



Lambda-calculi with Patterns

ISR '21

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λ -calculus with Patterns: in one slide

$M ::= f \mid x \mid \lambda P.N \mid (M N) \quad \text{Terms}$

- $P \subset M$ satisfying the RPC-condition = variables can be bound only if they occur linearly (i.e. at most once) and not actively (i.e. not in functional position). Otherwise Church-Rosser can be lost
- $(\lambda P.N) M \longrightarrow_{\beta_T} N\theta$ where $\theta = \text{Sol}(P \ll_T M)$
- Different design choices can be found the **very rich** literature
 - “Pattern Syntax” (there are many notations in the literature)
 - “Shape of Good Patterns” (there are others CR-safe conditions)
 - “Matching Theories” (there are many matching theories, *e.g.* T_{AC})
 - “Type Theories” (there are many type theories offering SR and SN)
- Let’s have an incomplete “panorama” on those topics together...

Unifying Functional and Algebraic Notations

This make the writing of patterns and of “ λ -pattern code” more readable

$$\begin{aligned}(\mathit{cons} \ M \ N) &\equiv \mathit{cons}(M, N) && \text{Curryfication vs. Algebraic} \\ \mathit{cons}(M, N) &\equiv (M, N) && \text{cons IS the infix “,”}\end{aligned}$$

about lists : $(\mathit{cons} \ f \ (\mathit{cons} \ g \ \mathit{nil})) \equiv \mathit{cons}(f, \mathit{cons}(g, \mathit{nil})) \equiv (f, g)$

$$\mathit{head} \stackrel{\text{def}}{=} \lambda(x, y).x$$

$$\mathit{tail} \stackrel{\text{def}}{=} \lambda(x, y).y$$

$$\mathit{merge} \stackrel{\text{def}}{=} \lambda((x, y), (z, w)).(x, z, \mathit{merge}(y, w))$$

Reminder: pair and list encoding in λ -calculus

true $\stackrel{\text{def}}{=} \lambda xy.x$

false $\stackrel{\text{def}}{=} \lambda xy.y$

pair $\stackrel{\text{def}}{=} \lambda xyz.((z\ x)\ y)$

first $\stackrel{\text{def}}{=} \lambda x.x\ \text{true}$

second $\stackrel{\text{def}}{=} \lambda x.x\ \text{false}$

list $\stackrel{\text{def}}{=} \lambda xy.(pair\ x\ y) \longrightarrow_{\beta} \lambda z.((z\ x)\ y)$

head $\stackrel{\text{def}}{=} (list\ M\ N\ \text{true})$

tail $\stackrel{\text{def}}{=} (list\ M\ N\ \text{false})$

merge $\stackrel{\text{def}}{=} \text{left as an exercise}$

Reduction Speed-up and the “Eating Metaphor”

- Lambda-calculus enriched with patterns “speed-up” reductions.
There will be a bigger speed-up as patterns are bigger

$$\Lambda_P \quad (\lambda(x, y).x) (3, 4) \longrightarrow_{\beta_T} 3$$

$$\begin{aligned} \Lambda \quad (list\ 3\ 4\ true) &\equiv (\lambda x' y'. ((\lambda xyz. ((z\ x)\ y))\ x'\ y')) \\ &\longrightarrow_{\beta} 3 \end{aligned}$$

- In the application $(\lambda x.M) N$, the argument N can “always fit”, and reduction always apply, with a β -reduction to $M[N/x]$
- In the application $(\lambda P.M) N$, the argument N should “fit the taste of P ”, and reduction apply only if there exist a substitution θ solution of the matching equation $P \ll_T N$: if so then β_T -reduce to $N\theta$ otherwise the application simply *get stuck*
- But stuck application can unstuck, ex: $(\lambda f(x). ((\lambda g(3).3) g(x))) f(3)$

About the Shape of Patterns 1/2

- Pattern application of the shape

$$\lambda f(x).M$$

are Church-Rosser preserving

- Pattern application of the shape

$$\lambda(\textcolor{red}{x} y).M$$

with a variable x in *active position* make you loose Church-Rosser

- Ex:

$$(\lambda(\textcolor{red}{x} y).x) ((\lambda z.z) a) \longrightarrow_{\beta} \lambda z.z \text{ but also } (\lambda(\textcolor{red}{x} y).x) a$$

About the Shape of Patterns 2/2

- Pattern application *non left-linear* make you loose Church-Rosser

$$\lambda f(\mathbf{x}, \mathbf{x}).M$$

- Ex: Klop counter example, see Terese book, ex 2.7.20, p.57

$$d(\mathbf{x}, \mathbf{x}) \rightarrow e$$

$$c(\mathbf{x}) \rightarrow d(\mathbf{x}, c(\mathbf{x}))$$

$$a \rightarrow c(a)$$

- One informal translation in Lambda-calculi with Patterns:

$$M \equiv \lambda(\mathbf{x}, \mathbf{x}).e$$

$$C \equiv \lambda \mathbf{x}.D(\mathbf{x}, C(\mathbf{x}))$$

$$A \equiv C(A)$$

About Matching Theories 1/3: Syntactic \mathcal{T}_\emptyset

- It is a concrete research field that has really practical applications: exact string matching, `unix xgrep`, text processing, biological applications, telco applications, etc, just to mention a few
- Syntactic \mathcal{T}_\emptyset Pattern Matching

$$\frac{\Vdash_\emptyset M = N \quad \Vdash_\emptyset N = P}{\Vdash_\emptyset M = P} \text{ (Trans)} \qquad \frac{\Vdash_\emptyset N = M}{\Vdash_\emptyset M = N} \text{ (Symm)}$$

$$\frac{\Vdash_\emptyset M = N}{\Vdash_\emptyset P[M/x] = P[N/x]} \text{ (Ctx)} \qquad \frac{}{\Vdash_\emptyset M = M} \text{ (Refl)}$$

- Ex: The matching problem

$$f(x, y) \ll_\emptyset f(3, 4)$$

has as unique solution the substitution

$$\theta = [3/x ; 4/y]$$

About Matching Theories 2/3: Associative & Commutative \mathcal{T}_{AC}

- Associative & Commutative \mathcal{T}_{AC} Pattern Matching

$$\overline{\vdash_{AC} ((M, N), P) = (M, (N, P))} \text{ (Associative)}$$

$$\overline{\vdash_{AC} (M, N) = (N, M)} \text{ (Commutative)}$$

$$\frac{\vdash_{AC} M = N}{\vdash_{AC} P[M/x] = P[N/x]} \text{ (Ctx)}$$

- Ex: The matching problem $f(x, y) \ll_{AC} f((a, (b, c)))$ has 12 sol:

$$\begin{aligned} \vec{\theta} = & [a/x; (b, c)/y] - [a/x; (c, b)/y] - [b/x; (a, c)/y] - [b/x; (c, a)/y] \\ & [c/x; (a, b)/y] - [c/x; (b, a)/y] - [(a, b)/x; c/y] - [(b, a)/x; c/y] \\ & [(a, c)/x; b/y] - [(c, a)/x; b/y] - [(c, b)/x; a/y] - [(b, c)/x; a/y] \end{aligned}$$

About Matching Theories 3/3: 0 as Unit Element & “,” as Idempotent \mathcal{T}_{UI}

- Unit Element 0 and “,” as Idempotent \mathcal{T}_{UI} Pattern Matching

$$\overline{\vdash_{UI} (0, N) = N} \quad (Unit_L^0)$$

$$\overline{\vdash_{UI} (N, 0) = N} \quad (Unit_R^0)$$

$$\overline{\vdash_{UI} (M, M) = M} \quad (Idempotency)$$

$$\frac{\vdash_{UI} M = N}{\vdash_{UI} P[M/x] = P[N/x]} \quad (Ctx)$$

- Ex: $f(x) \ll_{UI} f(3, 3, 3)$ and $f(x) \ll_{UI} f(1, 0, 2, 0, 3)$ have 3 sol (resp.)

$$\vec{\theta}_U = [(3, 3, 3)/x] - [(3, 3)/x] - [3/x]$$

$$\vec{\theta}_I = [(1, 0, 2, 0, 3)/x] - [(1, 2, 0, 3)/x] - [(1, 2, 3)/x]$$

Small Step Operational Semantic, reloaded

$$(\lambda P.M) N \longrightarrow_{\beta_{\mathcal{T}}} \{M\theta_1 \cdots M\theta_n\}$$

- where $\mathcal{FV}(P) = \{x_1 \dots x_n\}$ and \exists a (vector) substitution $\vec{\theta} = \theta_1 \dots \theta_n$ result of solving the *pattern-matching modulo \mathcal{T}* equation $P \ll_{\mathcal{T}} N$
- **NB:** in case of *matching failure* the term is *stuck* and does not reduce, *i.e.* it is in *normal form*
- **NB:** in case of multiple solutions of a pattern matching equation in a given theory \mathcal{T} we say that we are working with *matching-modulo*

Ex: Clean Doubles

$$CD \stackrel{\text{def}}{=} \lambda(x, x, z).(x, z)$$

$$L \stackrel{\text{def}}{=} (Luigi, Vincent, Delia, Luigi, Carsten, Femke, Carsten)$$

$$(CD L) \rightarrow_{\beta_{ACI}} (Luigi, Vincent, Delia, Carsten, Femke)$$

$$\text{but also} \quad (Vincent, Delia, Luigi, Carsten, Femke)$$

$$\text{but also} \quad (Vincent, Delia, Luigi, Carsten, Femke)$$

$$\text{but also} \quad (Luigi, Vincent, Delia, Femke, Carsten)$$

Ex: Garbage Collector

$$GC \stackrel{def}{=} \lambda(x, \text{Erase}(y), z).(x, z)$$

$$L \stackrel{def}{=} (\text{Luigi}, \text{Vincent}, \text{Erase}(\text{John}), \text{Femke}, \text{Erase}(\text{Lea}))$$

$$(GC\ L) \rightarrow_{\beta_{ACI}} (\text{Luigi}, \text{Vincent}, \text{Femke})$$

Ex: Super Merge

Merge two lists, clean doubles, and GC

$merge \stackrel{def}{=} \lambda((x, y), (z, w)).(x, z, merge(y, w))$ recursive in T_\emptyset

$SM \stackrel{def}{=} \text{left as an exercise: } \text{mailto:Luigi.Liquori@inria.fr}$

$L_1 \stackrel{def}{=} (Luigi, Vincent, Delia, Erase(John), Femke, Silvia, nil)$

$L_2 \stackrel{def}{=} (Erase(Zak), Silvia, Pierre, Luigi, Malika, nil)$

$(SM(L_1, L_2)) \rightarrow_{\beta_{ACIU}} (Luigi, Vincent, Delia, Femke, Malika, Silvia, Pierre, nil)$

but also $\rightarrow_{\beta_{ACIU}} \dots$

but also $\rightarrow_{\beta_{ACIU}} \dots$

Typed Pattern Matching Calculi

- Milner slogan: *well-typed program do not go wrong*
- A plethora of different type system: simple types, polymorphic-types, higher-order types, dependent-types
- Let's try to add **types** to the syntax presented above

$M ::= f \mid x \mid \lambda P:\Delta. N \mid (M N)$ Terms

$\sigma ::= \iota \mid \sigma \rightarrow \sigma$ Types

$\Sigma ::= \emptyset \mid \Sigma, f:\sigma$ Type Signatures

$\Gamma, \Delta ::= \emptyset \mid \Delta, x:\sigma$ Type Contexts

Reduction Semantics

$$\theta = \text{Sol}(P \ll_{\emptyset} N)$$

$$(\lambda P:\Delta.M) N \longrightarrow_{\beta} M\theta$$

As usual we can define a many step reduction \longrightarrow_{β} and the congruence relation $=_{\beta}$

NB: We can define \longrightarrow_{β} , \longrightarrow_{β} , and $=_{\beta}$ also on substitutions θ

NB. We let $\text{Dom}(\Delta) = \text{FV}(P)$ (other choices: $\text{Dom}(\Delta) \subseteq \text{FV}(P)$)

The same syntactic conditions apply on typed patterns, namely:

- each free variable appears at most once (**linearity condition**)
- variables are not in functional position (**non-activity condition**)

Syntactic properties are preserved

- (Substitution) If $M \twoheadrightarrow_{\beta} N$ and $\theta \twoheadrightarrow_{\beta} \theta'$, then $M\theta \twoheadrightarrow_{\beta} N\theta'$
- (Confluence) The relation $\twoheadrightarrow_{\beta}$ is Confluent
- Both proofs are based on *parallel reduction* of Takahashi

Glance of the Signature and Type Rules

$$\frac{}{\emptyset \text{ sig}} (S.Empty)$$

$$\frac{\Sigma \text{ sig} \quad f \notin Dom(\Sigma)}{\Sigma, f:\sigma \text{ sig}} (S.Type)$$

$$\frac{\Sigma \text{ sig}}{\vdash_{\Sigma} \emptyset} (C.Empty)$$

$$\frac{\vdash_{\Sigma} \Gamma \quad x \notin Dom(\Gamma)}{\vdash_{\Sigma} \Gamma, x:\sigma} (C.Type)$$

Glance of the Term Rules

$$\frac{\vdash_{\Sigma} \Gamma \quad x:\sigma \in \Gamma}{\Gamma \vdash_{\Sigma} x : \sigma} \text{ (Var)}$$

$$\frac{\vdash_{\Sigma} \Gamma \quad f:\sigma \in \Sigma}{\Gamma \vdash_{\Sigma} f : \sigma} \text{ (Const)}$$

$$\frac{\begin{array}{c} FV(P) = Dom(\Delta) \quad \vdash_{\Sigma} \Gamma, \Delta \\ \Gamma, \Delta \vdash_{\Sigma} P : \sigma \quad \Gamma, \Delta \vdash_{\Sigma} M : \tau \end{array}}{\Gamma \vdash_{\Sigma} \lambda P:\Delta.M : \sigma \rightarrow \tau} \text{ (Abs)}$$

$$\frac{\Gamma \vdash_{\Sigma} M : \sigma \rightarrow \tau \quad \Gamma \vdash_{\Sigma} N : \sigma}{\Gamma \vdash_{\Sigma} MN : \tau} \text{ (Appl)}$$

Galleria of typed properties

- **(Weakening)** If $\Gamma \vdash_{\Sigma} M : \sigma$ and $\vdash_{\Sigma} \Gamma, \Sigma$, then $\Gamma, \Delta \vdash_{\Sigma} M : \sigma$
- **(Substitution)** If $\Gamma_1, x:\sigma, \Gamma_2 \vdash_{\Sigma} M : \tau$ and $\Gamma_2 \vdash_{\Sigma} N : \sigma$, then $\Gamma_1, \Gamma_2 \vdash_{\Sigma} M[N/x] : \tau$
- **(Subject Reduction)** If $\Gamma \vdash_{\Sigma} M : \sigma$ and $M \longrightarrow_{\beta} N$, then $\Gamma \vdash_{\Sigma} N : \sigma$
- **(Strong Normalization)** Let SN be the set of strongly normalizing terms. If $\Gamma \vdash_{\Sigma} M : \sigma$, then $M \in SN$
- All the proofs are fairly standard with the exception of the Strong Normalization that is based on a non-trivial extension of the standard Computability Argument to accommodate the presence of patterns in the syntax

Simple Typing Examples

$$\Sigma \stackrel{\text{def}}{=} \text{cons} : \sigma \rightarrow \sigma \rightarrow \sigma$$

$$\Gamma \stackrel{\text{def}}{=} v : \sigma, w : \sigma$$

$$\Delta \stackrel{\text{def}}{=} x : \sigma, y : \sigma$$

$$\Gamma \vdash_{\Sigma} (\lambda(\text{cons } x \ y) : \Delta. x) (\text{cons } v \ w) : \sigma$$

\downarrow_{β}

$$\Gamma \vdash_{\Sigma} v : \sigma \text{ where } \theta \equiv [v/x; w/y] = \text{Sol}((\text{cons } x \ y) \ll (\text{cons } v \ w))$$

Advanced Glance: Edinburgh Logical Framework by Harper-Honsell-Plotkin

- *A framework to define logics, JSL'93* introduce a novel type discipline: DEPENDENT-TYPE THEORY
- Via the famous Curry-Howard isomorphism '80:
Theorems as Types and Proof as typed λ -terms
we can use LF as a metalanguage to encode logical systems, encoding calculi, certifying algorithms
- Dependent types look like $\Pi P:\Delta.\sigma$. Terms can occurs in types

$$\begin{array}{c}
 \Gamma \vdash_{\Sigma} M : \Pi x:\sigma.\tau \\
 \Gamma \vdash_{\Sigma} \Pi x:\sigma.\tau : s \\
 \Gamma \vdash_{\Sigma} N : \sigma \\
 \hline
 \Gamma \vdash_{\Sigma} M N : \tau[N/x] \quad (PLF\text{-}Appl)
 \end{array}
 \qquad
 \begin{array}{c}
 Dom(\Delta)=FV(P) \\
 \Gamma \vdash_{\Sigma} M : \Pi P:\Delta.\tau \quad \Gamma \vdash_{\Sigma} \Pi P:\Delta.\tau : s \\
 \Gamma, \Delta \vdash_{\Sigma} P : \sigma \quad \Gamma \vdash_{\Sigma} N : \sigma \\
 \hline
 \Gamma \vdash_{\Sigma} M N : (\lambda P:\Delta.M) N \quad (LF\text{-}Appl)
 \end{array}$$

Advanced Glance: Pattern Logical Framework

$\Sigma \in \mathcal{S}$ $\Sigma ::= \emptyset \mid \Sigma, a:K \mid \Sigma, f:A$ *Signatures*

$\Gamma, \Delta \in \mathcal{C}$ $\Gamma ::= \emptyset \mid \Gamma, x:A$ *Contexts*

$K \in \mathcal{K}$ $K ::= \text{Type} \mid \Pi P:\Delta.K \mid \lambda P:\Delta.K \mid K M$ *Kinds*

$A, B, C \in \mathcal{F}$ $A ::= a \mid \Pi P:\Delta.A \mid \lambda P:\Delta.A \mid A M$ *Families*

$M, N, Q \in \mathcal{O}$ $M ::= f \mid x \mid \lambda P:\Delta.M \mid M M$ *Objects*

Pattern Logical Framework Reduction

$$(\beta\text{-Obj}) \quad (\lambda P:\Delta.M) N \mapsto_{\beta} M\theta$$

$$(\beta\text{-Fam}) \quad (\lambda P:\Delta.A) N \mapsto_{\beta} A\theta$$

$$(\beta\text{-Kinds}) \quad (\lambda P:\Delta.K) N \mapsto_{\beta} K\theta$$

Pattern Logical Framework Types

Object rules

$$\frac{\vdash_{\Sigma} \Gamma \quad x:A \in \Gamma}{\Gamma \vdash_{\Sigma} x : A} \text{ (O·Var)}$$

$$\frac{\vdash_{\Sigma} \Gamma \quad f:A \in \Sigma}{\Gamma \vdash_{\Sigma} f : A} \text{ (O·Const)}$$

$$\frac{\Gamma, \Delta \vdash_{\Sigma} P : B \quad \Gamma, \Delta \vdash_{\Sigma} M : A}{\Gamma \vdash_{\Sigma} \lambda P:\Delta. M : \Pi P:\Delta. A} \text{ (O·Abs)}$$

$$\frac{\Gamma \vdash_{\Sigma} M : \Pi P:\Delta. A \quad \Gamma, \Delta \vdash_{\Sigma} P : B \quad \Gamma \vdash_{\Sigma} N : B}{\Gamma \vdash_{\Sigma} M N : (\lambda P:\Delta. A) N} \text{ (O·Appl)}$$

$$\frac{\Gamma \vdash_{\Sigma} M : A \quad \Gamma \vdash_{\Sigma} B : \mathbf{Type} \quad \Gamma \vdash_{\Sigma} A =_{\beta} B}{\Gamma \vdash_{\Sigma} M : B} \text{ (O·Conv)}$$

Pattern Logical Framework Ex: Plotkin λ_{CBV}

Syntactic Categories

o : Type

Constructors and Judgments

$! : o^2$ $\text{Lam} : \Pi f : [\Pi !x^o.o]. o$ $\text{App} : o^3$ $= : o \rightarrow o \rightarrow \text{Type}$

Axioms and Rules

$\text{Eq}_{\text{refl}} : \Pi x^o. x = x$

$\text{Eq}_{\text{symm}} : \Pi x^o. \Pi y^o. (x = y) \rightarrow (y = x)$

$\text{Eq}_{\text{trans}} : \Pi x^o. \Pi y^o. \Pi z^o. (x = y) \rightarrow (y = z) \rightarrow (x = z)$

$\text{Eq}_{\text{ctx}} : \Pi x^o. \Pi y^o. \Pi z^o. \Pi w^o. (x = y) \rightarrow (z = w) \rightarrow (\text{App } x z = \text{App } y w)$

$\text{Betav} : \Pi f : [\Pi !x^o.o]. \Pi y^o. \text{App } (!(\text{Lam } f)) (!y) = f (!y)$

$\text{Xiv} : \Pi f : [\Pi !x^o.o]. \Pi g : [\Pi !x^o.o].$

$(\Pi z^o. f (!z) = g (!z) \rightarrow (!(\text{Lam } f) = !(\text{Lam } g)))$

$\text{Etav} : \Pi x^o. !(\text{Lam } (\lambda (!y^o). \text{App } (!x) (!y)))) = !x$

Pattern Logical Framework Ex: S_4 Modal Logics

Propositional Connectives and Judgment

o : Type $\supset : o^3$ $\neg : o^2$ $\Box : o^2$ $\text{True} : o \rightarrow \text{Type}$

Propositional Axioms

A_1 : $\Pi\phi^o. \Pi\psi^o. \text{True}\phi \supset (\psi \supset \phi)$

A_2 : $\Pi\phi^o. \Pi\psi^o. \Pi\theta^o. \text{True}(\phi \supset (\psi \supset \theta)) \supset (\phi \supset \psi) \supset (\phi \supset \theta)$

A_3 : $\Pi\phi^o. \Pi\psi^o. \text{True}(\neg\psi \supset \neg\phi) \supset ((\neg\psi \supset \phi) \supset \psi)$

Modal Axioms

K : $\Pi\phi^o. \Pi\psi^o. \text{True}\Box(\phi \supset \psi) \supset (\neg\phi \supset \neg\psi)$

4 : $\Pi\phi^o. \text{True}\Box\phi \supset \Box\Box\phi$

T : $\Pi\phi^o. \text{True}\Box\phi \supset \phi$

Rules

MP : $\Pi\phi^o. \Pi\psi^o. \text{True}\phi \supset \text{True}\phi \supset \psi \rightarrow \text{True}\psi$

NEC : $\Pi\phi^o. \Pi x_\theta : \text{True}\phi. \text{True}\Box\phi$

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Pattern Matching in Programming Languages

- Pattern Matching occurs in many languages and paradigms: functional, imperative, logic, and OO
- Announcement: Feb 2021: Python adopt Pattern Matching
- PEP 622 – Structural Pattern Matching
- PEP 634 – Structural Pattern Matching: Specification
- PEP 635 – Structural Pattern Matching: Motivation and Rationale
- PEP 636 – Structural Pattern Matching: Tutorial