  apply (rule conjI)
  apply (rule impI)+
  apply clarsimp
  apply (simp add: substCostReal-def)
  apply (split split-if-asm,simp,simp add: addCostReal-def)
  apply clarsimp
  apply (split split-if-asm,simp,clarsimp)
  apply (split split-if-asm,simp,simp)
  apply simp
  apply simp
  apply (rule impI)+
  apply (drule mp)
  apply (simp add: constantCost-def)
  apply (simp add: dom-def)
  apply (subgoal-tac
    build-si E1 h (varsAPP Σd f) ∈
      dom (substCostReal (addCostReal (addCostReal (λxs. σg (phiMapping-app
    (map (sizesAPP ΣΦ f) (map atom2var as)) xs)) l) q) td),simp)
    apply (simp add: substCostReal-def)
    apply (simp add: addCostReal-def)
    apply clarsimp
    apply (subgoal-tac phiMapping-app (map (sizesAPP ΣΦ f) (map atom2var as))
    (build-si E1 h (varsAPP Σd f) ∈ dom σg)
    prefer 2 apply (simp only: defined-bound-def)
    apply (erule-tac x=phiMapping-app (map (sizesAPP ΣΦ f) (map atom2var as))
    (build-si E1 h (varsAPP Σd f)) in allE)

```

```

apply (drule mp)
apply (subst length-phiMapping-equals-length-as,simp)
apply (simp add: varsAPP-def)
apply simp
apply (subgoal-tac phiMapping-app (map (sizesAPP  $\Sigma\Phi$  f) (map atom2var as))
(build-si E1 h (varsAPP  $\Sigma d$  f))  $\in$  dom  $\sigma g$ )
prefer 2 apply (simp only: defined-bound-def)
apply (simp add: dom-def)
by (rule Phi-Mapping-app-ge-build-si-ef,assumption+)

```

```

lemma setsumCost-empty: setsumCost f {} = 0
by (simp add: setsumCost-def)

```

```

lemma sumcost-conm:
 $x +_c y = y +_c x$ 
apply (simp add: addCost-def)
apply (rule ext)
apply simp
done

```

```

lemma sumcost-dist:
 $addCost (addCost x y) z = addCost x (addCost y z)$ 
apply (simp add: addCost-def)
apply (rule ext,clarsimp)
apply (rule conjI, rule impI)
apply (rule conjI,clarsimp)
apply (split split-if-asm,simp add:dom-def,simp)
apply clarsimp
apply (split split-if-asm,simp add:dom-def,simp)
apply (rule impI)
apply (rule conjI,clarsimp)
apply (split split-if-asm,simp add:dom-def,simp)
apply (rule impI,clarsimp)
by (split split-if-asm,simp add:dom-def,simp)

```

```

lemma setsumCost-insert [simp]:
 $finite F ==> a \notin F ==> setsumCost f (insert a F) = addCost (f a)$ 
(setsumCost f F)
apply (simp add: setsumCost-def)
apply (subst ACf.fold-insert,simp-all)
apply (rule ACf.intro)
apply (rule sumcost-conm)
apply (rule sumcost-dist)
done

```

axioms *setsumCost-setsum*:

the ((*setsumCost* ($\lambda x. \text{the } (f\ x)$) *A*) *xs*) = *setsum* ($\lambda x. \text{the } (\text{the } (f\ x)\ xs)$) *A*

lemma *sumset-instance-f-equals-sumset-phiMapping*:

dom (*instance-f f g* $\Delta g\ \psi$ (*sizesAPP* $\Sigma\Phi\ f$) *as*) = *dom* η

$\implies$

($\sum \varrho \mid \eta\ \varrho = \text{Some } j.$

the (*the* (*instance-f f g* $\Delta g\ \psi$ (*sizesAPP* $\Sigma\Phi\ f$) *as* ϱ) (*build-si* *E1* *h* (*varsAPP* $\Sigma d\ f$))))

= ($\sum \varrho \mid \eta\ \varrho = \text{Some } j.$

$\sum \varrho \in \{\varrho'. \psi\ \varrho' = \text{Some } \varrho \wedge \varrho' \in \text{set } (R\text{-Args } \Sigma t\ g)\}.$

the (*the* ($\Delta g\ \varrho$) (*phiMapping-app* (*map* (*sizesAPP* $\Sigma\Phi\ f$) (*map* *atom2var* *as*)) (*build-si* *E1* *h* (*varsAPP* $\Sigma d\ f$))))

apply (*simp* (*no-asm*) *only*: *instance-f-def*)

apply (*subgoal-tac*

($\sum \varrho \mid \eta\ \varrho = \text{Some } j.$

the (*the* ((($\lambda \varrho. \text{Some } (\text{sum-rho } g\ \Delta g\ \psi\ (\text{sizesAPP } \Sigma\Phi\ f)\ \text{as } \varrho)) \mid \text{'(set } (R\text{-Args } \Sigma t\ f) \cup \{\varrho\text{self-f } f\})\}$) ϱ)

(*build-si* *E1* *h* (*varsAPP* $\Sigma d\ f$)))) =

($\sum \varrho \mid \eta\ \varrho = \text{Some } j. \text{the } (\text{the } (\text{Some } (\text{sum-rho } g\ \Delta g\ \psi\ (\text{sizesAPP } \Sigma\Phi\ f)\ \text{as } \varrho)) (\text{build-si } E1\ h\ (\text{varsAPP } \Sigma d\ f))))$, *simp*)

apply (*simp* *add*: *sum-rho-def*)

apply (*subst* *setsumCost-setsum*, *simp*)

apply (*rule* *setsum-cong2*, *simp*)

apply (*simp* *only*: *sum-rho-def*)

apply (*simp* *add*: *restrict-map-def*)

apply (*simp* *add*: *instance-f-def*)

by *force*

lemma *setsum-build-si-le-setsum-phiMapping*:

$\llbracket \text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2)\ h\ k\ td\ (\text{AppE } g\ \text{as } rs'\ ())\ (f, n)\ hh\ k\ v\ (\delta, m, s);$

($\forall i < \text{length } \text{as}. \text{atom } (\text{as } !\ i); \text{length } xs = \text{length } \text{as}; \text{distinct } xs;$

valid $\Sigma d\ \Sigma \vartheta\ \Sigma \Phi; \Sigma d\ g = \text{Some } (xs, rs, ef); \text{fv } (\text{AppE } g\ \text{as } rs'\ a) \subseteq \text{dom } E1;$

monotonic-AbstractDelta $\Delta g; f \in \text{dom } \Sigma d; \text{admissible } f\ \eta\ k;$

set ($R\text{-Args } \Sigma t\ g$) $\cup \{\varrho\text{self-f } g\} = \text{dom } \Delta g;$

dom (*instance-f f g* $\Delta g\ \psi$ (*sizesAPP* $\Sigma\Phi\ f$) *as*) = *dom* $\eta;$

defined-AbstractDelta $\Delta g\ g\rrbracket$

$\implies (\sum \varrho \mid \eta\ \varrho = \text{Some } j.$

$\sum \varrho \in \{\varrho'. \psi\ \varrho' = \text{Some } \varrho \wedge \varrho' \in \text{set } (R\text{-Args } \Sigma t\ g)\}.$

the (*the* ($\Delta g\ \varrho$) (*phiMapping-app* (*map* (*sizesAPP* $\Sigma\Phi\ f$) (*map* *atom2var* *as*)) (*build-si* *E1* *h* (*varsAPP* $\Sigma d\ f$))))

$\geq$

($\sum \varrho \mid \eta\ \varrho = \text{Some } j.$

$\sum \varrho \in \{\varrho'. \psi\ \varrho' = \text{Some } \varrho \wedge \varrho' \in \text{set } (R\text{-Args } \Sigma t\ g)\}.$

the (*the* ($\Delta g\ \varrho$) (*build-si* (*map-of* (*zip* *xs* (*map* (*atom2val* *E1*) *as*))) *h* (*varsAPP* $\Sigma d\ g$))))

apply (*rule* *setsum-mono*)

apply (*rule setsum-mono*)
apply (*subgoal-tac* $\varrho' \in \text{dom } \Delta g$)
apply (*subgoal-tac monotonic-bound* (*the* ($\Delta g \ \varrho'$)))
apply (*subgoal-tac defined-bound* (*the* ($\Delta g \ \varrho'$)) g)
apply (*rule Phi-Mapping-app-ge-build-si-ef,assumption+*)
apply (*simp add: defined-AbstractDelta-def*)
apply (*simp add: monotonic-AbstractDelta-def*)
by *blast*

axioms *setsum- η -ef-equals-setsum- η :*

$\llbracket \text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2) \ h \ k \ td \ (\text{AppE } g \text{ as } rs' \ ()) \ (f, n) \ hh \ k \ v \ (\delta, m, s);$
 $(\forall i < \text{length } as. \text{atom } (as \ ! \ i)); \text{length } xs = \text{length } as; \text{distinct } xs;$
 $\text{valid } \Sigma d \ \Sigma \vartheta \ \Sigma \Phi; \Sigma d \ g = \text{Some } (xs, rs, ef); \text{fv } (\text{AppE } g \text{ as } rs' \ a) \subseteq \text{dom } E1;$
 $\text{monotonic-AbstractDelta } \Delta g; f \in \text{dom } \Sigma d; \text{admissible } f \ \eta \ k;$
 $\text{set } (R\text{-Args } \Sigma t \ g) \cup \{\varrho \text{self-} f \ g\} = \text{dom } \Delta g;$
 $\text{dom } (\text{instance-} f \ f \ g \ \Delta g \ \psi \ (\text{sizesAPP } \Sigma \Phi \ f) \ as) = \text{dom } \eta;$
 $\text{defined-AbstractDelta } \Delta g \ g \rrbracket$
 $\implies (\Sigma \varrho \mid (\eta\text{-ef } \eta \ \psi \ (R\text{-Args } \Sigma t \ g)(\varrho \text{self-} f \ g \mapsto \text{Suc } k)) \ \varrho = \text{Some } j.$
 $\text{the } (\text{the } (\Delta g \ \varrho) \ (\text{build-si } (\text{map-of } (\text{zip } xs \ (\text{map } (\text{atom2val } E1) \ as)))) \ h$
 $(\text{varsAPP } \Sigma d \ g))) =$
 $(\Sigma \varrho \mid \eta \ \varrho = \text{Some } j. \Sigma \varrho' \in \{\varrho'. \ \psi \ \varrho' = \text{Some } \varrho \wedge \varrho' \in \text{set } (R\text{-Args } \Sigma t \ g)\}.$
 $\text{the } (\text{the } (\Delta g \ \varrho) \ (\text{build-si } (\text{map-of } (\text{zip } xs \ (\text{map } (\text{atom2val } E1) \ as)))) \ h$
 $(\text{varsAPP } \Sigma d \ g)))$

lemma *sizeAbstractDelta-si-APP-ge-sizeAbstractDelta-si-eg:*

$\llbracket \text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2) \ h \ k \ td \ (\text{AppE } g \text{ as } rs' \ ()) \ (f, n) \ hh \ k \ v \ (\delta, m, s);$
 $(\forall i < \text{length } as. \text{atom } (as \ ! \ i)); \text{length } xs = \text{length } as; \text{distinct } xs;$
 $\text{valid } \Sigma d \ \Sigma \vartheta \ \Sigma \Phi; \Sigma d \ g = \text{Some } (xs, rs, ef); \text{fv } (\text{AppE } g \text{ as } rs' \ a) \subseteq \text{dom } E1;$
 $\text{monotonic-AbstractDelta } \Delta g; f \in \text{dom } \Sigma d; \text{admissible } f \ \eta \ k;$
 $\text{set } (R\text{-Args } \Sigma t \ g) \cup \{\varrho \text{self-} f \ g\} = \text{dom } \Delta g;$
 $\text{dom } (\text{instance-} f \ f \ g \ \Delta g \ \psi \ (\text{sizesAPP } \Sigma \Phi \ f) \ as) = \text{dom } \eta;$
 $\text{defined-AbstractDelta } \Delta g \ g \rrbracket$
 $\implies \text{sizeAbstractDelta-si } (\text{instance-} f \ f \ g \ \Delta g \ \psi \ (\text{sizesAPP } \Sigma \Phi \ f) \ as) \ (\text{build-si } E1$
 $h \ (\text{varsAPP } \Sigma d \ f)) \ j \ \eta \ >=$
 $\text{sizeAbstractDelta-si } \Delta g \ (\text{build-si } (\text{map-of } (\text{zip } xs \ (\text{map } (\text{atom2val } E1) \ as))))$
 $h \ (\text{varsAPP } \Sigma d \ g)) \ j \ (\eta\text{-ef } \eta \ \psi \ (R\text{-Args } \Sigma t \ g)(\varrho \text{self-} f \ g \mapsto \text{Suc } k))$
apply (*simp only: sizeAbstractDelta-si-def*)
apply (*subst sumset-instance-f-equals-sumset-phiMapping,simp*)
apply (*frule-tac j=j in setsum-build-si-le-setsum-phiMapping,assumption+*)
by (*subst setsum- η -ef-equals-setsum- η ,assumption+*)

lemma *P- Δ -APP:*

$\llbracket \text{SafeDepthSemanticsReal.SafeBoundSem } (E1, E2) \ h \ k \ td \ (\text{AppE } g \text{ as } rs' \ ()) \ (f,$

$n)$ $hh\ k\ v\ (\delta, m, s);$
 $(\forall i < \text{length } as. \text{atom } (as\ !\ i)); \text{length } xs = \text{length } as; \text{distinct } xs;$
 $\text{valid } \Sigma d\ \Sigma \vartheta\ \Sigma \Phi; \Sigma d\ g = \text{Some } (xs, rs, ef); \text{fv } (AppE\ g\ as\ rs'\ a) \subseteq \text{dom } E1;$
 $\text{monotonic-AbstractDelta } \Delta g; f \in \text{dom } \Sigma d; \text{admissible } f\ \eta\ k;$
 $\text{defined-AbstractDelta } \Delta g\ g;$
 $\text{set } (R\text{-Args } \Sigma t\ g) \cup \{\varrho\text{self-}f\ g\} = \text{dom } \Delta g;$
 $\Delta g\text{-ge } \Delta g\ (\text{build-si } (\text{map-of } (\text{zip } xs\ (\text{map } (\text{atom2val } E1)\ as))))\ h\ (\text{varsAPP } \Sigma d\ g))\ (\text{Suc } k)$
 $(\eta\text{-ef } \eta\ \psi\ (R\text{-Args } \Sigma t\ g) ++ [\varrho\text{self-}f\ g \mapsto \text{Suc } k])\ \delta';$
 $\text{dom } (\text{instance-}f\ f\ g\ \Delta g\ \psi\ (\text{sizesAPP } \Sigma \Phi\ f)\ as) = \text{dom } \eta;$
 $\delta = \delta'(k + 1 := \text{None})\ \mathbb{I}$
 $\implies \Delta g\text{-ge } (\text{instance-}f\ f\ g\ \Delta g\ \psi\ (\text{sizesAPP } \Sigma \Phi\ f)\ as)\ (\text{build-si } E1\ h\ (\text{varsAPP } \Sigma d\ f))\ k\ \eta\ \delta$
apply (*simp add: Delta-ge-def*)
apply (*rule ballI*)
apply (*erule-tac x=j in ballE*)
prefer 2 **apply** *simp*
apply (*frule-tac j=j and $\psi=\psi$ in sizeAbstractDelta-si-APP-ge-sizeAbstractDelta-si-eg*)
by (*assumption+,simp,assumption+,simp,assumption+,simp*)

lemma *SafeResourcesDADepth-APP:*

$\mathbb{I}\ \forall i < \text{length } as. \text{atom } (as\ !\ i);$
 $\text{monotonic-bound } \mu g; \text{defined-bound } \mu g\ g;$
 $\text{monotonic-bound } \sigma g; \text{defined-bound } \sigma g\ g;$
 $\text{monotonic-AbstractDelta } \Delta g; \text{defined-AbstractDelta } \Delta g\ g;$
 $f \in \text{dom } \Sigma d;$
 $\Sigma t\ g = \text{Some}(ti, \varrho s, t);$
 $\text{fv } eg \subseteq \text{set } xs; \text{fvReg } eg \subseteq \text{set } rs; \text{fv } eg \subseteq \text{dom } (\text{sizesAPP } \Sigma \Phi\ f);$
 $\text{length } xs = \text{length } ti;$
 $\Sigma d\ g = \text{Some } (xs, rs, eg); \Sigma b\ g = \text{Some } (\text{projection } g\ \Delta g, \mu g, \sigma g); \text{primops } g =$
 $\text{None};$
 $l = \text{real } (\text{length } as); q = \text{real } (\text{length } rs');$
 $\text{argP } \psi\ (R\text{-Args } \Sigma t\ g)\ (\text{typesRegsAPP } \Sigma \vartheta\ f)\ rs';$
 $\Delta = \text{instance-}f\ f\ g\ \Delta g\ \psi\ (\text{sizesAPP } \Sigma \Phi\ f)\ as;$
 $\mu = \text{mu-app } \mu g\ as\ (\text{sizesAPP } \Sigma \Phi\ f);$
 $\sigma = \bigsqcup_c \{\bigsqcup_l +_q\ (\text{substCostReal } (\text{addCostReal } (\text{addCostReal } (\text{sigma-app } \sigma g$
 $as\ (\text{sizesAPP } \Sigma \Phi\ f))\ l)\ q)\ td)\};$
 $\models_f, n\ \Sigma b\ \mathbb{I}$
 $\implies AppE\ g\ as\ rs'\ a : f\ (\text{typesAPP } \Sigma \vartheta\ f)\ (\text{sizesAPP } \Sigma \Phi\ f)\ td, n\ \{\Delta, \mu, \sigma\}$
apply (*case-tac g≠f*)

apply (*frule lemma-19,assumption+*)
apply (*simp add: typesAPP-def*)
apply (*simp only: SafeResourcesDAssDepth.simps*)

```

apply (rule impI)
apply (rule conjI, subst dom-instance-f,simp)
apply (rule allI)+
apply (rule impI)
apply (elim conjE)

```

```

apply (simp only: SafeResourcesDAss.simps)
apply (drule mp,simp)

```

```

apply (frule P1-f-n-APP-2,simp,force,force)
apply (elim exE, elim conjE)

```

```

apply (rotate-tac 29)
apply (erule-tac x=(map-of (zip xs (map (atom2val E1) as))) in allE)
apply (erule-tac x=(map-of (zip rs (map (the  $\circ$  E2) rs'))(self  $\mapsto$  Suc k)) in allE)
apply (erule-tac x=h in allE)
apply (rotate-tac 38)
apply (erule-tac x=Suc k in allE)
apply (rotate-tac 38)
apply (erule-tac x=h' in allE)
apply (erule-tac x=v in allE)
apply (rotate-tac 38)
apply (erule-tac x= $\delta'$  in allE)
apply (erule-tac x=m in allE)
apply (erule-tac x=s' in allE)
apply (erule-tac x=( $\eta$ -ef  $\eta$   $\psi$  (R-Args  $\Sigma t$  g) ++ [qself-f g  $\mapsto$  Suc k]) in allE)
apply (erule-tac x= build-si (map-of (zip xs (map (atom2val E1) as))) h (varsAPP  $\Sigma d$  g) in allE)

```

```

apply (drule mp)

```

```

apply (rule conjI)
apply (subst eqSemRABound [where f=f],force)

```

```

apply (rule conjI)
apply (rule P-dyn-xs-subseteq-dom-E1-g, assumption+)

```

```

apply (rule conjI)
apply (rule P-dyn-fvReg-eg-subseteq-dom-E2-g,assumption+,simp)

```

apply (*rule conjI*, *rule P-dyn-dom-η-ef*, *assumption+*)

apply (*rule conjI*, *simp*)

apply (*rule P-η-ef*, *simp*)

apply (*elim conjE*)

apply (*rule conjI*, *rule P-Δ-APP*)
apply (*assumption+*, *simp*, *assumption+*)

apply (*rule conjI*)
apply (*rule P-μ-APP*, *assumption+*)

apply (*rule P-σ-APP*)
apply (*assumption+*, *simp*, *assumption+*, *simp*, *simp*)

apply *simp*
apply (*case-tac n*)

apply (*simp only: typesAPP-def*)
apply (*simp only: SafeResourcesDAssDepth.simps*)
apply (*rule impI*)
apply (*rule conjI*, *subst dom-instance-f*, *simp*)
apply (*rule allI*)
apply (*rule impI*)
apply (*elim conjE*)
apply (*frule P1-f-n-APP*, *assumption+*, *simp*)

apply (*frule lemma-20*)
apply (*force*, *force*, *simp*, *simp*)

apply (*simp only: typesAPP-def*)
apply (*simp only: SafeResourcesDAssDepth.simps*)

```

apply (rule impI)
apply (drule mp,simp)
apply (elim conjE)

apply (rule conjI, subst dom-instance-f, simp)
apply (intro allI, rule impI)
apply (elim conjE)

apply (frule P1-f-n-ge-0-APP,simp,force)
apply (elim exE, elim conjE)

apply (rotate-tac 25)
apply (erule-tac x=(map-of (zip xs (map (atom2val E1) as))) in allE)
apply (erule-tac x=(map-of (zip rs (map (the o E2) rs'))(self  $\mapsto$  Suc k)) in allE)
apply (erule-tac x=h in allE)
apply (rotate-tac 40)
apply (erule-tac x=Suc k in allE)
apply (rotate-tac 40)
apply (erule-tac x=h' in allE)
apply (erule-tac x=v in allE)
apply (rotate-tac 40)
apply (erule-tac x= $\delta'$  in allE)
apply (erule-tac x=m in allE)
apply (erule-tac x=s' in allE)
apply (erule-tac x=( $\eta$ -ef  $\eta$   $\psi$  (R-Args  $\Sigma t$  g) ++ [self-f g  $\mapsto$  Suc k]) in allE)
apply (erule-tac x= build-si (map-of (zip xs (map (atom2val E1) as))) h (varsAPP
 $\Sigma d$  f) in allE))

apply (drule mp)

apply (rule conjI,simp)

apply (rule conjI)
apply (rule P-dyn-xs-subseteq-dom-E1-g, assumption+)

apply (rule conjI)
apply (rule P-dyn-fvReg-eg-subseteq-dom-E2-g,assumption+,simp)

apply (rule conjI, rule P-dyn-dom- $\eta$ -ef)
apply (force,simp,simp,assumption+,simp,assumption+,simp,simp)

```

apply (*rule conjI*, *simp*)

apply (*frule P-η-ef*, *simp*)

apply (*elim conjE*)

apply (*rule conjI*)

apply (*frule P-Δ-APP*)

apply (*assumption+*, *simp*, *assumption+*, *simp*, *assumption+*, *simp*)

apply (*rule conjI*)

apply (*frule P-μ-APP*, *assumption+*, *simp*)

apply (*frule-tac σg=σg in P-σ-APP*)

by (*assumption+*, *simp*, *assumption+*, *simp*)

end

20 Proof-rules for certifying memory bounds, and soundness theorem

theory *ProofRulesCostes*

imports *CostesDepth Finite-Set*

begin

constdefs *costs-le*:: *Cost* $\Rightarrow$ *Cost* $\Rightarrow$ *bool* (*-* $\sqsubseteq_c$ - 1000)

costs-le *c1* *c2* $\equiv$ (*dom* *c1* = *dom* *c2*) $\wedge$ ($\forall x \in \text{dom } c1. \text{the } (c1 \ x) \leq \text{the } (c2 \ x)$)

constdefs *abstractDelta-le*:: *AbstractDelta* $\Rightarrow$ *AbstractDelta* $\Rightarrow$ *bool*

(*-* $\sqsubseteq_{\Delta}$ - 1000)

abstractDelta-le $\Delta 1$ $\Delta 2 \equiv$ (*dom* $\Delta 1$ = *dom* $\Delta 2$) $\wedge$

($\forall \varrho \in \text{dom } \Delta 1. (\text{the } (\Delta 1 \ \varrho)) \sqsubseteq_c (\text{the } (\Delta 2 \ \varrho))$)

constdefs *resources-le* :: *AbstractDelta* $\Rightarrow$ *AbstractMu* $\Rightarrow$ *AbstractSigma*

$\Rightarrow$ *AbstractDelta* $\Rightarrow$ *AbstractMu* $\Rightarrow$ *AbstractSigma* $\Rightarrow$ *bool*

($(\text{'(-, -, -, -') } \sqsubseteq_R \text{'(-, -, -, -') } 1000)$

($\Delta', \mu', \sigma' \sqsubseteq_R (\Delta, \mu, \sigma) \equiv$

$\Delta' \sqsubseteq_{\Delta} \Delta \wedge \mu' \sqsubseteq_c \mu \wedge \sigma' \sqsubseteq_c \sigma$

inductive

ProofRulesCostes ::
unit Exp $\Rightarrow$ *FunctionResourcesSignature* $\Rightarrow$
FunName $\Rightarrow$ *ThetaMapping* $\Rightarrow$ *PhiMapping* $\Rightarrow$ *real* $\Rightarrow$
AbstractDelta $\Rightarrow$ *AbstractMu* $\Rightarrow$ *AbstractSigma* $\Rightarrow$ *bool*
 (- , - $\vdash$ - - - ' (- , - , - ') 1000)
where
litInt : *ConstE* (*LitN* *i*) *a*, Σb
 $\vdash_f (\vartheta 1, \vartheta 2) \Phi td (\llbracket f ,$
 $\llbracket 0, \llbracket 1)$

| *litBool*: *ConstE* (*LitB* *b*) *a*, Σb
 $\vdash_f (\vartheta 1, \vartheta 2) \Phi td (\llbracket f ,$
 $\llbracket 0, \llbracket 1)$

| *var1* : *VarE* *x a*, Σb
 $\vdash_f (\vartheta 1, \vartheta 2) \Phi td (\llbracket f ,$
 $\llbracket 0, \llbracket 1)$

| *var2* : $\llbracket (typesRegsAPP \Sigma \vartheta f) r = Some \varrho;$
 $(sizesAPP \Sigma \Phi f) x = Some \eta \rrbracket$
 $\Rightarrow CopyE x r d, \Sigma b$
 $\vdash_f (typesAPP \Sigma \vartheta f) (sizesAPP \Sigma \Phi f) td ([\varrho \mapsto \eta], \eta, \llbracket 2)$

| *var3* : $\llbracket (typesRegsAPP \Sigma \vartheta f) r = Some \varrho;$
 $(sizesAPP \Sigma \Phi f) x = Some \eta \rrbracket$
 $\Rightarrow ReuseE x a, \Sigma b$
 $\vdash_f (typesAPP \Sigma \vartheta f) (sizesAPP \Sigma \Phi f) td (\llbracket f ,$
 $\llbracket 0, \llbracket 1)$

| *let1* : $\llbracket \forall C \text{ as } r \text{ a}'. e1 \neq ConstrE C \text{ as } r \text{ a}';$
 $x1 \notin fv e1;$
 $x1 \notin set (varsAPP \Sigma d f);$
defined-AbstractDelta $\Delta 1 f;$
defined-bound $|\Delta 1| f;$
defined-bound $\mu 1 f;$
defined-bound $\sigma 1 f;$
defined-AbstractDelta $\Delta 2 f;$
defined-bound $\mu 2 f;$
defined-bound $\sigma 2 f;$
 $e1, \Sigma b \vdash_f (\vartheta 1, \vartheta 2) \Phi 0 (\Delta 1, \mu 1, \sigma 1);$
 $e2, \Sigma b \vdash_f (\vartheta 1, \vartheta 2) \Phi (td+1) (\Delta 2, \mu 2, \sigma 2);$
 $\Delta = \Delta 1 +_{\Delta} \Delta 2;$
 $\mu = \bigsqcup_c \{\mu 1, |\Delta 1| +_c \mu 2\};$
 $\sigma = \bigsqcup_c$
 $\{\llbracket 2 +_c \sigma 1,$
 $\llbracket 1 +_c \sigma 2\} \rrbracket$

$$\begin{aligned}
& \Longrightarrow \text{Let } x1 = e1 \text{ In } e2 \text{ } a, \Sigma b \vdash_f (\vartheta 1, \vartheta 2) \Phi \text{ } td \\
& \quad (\Delta, \mu, \sigma) \\
| \text{ let1c} : & \llbracket (\text{typesRegsAPP } \Sigma \vartheta f) \text{ } r = \text{Some } \varrho; \varrho \notin \text{dom } \Delta; \\
& \quad e2, \Sigma b \vdash_f (\text{typesAPP } \Sigma \vartheta f) (\text{sizesAPP } \Sigma \Phi f) \text{ } td \\
& \quad (\Delta, \mu, \sigma) \rrbracket \\
& \Longrightarrow \text{Let } x1 = \text{ConstrE } C \text{ as } r \text{ } a' \text{ In } e2 \text{ } a, \Sigma b \\
& \quad \vdash_f (\text{typesAPP } \Sigma \vartheta f) (\text{sizesAPP } \Sigma \Phi f) \text{ } td \\
& \quad (\Delta \text{ } ++ [\varrho \mapsto []_1], \\
& \quad \quad \mu \text{ } +_c []_1, \\
& \quad \quad \sigma 2 \text{ } +_c []_1) \\
| \text{ case1} : & \llbracket \text{length alts} = \text{length assert}; \\
& \quad \text{length alts} > 0; \\
& \quad (\forall i < \text{length assert}. \\
& \quad \quad \text{defined-AbstractDelta } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i)) f); \\
& \quad (\forall i < \text{length assert}. \\
& \quad \quad \text{monotonic-AbstractDelta } (\text{AbstractDeltaSpaceCost } (\text{assert } ! i))); \\
& \quad (\forall i < \text{length assert}. \\
& \quad \quad \text{defined-bound } (\text{AbstractMuSpaceCost } (\text{assert } ! i)) f); \\
& \quad (\forall i < \text{length assert}. \\
& \quad \quad \text{monotonic-bound } (\text{AbstractMuSpaceCost } (\text{assert } ! i))); \\
& \quad (\forall i < \text{length assert}. \\
& \quad \quad \text{defined-bound } (\text{AbstractSigmaSpaceCost } (\text{assert } ! i)) f); \\
& \quad (\forall i < \text{length assert}. \\
& \quad \quad \text{monotonic-bound } (\text{AbstractSigmaSpaceCost } (\text{assert } ! i))); \\
& \quad \forall i < \text{length alts}. \\
& \quad \text{snd } (\text{alts } ! i), \Sigma b \\
& \quad \vdash_f (\vartheta 1, \vartheta 2) \Phi (td + \text{real } (\text{length } (\text{snd } (\text{extractP } (\text{fst } (\text{alts } ! i)))))) \\
& \quad \quad (\text{AbstractDeltaSpaceCost } (\text{assert}!i), \\
& \quad \quad \quad \text{AbstractMuSpaceCost } (\text{assert}!i), \\
& \quad \quad \quad \text{AbstractSigmaSpaceCost } (\text{assert}!i)); \\
& \quad \Delta = \bigsqcup \Delta f (\text{map } \text{AbstractDeltaSpaceCost } \text{assert}); \\
& \quad \mu = \bigsqcup_c (\text{map } \text{AbstractMuSpaceCost } \text{assert}); \\
& \quad \sigma = \bigsqcup_c (\text{map } (\lambda (\Delta, n). \text{addCostReal } \Delta n) \\
& \quad \quad (\text{zip } (\text{map } \text{AbstractSigmaSpaceCost } \text{assert}) \\
& \quad \quad \quad (\text{map num-r alts}))) \rrbracket \\
& \Longrightarrow \text{Case } (\text{VarE } x \text{ } a) \text{ Of alts } a', \Sigma b \\
& \quad \vdash_f (\vartheta 1, \vartheta 2) \Phi \text{ } td (\Delta, \mu, \sigma) \\
| \text{ app-primop} : & \llbracket \text{primops } g = \text{Some oper} \rrbracket \\
& \Longrightarrow \text{AppE } g \text{ } [a1, a2] [] \text{ } a, \Sigma b \\
& \quad \vdash_f (\vartheta 1, \vartheta 2) \Phi \text{ } td \\
& \quad \quad ([g, \\
& \quad \quad \quad []_0, []_2) \\
| \text{ app} : & \llbracket \Sigma d g = \text{Some } (xs, rs, eg); \Sigma b g = \text{Some } (\text{projection } g \Delta g, \mu g, \sigma g); \\
& \quad \text{primops } g = \text{None}; \rrbracket
\end{aligned}$$

$$\begin{aligned}
& l = \text{real } (\text{length } as); q = \text{real } (\text{length } rs'); \\
& \text{argP } \psi \text{ (R-Args } \Sigma t \text{ g) (typesRegsAPP } \Sigma \vartheta \text{ f) } rs'; \\
& \forall i < \text{length } as. \text{atom } (as \text{ ! } i); \\
& \text{monotonic-bound } \mu g; \text{defined-bound } \mu g \text{ g}; \\
& \text{monotonic-bound } \sigma g; \text{defined-bound } \sigma g \text{ g}; \\
& \text{monotonic-AbstractDelta } \Delta g; \text{defined-AbstractDelta } \Delta g \text{ g}; \\
& f \in \text{dom } \Sigma d; \\
& \Sigma t \text{ g} = \text{Some}(ti, \varrho s, t); \\
& \text{fv } eg \subseteq \text{set } xs; \text{fvReg } eg \subseteq \text{set } rs; \\
& \text{fv } eg \subseteq \text{dom } (\text{sizesAPP } \Sigma \Phi \text{ f}); \\
& \text{length } xs = \text{length } ti; \\
& \Delta = \text{instance-f f g } \Delta g \text{ } \psi \text{ (sizesAPP } \Sigma \Phi \text{ f) } as; \\
& \mu = \text{mu-app } \mu g \text{ as (sizesAPP } \Sigma \Phi \text{ f}); \\
& \sigma = \bigsqcup c \{ \bigsqcup l + q' \\
& \quad (\text{substCostReal } (\text{addCostReal } (\text{addCostReal } \\
& \quad \quad (\text{sigma-app } \sigma g \text{ as (sizesAPP } \Sigma \Phi \text{ f)) } l) \text{ } q) \text{ td}) \} \\
& \implies \text{AppE g as } rs' \text{ a, } \Sigma b \vdash_f (\text{typesAPP } \Sigma \vartheta \text{ f}) \quad (\text{sizesAPP } \Sigma \Phi \text{ f) td} \\
& (\Delta, \mu, \sigma) \\
& | \text{ rec } : \llbracket \Sigma d \text{ f} = \text{Some } (xs, rs, ef); \\
& \quad f \notin \text{dom } \Sigma b; \\
& \quad (\varrho \text{self-f f}) \notin \text{dom } \Delta; \\
& \quad \text{finite } (\text{dom } \Delta); \\
& \quad ef, \Sigma b(f \mapsto (\Delta, \mu, \sigma)) \\
& \quad \vdash_f (\text{typesAPP } \Sigma \vartheta \text{ f}) (\text{sizesAPP } \Sigma \Phi \text{ f) real}(\text{length} \quad (\text{varsAPP } \Sigma d \text{ f}) + \text{length } (\text{regionsAPP } \Sigma d \text{ f} \\
& \quad (\Delta', \mu', \sigma'); \\
& \quad (\text{projection f } \Delta', \mu', \sigma') \sqsubseteq_R (\Delta, \mu, \sigma) \rrbracket \\
& \implies ef, \Sigma b \vdash_f (\text{typesAPP } \Sigma \vartheta \text{ f}) (\text{sizesAPP } \Sigma \Phi \text{ f) \quad real}(\text{length } (\text{varsAPP } \Sigma d \text{ f}) + \text{length}(\text{regionsAPP } \Sigma d \text{ f} \\
& \mu', \sigma')
\end{aligned}$$

lemma *equiv-all-n-SafeResourcesDAssDepth-SafeResourcesDAss:*

$\forall n. \text{SafeResourcesDAssDepth } e \text{ f (typesAPP } \Sigma \vartheta \text{ f) (sizesAPP } \Sigma \Phi \text{ f) td n } \Delta \mu$
 σ

$\implies e : f \text{ (typesAPP } \Sigma \vartheta \text{ f) (sizesAPP } \Sigma \Phi \text{ f) td } \{\Delta, \mu, \sigma\}$

apply (*simp only: typesAPP-def*)

apply (*simp only: SafeResourcesDAss.simps*)

apply (*simp only: SafeResourcesDAssDepth.simps*)

apply (*rule impI*)

apply (*rule conjI, simp*) +

apply (*intro allI, rule impI*)

apply (*elim conjE*)

apply (*frule-tac f = f in eqSemRADepth*)

apply (*simp only: SafeBoundSem-def*)

apply (*elim exE*)

apply (*rename-tac n*)

```

apply (erule-tac  $x=n$  in allE)
apply (drule mp,simp)
apply (elim conjE)
apply (erule-tac  $x=E1$  in allE)
apply (erule-tac  $x=E2$  in allE)
apply (erule-tac  $x=h$  in allE)
apply (erule-tac  $x=k$  in allE)
apply (erule-tac  $x=hh$  in allE)
apply (erule-tac  $x=v$  in allE)
apply (erule-tac  $x=\delta$  in allE)
apply (erule-tac  $x=m$  in allE)
apply (erule-tac  $x=s$  in allE)
apply (erule-tac  $x=\eta$  in allE)
apply (erule-tac  $x=si$  in allE)
apply (drule mp)
apply (rule conjI, simp add: Let-def, force)
apply (rule conjI, simp)
apply (rule conjI, simp)
apply (rule conjI, simp)
apply (rule conjI, simp)
apply (rule conjI, simp)
apply simp
by simp

```

lemma *equiv-SafeResourcesDAss-all-n-SafeResourcesDAssDepth:*

```

 $e : f \text{ (typesAPP } \Sigma \varnothing \text{ } g) \text{ (sizesAPP } \Sigma \Phi \text{ } g) \text{ td } \{\Delta, \mu, \sigma\}$ 
 $\implies \forall n. \text{ SafeResourcesDAssDepth } e \text{ } f \text{ (typesAPP } \Sigma \varnothing \text{ } g) \text{ (sizesAPP } \Sigma \Phi \text{ } g) \text{ td } n$ 
 $\Delta \mu \sigma$ 
apply (simp only: typesAPP-def)
apply (simp only: SafeResourcesDAss.simps)
apply (simp only: SafeResourcesDAssDepth.simps)
apply clarsimp
apply (simp only: SafeBoundSem-def)
apply (simp add: Let-def)
apply (elim exE)
apply (elim conjE)
apply (rotate-tac 7)
apply (erule-tac  $x=E1$  in allE)
apply (erule-tac  $x=E2$  in allE)
apply (erule-tac  $x=h$  in allE)
apply (rotate-tac 9)
apply (erule-tac  $x=k$  in allE)
apply (erule-tac  $x=hh$  in allE)
apply (erule-tac  $x=v$  in allE)
apply (erule-tac  $x=\delta$  in allE)
apply (erule-tac  $x=m$  in allE)
apply (erule-tac  $x=s$  in allE)
apply (erule-tac  $x=\eta$  in allE)
apply (erule-tac  $td=td$  in eqSemDepthRA)

```

apply (*drule mp,force*)
by *simp*

lemma *lemma-5*:

$\forall n. \text{SafeResourcesDAssDepth } e \ f \ (\text{typesAPP } \Sigma \vartheta \ f) \ (\text{sizesAPP } \Sigma \Phi \ f) \ td \ n \ \Delta \ \mu$
 $\sigma \equiv$

$e : f \ (\text{typesAPP } \Sigma \vartheta \ f) \ (\text{sizesAPP } \Sigma \Phi \ f) \ td \ \{\Delta, \mu, \sigma\}$

apply (*rule eq-reflection*)

apply (*rule iffI*)

apply (*rule equiv-all-n-SafeResourcesDAssDepth-SafeResourcesDAss,force*)

by (*rule equiv-SafeResourcesDAss-all-n-SafeResourcesDAssDepth,force*)

declare *fun-upd-apply* [*simp del*]

declare *SafeResourcesDAss.simps* [*simp del*]

declare *SafeResourcesDAssDepth.simps* [*simp del*]

lemma *imp-ValidGlobalResourcesEnv-all-n-ValidGlobalResourcesEnvDepth*:

ValidGlobalResourcesEnv Σb

$\implies \forall n. \models_{f,n} \Sigma b$

apply (*erule ValidGlobalResourcesEnv.induct*)

apply (*rule allI*)

apply (*rule ValidGlobalResourcesEnvDepth.base*)

apply (*rule ValidGlobalResourcesEnv.base*)

apply *simp*

apply (*rule allI*)

apply (*case-tac fa=f,simp*)

apply (*induct-tac n*)

apply (*rule ValidGlobalResourcesEnvDepth.depth0,simp,simp*)

apply (*rule-tac ValidGlobalResourcesEnvDepth.step*)

apply (*simp,simp,simp*)

apply (*frule-tac f=f in equiv-SafeResourcesDAss-all-n-SafeResourcesDAssDepth,force*)

apply (*rule ValidGlobalResourcesEnvDepth.g*)

by (*simp,simp,simp,simp*)

lemma *imp-ValidGlobalResourcesEnv-all-n-ValidGlobalResourcesEnvDepth*:

ValidGlobalResourcesEnv Σb

$\implies \forall n. \models_{f,n} \Sigma b$

apply (*erule ValidGlobalResourcesEnv.induct*)

apply (*rule allI*)

```

apply (rule ValidGlobalResourcesEnvDepth.base)
apply (rule ValidGlobalResourcesEnv.base)
apply simp
apply (rule allI)
apply (case-tac fa=f,simp)
apply (induct-tac n)
apply (rule ValidGlobalResourcesEnvDepth.depth0,simp,simp)
apply (rule-tac ValidGlobalResourcesEnvDepth.step)
apply (simp,simp,simp)
apply (frule-tac f=f in equiv-SafeResourcesDAss-all-n-SafeResourcesDAssDepth,force)
apply (rule ValidGlobalResourcesEnvDepth.g)
by (simp,simp,simp,simp)

```

lemma *imp-ValidResourcesDepth-n-SigmaResources-Valid-Sigma* [rule-format]:

```

 $\models_{f,n} \Sigma b$ 
 $\longrightarrow f \notin \text{dom } \Sigma b$ 
 $\longrightarrow \text{ValidGlobalResourcesEnv } \Sigma b$ 
apply (rule impI)
apply (erule ValidGlobalResourcesEnvDepth.induct,simp-all)
apply (rule impI)
by (rule ValidGlobalResourcesEnv.step,simp-all)

```

lemma *imp-f-notin-SigmaResources-ValidDepth-n-SigmaResources-Valid-Sigma*:

```

 $\llbracket f \notin \text{dom } \Sigma b; \forall n. \models_{f,n} \Sigma b \rrbracket$ 
 $\implies \text{ValidGlobalResourcesEnv } \Sigma b$ 
apply (erule-tac x=n in allE)
by (rule imp-ValidResourcesDepth-n-SigmaResources-Valid-Sigma,assumption+)

```

lemma *the-AbstractDeltaSpaceCost-upd*:

```

AbstractDeltaSpaceCost (the (( $\Sigma(f \mapsto (\Delta, \mu, \sigma))$ ) f)) =  $\Delta$ 
by (simp add: fun-upd-apply add: dom-def)

```

lemma *the-AbstractMuSpaceCost-upd*:

```

AbstractMuSpaceCost (the (( $\Sigma(f \mapsto (\Delta, \mu, \sigma))$ ) f)) =  $\mu$ 
by (simp add: fun-upd-apply add: dom-def)

```

lemma *the-AbstractSigmaSpaceCost-upd*:

```

AbstractSigmaSpaceCost (the (( $\Sigma(f \mapsto (\Delta, \mu, \sigma))$ ) f)) =  $\sigma$ 
by (simp add: fun-upd-apply add: dom-def)

```

lemma *the-AbstractDeltaSpaceCost-other-upd*:

```

 $g \neq f$ 
 $\implies \text{AbstractDeltaSpaceCost (the (( $\Sigma(g \mapsto (\Delta, \mu, \sigma))$ ) f))} = \text{AbstractDeltaSpaceCost (the ( $\Sigma f$ ))}$ 
by (simp add: fun-upd-apply add: dom-def)

```

lemma *the-AbstractMuSpaceCost-other-upd*:

$g \neq f$
 $\implies \text{AbstractMuSpaceCost } (\text{the } ((\Sigma(g \mapsto (\Delta, \mu, \sigma))) f)) = \text{AbstractMuSpaceCost } (\text{the } (\Sigma f))$
by (*simp add: fun-upd-apply add: dom-def*)

lemma *the-AbstractSigmaSpaceCost-other-upd*:

$g \neq f$
 $\implies \text{AbstractSigmaSpaceCost } (\text{the } ((\Sigma(g \mapsto (\Delta, \mu, \sigma))) f)) = \text{AbstractSigmaSpaceCost } (\text{the } (\Sigma f))$
by (*simp add: fun-upd-apply add: dom-def*)

lemma *the-AbstractDeltaSpaceCost-other-projection-upd*:

$g \neq f$
 $\implies \text{AbstractDeltaSpaceCost } (\text{the } ((\Sigma(g \mapsto (\text{projection } g \Delta, \mu, \sigma))) f)) = \text{AbstractDeltaSpaceCost } (\text{the } (\Sigma f))$
by (*simp add: fun-upd-apply add: dom-def*)

lemma *the-AbstractMuSpaceCost-other-projection-upd*:

$g \neq f$
 $\implies \text{AbstractMuSpaceCost } (\text{the } ((\Sigma(g \mapsto (\text{projection } g \Delta, \mu, \sigma))) f)) = \text{AbstractMuSpaceCost } (\text{the } (\Sigma f))$
by (*simp add: fun-upd-apply add: dom-def*)

lemma *the-AbstractSigmaSpaceCost-other-projection-upd*:

$g \neq f$
 $\implies \text{AbstractSigmaSpaceCost } (\text{the } ((\Sigma(g \mapsto (\text{projection } g \Delta, \mu, \sigma))) f)) = \text{AbstractSigmaSpaceCost } (\text{the } (\Sigma f))$
by (*simp add: fun-upd-apply add: dom-def*)

axioms *equals-projection*:

$\text{projection } f \Delta' = \text{projection } f \Delta$
 $\implies \Delta' = \Delta$

lemma *Theorem-4-aux* [*rule-format*]:

$\models_{f,n} \Sigma b$
 $\longrightarrow n = \text{Suc } n'$
 $\longrightarrow f \in \text{dom } \Sigma b$
 $\longrightarrow \Sigma b f = \text{Some } (\text{projection } f \Delta, \mu, \sigma)$
 $\longrightarrow (\text{bodyAPP } \Sigma d f) :_f (\text{typesAPP } \Sigma \vartheta f) (\text{sizesAPP } \Sigma \Phi f) \text{ real}(\text{length } (\text{varsAPP } \Sigma d f) + \text{length } (\text{regionsAPP } \Sigma d f))$
 $\quad \{ \Delta, \mu, \sigma \}$
apply (*rule impI*)
apply (*erule ValidGlobalResourcesEnvDepth.induct*)
apply *simp* **apply** *simp*
apply (*rule impI*)**+**

apply (*subst (asm) map-upd-Some-unfold,simp*)
apply (*elim conjE, frule equals-projection,simp*)
apply *clarsimp*
by (*simp add: fun-upd-apply add: dom-def*)

lemma *Theorem-4:*

$\llbracket \forall n > 0. \models_{f,n} \Sigma b; f \in \text{dom } \Sigma b; \Sigma b f = \text{Some } (\text{projection } f \Delta, \mu, \sigma) \rrbracket$
 $\implies \forall n. (\text{bodyAPP } \Sigma d f) :_f (\text{typesAPP } \Sigma \vartheta f) (\text{sizesAPP } \Sigma \Phi f) \text{ real}(\text{length } (\text{varsAPP } \Sigma d f) + \text{length } (\text{regionsAPP } \Sigma d f))$
 $\llbracket \Delta, \mu, \sigma \rrbracket$
apply (*rule allI*)
apply (*rule-tac n=Suc n in Theorem-4-aux*)
by (*force,simp,simp,simp*)

lemma *Theorem-5-aux [rule-format]:*

$\models_{f,n} \Sigma b$
 $\longrightarrow n = \text{Suc } n'$
 $\longrightarrow f \in \text{dom } \Sigma b$
 $\longrightarrow \Sigma b f = \text{Some } (\text{projection } f \Delta, \mu, \sigma)$
 $\longrightarrow (\text{bodyAPP } \Sigma d f) :_f (\text{typesAPP } \Sigma \vartheta f) (\text{sizesAPP } \Sigma \Phi f) \text{ real}(\text{length } (\text{varsAPP } \Sigma d f) + \text{length } (\text{regionsAPP } \Sigma d f))$
 $\llbracket \Delta, \mu, \sigma \rrbracket$
 $\longrightarrow \models_{f,n} \Sigma b$
apply (*rule impI*)
apply (*erule ValidGlobalResourcesEnvDepth.induct,simp-all*)
apply (*rule impI*)+
apply (*rule ValidGlobalResourcesEnv.step*)
apply (*simp,simp*)
apply (*subst (asm) map-upd-Some-unfold,simp*)
apply (*elim conjE, frule equals-projection,simp*)
apply (*rule impI*)+
apply (*case-tac g=f,simp-all*)
apply (*rule ValidGlobalResourcesEnv.step,simp-all*)
apply (*subgoal-tac f \in dom \Sigma b,simp*)
prefer 2 **apply** (*simp add: fun-upd-apply add: dom-def*)
by (*simp add: fun-upd-apply add: dom-def*)+

lemma *Theorem-5:*

$\llbracket \forall n > 0. \models_{f,n} \Sigma b; f \in \text{dom } \Sigma b; \Sigma b f = \text{Some } (\text{projection } f \Delta, \mu, \sigma);$
 $(\text{bodyAPP } \Sigma d f) :_f (\text{typesAPP } \Sigma \vartheta f) (\text{sizesAPP } \Sigma \Phi f) \text{ real}(\text{length } (\text{varsAPP } \Sigma d f) + \text{length } (\text{regionsAPP } \Sigma d f))$
 $\llbracket \Delta, \mu, \sigma \rrbracket$
 $\implies \models_{f,n} \Sigma b$
apply (*rule-tac n=Suc n in Theorem-5-aux*)
by *simp-all*

lemma *signature-correct* [rule-format]:
 $\models_{f,n} \Sigma b$
 $\longrightarrow f \in \text{dom } \Sigma b$
 $\longrightarrow (\exists \Delta. (\text{AbstractDeltaSpaceCost } (\text{the } (\Sigma b f))) = \text{projection } f \Delta)$
apply (rule *impI*)
apply (erule *ValidGlobalResourcesEnvDepth.induct,simp-all*)
apply (simp add: fun-upd-apply add: dom-def,force)
apply (rule-tac $x=\Delta$ in *exI*)
apply (simp add: fun-upd-apply add: dom-def)
apply (rule *impI*)
apply (drule *mp,simp*)
apply (elim *exE*)
apply (rule-tac $x=\Delta'$ in *exI*)
by (simp add: fun-upd-apply add: dom-def)

lemma *imp-f-in-SigmaResources-ValidDepth-n-SigmaResources-Valid-Sigma*:
 $\llbracket \forall n. \models_{f,n} \Sigma b; f \in \text{dom } \Sigma b \rrbracket$
 $\implies \text{ValidGlobalResourcesEnv } \Sigma b$
apply (subgoal-tac $\models_{f,n} \Sigma b$)
prefer 2 **apply** *simp*
apply (frule *signature-correct,assumption*)
apply (elim *exE*)
apply (frule *domD*)
apply (elim *exE*, case-tac *b*, case-tac *ba,simp,clarsimp*)
apply (subgoal-tac $f \in \text{dom } \Sigma b$)
apply (subgoal-tac $\models_{f,0} \Sigma b \wedge (\forall n > 0. \models_{f,n} \Sigma b), \text{elim conjE}$)
prefer 2 **apply** *simp*
apply (frule *Theorem-4,simp-all,force*)
apply (subgoal-tac $\models_{f,0} \Sigma b \wedge (\forall n > 0. \models_{f,n} \Sigma b), \text{elim conjE}$)
prefer 2 **apply** *simp*
apply (frule *Theorem-5,simp-all,force*)
apply (rule *equiv-all-n-SafeResourcesDAssDepth-SafeResourcesDAss,simp*)
by (simp add: dom-def)

lemma *imp-all-n-ValidGlobalResourcesEnvDepth-ValidGlobalResourcesEnv*:
 $\llbracket \forall n. \models_{f,n} \Sigma b \rrbracket$
 $\implies \text{ValidGlobalResourcesEnv } \Sigma b$
apply (case-tac $f \notin \text{dom } \Sigma b, \text{simp-all}$)
apply (rule *imp-f-notin-SigmaResources-ValidDepth-n-SigmaResources-Valid-Sigma,assumption+*)
by (rule *imp-f-in-SigmaResources-ValidDepth-n-SigmaResources-Valid-Sigma,assumption+*)

lemma *lemma-6*:

$\forall n. \models_{f,n} \Sigma b \equiv$
 $\text{ValidGlobalResourcesEnv } \Sigma b$
apply (rule eq-reflection)
apply (rule iffI)

apply (rule-tac $f=f$ in imp-all-n-ValidGlobalResourcesEnvDepth-ValidGlobalResourcesEnv,force)

by (rule imp-ValidGlobalResourcesEnv-all-n-ValidGlobalResourcesEnvDepth,force)

lemma lemma-7:
 $\llbracket \forall n. e, \Sigma b : f \text{ (typesAPP } \Sigma \vartheta f) \text{ (sizesAPP } \Sigma \Phi f) \text{ td, } n \llbracket \Delta, \mu, \sigma \rrbracket \rrbracket$
 $\implies \text{SafeResourcesDAssCntxt } e \Sigma b f \text{ (typesAPP } \Sigma \vartheta f) \text{ (sizesAPP } \Sigma \Phi f) \text{ td } \Delta$
 $\mu \sigma$
apply (simp only: typesAPP-def)
apply (simp only: SafeResourcesDAssDepthCntxt.simps)
apply (subgoal-tac
 $(\forall n. \models_{f,n} \Sigma b) \longrightarrow$
 $(\forall n. e : f \text{ (typesAPP } \Sigma \vartheta f) \text{ (sizesAPP } \Sigma \Phi f) \text{ td, } n \llbracket \Delta, \mu, \sigma \rrbracket))$
apply (erule thin-rl)
apply (subst (asm) lemma-5)
apply (subst (asm) lemma-6)
apply (simp add: SafeResourcesDAssCntxt.simps)
apply (simp only: typesAPP-def)
apply (rule impI)
apply (simp only: typesAPP-def)
by force

lemma fold-op-plus-le [rule-format]:
 $\text{finite } (\{\varrho. \eta \varrho = \text{Some } j\})$
 $\longrightarrow \Delta' \sqsubseteq_{\Delta} \Delta$
 $\longrightarrow \text{fold op} + (\lambda \varrho. \text{the } (\text{the } (\Delta' \varrho) \text{ si})) (0::\text{real}) (\{\varrho. \eta \varrho = \text{Some } j\}) \leq$
 $\text{fold op} + (\lambda \varrho. \text{the } (\text{the } (\Delta \varrho) \text{ si})) (0::\text{real}) (\{\varrho. \eta \varrho = \text{Some } j\})$
apply (rule impI)
apply (induct rule: finite-induct)
apply (rule impI)
apply simp
apply (rule impI)+
apply (simp add: ran-def)
apply (simp add: abstractDelta-le-def)
apply (subgoal-tac the (the ($\Delta' x$) si) $\leq$ the (the (Δx) si))

```

  apply clarsimp
  apply (elim conjE)
  apply (case-tac  $x \in \text{dom } \Delta$ )
  apply (simp add: costs-le-def)
  apply (case-tac  $si \in \text{dom } (\text{the } (\Delta' x))$ ),force,force)
  apply (subgoal-tac  $\Delta x = \text{None}$ ,simp)
  apply (subgoal-tac  $\Delta' x = \text{None}$ ,simp,force)
  by force

```

```

lemma sizeAbstractDelta-si-le:
   $\llbracket \text{finite } (\{\varrho. \eta \varrho = \text{Some } j \wedge \varrho \in \text{dom } \Delta\});$ 
     $\Delta' \sqsubseteq_{\Delta} \Delta \rrbracket$ 
 $\implies \text{sizeAbstractDelta-si } \Delta' \text{ si } j \eta \leq$ 
     $\text{sizeAbstractDelta-si } \Delta \text{ si } j \eta$ 
  apply (simp add: sizeAbstractDelta-si-def)
  apply (simp add: setsum-def)
  apply (rule impI)
  by (rule fold-op-plus-le,assumption+)

```

```

lemma resources-le-Delta-ge:
   $\llbracket \forall j \in \{0..k\}. \text{finite } (\{\varrho. \eta \varrho = \text{Some } j \wedge \varrho \in \text{dom } \Delta\});$ 
     $\text{Delta-ge } \Delta' \text{ si } k \eta \delta; \Delta' \sqsubseteq_{\Delta} \Delta \rrbracket$ 
 $\implies \text{Delta-ge } \Delta \text{ si } k \eta \delta$ 
  apply (simp add: Delta-ge-def)
  apply (rule ballI)
  apply (subgoal-tac  $\text{dom } \Delta' = \text{dom } \Delta$ )
  prefer 2 apply (simp add: abstractDelta-le-def)
  apply (erule-tac  $x=j$  in ballE,simp)
  apply (frule-tac  $\eta=\eta$  and  $j=j$  and  $si=si$  in sizeAbstractDelta-si-le,assumption+)

```

```

  apply force
  by simp

```

```

lemma resources-le-Mu-ge:
   $\llbracket \text{mu-ge } \mu' \text{ si } s; \mu' \sqsubseteq_c \mu \rrbracket$ 
 $\implies \text{mu-ge } \mu \text{ si } s$ 
  apply (simp add: mu-ge-def)
  apply (simp add: costs-le-def)
  apply (erule real-le-trans)
  apply (elim conjE)
  by (erule-tac  $x=si$  in ballE,simp,force)

```

```

lemma resources-le-Sigma-ge:
   $\llbracket \text{sigma-ge } \sigma' \text{ si } s; \sigma' \sqsubseteq_c \sigma \rrbracket$ 

```

```

     $\Rightarrow$  sigma-ge  $\sigma$  si s
  apply (simp add: sigma-ge-def)
  apply (simp add: costs-le-def)
  apply (erule real-le-trans)
  apply (elim conjE)
  by (erule-tac x=si in ballE,simp,force)

```

```

lemma dom-projection-Delta:
   $\text{dom } (\text{projection } f \ \Delta) = \text{dom } \Delta - \{(\varrho\text{self-}f \ f)\}$ 
  apply (rule equalityI)
  apply (rule subsetI)
  apply (simp add: projection-def,clarsimp)
  apply (split split-if-asm,simp,force)
  apply (rule subsetI)
  apply (simp add: projection-def)
  by clarsimp

```

```

lemma dom-delta'-equals-dom-delta-qlself:
   $\llbracket \text{insert } (\varrho\text{self-}f \ f) (\text{set } (R\text{-Args } \Sigma t \ f)) = \text{dom } \Delta';$ 
   $\text{projection } f \ \Delta' \sqsubseteq_{\Delta} \Delta \rrbracket$ 
   $\Rightarrow \text{dom } \Delta' = \text{insert } (\varrho\text{self-}f \ f) (\text{dom } \Delta)$ 
  apply (rule equalityI)
  apply (rule subsetI)
  apply (simp add: abstractDelta-le-def)
  apply (subst (asm) dom-projection-Delta)
  apply blast
  apply (rule subsetI)
  apply (simp add: abstractDelta-le-def)
  apply (subst (asm) dom-projection-Delta)
  by blast

```

```

lemma projection-prop:
   $\llbracket \text{insert } (\varrho\text{self-}f \ f) (\text{set } (R\text{-Args } \Sigma t \ f)) = \text{dom } \Delta';$ 
   $\text{projection } f \ \Delta' \sqsubseteq_{\Delta} \Delta \rrbracket$ 
   $\Rightarrow \Delta' \sqsubseteq_{\Delta} \Delta(\varrho\text{self-}f \ f \mapsto \text{the } (\Delta' (\varrho\text{self-}f \ f)))$ 
  apply (simp (no-asm) add: abstractDelta-le-def)
  apply (rule conjI)
  apply (rule dom-delta'-equals-dom-delta-qlself,simp,simp)
  apply (subst dom-delta'-equals-dom-delta-qlself,simp,simp)
  apply (rule ballI)
  apply (simp only: abstractDelta-le-def)
  apply (elim conjE,clarsimp,erule disjE)
  apply (simp add: fun-upd-apply add: dom-def)
  apply (simp add: costs-le-def)

```

apply (*erule-tac* $x=\varrho$ **in** *ballE*)
prefer 2 **apply** *simp*
apply (*subst* (*asm*) *dom-projection-Delta*)
apply (*subgoal-tac* $\varrho \neq (\varrho\text{self-}f\ f)$)
apply (*simp* *add: projection-def*)
apply (*simp* *add: fun-upd-apply* *add: dom-def*)
by *blast*

lemma *sizeAbstractDelta-si-le*:
 $\llbracket \text{insert } (\varrho\text{self-}f\ f) (\text{set } (R\text{-Args } \Sigma t\ f)) = \text{dom } \Delta';$
 $\text{finite } (\{\varrho. \eta\ \varrho = \text{Some } j \wedge \varrho \in \text{dom } \Delta\});$
 $\text{projection } f\ \Delta' \sqsubseteq_{\Delta} \Delta \rrbracket$
 $\implies \text{sizeAbstractDelta-si } \Delta' \text{ si } j\ \eta \leq$
 $\text{sizeAbstractDelta-si } (\Delta(\varrho\text{self-}f\ f \mapsto \text{the } (\Delta' (\varrho\text{self-}f\ f)))) \text{ si } j\ \eta$
apply (*simp* *add: sizeAbstractDelta-si-def*)
apply (*simp* *add: setsum-def*)
apply (*rule* *impI*)
apply (*rule* *fold-op-plus-le,assumption+*)
by (*rule* *projection-prop,assumption+*)

lemma *resources-le-Delta-ge*:
 $\llbracket \text{insert } (\varrho\text{self-}f\ f) (\text{set } (R\text{-Args } \Sigma t\ f)) = \text{dom } \Delta';$
 $\forall j \in \{0..k\}. \text{finite } (\{\varrho. \eta\ \varrho = \text{Some } j \wedge \varrho \in \text{dom } \Delta\});$
 $\text{Delta-ge } \Delta' \text{ si } k\ \eta\ \delta; \text{ projection } f\ \Delta' \sqsubseteq_{\Delta} \Delta \rrbracket$
 $\implies \text{Delta-ge } (\Delta(\varrho\text{self-}f\ f \mapsto \text{the } (\Delta' (\varrho\text{self-}f\ f)))) \text{ si } k\ \eta\ \delta$
apply (*simp* *add: Delta-ge-def*)
apply (*rule* *ballI*)
apply (*erule-tac* $x=j$ **in** *ballE, simp*)
apply (*frule-tac* $\eta=\eta$ **and** $j=j$ **and** $\text{si}=\text{si}$ **in** *sizeAbstractDelta-si-le,assumption+*)

apply *force*
by *simp*

lemma *finite-dom-Delta-finite-eta*:
 $\text{finite } (\text{dom } \Delta)$
 $\implies (\forall j \in \{0..k\}. \text{finite } (\{\varrho. \eta\ \varrho = \text{Some } j \wedge \varrho \in \text{dom } \Delta\}))$
apply (*rule* *ballI*)
apply (*rule-tac* $B=\{\varrho. \varrho \in \text{dom } \Delta\}$ **in** *finite-subset*)
by (*blast, simp*)

lemma *resources-le-SafeResourcesDAssDepth*:
 $\llbracket (\text{projection } f\ \Delta', \mu', \sigma') \sqsubseteq_R (\Delta, \mu, \sigma);$
 $\text{finite } (\text{dom } \Delta);$
 $\text{bodyAPP } \Sigma d\ f : f\ (\text{typesAPP } \Sigma \vartheta\ f) (\text{sizesAPP } \Sigma \Phi\ f)\ \text{td}, n\ \{\Delta', \mu', \sigma'\} \rrbracket$
 $\implies \text{bodyAPP } \Sigma d\ f : f\ (\text{typesAPP } \Sigma \vartheta\ f) (\text{sizesAPP } \Sigma \Phi\ f)\ \text{td}, n\ \{\Delta((\varrho\text{self-}f\ f) \mapsto$

```

the ( $\Delta'$  ( $\rho\text{self-}f\ f$ ))),  $\mu$  ,  $\sigma$   $\Vdash$ 
apply (simp only: resources-le-def)
apply (simp only: typesAPP-def)
apply (simp only: SafeResourcesDAssDepth.simps)
apply (elim conjE)
apply (rule impI)
apply (rule conjI,simp)+
  apply (rule dom-delta'-equals-dom-delta- $\rho\text{self}$ ,simp,simp)
apply (drule mp,simp)
apply (elim conjE)
apply (rule allI)+
  apply (erule-tac x=E1 in allE)
  apply (erule-tac x=E2 in allE)
  apply (erule-tac x=h in allE)
  apply (erule-tac x=k in allE)
  apply (erule-tac x=hh in allE)
  apply (erule-tac x=v in allE)
  apply (erule-tac x= $\delta$  in allE)
  apply (erule-tac x=m in allE)
  apply (erule-tac x=s in allE)
  apply (erule-tac x= $\eta$  in allE)
  apply (erule-tac x=si in allE)
  apply (rule impI)
  apply (elim conjE)
  apply (drule mp)
  apply (rule conjI,simp)+
  apply (subst dom-delta'-equals-dom-delta- $\rho\text{self}$ ,simp,simp,simp)
  apply (rule conjI,simp)
  apply simp
  apply (elim conjE)
  apply (frule-tac  $\eta=\eta$  and k=k in finite-dom- $\Delta$ -finite- $\eta$ )
  apply (rule conjI, rule resources-le-Delta-ge,simp,simp,simp,simp)
  apply (rule conjI, rule resources-le-Mu-ge,simp,simp)
  by (rule resources-le-Sigma-ge,simp,simp)

```

```

lemma projection-prop2:
  ( $\rho\text{self-}f\ f$ )  $\notin$  dom  $\Delta$ 
   $\implies \Delta = \text{projection } f\ (\Delta(\rho\text{self-}f\ f \mapsto \text{the } (\Delta' (\rho\text{self-}f\ f))))$ 
  apply (rule map-le-antisym)
  apply (simp add: projection-def)
  apply (simp add: map-le-def,clarsimp)
  apply (rule sym)
  apply (subst map-upd-Some-unfold,simp)
  apply (simp add: projection-def)
  apply (simp add: map-le-def,clarsimp)
  by (subst (asm) map-upd-Some-unfold,simp)

```

lemma *lemma-8-REC* [rule-format]:

$(\forall n. (ValidGlobalResourcesEnvDepth\ f\ n\ (\Sigma b(f \mapsto (\Delta, \mu, \sigma))))$
 $\longrightarrow SafeResourcesDAssDepth\ (bodyAPP\ \Sigma d\ f)\ f\ (typesAPP\ \Sigma \vartheta\ f)$
 $(sizesAPP\ \Sigma \Phi\ f)$
 $(real\ (length\ (varsAPP\ \Sigma d\ f)) + real\ (length\ (regionsAPP\ \Sigma d\ f)))\ n\ \Delta'\ \mu'\ \sigma')$
 $\longrightarrow f \notin dom\ \Sigma b$
 $\longrightarrow (\rho self\ f\ f) \notin dom\ \Delta$
 $\longrightarrow finite\ (dom\ \Delta)$
 $\longrightarrow (projection\ f\ \Delta', \mu', \sigma') \sqsubseteq_R (\Delta, \mu, \sigma)$
 $\longrightarrow ValidGlobalResourcesEnvDepth\ f\ n\ \Sigma b$
 $\longrightarrow SafeResourcesDAssDepth\ (bodyAPP\ \Sigma d\ f)\ f\ (typesAPP\ \Sigma \vartheta\ f)\ (sizesAPP\ \Sigma \Phi\ f)$
 $(real\ (length\ (varsAPP\ \Sigma d\ f)) + real\ (length\ (regionsAPP\ \Sigma d\ f)))\ n\ \Delta'\ \mu'\ \sigma'$
apply (rule *impI*)
apply (induct-tac *n*)

apply (rule *impI*)+
apply (erule-tac *x=0* in *allE*)
apply (frule *imp-ValidResourcesDepth-n-SigmaResources-Valid-Sigma,assumption+*)
apply (subgoal-tac $\models_{f,0} \Sigma b(f \mapsto (\Delta, \mu, \sigma)),simp$)
apply (subst *projection-prop2,assumption*)
apply (rule *ValidGlobalResourcesEnvDepth.depth0,assumption+*)

apply (erule-tac *x=Suc n* in *allE*)
apply (rule *impI*)+
apply (drule *mp,simp*)
apply (frule *imp-ValidResourcesDepth-n-SigmaResources-Valid-Sigma,assumption+*)
apply (frule-tac *f=f* in *imp-ValidGlobalResourcesEnv-all-n-ValidGlobalResourcesEnvDepth*)
apply (erule-tac *x=n* in *allE,simp*)
apply (frule *resources-le-SafeResourcesDAssDepth,assumption+*)
apply (subgoal-tac $\models_{f,Suc\ n} \Sigma b(f \mapsto (\Delta, \mu, \sigma)),simp$)
apply (subst *projection-prop2,assumption*)
by (rule *ValidGlobalResourcesEnvDepth.step,simp-all*)

lemma *lemma-8*:

$e, \Sigma b \vdash_f (typesAPP\ \Sigma \vartheta\ f)\ (sizesAPP\ \Sigma \Phi\ f)\ td\ (\Delta, \mu, \sigma)$
 $\implies \forall n. e, \Sigma b :_f (typesAPP\ \Sigma \vartheta\ f)\ (sizesAPP\ \Sigma \Phi\ f)\ td, n \Vdash \Delta, \mu, \sigma$
apply (simp only: *typesAPP-def*)
apply (erule *ProofRulesCostes.induct*)

apply (simp only: *SafeResourcesDAssDepthCntxt.simps*)
apply (rule *allI, rule impI*)
apply (rule *SafeResourcesDADepth-LitInt*)

apply (*simp only: SafeResourcesDAssDepthCntxt.simps*)
apply (*rule allI, rule impI*)
apply (*rule SafeResourcesDADepth-LitBool*)

apply (*simp only: SafeResourcesDAssDepthCntxt.simps*)
apply (*rule allI, rule impI*)
apply (*rule SafeResourcesDADepth-Var1*)

apply (*simp only: typesAPP-def*)
apply (*simp only: SafeResourcesDAssDepthCntxt.simps*)
apply (*rule allI, rule impI*)
apply (*rule SafeResourcesDADepth-Var2,force,force*)

apply (*simp only: typesAPP-def*)
apply (*simp only: SafeResourcesDAssDepthCntxt.simps*)
apply (*rule allI, rule impI*)
apply (*rule SafeResourcesDADepth-Var3,force,force*)

apply (*rule allI*)
apply (*simp only: SafeResourcesDAssDepthCntxt.simps*)
apply (*rule impI*)
apply (*erule-tac x=n in allE*)+
apply (*drule mp, simp*)+
apply (*rule SafeResourcesDADepth-Let1*)
apply (*simp,assumption+,simp,simp,simp*)

apply (*rule allI*)
apply (*simp only: typesAPP-def*)
apply (*simp only: SafeResourcesDAssDepthCntxt.simps*)
apply (*rule impI*)
apply (*erule-tac x=n in allE*)+
apply (*drule mp, simp*)+
apply (*rule SafeResourcesDADepth-Let2*)
apply (*assumption+*)

apply (*rule allI*)
apply (*simp only: SafeResourcesDAssDepthCntxt.simps*)
apply (*rule impI*)
apply (*rule SafeResourcesDADepth-CASE*)
apply (*assumption+,simp,assumption+,simp,simp,simp,simp*)

```

apply (rule allI)
apply (simp only: SafeResourcesDAssDepthCntxt.simps)
apply (rule impI)
apply (rule SafeResourcesDADepth-APP-PRIMOP)
apply (assumption+)

```

```

apply (rule allI)
apply (simp only: typesAPP-def)
apply (simp only: SafeResourcesDAssDepthCntxt.simps)
apply (rule impI)
apply (fold typesAPP-def)
apply (frule-tac  $\sigma g = \sigma g$  in SafeResourcesDADepth-APP)
apply (assumption+,simp,assumption+,simp)

```

```

apply (simp only: typesAPP-def)
apply (simp only: SafeResourcesDAssDepthCntxt.simps)
apply (rule allI)
apply (rule impI)
apply (subgoal-tac
   $ef = (bodyAPP \Sigma d f) \wedge$ 
   $xs = (varsAPP \Sigma d f) \wedge$ 
   $rs = (regionsAPP \Sigma d f),simp$ )
apply (fold typesAPP-def)
apply (rule-tac  $f=f$  and  $\Sigma b = \Sigma b$  in lemma-8-REC)
apply (simp only: typesAPP-def)
apply (force,simp,simp,simp,simp,simp)
apply (simp add: bodyAPP-def)
apply (simp add: varsAPP-def)
apply (simp add: regionsAPP-def)
done

```

lemma *lemma-2:*

```

 $e, \Sigma b \vdash_f (typesAPP \Sigma \vartheta f) (sizesAPP \Sigma \Phi f) \quad td (\Delta, \mu, \sigma)$ 
 $\implies SafeResourcesDAssCntxt e \Sigma b f (typesAPP \Sigma \vartheta f) (sizesAPP \Sigma \Phi f) \quad td \Delta$ 
 $\mu \sigma$ 
apply (rule lemma-7)
by (rule lemma-8,assumption)

```

end

